# MA3D1 Fluid Dynamics Support Class 6 - Rotation and Instability 

21st February 2014
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## 1 Rotation

We consider the Euler equations in a rotating frame,

$$
\begin{equation*}
\frac{D \boldsymbol{u}_{R}}{D t}=-\frac{1}{\rho} \nabla p-\underbrace{\boldsymbol{\Omega} \times(\boldsymbol{\Omega} \times \boldsymbol{r})}_{\text {Centrifugal Force }}-\underbrace{2 \boldsymbol{\Omega} \times \boldsymbol{u}_{R}}_{\text {Coriolis Force }}-g \hat{\boldsymbol{z}} \tag{1}
\end{equation*}
$$

where $\boldsymbol{u}_{R}$ is the velocity in the rotating frame given by $\boldsymbol{u}_{I}=\boldsymbol{u}_{R}+\boldsymbol{\Omega} \times \boldsymbol{r}$ where $\boldsymbol{u}_{I}$ is the velocity in the inertial frame (as normal) and $\boldsymbol{r}$ is the coordinate in the rotating frame. We will drop the subscript $R$ notation from now on.

The Coriolis force is a fictitious observed on moving objects in a rotating frame causing a deflection in the motion. If the frame is rotating clockwise then the deflection is to the left of the motion of the object. For anticlockwise rotation the deflection is to the left. This explains why cyclones in the Northern Hemisphere are anticlockwise (and clockwise in the Southern Hemisphere).


Figure 1: In the inertial frame of reference (upper part of the picture), the black ball moves in a straight line. However, the observer (red dot) who is standing in the rotating/non-inertial frame of reference (lower part of the picture) sees the object as following a curved path due to the Coriolis and centrifugal forces present in this frame.

The Rossby Number is a ration of inertia $|(\boldsymbol{u} \cdot \nabla) \boldsymbol{u}|$ forces to Coriolis forces,

$$
\begin{equation*}
R o=\frac{U}{\Omega L} . \tag{2}
\end{equation*}
$$

The Ekman Number is a ration of viscous $|\nu \Delta \boldsymbol{u}|$ forces to Coriolis forces,

$$
\begin{equation*}
E k=\frac{\nu}{\Omega L^{2}} . \tag{3}
\end{equation*}
$$

## 2 Inertial Waves in a Rotating Fluid

Suppose an inviscid, incompressible fluid is rotating uniformly with angular velocity $\boldsymbol{\Omega}=$ $(0,0, \Omega)$. The linearised rotating equations are

$$
\begin{equation*}
\frac{\partial \boldsymbol{u}}{\partial t}+2 \boldsymbol{\Omega} \times \boldsymbol{u}=-\frac{1}{\rho} \nabla p, \quad \nabla \cdot \boldsymbol{u}=0 \tag{4}
\end{equation*}
$$

We find the pressure equation by taking the divergence and curl (looking at the $z$ component) of (4),

$$
\begin{gather*}
\nabla \cdot\left[\frac{\partial \boldsymbol{u}}{\partial t}+2 \boldsymbol{\Omega} \times \boldsymbol{u}=-\frac{1}{\rho} \nabla p\right] \Rightarrow 2 \Omega\left(\frac{\partial u}{\partial y}-\frac{\partial v}{\partial x}\right)=-\frac{1}{\rho} \Delta p .  \tag{5}\\
\nabla \times\left[\frac{\partial \boldsymbol{u}}{\partial t}+2 \boldsymbol{\Omega} \times \boldsymbol{u}=-\frac{1}{\rho} \nabla p\right] \Rightarrow \frac{\partial}{\partial t}\left(\frac{\partial u}{\partial y}-\frac{\partial v}{\partial x}\right)=2 \Omega\left(\frac{\partial u}{\partial x}+\frac{\partial v}{\partial y}\right) \tag{6}
\end{gather*}
$$

We also take the partial derivative with respect to $z$ of the $z$ component of

$$
\begin{equation*}
\frac{\partial}{\partial z}\left[\frac{\partial \boldsymbol{u}}{\partial t}+2 \boldsymbol{\Omega} \times \boldsymbol{u}=-\frac{1}{\rho} \nabla p\right] \Rightarrow \frac{\partial^{2}}{\partial z^{2}} p=-\rho \frac{\partial}{\partial z} \frac{\partial}{\partial t} w \tag{7}
\end{equation*}
$$

Then putting these results together we get the pressure equation, we begin by multiplying (7) by $4 \Omega^{2}$ and using incompressibility

$$
\begin{align*}
& 4 \Omega^{2} \frac{\partial^{2}}{\partial z^{2}} p=4 \Omega^{2} \rho \frac{\partial}{\partial t}\left(\frac{\partial u}{\partial x}+\frac{\partial v}{\partial y}\right)=2 \Omega \rho \frac{\partial^{2}}{\partial t^{2}}\left(\frac{\partial u}{\partial y}-\frac{\partial v}{\partial x}\right)=-\frac{\partial^{2}}{\partial t^{2}} \Delta p  \tag{8}\\
& \Rightarrow\left[\frac{\partial^{2}}{\partial t^{2}} \Delta+4 \Omega^{2} \frac{\partial^{2}}{\partial z^{2}}\right] p=0 . \tag{9}
\end{align*}
$$

We look for solutions $p=\hat{p} e^{i(k x+l y+m z-\omega t)}$, putting this into (9) gives the dispersion relation,

$$
\begin{equation*}
\omega^{2}=\frac{4 \Omega^{2} m^{2}}{k^{2}+l^{2}+m^{2}} \tag{10}
\end{equation*}
$$

The phase and group velocities are

$$
\begin{equation*}
c_{p h}=\frac{\omega \boldsymbol{k}}{|\boldsymbol{k}|^{2}}, \quad c_{g}=\left(\frac{\partial \omega}{\partial k}, \frac{\partial \omega}{\partial l}, \frac{\partial \omega}{\partial m}\right)=\frac{2 \Omega}{|\boldsymbol{k}|^{4}}\left(-k m^{2},-l m^{2}, m\left(k^{2}+l^{2}\right)\right) \tag{11}
\end{equation*}
$$

Taking the dot product shows orthogonality,

$$
(k, l, m) \cdot\left(-k m^{2},-l m^{2}, m\left(k^{2}+l^{2}\right)\right)=-k^{2} m^{2}-l^{2} m^{2}+m^{2}\left(k^{2}+l^{2}\right)=0 .
$$

Example 1. (Question from McWilliams, Fundamentals of Geophysical Fluid Dynamics)
Consider inertia-gravity waves with small amplitude fluctuations in 3D Boussinesq equations with $\rho=\rho_{0}(1-\alpha T)$ for basic state of rest with uniform rotation $f=f_{0}$ and stratification $\bar{\rho}(z)=\rho_{0}\left(1-N_{0}^{2} z / g\right)$ in an unbounded domain. The linearised equations are

$$
\begin{align*}
\partial_{t} u-f v & =\partial_{x} \phi  \tag{12}\\
\partial_{t} v+f u & =\partial_{y} \phi \text { (Momentum equations) }  \tag{13}\\
\partial_{t} w & =\partial_{z} \phi+b  \tag{14}\\
\nabla \cdot \boldsymbol{u} & =0 \text { (Incompressibility) }  \tag{15}\\
\partial_{t} b+N^{2} w & =0 \text { (Conservation of internal energy) } \tag{16}
\end{align*}
$$

with $\phi=p / \rho_{0}$ the geopotential and $b=g \rho / \rho_{0}$ the buoyancy.
(a) Derive the dispersion relation.

Answer: Look for solutions $u=u_{0} e^{i(k x+l y+m z-\omega t)}, v=v_{0} e^{i(k x+l y+m z-\omega t)}, w=w_{0} e^{i(k x+l y+m z-\omega t)}$, $\phi=\phi_{0} e^{i(k x+l y+m z-\omega t)}, b=b_{0} e^{i(k x+l y+m z-\omega t)}$. Follow a similar method as for the inertial waves in a rotating fluid and substitute solutions into the equation to get the dispersion relation,

$$
\begin{equation*}
\omega= \pm\left(\frac{\left(k^{2}+l^{2}\right) N^{2}+m^{2} f^{2}}{k^{2}+l^{2}+m^{2}}\right)^{\frac{1}{2}} \tag{17}
\end{equation*}
$$

(This calculation is messy, but don't worry about it too much.)
(b) Demonstrate that $\omega$ depends only on the direction of $\boldsymbol{u}$ and not its magnitude $K=|\boldsymbol{u}|$.

Answer: Express $\boldsymbol{k}=(k, l, m)$ in polar form $\boldsymbol{u}=(K, \theta, \lambda)$ with $k=K \cos (\theta) \cos (\lambda), l=$ $K \cos (\theta) \sin (\lambda), m=K \sin (\theta)$. Then

$$
\begin{equation*}
\omega= \pm\left(N^{2} \cos ^{2}(\theta)+f^{2} \sin ^{2}(\theta)\right)^{\frac{1}{2}} \tag{18}
\end{equation*}
$$

So it depends on the orientation $\theta$ but is independent of the magnitude $K$.
(c) Show that $N$ and $f$ are the largest/smallest frequencies allowed for inertial-gravity modes. (Assume $f<N$ ).
Answer: If $f<N$ then

$$
\begin{aligned}
\max |\omega| & =N, \text { for } m=0, l=0, k=K \quad(\theta=0, n \pi \text { for } n \in \mathbb{N}) \\
\min |\omega| & =f, \text { for } m=K, l=0, k=0 \quad(\theta=n \pi / 2 \text { for } n \text { odd })
\end{aligned}
$$



Figure 2: Plot of the dispersion relation (18).
(d) Demonstrate that the phase and group velocities

$$
c_{g}=\frac{\omega}{\boldsymbol{k}}=\frac{\omega \boldsymbol{k}}{K^{2}}, \quad c_{p h}=\frac{\partial \omega}{\partial \boldsymbol{k}}=\left(\frac{\partial \omega}{\partial k}, \frac{\partial \omega}{\partial l}, \frac{\partial \omega}{\partial m}\right)
$$

are orthogonal and have opposite signed vertical components for the inertial-gravity mode.

## Answer:

$$
c_{p h}=\frac{\omega \boldsymbol{k}}{K^{2}}=\frac{\omega}{K^{2}}(k, l, m), \quad c_{g}=\frac{\partial \omega}{\partial \boldsymbol{k}}=\frac{1}{\omega K^{2}}\left(k\left(N^{2}-\omega^{2}\right), l\left(N^{2}-\omega^{2}\right), m\left(f^{2}-\omega^{2}\right)\right)
$$

Taking the dot product shows they are orthogonal, $c_{g} \cdot c_{p h}=0$. Also

$$
\operatorname{sign}\left[c_{p h}\right]=\operatorname{sign}[\omega] \operatorname{sign}[m], \operatorname{sign}\left[c_{g}\right]=\operatorname{sign}[\omega] \operatorname{sign}[m] \operatorname{sign}\left[f^{2}-\omega^{2}\right]
$$

and since $f<N$

$$
\omega^{2}=\frac{\left(k^{2}+l^{2}\right) N^{2}+m^{2} f^{2}}{k^{2}+l^{2}+m^{2}}>\frac{\left(k^{2}+l^{2}\right) f^{2}+m^{2} f^{2}}{k^{2}+l^{2}+m^{2}}=f^{2}
$$

so $f^{2}-\omega^{2}<0$.

## 3 Rayleigh's Inflection Point Theorem

A necessary condition for the linear instability of an inviscid shear flow $U(z)$ is that $U^{\prime \prime}(z)$ should change sign somewhere in the flow (this is called an inflection point). Note that there is no claim here that any velocity profile with an inflection point is unstable.

## 4 Kelvin Helmholtz Instability

Let one deep layer of inviscid fluid with density $\rho_{2}$ flow with uniform speed $U$ over another deep layer of fluid with density $\rho_{1}$ at rest. Consider a small travelling wave disturbance with interface $\eta(x, t)=\operatorname{Re}\left[A e^{i(k x-\omega t)}\right]$.


Figure 3: Small disturbance on the interface.
Using the deep water equation the interface conditions are

$$
\begin{equation*}
\frac{\partial \phi_{1}}{\partial z}=\frac{\partial \eta}{\partial t}, \quad \frac{\partial \phi_{2}}{\partial z}=\frac{\partial \eta}{\partial t}+u \frac{\partial \eta}{\partial x}, \tag{19}
\end{equation*}
$$

also using Bernoulli and hydrostatic pressure $p=\rho g \eta$ we have

$$
\begin{equation*}
\rho_{1}\left(\frac{\partial \phi_{1}}{\partial t}+g \eta\right)-\rho_{2}\left(\frac{\partial \phi_{2}}{\partial t}+u \frac{\partial \phi_{2}}{\partial x}+g \eta\right)=T \frac{\partial^{2} \eta}{\partial x^{2}} \tag{20}
\end{equation*}
$$

where $T$ is the surface tension. Using these conditions and the form of the disturbance on the interface $\eta$ we find the dispersion relation

$$
\begin{equation*}
\omega=\frac{1}{\rho_{1}+\rho_{2}}\left[\rho_{2} U k \pm\left[\left(\rho_{1}+\rho_{2}\right)|k|\left(k^{2} T+\left(\rho_{1}-\rho_{2}\right) g\right)-\rho_{1} \rho_{2} U^{2} k^{2}\right]^{\frac{1}{2}}\right] . \tag{21}
\end{equation*}
$$

Suppose $\rho_{1}>\rho_{2}$ (bottom heavy fluid), write $\omega=\omega_{R}+i \omega_{I}$ then we require $w_{I}>0$ for small disturbances to grow and become unstable, hence for instability we require

$$
\frac{\rho_{1} \rho_{2} U^{2}}{\rho_{1}+\rho_{2}}>\min _{k}\left[\left(\rho_{1}-\rho_{2}\right) \frac{g}{|k|}+|k| T\right]=2\left[\left(\rho_{1}-\rho_{2}\right) g T\right]^{\frac{1}{2}} .
$$



Figure 4: Cloud formations caused by Kelvin-Helmholtz instability.

