

# Introduction to Hodge Theory and K3 surfaces

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## Part I

# Hodge Theory (Pierre Py)

Reference: Claire Voisin: *Hodge Theory and Complex Algebraic Geometry*

## 1 Kähler manifold and Hodge decomposition

### 1.1 Introduction

**Definition 1.1.** Let  $V$  be a complex vector space of finite dimension,  $h$  is a *hermitian form* on  $V$ . If  $h : V \times V \rightarrow \mathbb{C}$  such that

1. It is bilinear over  $\mathbb{R}$
2.  $\mathbb{C}$ -linear with respect to the first argument
3. Anti- $\mathbb{C}$ -linear with respect to the second argument  
i.e.,  $h(\lambda u, v) = \lambda h(u, v)$  and  $h(u, \lambda v) = \bar{\lambda} h(u, v)$
4.  $h(u, v) = \overline{h(v, u)}$
5.  $h(u, u) > 0$  if  $u \neq 0$

Decompose  $h$  into real and imaginary parts,  $h(u, v) = \langle u, v \rangle - i\omega(u, v)$  (where  $\langle u, v \rangle$  is the real part and  $\omega$  is the imaginary part)

**Lemma 1.2.**  $\langle , \rangle$  is a scalar product on  $V$ , and  $\omega$  is a symplectic form, i.e., skew-symmetric.

*Note.*  $-\langle , \rangle$  determines  $\omega$  and conversely

*Proof.* By the property 4.  $\langle , \rangle$  is real symmetric and  $\omega$  is skew-symmetric.  $\langle u, u \rangle = h(u, u) > 0$  so  $\langle , \rangle$  is scalar product

Let  $u_0 \in V$  such that  $\omega(u_0, v) = 0 \forall v \in V$ . This equates to  $h(u_0, v)$  is real for all  $v$ . Also  $h(u_0, iv)$  is real for all  $v$ , but  $h(u_0, iv) = -ih(u_0, v) \in \mathfrak{S}$  therefore,  $h(u_0, v) = 0$ . Hence  $u_0 = 0$ , as  $h$  is non-degenerate. So  $\omega$  is non-degenerate.

Now we show  $-\langle , \rangle$  determines  $\omega$ :  $\omega(u, v) = -\Im h(u, v) = \Im(i^2 h(u, v)) = \Im(ih(iu, v)) = \langle iu, v \rangle$ , so  $\omega(u, v) = \langle iu, v \rangle$ .  $\square$

**Lemma 1.3.**  $\omega(u, iu) > 0$  for all  $u \neq 0$ .

*Proof.* Plug in  $v = iu$  in the last part of the previous lemma.  $\square$

**Definition 1.4.** We say that a skew-symmetric form on a complex vector space is *positive* if it has the above property (of lemma 1.3)

$$\text{If } h(iu, iv) = h(u, v) \text{ then } \begin{cases} \omega(iu, iv) = \omega(u, v) \\ \langle iu, iv \rangle = \langle u, v \rangle \end{cases} \quad (*)$$

**Exercise.** Prove that a 2-form on  $\omega$  on  $V$  satisfy  $(*)$  if and only if it is of type  $(1, 1)$

Let  $V$  be a  $\mathbb{C}$ -vector space of  $\dim_{\mathbb{C}} V = n = 2k$ . Let  $z_1, \dots, z_n$  be coordinates on  $V$  and  $e_1, \dots, e_n$  be a basis such that  $v = \sum_i z_i e_i$  for  $v \in V$ . Define  $dz_j : \sum z_i e_i \mapsto z_j$  and  $d\bar{z}_j : \sum z_i e_i \mapsto \bar{z}_j$  for  $1 \leq j \leq n$ . Then  $dz_1, \dots, dz_n, d\bar{z}_1, \dots, d\bar{z}_n \in \text{Hom}_{\mathbb{R}}(V, \mathbb{C})$ . We have  $\dim_{\mathbb{R}} \text{Hom}_{\mathbb{R}}(V, \mathbb{C}) = 2 \cdot 2n = 4n$ . If  $\lambda \in \mathbb{C}$  and  $\phi \in \text{Hom}_{\mathbb{R}}(V, \mathbb{C})$ , define  $\lambda\phi : v \mapsto \lambda\phi(v)$ . So  $\text{Hom}_{\mathbb{R}}(V, \mathbb{C})$  can be viewed as a  $\mathbb{C}$ -vector space, then  $\dim_{\mathbb{C}} \text{Hom}_{\mathbb{R}}(V, \mathbb{C}) = 2n$ .

**Exercise.**  $dz_1, \dots, dz_n, d\bar{z}_1, \dots, d\bar{z}_n$  is a basis for  $\text{Hom}_{\mathbb{R}}(V, \mathbb{C})$  as a  $\mathbb{C}$ -vector space

**Exercise.** 1. An element  $\phi \in \text{Hom}_{\mathbb{R}}(V, \mathbb{C})$  is  $\mathbb{C}$ -linear if and only if  $\phi$  can be written as  $\phi = \sum_{i=1}^n \alpha_i dz_i$  where  $\alpha_i \in \mathbb{C}$ .

2.  $\phi$  is anti- $\mathbb{C}$ -linear map if and only if  $\phi$  can be written as  $\phi = \sum_{i=1}^n \beta_i d\bar{z}_i$  where  $\beta_i \in \mathbb{C}$ .

Let  $I$  be the set of  $\{i_1 < \dots < i_k\}$ .  $dz_I = dz_{i_1} \wedge dz_{i_2} \wedge \dots \wedge dz_{i_k}$  is a  $k$ -linear alternating form  $V$  to  $\mathbb{C}$ .  $d\bar{z}_I = d\bar{z}_{i_1} \wedge d\bar{z}_{i_2} \wedge \dots \wedge d\bar{z}_{i_k}$  is a  $k$ -linear alternating form  $V$  to  $\mathbb{C}$

**Definition 1.5.** A  $k$ -form  $\alpha$  on  $V$  (with values in  $\mathbb{C}$ ), is of type  $(p, q)$ , with  $p+q = k$  if  $\alpha = \sum_{|I|=p, |J|=q} \lambda_{I,J} dz_I \wedge d\bar{z}_J$  for  $\lambda_{I,J} \in \mathbb{C}$ .

Any  $k$ -form  $\alpha$  is a sum of forms of type  $(p, q)$  for  $0 \leq p, q \leq k$  and  $p+q = k$ . Then  $\alpha = \sum_{p+q=k} \alpha^{p,q}$

**Example.** Let  $V$  be of dimension 2

$k = 1$  (1, 0)-forms are  $\mathbb{C}$ -linear maps from  $V \rightarrow \mathbb{C}$   
(0, 1)-forms are anti- $\mathbb{C}$ -linear maps from  $V \rightarrow \mathbb{C}$

$k = 2$  (2, 0)-forms are  $dz_1 \wedge dz_2$   
(1, 1) forms are spanned by  $dz_i \wedge d\bar{z}_j$  for  $i, j \in \{1, 2\}$   
(0, 2)-forms are  $d\bar{z}_1 \wedge d\bar{z}_2$

**Exercise.** The type of a form does not depend on the choice of basis.

**Example.** Let  $V = \mathbb{C}^n$ ,  $z_i = x_i + iy_i$  then

$$\begin{aligned} dx_1 \wedge dz_2 &= \frac{dz_1 + d\bar{z}_1}{2} \wedge dz_2 \\ &= \underbrace{\frac{dz_1 \wedge dz_2}{2}}_{(2,0)\text{-form}} + \underbrace{\frac{d\bar{z}_1 \wedge dz_2}{2}}_{(1,1)\text{-form}} \end{aligned}$$

**Example.** If  $X$  is a complex surface,  $z_1, z_2$  are local coordinate on  $X$ , then a 2-form is a combination

•  $dz_1 \wedge dz_2$  a (2, 0)-form

•  $\begin{cases} dz_1 \wedge d\bar{z}_2 \\ dz_1 \wedge d\bar{z}_1 \\ dz_2 \wedge d\bar{z}_2 \\ dz_2 \wedge d\bar{z}_1 \end{cases}$  are (1, 1)-forms

•  $d\bar{z}_1 \wedge d\bar{z}_2$  a (0, 2)-form

**Summary:** If  $h$  is a hermitian form,  $\omega = -\Im h$  is a (1, 1)-form and is positive (i.e,  $\omega(u, iu) > 0$ ). Conversely if a (1, 1) form is positive it arises as  $\omega = -\Im h$  for some hermitian form  $h$ .

## 1.2 Hermitian and Kähler metric on Complex Manifolds

Let  $M$  be a complex manifold.

Convention: Each tangent space of  $M$ ,  $T_x M$  is a complex vector space and write  $J$  (or  $J_x$ ) for the endomorphism  $J_x : T_x M \rightarrow T_x M$  defined by  $v \mapsto iv$ . ( $J^2 = -\text{id}$ )

**Definition 1.6.** A *hermitian metric* on  $M$  is the following. For each  $x \in M$ ,  $h_x$  is a hermitian metric on  $T_x M$  and  $h_x$  is  $C^\infty$  on  $M$ .

So as before we can write  $h = \langle, \rangle - i\omega$ . The  $\langle, \rangle$  is a Riemannian metric on  $M$  and  $\omega$  is a  $(1, 1)$  form on  $M$

**Definition 1.7.** We say  $h$  is Kähler if  $\omega$  is closed, i.e.,  $d\omega = 0$ .

**Example.** • If  $\dim_{\mathbb{C}} M = 1$ , that is  $M$  is a Riemann surface, then any hermitian metric is Kähler.: Why?  $d\omega$  by definition is a 3-form on a 2-dimension  $\mathbb{R}$ -manifold, so it must be zero.

- $\partial, \bar{\partial}$  operators: If  $f : M \rightarrow \mathbb{C}$  is a function.  $df$  is a 1-form and  $df_x : T_x M \rightarrow \mathbb{C}$ . We can decompose as  $df_x = \underbrace{\partial f_x}_{\mathbb{C}\text{-linear}} + \underbrace{\bar{\partial} f_x}_{\mathbb{C}\text{-antilinear}}$ . So  $df = \partial f + \bar{\partial} f$ .  $\partial$  and  $\bar{\partial}$  extend to operators from  $\Omega^k \rightarrow \Omega^{k+1}$  (where  $\Omega^k$  is the  $\mathbb{C}$ -value  $k$ -forms) defined by

$$\begin{aligned}\partial(\alpha \wedge \beta) &= \partial\alpha \wedge \beta + (-1)^{|\alpha|} \alpha \wedge \partial\beta \\ \bar{\partial}(\alpha \wedge \beta) &= \bar{\partial}\alpha \wedge \beta + (-1)^{|\alpha|} \alpha \wedge \bar{\partial}\beta\end{aligned}$$

**Exercise.** If  $\alpha$  is a  $(p, q)$ -form (it is of type  $(p, q)$  at each point), then  $d\alpha$  is the sum of a  $(p+1, q)$  form and a  $(p, q+1)$  form:  $\partial$  is the  $(p+1, q)$  form and  $\bar{\partial}$  is the  $(p, q+1)$  piece

- $M = \mathbb{P}_{\mathbb{C}}^n$ : We define a close positive (that is positive on each point on  $M$ )  $(1, 1)$ -form (it must be the imaginary part of a hermitian metric) is defined by  $\omega_{[z]} = \frac{1}{2\pi i} \partial\bar{\partial} \log(|z|^2)$ . Check that it is well defined, (does not define on the affine piece): hint: if  $f : U \rightarrow \mathbb{C}^*$  is holomorphic, check that  $\partial\bar{\partial} \log |f|^2 = 0$ .
- If  $T = \mathbb{C}^n / \Lambda$ ,  $\Lambda$  a lattice of  $\mathbb{C}^n$ , then any constant coefficient metric is Kähler.
- If  $(M, h)$  is Kähler, and  $\Sigma \subset M$  is a  $\mathbb{C}$ -submanifold. Then  $(\Sigma, h|_{\Sigma})$  is Kähler. As  $d(\omega|_{\Sigma}) = d\omega|_{\Sigma} \Rightarrow \omega|_{\Sigma}$  is closed.

**Lemma 1.8.** Let  $M$  be a complex manifold of  $\mathbb{C}$ -dimension  $n$  with hermitian metric  $h$ . The Riemannian volume form of  $\langle, \rangle$  is equal to  $\frac{\omega^n}{n!}$ .

(If  $V$  is  $\mathbb{C}$ -vector space with  $\mathbb{C}$ -basis  $e_1, \dots, e_n$  then  $e_1, Je_1, e_2, Je_2, \dots, e_n, Je_n$  is a positive real basis. That is it has a canonical basis)

**Corollary 1.9.** Let  $M$  be a closed complex manifold, i.e., compact with no boundary. Then  $\forall k \in \{1, \dots, n\}$ ,  $\omega^k = \underbrace{\omega \wedge \dots \wedge \omega}_{k \text{ times}}$  is closed and non-zero in cohomology, i.e.,  $\omega$  is not exact.

*Proof.* If  $\omega^k = d\alpha$  for some  $\alpha$ , then  $\omega^n = \omega^k \wedge \omega^{n-k} = d\alpha \wedge \omega^{n-k} = d(\alpha \wedge \omega^{n-k})$ . Hence by Stoke's theorem  $\int_M \omega^n = 0$ , but  $\int_M \frac{\omega^n}{n!} = \text{Vol}(M) > 0$ , hence contradiction.  $\square$

So  $H_{\text{DR}}^{2n}(M, \mathbb{R}) \neq 0$

**Corollary 1.10.** *If  $M$  is compact, Kähler and  $\Sigma^p \subset M^n$  closed  $\mathbb{C}$ -submanifold, then the homology class  $[\Sigma] \in H_{2p}(M)$ , the fundamental class of  $\Sigma$ , is non-zero.*

*Proof.*  $0 < \int_{\Sigma} \frac{\omega^p}{p!} = \text{Vol}_h \Sigma$ , then  $\Sigma$  is not homologeous to 0. □

**Exercise.** If  $X$  is a compact manifold and  $\dim_{\mathbb{C}} X \geq 2$  and  $h$  a Kähler metric, and  $\phi : X \rightarrow \mathbb{R}_{\neq 0}^*$ . Prove that  $\phi h$  is Kähler if and only if  $\phi$  is constant.

### 1.3 Characterisations of Kähler metrics

Let  $(M, h)$  be a complex manifold with hermitian metric. Recall that  $\nabla$  is the Levi-Civita connection of  $\Re(h) = \langle, \rangle$  which is a Riemannian metric.

**Theorem 1.11.** *The following are equivalent:*

1.  $h$  is Kähler
2. For any vector field  $X$  on  $U \subset M$  (open set) then  $\nabla(JX) = J(\nabla X)$

*Proof.* 2.  $\Rightarrow$  1. By definition of Levi-Civita connection  $d\langle X_1, X_2 \rangle = \langle \nabla X_1, X_2 \rangle + \langle X_1, \nabla X_2 \rangle$ ,

$$\begin{aligned} d\omega(X_1, X_2) &= d\langle JX_1, X_2 \rangle \\ &= \langle \nabla JX_1, X_2 \rangle + \langle JX_1, X_2 \rangle \\ &= \langle J\nabla X_1, X_2 \rangle + \langle JX_1, X_2 \rangle \end{aligned}$$

so  $d\omega(X_1, X_2) = \omega(\nabla X_1, X_2) + \omega(X_1, \nabla X_2)$  (\*).

$$d\omega(X_0, X_1, X_2) = X_0 \cdot \omega(X_1, X_2) - X_1 \cdot \omega(X_0, X_1) + X_2 \cdot \omega(X_0, X_1) - \omega([X_0, X_1], X_2) + \omega(X_0, [X_1, X_2]) + \omega([X_0, X_2], X_1)$$

Use (\*) and  $\nabla_X Y - \nabla_Y X = [X, Y]$  to show that  $d\omega(X_1, X_2, X_3) = 0$

1.  $\Rightarrow$  2. Not done □

### 1.4 The Hodge decomposition

We want to construct a decomposition of the de Rham cohomology group  $H_{\text{DR}}^k(M, \mathbb{C})$  ( $\mathbb{C}$ -valued differential forms) of a compact Kähler manifold.

If  $p + q = k$ , we define  $H^{p,q}(M) \subset H^k(M)$  by  $H^{p,q}(M)$  =subspaces of class  $[\alpha]$  such that  $\alpha$  can be represented by a closed form of type  $(p, q)$ , i.e., there exists  $\beta$  of type  $(p, q)$  closed such that  $\alpha - \beta$  is exact

Our goal:

**Theorem 1.12.** *If  $M$  is compact Kähler, then  $H^k(M) = \bigoplus_{p+q=k} H^{p,q}(M)$ . If  $\alpha$  is a closed form (on a complex manifold) and if  $\alpha = \sum \alpha^{p,q}$  is its decomposition. A priori, the  $\alpha^{p,q}$  need not be closed*

**Example.**  $X = (\mathbb{C}^2 \setminus \{0\}) / (v \mapsto \frac{1}{2}v)$ . Then  $H^1(X) \neq 0$  but  $H^{1,0}(X)$  and  $H^{0,1}(X)$  are zero.

## Hodge Theory:

Let  $(M, \langle, \rangle)$  be Riemannian manifold

We need some norms on the space of forms on  $M$ , if  $e_1, \dots, e_n$  is a orthonormal  $\mathbb{R}$ -basis of  $T_X M$ ,  $e_1^*, \dots, e_n^*$  the dual basis (using  $\langle, \rangle$  on  $M$ ) and for each multi-index  $\{i_1 < \dots < i_R\} = I$ , let  $e_I^* = e_{i_1}^* \wedge \dots \wedge e_{i_R}^*$ . then  $\{e_I^*\}_I$  forms a basis of  $\Lambda^R(T_x M)^*$  (the space of  $k$  forms on  $T_x M$ ).

We declare that  $\{e_I^*\}_I$  is orthonormal. This defines a scalar product on  $\Lambda^k(T_x M)^*$  (depending only on  $\langle, \rangle$ ). We still denote it as  $\langle, \rangle$ . If  $\alpha, \beta$  are  $k$ -forms on  $M$  we define

$$\langle \alpha, \beta \rangle_{L^2} = \int_M \langle \alpha_x, \beta_x \rangle \text{Vol}$$

## Hodge Star Operator

Let  $\dim_{\mathbb{R}} M = p$ .  $\begin{cases} * : \Lambda^k(TM)^* \rightarrow \Lambda^{p-k}(TM)^* \\ *^2 = (-1)^{k(p-k)} \end{cases}$ . Fix  $x \in M$ , because  $\langle, \rangle$  exists on  $\Lambda^k(T_x M)^*$  we have the following diagram

$$\begin{array}{ccc} \Lambda^k(T_x M)^* & \xrightarrow{\sim} & (\Lambda^k(T_x M)^*)^* \\ & \searrow * & \parallel \sim \\ & & \Lambda^{p-k}(T_x M)^* \end{array}$$

if  $\beta$  is a  $(p-k)$ -form and  $\alpha$  a  $k$ -form with  $\alpha \mapsto (\alpha \wedge \beta)/\text{Vol}$  then

$$\langle \alpha, \beta \rangle \text{Vol} = \alpha \wedge * \beta$$

$d : \Lambda^k \rightarrow \Lambda^{k+1}$ , we want to construct the adjoint  $d^*$  of  $d$  for  $\langle, \rangle_{L^2}$ . That is we want  $d^* : \Lambda^k \rightarrow \Lambda^{k-1}$  such that  $\alpha \in \Lambda^k, \beta \in \Lambda^{k-1}$  then  $\langle \alpha, d^*(\beta) \rangle_{L^2} = \langle d\alpha, \beta \rangle_{L^2}$

*Claim.* If we define  $d^*$  on  $\Lambda^k$  by  $d^* = (-1)^k *^{-1} d *$  then it works.

*Proof.*  $(\partial\alpha, \beta)_{L^2} = \int_M d\alpha \wedge * \beta$ .  $d(\alpha \wedge * \beta) = d\alpha \wedge * \beta + (-1)^k \alpha \wedge d * \beta$ , so by Stoke's theorem  $0 = \int_M d\alpha \wedge * \beta + (-1)^k \int_M \alpha \wedge d * \beta = \dots = \langle d\alpha, \beta \rangle_{L^2} - \langle \alpha, d^* \beta \rangle_{L^2}$   $\square$

**Definition 1.13.** The *Laplacian*  $\Delta : \Lambda^k \rightarrow \Lambda^k$  is defined by  $\Delta = dd^* + d^*d$

**Definition 1.14.** A  $k$ -form  $\alpha$  is *harmonic* if  $\Delta\alpha = 0$

**Lemma 1.15.**  $\langle \Delta\alpha, \alpha \rangle = |d\alpha|_{L^2}^2 + |d^*\alpha|_{L^2}^2 = \langle d\alpha, d\alpha \rangle_{L^2} + \langle d^*\alpha, d^*\alpha \rangle_{L^2}$  and  $\langle \Delta\alpha, \beta \rangle = \langle \alpha, \Delta\beta \rangle$

*Proof.* Exercise (formal)  $\square$

**Corollary 1.16.**  $\Delta\alpha = 0$  if and only if  $d\alpha = 0$  and  $d^*\alpha = 0$ , i.e., harmonic forms are closed.

**Theorem 1.17.** Any smooth  $k$ -form  $\alpha$  on  $M$  can be written as a sum of a harmonic one plus the Laplacian of another form

The theorem says that for any  $\alpha$ , there exists  $\alpha_0$  harmonic and  $\beta$  a  $k$ -form such that  $\alpha = \alpha_0 + \Delta\beta$   
So we have a map Harmonic  $k$ -forms  $\rightarrow H_{\text{DR}}^k(M)$

**Corollary 1.18.** Any de Rham cohomology class can be represented by a unique harmonic form  $H^k(M) \cong \ker(\Delta : \Lambda^k \rightarrow \Lambda^k)$

*Proof.* Let  $\alpha$  be a closed  $k$ -form. Write  $\alpha = \alpha_0 + \Delta\beta$ ,  $\alpha_0$ -harmonic. So  $\alpha = \alpha_0 + dd^*\beta + d^*d\beta$  and since  $\alpha_0$  and  $dd^*\beta$  are both closed we have  $d^*d\beta$  is also closed.  $0 = \langle dd^*d\beta, d\beta \rangle_{L^2} = \langle d^*d\beta, d^*d\beta \rangle_{L^2} = \|d^*d\beta\|^2 = 0$ , so  $d^*d\beta = 0$ . Hence  $\alpha - \alpha_0 = d(d^*\beta)$  is exact. Hence  $[\alpha] = [\alpha_0]$  so  $[\alpha]$  is represented by a harmonic form.

We want to show that if  $\alpha_0$  is harmonic and  $[\alpha_0] = 0$  then  $\alpha_0 = 0$ . Let  $\alpha_0 = d\gamma$ , then  $0 = \Delta\alpha_0$  implies  $d^*\alpha_0 = 0$ . So  $d^*d\gamma = 0$ , hence  $\langle d^*d\gamma, \gamma \rangle_{L^2} = 0 = \|d\gamma\|_{L^2}^2$ , so  $d\gamma = \alpha_0 = 0$   $\square$

We assume now that  $M$  is Kähler,  $\langle \cdot, \cdot \rangle = \Re(h)$  and  $h$  is a Kähler metric.

**Theorem 1.19.** *In this case the Laplacian preserved the type of forms, that is  $\Delta(A^{p,q}) \subset A^{p,q}$  where  $A^{p,q}$  is the space of  $(p+q)$ -forms of type  $(p,q)$*

**Corollary 1.20.** *The Hodge decomposition exists*

*Proof.*  $\alpha$  is harmonic so  $\Delta\alpha = 0$ . Write  $\alpha = \sum \alpha^{p,q}$  so  $\Delta\alpha = \sum \Delta\alpha^{p,q}$ . So  $\Delta\alpha^{p,q} = 0$ , hence  $\alpha^{p,q}$  are harmonic, thence they are closed. So  $[\alpha] = \sum [\alpha^{p,q}]$ , therefore the  $H^{p,q}$  span  $H^k(M, \mathbb{C})$

Check that this is a direct sum.  $\square$

## 2 Ricci Curvature and Yau's Theorem

Let  $(M, \langle \cdot, \cdot \rangle)$  be a Riemannian manifold,  $\nabla$  the Levi-Civiti connection

### Curvature tensor of $M$

Let  $X, Y, Z$  be vector fields on open set of  $M$ .

$$\nabla_Y(\nabla_X Z) - \nabla_X(\nabla_Y Z) - \nabla_{[X,Y]}Z (*)$$

**Exercise.** In Euclidean space,  $X, Y, Z : U \rightarrow \mathbb{R}^m, \nabla Z = dZ$ , then  $(*) = 0$

**Fact.**  $(*)$  is a tensor: The value of  $(*)$  at  $x \in M$  depends only on  $X(x), Y(x), Z(x)$ , this means that  $(*) = R(X, Y)(Z)$  where  $R(X, Y)$  is the endomorphism of  $T_x M$ . We call  $R$  the curvature tensor. It is a bilinear map  $T_x M \times T_x M \rightarrow \text{End}(T_x M)$

- $R(X, Y) = -R(Y, X)$

- $R(X, Y)$  is skew-symmetric for  $\langle \cdot, \cdot \rangle$ , i.e.,  $\langle R(X, Y)(Z), T \rangle = -\langle Z, R(X, Y)(T) \rangle$

Part 1. tells us we can think of  $R$  as 2-form with values in the space of symmetric endomorphism of  $T_x M$ . If  $p = \dim_{\mathbb{R}} M$  then skew-sym( $T_x M$ ) has dimension  $\frac{p(p-1)}{2} \times \frac{p(p-1)}{2}$ .

The Ricci tensor of  $M$  will be (on each point  $x \in M$ ) a symmetric bilinear form on  $T_x M$ . If  $X, Y$  are tangent vectors  $\text{Ricci}(X, Y) := \text{Tr}(R(X, -), (Y))$  (i.e.,  $\text{Tr}(Z \mapsto R(X, Z)(Y))$ )

- $\langle R(X, Y)(Z), T \rangle = \langle R(Z, T)(X), (Y) \rangle$

**Lemma 2.1.** Ricci is symmetric

*Proof.* Let  $e_1, \dots, e_p$  be orthonormal basis of  $T_x M$ . Then

$$\begin{aligned} \text{Ricci}(X, Y) &= \sum_i \langle R(X, e_i)(Y), e_i \rangle \\ &= \sum_i \langle R(Y, e_i)(X), e_i \rangle \\ &= \text{Ricci}(Y, X) \end{aligned}$$

□

Next we assume  $M$  is Kähler.

**Exercise.** Prove that  $R(JX, JY) = R(X, Y)$  (use the fact that  $\nabla JX = J\nabla X$ ), i.e., that  $R$  is of type (1,1)

Let  $h = \langle , \rangle - i\omega$  be a Hermitian metric. We transform Ricci (a symmetric object) into something skew-symmetric

**Definition 2.2.** The Ricci form of the Kähler metric is  $\gamma_\omega(X, Y) = \text{Ricci}(JX, Y)$

**Proposition 2.3.**  $\gamma_\omega$  is skew-symmetric and a (1, 1)-form

*Proof.*  $\gamma_\omega$  is a (1, 1)-form because  $\gamma_\omega(JX, JY) = \gamma_\omega(X, Y)$

$$\begin{aligned}\gamma_\omega(Y, X) &= \text{Ricci}(JY, X) \\ &= \text{Ricci}(-Y, JX) \\ &= -\gamma_\omega(X, Y)\end{aligned}$$

□

How to relate  $\gamma_\omega$  to the 1<sup>st</sup> Chern Class of  $M$ ?

We will define the 1<sup>st</sup> Chern Class of a holomorphic line bundle  $L \rightarrow M$ .  $c_1(L) \in H^2(M, \mathbb{R})$  (actually  $c_1(L)$  lives in  $H^2(M, \mathbb{Z})$ , we simply look at its image in  $H^2(M, \mathbb{R})$ ). Let  $h$  be a hermitian metric on  $L$ . If  $s$  is a local holomorphic section without zeroes on some open set  $U$ , we define  $\Omega = \frac{1}{2\pi i} \partial\bar{\partial} \log h(s, s)$

1.  $\Omega$  does not depend on  $s$ , (i.e.,  $\partial\bar{\partial} \log h(s_1, s_1) = \partial\bar{\partial} \log h(s_2, s_2)$  if  $s_1$  and  $s_2$  are two non-zero sections on  $U$ )
2.  $\Omega$  is globally defined
3. The cohomology class of  $\Omega$  does not depend on  $h$  (any other hermitian metric on  $L$  is of the form  $h' = fh$  for  $f > 0$ ,  $\Omega' = \Omega + \underbrace{\frac{1}{2\pi i} \partial\bar{\partial} \log f^2}_{\text{is exact}}$ )

We define  $c_1(L)$  to be the class of  $\Omega$ . Now if  $M$  is a complex manifold its 1<sup>st</sup> Chern Class is that of the bundle  $\Lambda^p TM \rightarrow M$  (where  $p = \dim_{\mathbb{C}} M$ )

**Exercise.** Let  $L \rightarrow \mathbb{P}_{\mathbb{C}}^n$  be the tautological line bundle.  $L$  can be endowed with the restriction of the metric  $\mathbb{C}^{n+1}$ . Compute  $\Omega$  as given above, you should find the negative of the example of Kähler metric of  $\mathbb{C}\mathbb{P}^n$  given earlier.

On a Kähler manifold  $R(X, Y)$  is  $\mathbb{C}$ -linear, hence skew hermitian.

**Proposition 2.4.**  $\gamma_\omega(X, Y) = -i \text{Tr}_{\mathbb{C}} R(X, Y)$

**Corollary 2.5.**  $\gamma_\omega$  is closed  $[\frac{\gamma_\omega}{2\pi}] = -c_1(M)$

Let  $\omega$  be a Kähler form on  $V$ . Any (1, 1)-form  $\alpha$  on  $V$  can be written as  $\alpha = \lambda\omega + \beta$  ( $\lambda \in \mathbb{R}$  or  $\mathbb{C}$ ), where  $\beta$  satisfies  $\beta \wedge \omega^{n-1} = 0$ . (If  $\beta$  satisfies this we say that  $\beta$  is *primitive*)



**Corollary 2.6.** *Let  $(M, h)$  be Kähler. Then  $\langle \cdot, \cdot \rangle$  has zero Ricci curvature  $\iff R \wedge \omega^n = 0$  (equivalent to saying  $R$  is primitive 2-form).*

If  $(M, h)$  is Kähler and if  $c_1(M) = 0$ , then  $\gamma_\omega$  is cohomologous to zeroes.

**Theorem 2.7** (Calabi-Yau). *If  $(M, \omega)$  is Kähler,  $c_1(M) = 0$ , then there exists a unique Kähler metric  $h_0 = \langle \cdot, \cdot \rangle - i\omega_0$  such that  $\begin{cases} [\omega_0] = [\omega] \\ \gamma_{\omega_0} = 0 \end{cases}$ . In other words, there is a unique metric with 0 Ricci curvature and cohomologous to  $\omega$ .*

### 3 Hodge Structure

Let  $M$  be a finitely generated free module ( $M \cong \mathbb{Z}^l$ )

**Definition 3.1.** A Hodge structure of weight  $k$  on  $M$  is a decomposition  $M \otimes_{\mathbb{Z}} \mathbb{C} = \bigoplus_{p+q=k} V^{p,q}$  such that  $V^{p,q} = \overline{V^{q,p}}$

*Remark.* •  $M \otimes \mathbb{C} = M \otimes \mathbb{R} + iM \otimes \mathbb{R}$ , so we have an involution  $a + ib \mapsto a - ib$  this is the conjugation which appears in the definition.

- In general we assume  $V^{p,q} = 0$  if  $p < 0$  or  $q < 0$

**Example.** If  $(M, h)$  is compact Kähler,  $H^k * M, \mathbb{Z} / \text{Torsion}$  has a weight  $k$  Hodge structure. The complexification of  $H^k(X, \mathbb{Z}) / \text{Tor}$  is  $H^k(X, \mathbb{C})$  and we have the decomposition on  $H^k(X, \mathbb{C})$

**Definition 3.2.** A polarization for a Hodge structure of weight  $k$  on  $M$  is a bilinear form  $Q : M \times M \rightarrow \mathbb{Z}$  which is

1. Symmetric for  $k$  even and skew-symmetric for  $k$  odd
2.  $Q_{\mathbb{C}} M \otimes \mathbb{C} \times M \otimes \mathbb{C} \rightarrow \mathbb{C}$  satisfies  $Q_{\mathbb{C}}(\alpha, \bar{\beta}) = 0$  if  $\alpha \in V^{p,q}, \beta \in V^{p',q'}$  and  $p \neq p'$
3.  $\alpha \in V^{p,q} \setminus \{0\}, (-1)^{\frac{k(k-1)}{2}} (-1)^{q_i k} Q(\alpha, \bar{\alpha}) > 0$

**Example.**  $M = H^k(X, \mathbb{Z}) / \text{Tor}$ ,  $Q(\alpha, \beta) = \int_X \omega^{n-k} \wedge \alpha \wedge \beta$  (is integral value since  $[\omega]$  is integral). This satisfy 1. and 2. but not 3. in general

**Proposition 3.3.** *A weight 1 Hodge structure is the same thing as a complex torus (a polarised weight 1 Hodge structure is the same thing as an Abelian Variety)*

*Proof.*  $M = \mathbb{Z}^k, M \otimes \mathbb{C} = A \oplus \bar{A}$ . Consider  $v \in M$ , then its decomposition must be  $(a, \bar{a})$  (since  $v$  is real). The projection  $\pi : M \otimes \mathbb{C} \rightarrow A$  is injective on  $\mathbb{Z}^k$ .  $\pi(\mathbb{Z}^k) \subset A$  (exercise:  $\pi(\mathbb{Z}^k)$  is discrete, so its a lattice in  $A$ ). Then  $A/\pi(\mathbb{Z}^k)$  is the complex torus.  $\square$

If  $X$  is a K3 surface, we will see that  $M = H^2(X, \mathbb{Z})$  is isomorphic to  $\mathbb{Z}^{22}$ ,  $H^2(X, \mathbb{Z}) = H^{2,0} + H^{1,1} + H^{0,2}$  of dimension 1, 20, 1 respectively.

**Lemma 3.4.** *If  $M$  and the intersection form are given, then the Hodge structure is determined by  $H^{2,0}$ . In particular, for a K3 surface the Hodge structure is determined by a point in  $\mathbb{P}^{21} \subset \mathbb{P}(H^2(X, \mathbb{C}))$ . This points lives in the quadric defined by  $\int_X \alpha \wedge \alpha = 0$*

**Exercise.** If  $\beta$  is a  $(1, 1)$ -form then  $\beta \wedge \bar{\beta}$  is semi-positive.

## Part II

# Introduction to Complex Surfaces and K3 Surfaces (Gianluca Pacienza)

References:

Barth,Peters, Vand De Ven: *Compact Complex Surfaces*

Beauville: *Surfaces algébriques Complexes*

Miranda: *An overview of algebraic surfaces* (Free on the internet)

\*: *Geometry des surfaces K3*

## 4 Introduction to Surfaces

We assume  $X$  is Kähler for this whole part

### 4.1 Surfaces

**Definition 4.1.** A *compact complex surface* (or more simply a surface)  $X$  is compact, connected, complex manifold of  $\dim_{\mathbb{C}} X = 2$

**Example.**  $F \in \mathbb{C}[x_0, \dots, x_3]$  homogeneous.  $X := \{F = 0\} \subset \mathbb{P}^3$  (of course  $F = \frac{\partial F}{\partial x_0} = \dots = \frac{\partial F}{\partial x_3} = 0$  has no solutions)

More generally if  $F_1, \dots, F_{n-2} \in \mathbb{C}[x_0, \dots, x_n]$  homogeneous polynomial of degree  $d_1, \dots, d_{n-2}$  such that  $\left(\frac{\partial F_i}{\partial x_j}(p)\right)_{i,j}$  has maximal rank at each  $p \in X$ . ( $X$  is called *complete intersection* of multiple degree  $(d_1, \dots, d_{n-2})$ )

*Note.* If  $\sum d_i = n + 1$  then  $X$  is a K3 surface

**Definition 4.2.** A surface is called *algebraic* if its field  $M(X)$  of meromorphic function satisfies

1.  $\forall p \neq q \in X, \exists f \in M(X)$  such that  $f(p) \neq f(q)$
2.  $\forall p \in X, \exists f, g \in M(X)$  such that  $(f, g)$  gives local coordinates of  $X$  at  $p$ .

**Example.** 1. If  $X \subset \mathbb{P}^n$  is a surface then it is algebraic, since the ratios  $\frac{x_i}{x_j}$  of homogeneous coordinates on  $\mathbb{P}^n$  restricted to  $X$  satisfies 1 and 2 (of Definition 4.2)

2.  $T = \mathbb{C}^2/\Lambda$  a complex torus of dim 2. A “random” choice of  $\Lambda$  will lead to a non-algebraic surface
3. We will see that a “random” K3 surfaces is non-algebraic.

### 4.2 Forms on Surfaces

**Definition 4.3.** A *differentiable 1-form* (or  $C^\infty$ )  $\omega$  on a surface  $X$  is locally an expression:

$$f_1(z, w)dz + f_2(z, w)d\bar{z} + g_1(z, w)dw + g_2(z, w)d\bar{w}$$

where  $(z, w)$  are local coordinates and  $f_i, g_i$  are  $C^\infty$  functions (plus patching conditions)

*Remark.* Since coordinate change preserves  $\partial z, \partial \bar{z}, \partial w, \partial \bar{w}$  the type is well defined:

(1, 0) type:  $f dz + g dw$

(0, 1) type:  $f d\bar{z} + g d\bar{w}$

**Definition 4.4.** ( $n = 1, 2, 3, 4$ ) A  $C^\infty$   $n$ -form  $\omega$  on a surface  $X$  is locally a linear combination of expressions of the form  $f(z, \bar{z}, w, \bar{w}) d\alpha_1 \wedge \cdots \wedge d\alpha_n$  with  $d\alpha_i \in \{dz, d\bar{z}, dw, d\bar{w}\}$  and  $f \in C^\infty$  (with the usual rule  $d\alpha_i \wedge d\alpha_i = 0$  and antisymmetric) (plus combability conditions)

A type  $(p, q)$  means  $p$ -times  $dz$  or  $dw$  and  $q$ -time  $d\bar{z}$  or  $d\bar{w}$

**Definition 4.5.** A *holomorphic* (and respectively *meromorphic*)  $n$ -form is an  $n$ -form of type  $(n, 0)$  whose coefficients are holomorphic (respectively meromorphic) functions.

**Example.**  $T = \mathbb{C}^2/\Lambda$ . If  $z_1, z_2$  are coordinates on  $\mathbb{C}$  then  $dz_1, dz_2, d\bar{z}_1, d\bar{z}_2$  descend to the quotient

### 4.3 Divisors

**Definition 4.6.** A *divisor* is a finite formal sum  $D = \sum_{m_i \in \mathbb{Z}} m_i Y_i$ ,  $Y_i \subset X$  a codimension 1 subvarieties.

i.e.,  $D \leftrightarrow \left\{ \frac{f_i}{g_i} \right\}_{i \in I}$ ,  $f_i, g_i$  local holomorphic function on  $U_i$  such that  $(f_i/g_i)/(f_j/g_j)$  has no zeroes or poles on  $U_i \cap U_j \neq \emptyset$ . Hence locally  $D = (\text{zeroes of } f_i) - (\text{zeroes of } g_i)$  (all counted with multiplicities)

Divisors form an abelian group  $\text{Div}(X)$ ,  $D = \sum_i m_i Y_i, E = \sum_i n_i Y_i$  then  $D + E = \sum_i (n_i + m_i) Y_i$ , equivalently if  $D = \left\{ \frac{f_i}{g_i} \right\}$  and  $E = \left\{ \frac{\alpha_i}{\beta_i} \right\}$  then  $D + E = \left\{ \frac{f_i \alpha_i}{g_i \beta_i} \right\}$ .

**Definition 4.7.** If  $D$  is defined globally by zeroes and poles of a meromorphic function  $f \in M(X)$  then  $D$  is called *principal*

$\text{Prime}(X) = \text{Subgroup of principal divisors} \leq \text{Div}(X)$

**Definition 4.8.**  $\text{Pic}(X) := \text{Div}(X)/\text{Prime}(X)$ .

Equivalently: We say  $D_1, D_2 \in \text{Div}(X)$  are *linearly equivalent* if  $\exists f \in M(X)$  such that  $D_1 - D_2 = \text{div}(f)$ . We use the notation,  $D_1 \sim D_2$ . So we get a group  $\text{Div}(X)/\sim$ .

(Which we will avoid calling it  $\text{Pic}(X)$ , as it is abusive language if  $X$  is not algebraic.)

**Definition 4.9.** If  $F : X \rightarrow Y$  is a morphism of manifolds and  $D = \left\{ \frac{f_i}{g_i} \right\} \in \text{Div}(Y)$  then the *pull-back* of  $D$  is  $F^* D = \left\{ \frac{f_i \circ F}{g_i \circ F} \right\}$

### The exponential sequence

We have the exact sequence

$$0 \longrightarrow \mathbb{Z} \longrightarrow \mathcal{O}_X \xrightarrow{\text{exp}} \mathcal{O}_X^* \longrightarrow 0$$

where  $\mathcal{O}_X$  is the sheaf of holomorphic functions on  $X$  and  $\mathcal{O}_X^*$  is the sheaf of non-vanishing holomorphic functions on  $X$ .

by taking the long exact sequence in cohomology we get

$$0 \longrightarrow H^1(X, \mathbb{Z}) \longrightarrow H^1(X, \mathcal{O}_X) \longrightarrow H^1(X, \mathcal{O}_X^*) \xrightarrow{c_1} H^2(X, \mathbb{Z})$$

where  $H^1(X, \mathcal{O}_X^*)$  represents  $\{\text{line bundles on } X\}/\text{isom}$ .

**Fact.**  $H^{p,q}(X) = H^q(X, \Omega_X^p)$ , where  $\Omega_X^p$  is the sheaf of  $p$ -forms which are holomorphic.

Hence  $H^1(X, \mathcal{O}_X) = H^{0,1}$ . So  $0 \rightarrow T \rightarrow H^1(X, \mathcal{O}_X^*) \rightarrow NS(X) \rightarrow 0$ , where  $T$  is the complex torus of dimension  $H^{0,1} = H^1(X, \mathcal{O}_X)/H^1(X, \mathbb{Z})$  and  $NS(X)$  is the image of  $c_1$  map insider  $H^2(X, \mathbb{Z})$  called *Neron-Severi* group of  $X$ , and its rank (as a  $\mathbb{Z}$ -module) is called the *Picard number* of  $X$ . It is denoted  $\rho(X)$ .

#### 4.4 The canonical class

Let  $X$  be a surface and  $\omega$  be a meromorphic 2-form on  $X$ . Locally  $\omega = \frac{f}{g} dz \wedge dw$  where  $f$  and  $g$  are local holomorphic functions

**Definition 4.10.** The *canonical divisor* (associated to  $\omega$ ) is  $K_X = \left\{ \frac{f}{g} \right\}$  = number of zeroes and poles of  $\omega$ .

**Exercise.** Check that if  $\omega_1, \omega_2$  are two meromorphic 2-forms on  $X$  then there exists  $f \in M(X)$  such that  $\omega_1 = f \cdot \omega_2$ .

The above exercise implies that the canonical divisors associated to  $\omega_1$  and  $\omega_2$  are linearly equivalent. Hence  $K_X$  defines a unique class in  $\text{Div}(X)/\sim$ . This class is the *canonical class* of  $X$

**Definition 4.11.** Given  $D \in \text{Div}(X)$ , set  $H^0(X, \mathcal{O}_X(D)) := \{f \in M(X) : \text{div}(f) \geq -D\} = \mathbb{C}$ -vector space of meromorphic functions with poles bounded by  $D$ .

**Exercise.** Show that  $H^0(X, \mathcal{O}_X(K_X)) =: H^0(X, K_X) \xrightarrow{\sim} H^{2,0}(X) = H^2(X, \Omega^2 X) = \mathbb{C}$ -vector space of holomorphic 2-forms on  $X$

**Definition 4.12.** The *genus* of a surface  $X$  is  $p_g(X) = \dim_{\mathbb{C}} H^0(X, K_X)$ . More generally the  $n$ -th *plurigenus* of  $X$  is  $\dim_{\mathbb{C}} H^0(X, nK_X)$

**Fact.** (Important) *The plurigenus are bimeromorphic invariants of  $X$*

Let  $\langle f_0, \dots, f_n \rangle = H^0(X, \mathcal{O}_X(D))$ . Let's define  $\phi_D : X \dashrightarrow \mathbb{P}^n$  defined by  $x \mapsto [f_0(x) : \dots : f_n(x)]$  (Note: not defined where, either all  $f_i$  vanish at  $x$  or one of the  $f_i$  has a pole at  $x$ )

**Definition 4.13.** Let  $X$  be a surface. Suppose  $H^0(X, nK_X) \neq 0$  for some  $n > 0$ . The *Kodaira dimension* of  $X$  is  $\text{kod}(X) := \max_{m>0} \dim \text{im}(\phi_{mK_X})$  (if possible) otherwise set  $\text{kod}(X) = -\infty$ . (So  $\text{kod}(X) \in \{-\infty, 0, 1, 2\}$ )

**Example.** If  $X$  is a compact Riemann Sphere, the Riemann-Roch theorem tells us that  $\text{kod}(X) = \begin{cases} -\infty & X \cong \mathbb{P}^1 \\ 0 & p_g(X) = 1 \\ 1 & p_g(X) \geq 2 \end{cases}$

The Enriques-Kodaira classifications of surfaces consist of “describing” surfaces according to their Kodaira dimension

**Example.** In each class:

$\text{kod} = -\infty$  Any complete intersection  $X_{(d_1, \dots, d_{n-2})} \subset \mathbb{P}^n$  with  $\sum d_i < n + 1$



Genus formula Let  $C \subset X$  be an irreducible curve, then  $2p_a(C) - 2 = (C + K_X) \cdot C$  where  $p_a(C) = h^1(\mathcal{O}_C)$  is the arithmetic genus (and is equal to the topological genus of  $C$  if  $C$  is smooth)

Freedman  $X_1, C_2$  simply connected surface. We have  $X_1 \cong X_2$  (homorphically) if and only if  $H^2(X_1, \mathbb{Z}) \cong H^2(X_2, \mathbb{Z})$  (isometrically)

## 5 Introduction to K3 surfaces

**Definition 5.1.** A surface  $X$  is a K3 surface if  $K_X = 0$  and  $b_1(X) = 0$

**Theorem 5.2.** A K3 surface is always Kähler

*Remark.* Since  $b_1(X) = 2q$  an equivalent definition is  $K_X = 0$  and  $h^1(\mathcal{O}_X) = 0$

Noether formula reads  $12 \cdot (2 - 0) = 0 + e$ , that is  $24 = e = 2 + 2 + h^{1,1} - 0$ , hence  $h^{1,1} = 20$

**Exercise.** Let  $X$  be a K3 surface. Prove that  $T_X \cong \Omega_X^1$

A consequence of exercise is that  $\dim_{\mathbb{C}} H^1(X, T_X) = 20$   
We have that the Hodge diamond of a K3 surface is

$$\begin{array}{ccccc} & & & & 1 \\ & & & & 0 & & 0 \\ & & & & 1 & & 20 & & 1 \\ & & & & 0 & & 0 \\ & & & & & & & & 1 \end{array}$$

**Fact.**  $H_1(K3, \mathbb{Z})$  has no torsion

**Corollary 5.3.** Let  $X$  be a K3 surface.  $H_1(X, \mathbb{Z}) = 0$  and  $H_2(X, \mathbb{Z})$  is a torsion free  $\mathbb{Z}$ -module of rank 22

*Proof.*  $H_1(X, \mathbb{Z}) \otimes \mathbb{R} = 0$  (since  $b_1 = 0$ ) so  $H_1(X, \mathbb{Z}) = 0$ . By general properties of algebraic topology, we have that the torsion of  $H_2(X, \mathbb{Z})$  is isomorphic to the torsion of  $H_1(X, \mathbb{Z})$ . Hence no torsion. Since  $b_2(X) = 22$ , then  $H_2(X, \mathbb{Z})$  is a torsion free  $\mathbb{Z}$ -module of rank 22 □

A closer look to  $H^2(X, \mathbb{Z})$

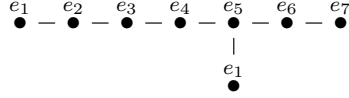
- $H^2(X, \mathbb{Z})$  is endowed with the intersection form, which is even by the genus formula  $(2p_a(C) - 2 = (C + 0) \cdot C = C^2)$
- The intersection form is indefinite (since, by Thon-Hizebucj, the index is  $-16$ )
- The intersection form is unimodular (its determinant is  $\pm 1$ ) by Poincaré duality

Now we have the following:

**Fact.** An indefinite, unimodular lattice is uniquely determined (up to isometry) by its rank, index and parity (i.e., even or not)

Conclusion:  $H^2(K3, \mathbb{Z}) = H^{\oplus 3} \oplus (-E_8)^{\oplus 2}$  where

- $H$  is a rank 2  $\mathbb{Z}$ -module with form given  $\begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$  (Hyperbolic plane)
- $E_8 = \mathbb{Z}e_1 \oplus \cdots \oplus \mathbb{Z}e_8$  a rank 8  $\mathbb{Z}$ -module with the following Dykin diagram



$$\text{and } (e_i, e_j) = \begin{cases} 2 & i = j \\ -1 & d(e_i, e_j) = 1 \text{ (} d(e_i, e_j) \text{ is given by the diagram)} \\ 0 & \text{else} \end{cases}$$

(Check that  $H^{\oplus 3} \oplus (-E_8)^{\oplus 2}$  has the same rank, index and parity as  $H^2(\text{K3}, \mathbb{Z})$ )

*Note.* The sign on  $H^2$  is (3, 19) while the sign on  $H^{1,1} \cap H^2$  is (1, 19)

We conclude with 3 classes of examples of K3

1. Complete intersections in  $\mathbb{P}^n$ . Take  $X = X_{(d_1, \dots, d_{n-2})} \subset \mathbb{P}^n$  a complete intersection with surface of multidegree  $(d_1, \dots, d_{n-2})$  such that  $\sum d_i = n + 1$ . By applying  $(n - 2)$  times the adjunction formula, we find  $K_X = 0$ . By applying  $(n - 2)$  time the Lefschetz hyperplane theorem, we see  $H^1(X, \mathbb{Z}) \xrightarrow{\sim} H^1(\mathbb{P}^n, \mathbb{Z}) = 0$ . So  $X$  is a K3 surface (for example  $X_4 \subset \mathbb{P}^3, X_{2,3} \subset \mathbb{P}^4, X_{2,2,2} \subset \mathbb{P}^5, \dots$ )  
Parameter counts: (for  $X_4 \subset \mathbb{P}^3$ ) We have 35 parameters (the complex dimension of the space of degree 4 homogeneous polynomials in 4 variable) minus 16 parameters (the complex dimension of  $4 \times 4$  invertible matrices). Hence a total of 19 parameters.
2. Double Planes: Take  $C = C_6 \subset \mathbb{P}^2$  a smooth sextic plane curve. Let  $X$  be the double cover of  $\mathbb{P}^2$  branched along  $C$ ,  $X \xrightarrow{\pi} \mathbb{P}^2$  (c.f. [BPHVdV]).

**Theorem 5.4.**  $K_X = \pi^*(K_{\mathbb{P}^2}) + \text{Ramification} = \pi^*(-3H + \frac{1}{2}C) \sim \pi^*(-3H + \frac{6}{2}H) = 0$

One also computes that  $b_1(X) = 0$ , so  $X$  is a K3 surface

Parameter count: 28 parameters (the complex dimension of the space of homogeneous degree 6 polynomial in 3 variable) minus 9 parameters (the complex dimension of invertible  $3 \times 3$  matrices acting on  $\mathbb{P}^2$ ) then 19 parameters.

3. Kummer Surfaces:

Let  $A$  be a complex torus of  $\dim_{\mathbb{C}} 2$ . We have an involution  $\iota : A \rightarrow A, a \mapsto -a$ . Consider  $A/\iota$  (i.e identify each point of  $A$  with its opposite)

**Bad News:**  $A/\iota$  has 16 singular points (corresponding to the 16 fixed points of  $\iota$ ) which are exactly the 16 points of order 2 on  $A$

**Good News:** We can get rid of them by Blowing up. Let  $\epsilon : \tilde{A} \rightarrow A/\iota$  be the blow up at these 16 points.

$$\begin{array}{ccc}
 \epsilon : \tilde{A} & \longrightarrow & A \\
 \downarrow & & \downarrow \\
 \tilde{A}/\tilde{\iota} = A' & \twoheadrightarrow & A/\iota
 \end{array}$$

Notice: That locally around an order 2 point  $\iota : (\alpha, \beta) \mapsto (-\alpha, -\beta)$ , the invariants under  $\iota$  are  $\alpha^2, \beta^2, \alpha\beta$ . So  $A/\iota = \text{Spec } \mathbb{C}[\alpha^2, \beta^2, \alpha\beta] \cong \text{Spec } \mathbb{C}[u, v, w]/(uv - w^2)$ . This shows that the singular points of  $A/\iota$  are ordinary double points. If  $\tilde{\iota} : \tilde{A} \rightarrow \tilde{A}$  is the extension of  $\iota$  to  $\tilde{A}$ , then one sees that around the exceptional curves  $\tilde{\iota} : (x, y) \mapsto (x, -y)$ . The upshot is that the quotient  $X := \tilde{A}/\tilde{\iota}$  is smooth.

$X$  is a K3 surface: The 2-form  $d\alpha \wedge d\beta$  descend to the quotient, and then lifts to  $A' \setminus \{\text{exceptional curves}\}$ . One can check that it extend smoothly to  $A'$  without zeroes. As why it has no irregularity ( $h^{1,0} = h^0(\Omega_X^1)$ ), there does not exist a 1 form on  $A$  which is invariant under the involution  $\iota$ .