

5

Let  $P(z, w) = \sum_{m, n=0}^k a_{m, n} z^m w^n$  be a polynomial

in two complex variables, { The degree of  $P$  is  $\max \{ m+n \mid a_{m, n} \neq 0 \}$ .

$P$  is an example of a holomorphic function from  $\mathbb{C}^2$  to  $\mathbb{C}$ . In general a holomorphic function can be written as a convergent

power series in two variables, Defined in terms of power series expansion or C-R equations.

If we write  $W$  we can think of  $P$  as a smooth function from  $\mathbb{R}^4$  to  $\mathbb{R}^2$

if we write  $z = x + iy$  and  $w = u + iv$

and  $P = R + iQ$  then the derivative of  $P$  is the  $2 \times 4$  matrix:

$$DP = \begin{bmatrix} \frac{\partial R}{\partial x} & \frac{\partial R}{\partial y} & \frac{\partial R}{\partial u} & \frac{\partial R}{\partial v} \\ \frac{\partial Q}{\partial x} & \frac{\partial Q}{\partial y} & \frac{\partial Q}{\partial u} & \frac{\partial Q}{\partial v} \end{bmatrix}$$

from  $\mathbb{C}^2 \rightarrow \mathbb{R}^2$

A holomorphic function has the property that its derivative is complex linear. (C-R equations)

This means it ~~commutes~~ if you multiply by  $i$  (in  $\mathbb{R}^2$ ) then the result is multiplication

by  $i$  in  $\mathbb{R}^4$ ,  $\mathbb{C}^2$

Explicitly this means that  $J_1 = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$   $J_2 = \begin{pmatrix} 0 & 1 & 0 & 0 \\ -1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 \end{pmatrix}$   $J_1 D J_2 = D$

$$DP = \begin{pmatrix} \frac{\partial R}{\partial x} & \frac{\partial R}{\partial y} & \frac{\partial R}{\partial u} & \frac{\partial R}{\partial v} \\ -\frac{\partial R}{\partial x} & \frac{\partial R}{\partial y} & -\frac{\partial R}{\partial u} & \frac{\partial R}{\partial v} \end{pmatrix}$$

Cauchy-Riemann equations in 2 variables.  
Equality of these expressions

If we write this as a complex matrix we get

a  $\begin{pmatrix} * & * \\ * & * \end{pmatrix}$  matrix

equal to  $\begin{pmatrix} \frac{\partial R}{\partial x} + i \frac{\partial R}{\partial y} & \frac{\partial R}{\partial u} + i \frac{\partial R}{\partial v} \\ \frac{\partial R}{\partial x} - i \frac{\partial R}{\partial y} & -\frac{\partial R}{\partial u} + i \frac{\partial R}{\partial v} \end{pmatrix}$

which we call  $\begin{pmatrix} \frac{\partial P}{\partial z} & \frac{\partial P}{\partial w} \end{pmatrix}$

and we compute in the usual way.

Example: Any  $P(z, w) = w^2 - az^3 + cz^2 + bz + c$

then  $\begin{pmatrix} \frac{\partial P}{\partial z} & \frac{\partial P}{\partial w} \end{pmatrix} = \begin{pmatrix} 2w & -3z^3 + 2cz + b \end{pmatrix}$ .

Q.E.D.

# Algebraic curves.

⑦

Let  $X = \{(z, w) \in \mathbb{C}^2 : P(z, w) = 0\}$ .

$X$  is called an affine variety or affine "curve".

Claim. If at every point of  $X$  either  $\frac{\partial P}{\partial z} \neq 0$  or

$\frac{\partial P}{\partial w} \neq 0$  then we can construct a conformal atlas for  $X$ .

Before we prove this let me give an example.

Any  $X = \{w^2 = f(z)\}$  where  $f$  is a polynomial in  $z$  with no repeated roots then  $X$  has a Riemann surface.

Check  $P(z, w) = w^2 - f(z)$ .

$$\frac{\partial P}{\partial z} = f'(z)$$

$$\frac{\partial P}{\partial w} = 2w.$$

Need to show that  $w^2 - f(z)$ ,  $f'(z)$  and  $2w$  cannot vanish simultaneously.

Any  $2w = 0$  then  $w = 0$  and  $f(z) = 0$ .

$z$  value for which  $f(z)$  and  $f'(z)$  vanish is a repeated root of  $f$  and we have assumed that these don't exist. QED.

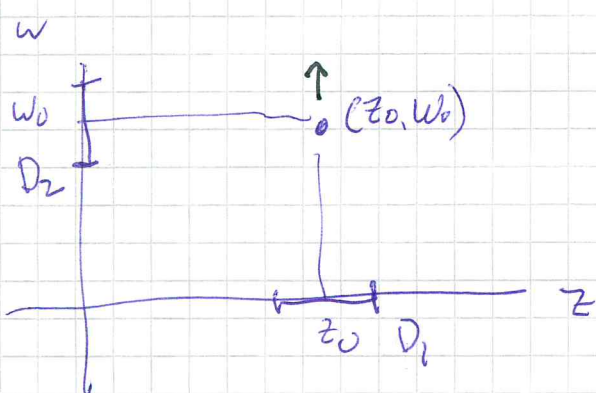
(6)

Theorem

Let  $P(z, w)$  be a polynomial in 2 complex variables.

$$\text{Let } X = \{(z, w) \in \mathbb{C}^2 : P(z, w) = 0\}.$$

Theorem. Suppose  $(z_0, w_0) \in X$  and  $\frac{\partial P}{\partial w}$  does not vanish at  $(z_0, w_0)$ . Then there is a disk  $D_1$  centered at  $z_0$  in  $\mathbb{C}$  and a  $D_2$  centered at  $w_0$  and



a holomorphic map  $\phi: D_1 \rightarrow D_2$  with  $\phi(z_0) = w_0$  such that

$$X \cap (D_1 \times D_2) = \{(z, \phi(z)) : z \in D_1\}$$

Proof requires 2 facts from 1 complex variable.

- ① If  $f$  is a holomorphic function defined in an open set containing  $D$  then a disk  $D$  then

$$\frac{1}{2\pi i} \int_{\partial D} \frac{f'(w)}{f(w)} dw$$

is the number of solutions to  $f(w) = 0$  in  $D$  counted with multiplicity.



Recall the proof. The integral of a meromorphic function only contribution comes from the poles residues at the poles. Poles only occur at solutions of  $f(z) = 0$ . Fix one such solution, call it  $z_0$ .

$$f(z) = (z - z_0)^m g(z) \text{ with } g(z_0) \neq 0 \text{ and } g \text{ hol.}$$

$$\frac{1}{2\pi i} \int_D \frac{f'(z)}{f(z)} dz = \frac{1}{2\pi i} \int \frac{m(z - z_0)^{m-1} g(z) + (z - z_0)^m g'(z)}{(z - z_0)^m g(z)} dz$$

If  $f'(z_0) \neq 0$  then  $m=1$ .

$$= \frac{1}{2\pi i} \int \frac{m}{(z - z_0)} + \frac{g'(z)}{g(z)} dz = m.$$

$m$  = multiplicity of  $z_0$  as a soln. of  $f(z) = 0$ . (inside  $D$ )

Counts the solution  $z_0$  with multiplicity.

$$\textcircled{2} \int \frac{z f'(z)}{f(z)} dz = \sum_{f(z)=0} z_0 \text{ Counted with mult.}$$

Proof. As before we consider the local contribution

$$\text{at } z_0, \frac{1}{2\pi i} \int_D \frac{z f'(z)}{f(z)} dz = \frac{1}{2\pi i} \int \frac{mz}{(z - z_0)} + \frac{z g'(z)}{g(z)} dz$$

$$z = (z - z_0) + z_0$$

$$= \frac{1}{2\pi i} \int_D m + \frac{mz_0}{(z - z_0)} + \frac{z g'(z)}{g(z)} dz$$

$$= m \cdot z_0$$

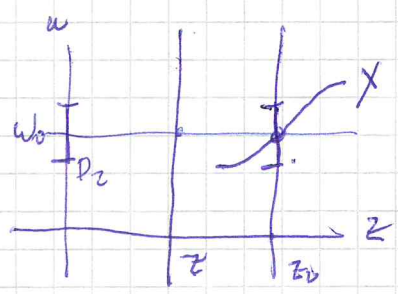
$\uparrow$   $z_0$  is a solution of  $f(z) = 0$  inside  $D$ .

Now consider

In particular if there is just one solution  $z_0$

$z_0$  to  $f(z)=0$  then  $\frac{1}{2\pi i} \int_{\gamma} \frac{z f'(z)}{f(z)} dz = z_0$  is that solution.

Proof of thm. Fix  $z$  and consider



$f_z(w) = P(z,w)$  as a function of  $w$ . It is a polynomial in  $w$  so it is holomorphic.

~~The hypothesis that~~

First consider  $z=z_0$ . The hypothesis that

$\frac{\partial P}{\partial w} \neq 0$  means that  $P'_{z_0}$  does not vanish

at  $w_0$ . Thus we can find a small disk  $D_2$  centered at  $w_0$  so that  $f_{z_0}$  has no other zeros in  $D_2$ .

integrand depends holomorphically on  $z$

$$\phi(z) = \int_{D_2} \frac{w}{P} \frac{\partial P}{\partial w} dw$$

$\Rightarrow$  value of the integrand depends holomorphically on  $z$ .

$$\phi(z) = \int_0^1 \frac{w}{P} \frac{\partial P}{\partial w} \cdot \gamma'(t) dt$$

Differentiation under the integral sign implies  $\phi(z)$  is satisfies C-R equations and is holomorphic.



The fact that  $\frac{fP}{zW} \neq 0$  means that  $f'_{z_0} \neq 0$  at  $w_0$ . (16)

Thus we can find a small disk  $D_2$  centered at  $w_0$  so that  $f_{z_0}$  has no other zero in  $D_2$ .

Consider  $(*) \quad \frac{1}{2\pi i} \int_{\partial D_2} \frac{f'_{z_0}(w)}{f_{z_0}(w)} dw$ . Only contribution occurs

at  $w = w_0$  and that contribution is 1.

~~Now we can find~~

Since  $f_{z_0}(w) \neq 0$  for  $w \in \partial D_2$  we can find a small disk  $D_1$  centered at  $z_0$  for which  $f_z(w) \neq 0$  for  $z \in D_1$  and  $w \in \partial D_2$ .

The integral  $*$  varies continuously for  $z \in D_1$  and takes on integral values so it is constant and equal to 1. Thus for each  $z \in D_1$

the equation  $f_z(w) = 0$  has 1 solution in  $D_2$ .



To find this solution we use the integral

$$(**) \quad \phi(z) = \frac{1}{2\pi i} \int_{\partial D_2} \frac{wf'_z(w)}{f_z(w)} dw.$$

This integral varies continuously since the denominator remains non-zero, Integrand

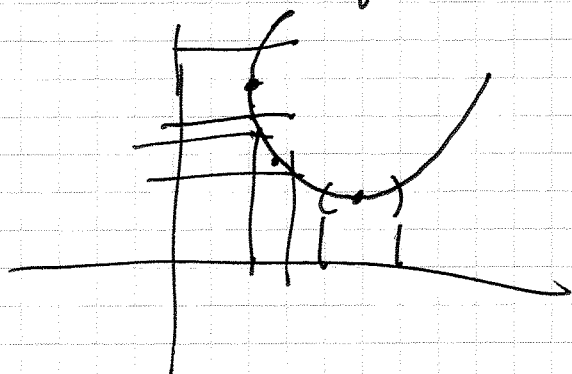
The integral

$$(**) \quad \phi(z) = \frac{1}{2\pi i} \int_0^1 \frac{\gamma(t) f'_z(\gamma(t))}{f_z(\gamma(t))} \cdot \gamma'(t) dt$$

Integrand is <sup>being</sup> differentiable in  $z$ . Then, on differentiating under the integral sign says that the integral is differentiable in  $z$  and in fact holomorphic.

Completes the proof.

Construction of the atlas.



at each  $\begin{pmatrix} z \\ w \end{pmatrix} \in \mathbb{R}^2$  either  $\frac{\partial \mathbb{R}}{\partial z} \neq 0$  or  $\frac{\partial \mathbb{R}}{\partial w} \neq 0$  & so

we can construct a local chart taking values in the  $z$ -axis or the  $w$ -axis using projection onto the coordinate coordinate projection  $\pi_z$  or  $\pi_w$ .  
~~These are homeomorphisms~~

Overlap functions have the form

$$z \mapsto (z, \phi(z)) \xrightarrow{\pi_w} \phi(z)$$



Definition, Affine variety - algebraic geometry viewpoint.

Stress the connection between varieties with the same equation defined over different fields. eg. dimension should not change.

An affine variety makes sense over a field. We will consider 2 fields  $\mathbb{C}$  and  $\mathbb{R}$ . (surface versus curve.)

Just "curve" appear in  $\mathbb{R}$

Different flavor to studying equations over  $\mathbb{C}$  and  $\mathbb{R}$  related to the fact that  $\mathbb{C}$  is algebraically closed.

$$C = \{(x, y) \in \mathbb{C}^2 : P(x, y) = 0\}$$

See this most directly with polynomial equations.

Over  $\mathbb{C}$  a polynomial of degree  $d$  has  $d$  roots, if we count them with appropriate multiplicities

$$P(x) = \prod (x - z_i)^{m_i} \text{ where } \sum m_i = d.$$

Over  $\mathbb{R}$  we only get an upper bound for the number of roots.

Analogous result about polynomials.

say  $P$  has repeated factors if  $P(x, y) = Q^2(x, y) R(x, y)$ .

$$P(x, y) = Q^2(x, y) R(x, y).$$

Thm. (Hilbert Nullstellensatz) If two polynomials  $P$  and  $Q$  determines the same variety and neither have repeated factors then  $P$  and  $Q$  differ by a constant.

Can there be compact components over  $\mathbb{C}$ ?  
(Over  $\mathbb{R}$  rescaling takes compact components to 0.)

$$x^2 + y^2 = -1, \quad x^4 + y^4 = -1$$

### Hilbert Nullstellensatz

Repeated factors

$$P(x, y) = Q^2(x, y) R(x, y)$$

### Degree of a curve

Can any polynomials be equivalent if they are scalar mults. of each other

Def. Degree of a curve  $C$  defined by  $P(x, y)$  is the degree of the polynomial  $P$ .  
(since the poly is unique up to rescaling)

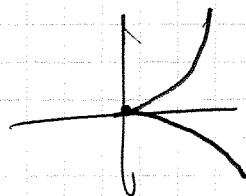
A point  $(a, b) \in C$  is called a singular point of  $C$  if  $\frac{\partial P}{\partial x}(a, b) = 0 = \frac{\partial P}{\partial y}(a, b)$ .

If there are no singular pts. in  $C$  then  $C$  is non-singular

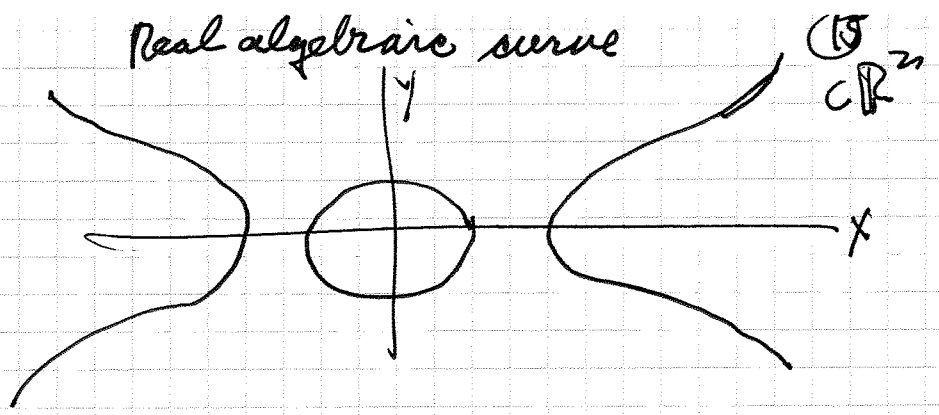
$x^2 + y^2 = 1$  is non-singular if  $2x, 2y$  both vanish then  $(a, b) = (0, 0)$ . Not on the curve.

$y^2 = x^3$  is singular

$$y^2 = x^2 + x$$



$$y^2 = (x^2 - 1)(x^2 - k^2)$$



Complex algebraic curve.

Let  $C = \{P(x,y) = 0\}$

$x, y$  complex variables. (16)

Definition. A point  $(a,b) \in \mathbb{R}$  is called a singular point if  $\frac{\partial P}{\partial x}(a,b) = 0 = \frac{\partial P}{\partial y}(a,b)$ .

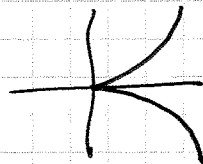
~~$R$  is non-singular~~

$R$  is non-singular if it contains no singular points.

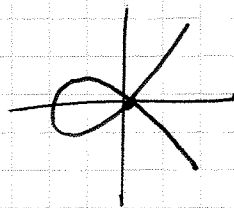
Example:  $x^2 + y^2 = 1$  is non-singular:

$P(x,y) = x^2 + y^2 - 1$       $\frac{\partial P}{\partial x} = 2x$ ,  $\frac{\partial P}{\partial y} = 2y$  if both vanish then  $(x,y) = (0,0)$  but  $(0,0)$  is not on  $R$ .

$y^2 = x^3$  is singular at  $(0,0)$ .



~~$y^2 = x^3 + x$~~  singular at  $(0,0)$ .



Remark. We will focus on non-singular curves. Note that a ~~non-singular~~ polynomial defining a non-singular curve has no repeated factors.



(17)

$$\frac{\partial}{\partial x} P = \frac{\partial}{\partial x} Q^2 R = 2Q \cdot \frac{\partial Q}{\partial x} R + Q^2 \frac{\partial R}{\partial x}$$

$$\frac{\partial}{\partial y} Q^2 R = 2Q \frac{\partial Q}{\partial y} R + Q^2 \frac{\partial R}{\partial y}$$

\* Any pt. in  $Q=0$  is singular.

For non-singular "curves" over  $\mathbb{C}$  we expect a close connection.

If  $P$  and  $P'$  both define the same non-singular curve then  $P' = \lambda P$  for  $\lambda \neq 0$ .

In this case we expect a close connection between properties of the variety and properties of the polynomial that defines it.