

Draw

The next topic is a second example of a hyperbolic diffeomorphism <sup>of a surface</sup> exhibiting chaotic behavior. This example has a different flavor from the horseshoe, suggesting that the notion of hyperbolicity has a range of applications.

# Hyperbolic automorphisms of the torus.

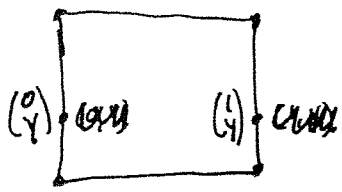
Let  $\mathbb{T}^2$  be the <sup>coset</sup> quotient space  $\mathbb{R}^2/\mathbb{Z}^2$ .

An element of  $\mathbb{T}^2$  is an equivalence class

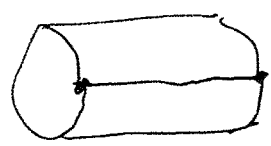
$\{(kx, y) : n, m \in \mathbb{Z}\}$  Write  $\pi(\begin{pmatrix} x \\ y \end{pmatrix}) = (\frac{x}{y})$ .  $\pi: \mathbb{R}^2 \rightarrow \mathbb{T}^2$  be the projection.  $\pi(\begin{pmatrix} x \\ y \end{pmatrix}) = \pi(\begin{pmatrix} x+k \\ y \end{pmatrix})$  iff  $\begin{pmatrix} k \\ 0 \end{pmatrix} \in \mathbb{Z}^2$ .

Any such equivalence class has a representative in the square  $[0,1] \times [0,1]$ .

Certain points have more than one representative



$(0,0) \sim (1,0)$        $(0,1) \sim (1,1)$   
 $(x,0) \sim (x,1)$        $(y) \sim (y)$   
 ~~$(0,0) \sim (0,1) \sim (1,0) \sim (1,1)$~~   
 $(0) \sim (0) \sim (1) \sim (1)$



Note that  $\mathbb{T}^2 = \mathbb{R}^2/\mathbb{Z}^2 = (\mathbb{R}/\mathbb{Z}) \times (\mathbb{R}/\mathbb{Z})$ . So  $\pi(\begin{pmatrix} x \\ y \end{pmatrix}) = (x \bmod 1, y \bmod 1)$ .

Prop. A  $2 \times 2$  matrix with integral entries induces a linear or affine map from  $\mathbb{T}^2$  to  $\mathbb{T}^2$ .

(9)

Define  $f_A: \mathbb{Z}^2 \rightarrow \mathbb{Z}^2$  by  $f_A\left(\begin{pmatrix} x \\ y \end{pmatrix}\right) = A\begin{pmatrix} x \\ y \end{pmatrix}$  where  $\pi\left(\begin{pmatrix} x \\ y \end{pmatrix}\right) = \begin{pmatrix} \bar{x} \\ \bar{y} \end{pmatrix}$ . Want to check that the answer does not depend of our choice of  $(x, y)$ .

Any  $\pi\left(\begin{pmatrix} x \\ y \end{pmatrix}\right) = \pi\left(\begin{pmatrix} x' \\ y' \end{pmatrix}\right)$  so  $\begin{pmatrix} x \\ y \end{pmatrix} - \begin{pmatrix} x' \\ y' \end{pmatrix} \in \mathbb{Z}^2$ .

Want to show that  $A\begin{pmatrix} x \\ y \end{pmatrix} - A\begin{pmatrix} x' \\ y' \end{pmatrix} \in \mathbb{Z}^2$ .

But  $A\begin{pmatrix} x \\ y \end{pmatrix} - A\begin{pmatrix} x' \\ y' \end{pmatrix} = A\left(\begin{pmatrix} x \\ y \end{pmatrix} - \begin{pmatrix} x' \\ y' \end{pmatrix}\right) \in A(\mathbb{Z}^2) \subset \mathbb{Z}^2$  since  $A$  has integral entries.

If  $A$  has  $\det \pm 1$  then  $A^{-1} = \frac{1}{\det A} \begin{pmatrix} d & -b \\ -c & a \end{pmatrix}$  has integral entries so  $A^{-1}$  induces a well defined map on the torus. Now the map induced by  $A$  composed with the map induced by  $A^{-1}$  can be constructed by taking  $A \circ A^{-1}\left(\begin{pmatrix} \bar{x} \\ \bar{y} \end{pmatrix}\right) = \pi\left(A \circ A^{-1}\left(\begin{pmatrix} x \\ y \end{pmatrix}\right)\right) = \pi\left(\begin{pmatrix} x \\ y \end{pmatrix}\right) = \begin{pmatrix} \bar{x} \\ \bar{y} \end{pmatrix}$  so it is the identity.

Def. We say that a matrix  $A$  is hyperbolic if it has no eigenvalue on the unit circle.

If  $A: V \rightarrow V$  is hyperbolic then there are invariant subspaces  $V^u$  and  $V^s$  of  $V$  so that  $V^u \cap V^s = \{0\}$ ,  $V^u + V^s = V$

and

$V^u$  is the generalized eigenspace associated with all eigenvalues outside the unit circle.

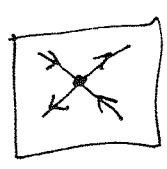
$$\lim_{n \rightarrow \infty} |A^n(v)| \rightarrow 0 \quad \lim_{n \rightarrow -\infty} |A^n(v)| \rightarrow \infty \quad \text{for } v \in V^s$$

$$\lim_{n \rightarrow \infty} |A^n(v)| \rightarrow \infty \quad \lim_{n \rightarrow -\infty} |A^n(v)| \rightarrow 0 \quad \text{for } v \in V^u$$

In the particular case that  $A$  is  $2 \times 2$  with  $\det = \pm 1$  and  $A$  is hyperbolic  $A$  has eigenvalues  $\lambda^u, \lambda^s$  with  $|\lambda^u| > 1, |\lambda^s| < 1$ .

$V^u$  is the eigenspace for  $\lambda^u$ ,  $V^s$  is the eigenspace for  $\lambda^s$ . In general  $V^s$  and  $V^u$  are sums of generalized eigenspaces.

The image of  $V^u$  and  $V^s$  are the unstable and stable manifolds of  $0$ .



$$\lim_{n \rightarrow \infty} |A^n(v)| = \lim_{n \rightarrow \infty} |\lambda^n \cdot v|$$

Def  $W^s(p) = \{q : d(f^n(p), f^n(q)) \rightarrow 0 \text{ as } n \rightarrow \infty\}$

$W^u(p) = \{q : d(f^n(p), f^n(q)) \rightarrow 0 \text{ as } n \rightarrow -\infty\}$   
 $W^s(0) = V^s + \mathbb{Z}^2, W^u(0) = V^u + \mathbb{Z}^2$

Prop.  $W^s(0) =$  eigenspace corresponding to  $\lambda^s$   
 $W^u(0) =$  " " "  $\lambda^u$



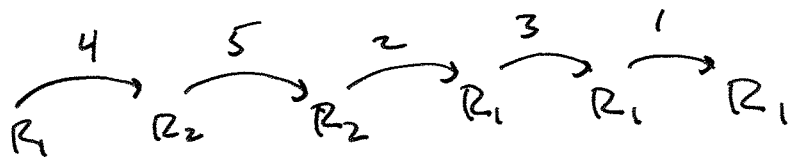
Remark  $W^s(0)$  and  $W^u(0)$  are dense in the torus.

The head of arrow  $j$  corresponds to the location <sup>(2)</sup> of  $S_j$ .

~~edges~~

Want to consider the ~~analogous~~ analogue of finite words, which are finite paths.

~~We can write a path of length  $n$  as~~  
Paths of length 5:



This information ~~is recorded~~, can be recorded by listing just the arrows: 4 5 2 3 1.

~~It does not suffice to list just~~ <sup>directed edges</sup> or the edges and vertices as above.

It does not suffice to list just the vertices.

~~Of course we also want to record~~

We also want to record where we are "now" or

~~We can do this by underlining~~ we did write

the decimal point. We can do this by

underlining a vertex or by putting a decimal point in the sequence of arrows

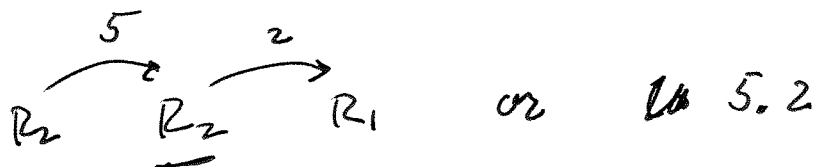


Image of  $W^s(0)$  in the torus corresponds to all  $\mathbb{Z}^2$  translates of the  $x^*$  eigenspace. ②

Exercise.  $W^s(0)$  is a dense subset of the torus.

Example:  $A = \begin{pmatrix} 2 & 1 \\ 1 & 1 \end{pmatrix}$ .

$\text{Tr} = 3$  or  $\lambda^2 - \text{Tr} \cdot \lambda + \det = 0$

$\lambda = \frac{3 \pm \sqrt{5}}{2}$

$\lambda^u = \frac{3 + \sqrt{5}}{2}$

$\lambda^s = \frac{3 - \sqrt{5}}{2}$

$|\lambda^u| > 1 > |\lambda^s|$

③  $\text{CV}$   $\text{CV}$   
 2nd example of "hyperbolic behavior".  
 If p, q suff. close then  $W_{loc}^s(p) \cap W_{loc}^u(q) = \text{pt.}$

Thm. Let  $A = \begin{pmatrix} 2 & 1 \\ 1 & 1 \end{pmatrix}$  then  $f_A: \mathbb{T}^2 \rightarrow \mathbb{T}^2$  is topologically equivalent semi-conjugate to  $\sigma: \Sigma^2 \rightarrow \Sigma^2$  where

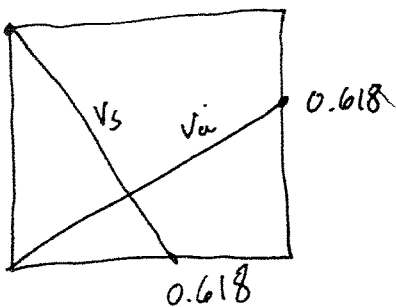



Proof.  $0$  is a fixed point for  $f_A$ .

We will use the local stable and unstable manifolds of  $0$  to divide  $\mathbb{T}^2$  into two rectangles.

$V_u = \begin{pmatrix} \frac{1 + \sqrt{5}}{2} \\ 1 \end{pmatrix}$ . Rescale to  $\begin{pmatrix} \phi \\ 0.618.. \end{pmatrix}$ .

$V_s = \begin{pmatrix} \frac{1 - \sqrt{5}}{2} \\ 1 \end{pmatrix}$ . Rescale to  $\begin{pmatrix} -0.618.. \\ 1 \end{pmatrix}$



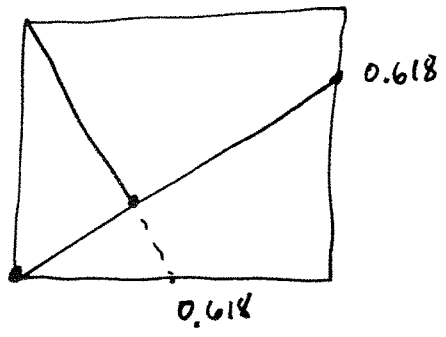
 This is useful for verifying the diambro property in this setting.

Recipe for Markov partition.

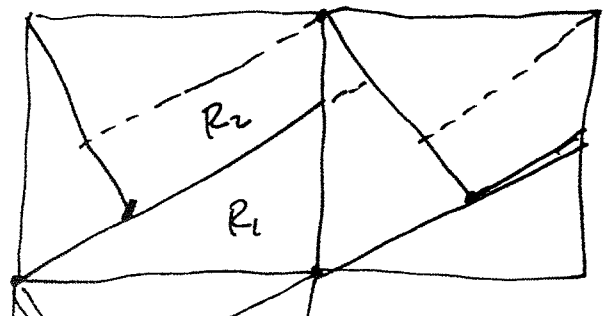
Expanding eigenvector:  $\begin{pmatrix} \frac{1+\sqrt{5}}{2} \\ 1 \end{pmatrix}$  Rescale  $\begin{pmatrix} 1 \\ 0.618034 \end{pmatrix}$

Contracting eigenvector:  $\begin{pmatrix} \frac{1-\sqrt{5}}{2} \\ 1 \end{pmatrix}$   $\begin{pmatrix} -0.6180 \\ 1 \end{pmatrix}$   $\begin{pmatrix} 0.618 \\ -1 \end{pmatrix}$

Slope of the expanding eigenvector is positive but less than 1. Take the branch in the first quadrant and extend it across the square.

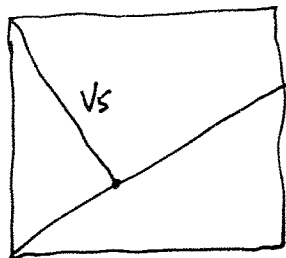


The contracting eigenvalue has negative slope. Extend it until it hits the edge of expanding segment



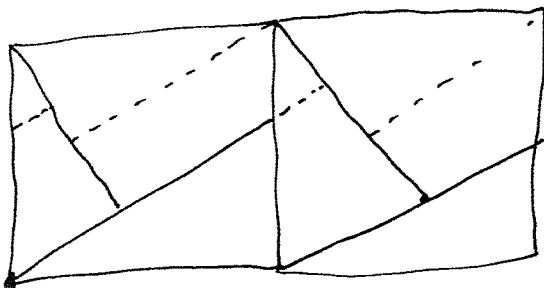
Now extend the unstable segment in both directions until it hits the stable segment. Call the resulting rectangles  $R_1$  and  $R_2$ . The union of  $R_1$  and  $R_2$  is  $\mathbb{T}^2$ . The images of the boundaries are not disjoint.



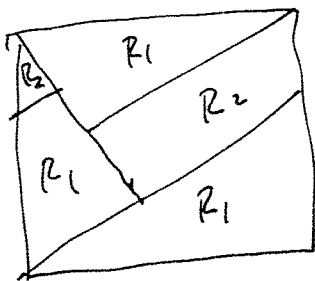


Cut off  $v_s$  at the intersection point.

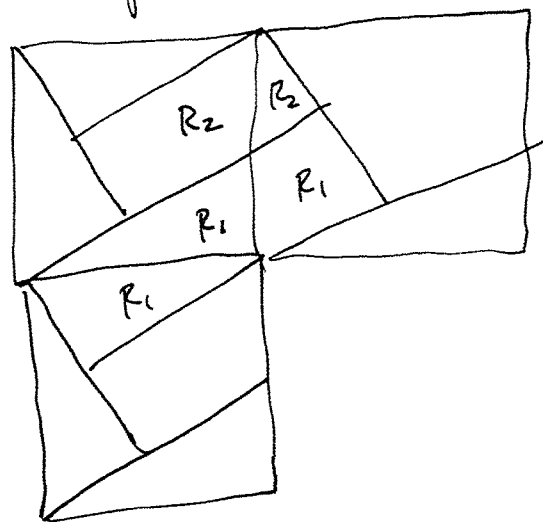
Now extend  $v_a$  in both directions until it hits  $v_s$  again.



This divides  $\mathbb{T}^2$  into two rectangles  $R_1$  and  $R_2$ .



or



This picture shows that  $R_1$  and  $R_2$  fill  $\mathbb{T}^2$ .

This picture shows that  $R_1$  and  $R_2$  are rectangles.

Keep track of the image as follows:

(6) (8) (10)

Stable boundaries

Keep track of the image of  $R_1$ . The top boundary is part of the unstable manifold of (8). This gets mapped to a longer part of the unstable manifold of  $\begin{pmatrix} 2 & 1 \\ 1 & 1 \end{pmatrix}(8) = \begin{pmatrix} 0 \\ 0 \end{pmatrix}$ . The r.h. stable boundary is part of the stable manifold of (1). This gets mapped to part of the stable manifold of  $\begin{pmatrix} 2 & 1 \\ 1 & 1 \end{pmatrix}(1) = \begin{pmatrix} 3 \\ 2 \end{pmatrix}$ .

The bottom unstable boundary of  $R_1$  goes through (0). This gets mapped to an unstable segment through  $\begin{pmatrix} 2 & 1 \\ 1 & 1 \end{pmatrix}(0) = \begin{pmatrix} 2 \\ 1 \end{pmatrix}$ .

Left hand stable segment gets mapped into itself.

① ~~(1)~~  
~~(2)~~ ~~(3)~~

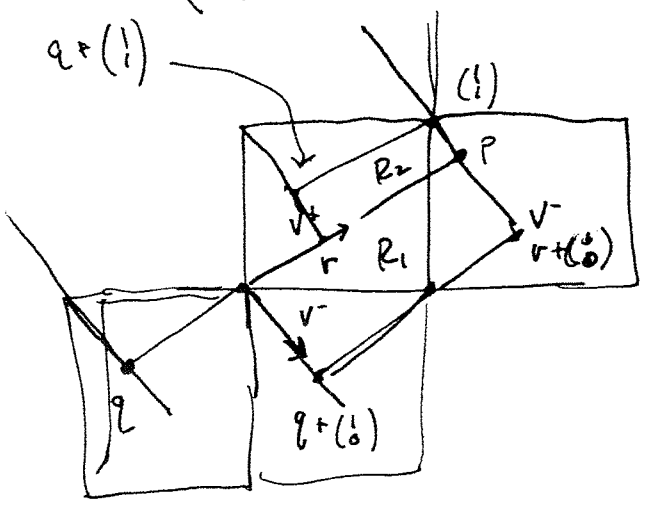
Let  $v^+ = \begin{pmatrix} 1 \\ 1 \end{pmatrix}$

The eigenvectors of  $A$  are

$\frac{1}{2}(1 \pm \sqrt{5})$   $\begin{pmatrix} \frac{1+\sqrt{5}}{2} \\ 1 \end{pmatrix}$  and  $\begin{pmatrix} \frac{1-\sqrt{5}}{2} \\ 1 \end{pmatrix}$

$$\frac{1+\sqrt{5}}{2} \cdot \frac{1-\sqrt{5}}{2} = \frac{1-5}{4} = -1$$

~~$\begin{pmatrix} 1 \\ 1 \end{pmatrix}$~~   $\begin{pmatrix} 1.618\dots \\ 1 \end{pmatrix}$   $\begin{pmatrix} -0.618\dots \\ 1 \end{pmatrix}$



Eigenvectors give local stable and unstable manifolds of  $\delta$ .

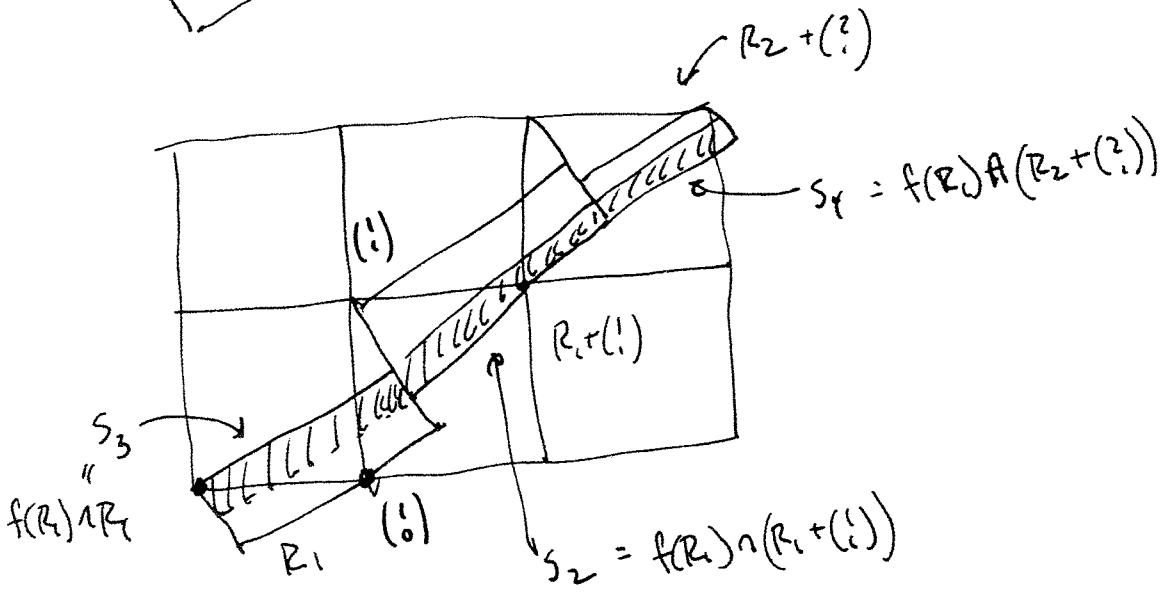
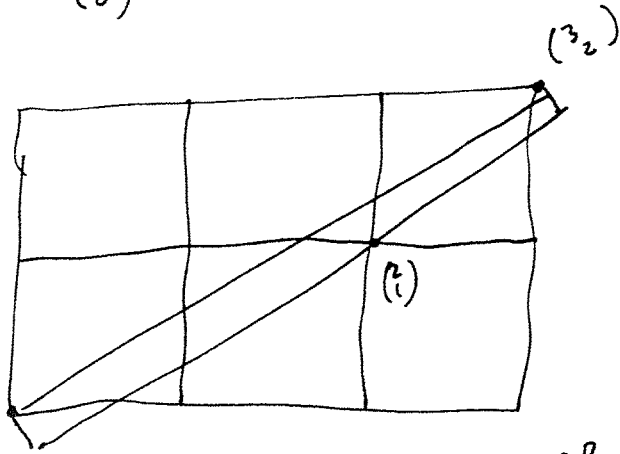
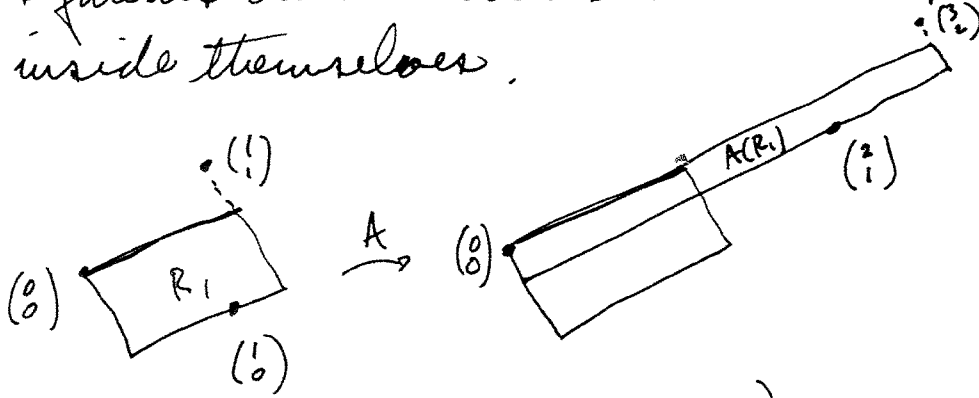
Note that the boundaries  $R_1, R_2$  is the whole torus.

Now ~~Note that~~

Let  $J^+R_1, J^+R_2$  be the pieces of the boundaries which intersect the unstable manifolds. Let  $J^-R_1, J^-R_2$  be the intersections of boundaries with stable manifolds. Then  $f(J^-R_1 \cup J^-R_2) \subset J^-R_1 \cup J^-R_2$  and  $f(J^+R_1 \cup J^+R_2) \subset J^+R_1 \cup J^+R_2$ . This is the Ucerbrov condition in the invertible case.

2

We will now calculate the images of  $R_1$  and  $R_2$  in  $\mathbb{R}^2$ . We use 3 facts. A fixed  $(0)$  and takes integral points to integral points. A takes unstable segments outside themselves and stable segments inside themselves.



$$\begin{pmatrix} 2 \\ 1 \end{pmatrix} \begin{pmatrix} 1 \\ 0 \end{pmatrix} = \begin{pmatrix} 2 \\ 1 \end{pmatrix}$$

$$\begin{pmatrix} 1 \\ 0 \end{pmatrix} \mapsto \begin{pmatrix} 1 \\ 0 \end{pmatrix}$$

$$\begin{pmatrix} 2 & 1 \\ 1 & 1 \end{pmatrix} \begin{pmatrix} 1 \\ 1 \end{pmatrix} = \begin{pmatrix} 3 \\ 2 \end{pmatrix}$$

①

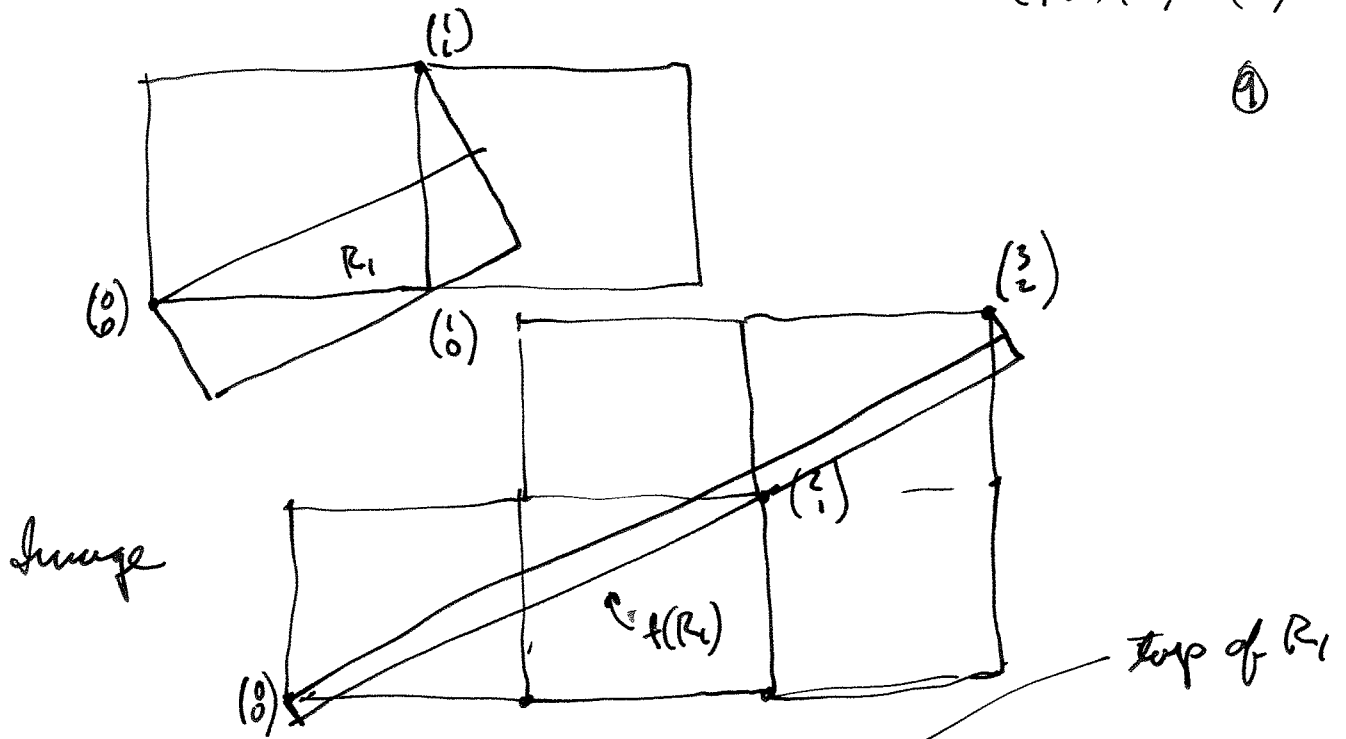
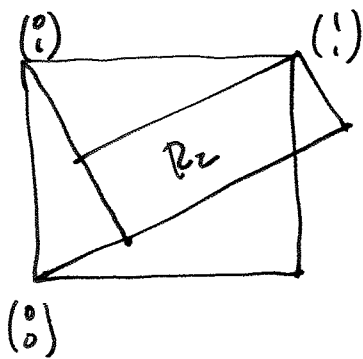


Image of the unstable segment lies above  $\begin{pmatrix} 2 \\ 1 \end{pmatrix}$  and below  $\begin{pmatrix} 3 \\ 2 \end{pmatrix}$ .

Image of the bottom segment goes through  $\begin{pmatrix} 2 \\ 1 \end{pmatrix}$  and ends on a stable segment through  $\begin{pmatrix} 3 \\ 2 \end{pmatrix}$ .

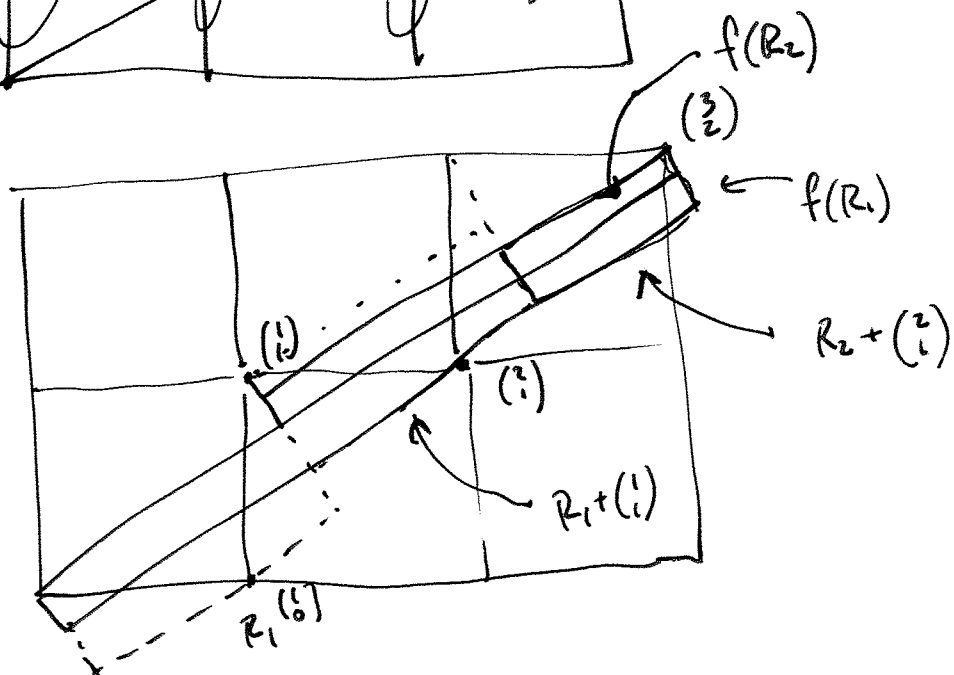
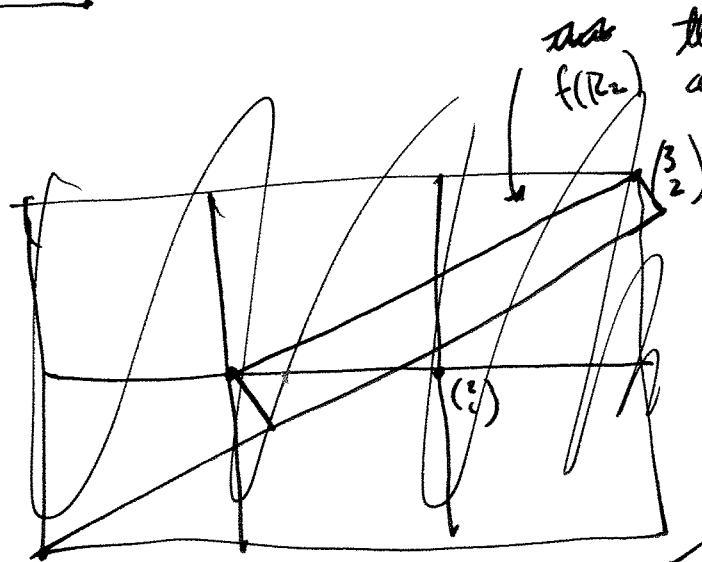
Top of  $f(R_1)$  coincides and extends top of  $R_1$  because this is an eigendirection with an expanding eigenvalue.



12:30

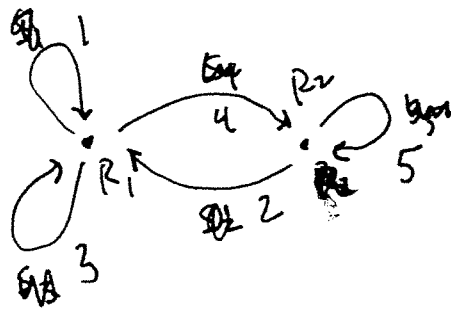
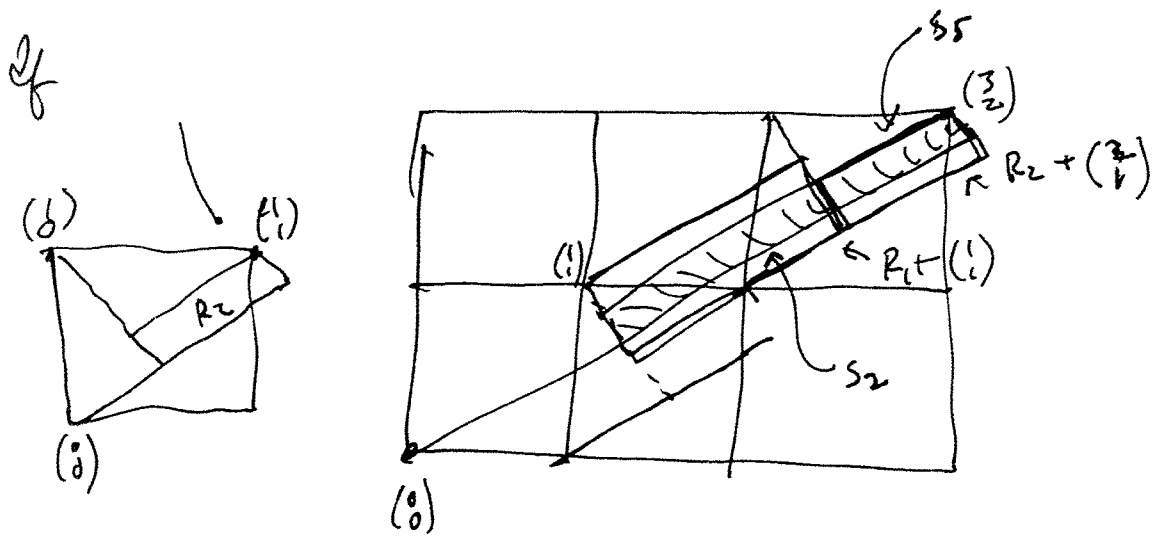
$$\begin{pmatrix} 2 & 1 \\ 1 & 1 \end{pmatrix} \begin{pmatrix} 0 \\ 1 \end{pmatrix} = \begin{pmatrix} 1 \\ 1 \end{pmatrix} \quad (10)$$

We can draw pictures in  $\mathbb{R}^2$  as long as we remember that we should be really thinking about all the  $\mathbb{Z}^2$  translates as well.

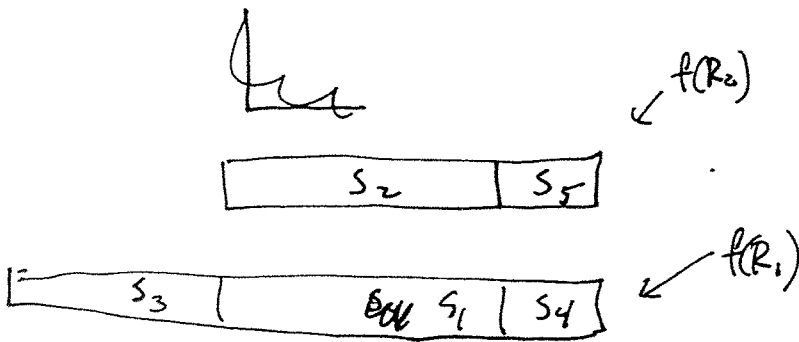


Geometrically we should think of these images as winding through the torus. We get such a picture if we look at all the  $\mathbb{Z}^2$  translates.

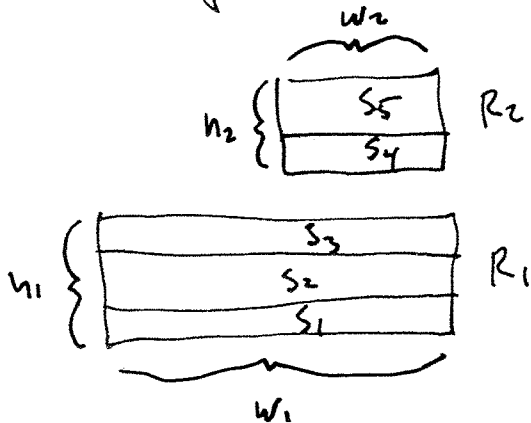
How do the images intersect  $R_1$  and  $R_2$ ?



Write the images of  $R_1$  and  $R_2$  horizontally:



Arrange these rectangles inside  $R_1$  and  $R_2$

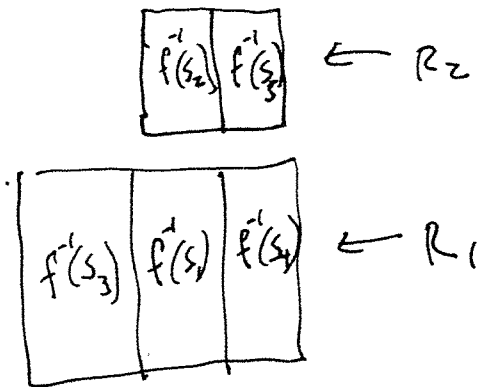


The  $S_j$  give a partition of  $R_i$ 's based on the location of  $f^{-1}(p)$ .

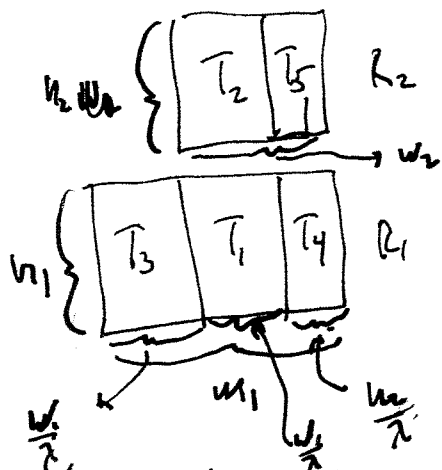
Corresponds to arrows landing at  $R_1, R_2$ .

Apply  $f^{-1}$  to previous picture

(11) (12)



Let  $T_j$  denote  $f^{-1}(s_j)$  or  $s_j = f(T_j)$ .



The  $T$ 's give a partition of the  $R$ 's based on the location of  $f(p)$ .

Partition of  $R_j$  corresponds to arrows leaving  $R_j$ .

Note that the  $S$ 's have full width in the  $R$ 's and the  $T$ 's have full height.

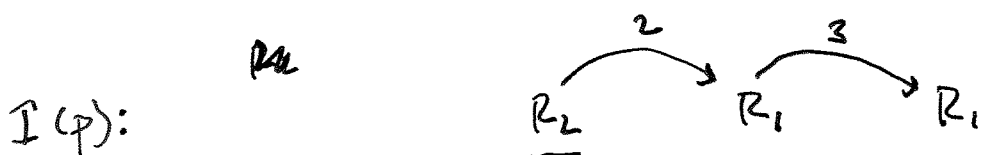
Coding of orbits:



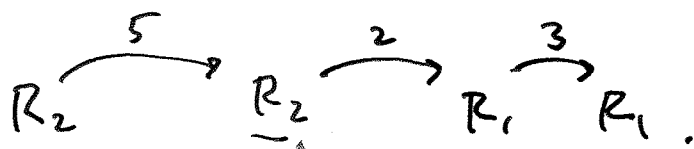
We can define an itinerary for a point  $p \in \mathbb{T}^2$  corresponding to a path in  $\mathcal{A}$ .

Any  $p \in R_2$ .  $p$  is in some set  $T_1$ , say  $T_2$ .

Hence  $f(p) \in R_1$ .  $f(p)$  is in some set  $T_1$ , say  $T_3$ .



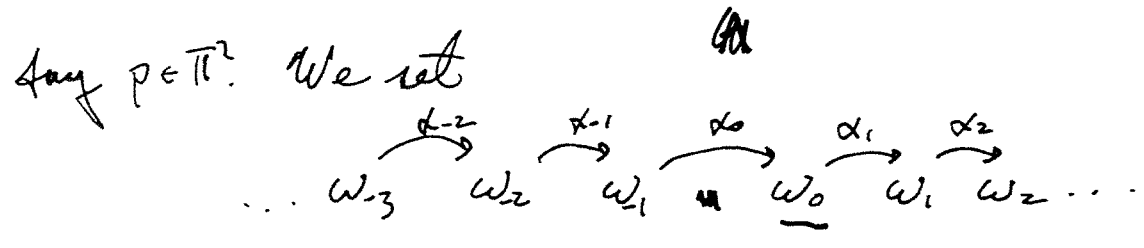
$p$  is in some set  $S_1$  say  $S_5$ .



Now since ~~we see~~ a point may lie in more than one set  $T_i$  or  $S_j$  it may have more than one itinerary. If  $\omega = (\overset{5}{\underset{2}{\cdot}} \xrightarrow{\cdot} \overset{2}{\underset{2}{\cdot}} \xrightarrow{\cdot} \overset{3}{\underset{1}{\cdot}} \xrightarrow{\cdot} \overset{3}{\underset{1}{\cdot}})$

is a finite word we can define  $A(\omega)$  to be the set of all points for which these compositions make sense. This is the closure of the set for which the itinerary is well-defined.

Coding of orbits by paths in  $G$ :



Recall our conventions. A path in  $G$  is given by as above where  $w_i$ 's correspond to vertices and  $\alpha_i$ 's correspond to edges.

Let  $p \in \mathbb{T}^2$ . Let  $\{p_i\}$ . Define  $w_j = w_j(p)$  and  $\alpha_j = \alpha_j(p)$  as follows.

$$w_j(p) = \begin{cases} 1 \\ 2 \end{cases} \text{ if } f^j_p(p) \in \begin{cases} R_1 \\ R_2 \end{cases}$$

$$\alpha_j(p) = \begin{cases} 1 \\ 2 \\ 3 \\ 4 \\ 5 \end{cases} \text{ if } f^j(p) \in \begin{cases} S_1 \\ S_2 \\ S_3 \\ S_4 \\ S_5 \end{cases} \text{ or } f^{j-1}(p) \in \begin{cases} T_1 \\ T_2 \\ T_3 \\ T_4 \\ T_5 \end{cases}$$

$\alpha_j(p)$  determines  $w_{j-1}(p), w_j(p)$

Applying  $f$  to  $p$  shifts the sequence to the left.

The sets  $A(w)$  correspond to itinerary codings in a way but note that they ~~may~~ are not always disjoint. This corresponds to points on boundaries which might have multiple codings.

Points in the interior of  $A(w)$  have well defined codings (corresponding to the length of  $w$ ).

Can mark the "zero" position by underlining a vertex or putting a dot in the sequence of arrows.

$A(w)$  is the set of points for which

$$w = \overset{\alpha_j}{w_j} \overset{\alpha_{j+1}}{w_{j+1}} \dots \overset{\alpha_0}{w_0} \overset{\alpha_{k-1}}{w_{k-1}} \dots w_k$$

make sense.

$A(w)$  is the set of points in  $\mathbb{R}^n$  for which these compositions make sense.

That is

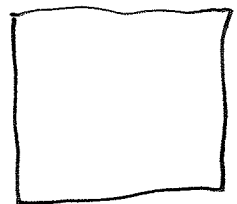
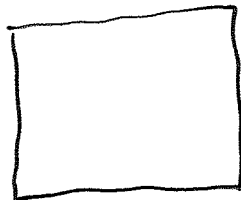
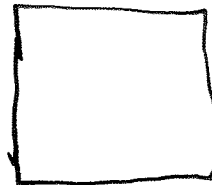
$$w = \overset{\alpha_0}{w_0} \overset{\alpha_1}{w_1} \dots \overset{\alpha_{k-1}}{w_{k-1}} \dots w_k$$

string  
Consists of  
 $j+1$  vertices  
and  $j$  edges.  
Call it a word  
of length  $j$ .

Examples

$A(w)$  consists of  $p \in \mathbb{R}^n$  so that

$$w_{k-1} \overset{\alpha_{k-1}}{\rightarrow} w_k \overset{\alpha_k}{\rightarrow} w_{k+1}$$



$f$  expands stable manifolds by  $\lambda$  and contracts unstable manifolds by  $\lambda$ .

Now say that we have a finite word

$$w = \overset{\alpha_{j+1}}{w_j} \dots w_1 \cdot w_0 \dots \overset{\alpha_k}{w_k} w_{k+1} \quad j \leq 0 \quad k \geq 0,$$

$w$  determines a cylinder set  $C(w)$  in  $G_\infty$  of infinite words which agree with  $w$  where  $w$  is defined.

$w$  determines a set  $A(w)$  in  $\mathbb{T}^2$  of points whose codings agree with the entries of  $w$  where they are defined.

Example:

$$w = .1$$

$$A(w) = R_1$$

$$w = .2$$

$$A(w) = R_2$$

$$w = \overset{1}{1.1}$$

$$A(w) = S_1$$

$$w = \overset{2}{2.1}$$

$$A(w) = S_2$$

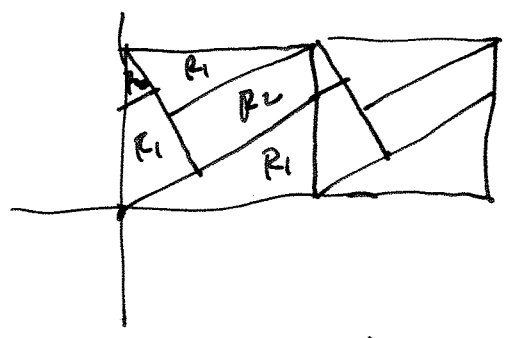
$$w = \overset{1}{.11}$$

$$A(w) = T_1$$

$$w = \overset{2}{.21}$$

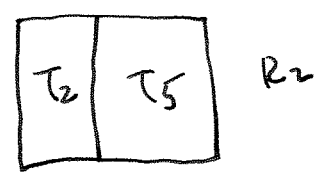
$$A(w) = T_2$$

Recall that last time we constructed rectangles  $R_1$  and  $R_2$  inside  $\mathbb{R}^2$ . Map to regions in  $\mathbb{T}^2$ .



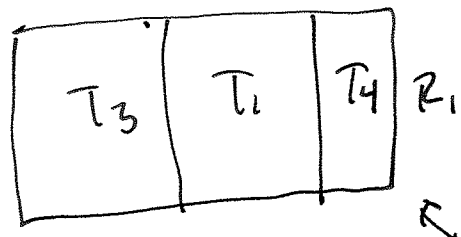
The map is an. The image rectangles touch along their boundaries but the interiors are disjoint.

Rotate coordinates so that  $x$ -axis corresponds to the  $\lambda^1$  eigenspace and the  $y$ -axis corresponds to the  $\lambda^2$  eigenspace. (In general these need not be perpendicular. Related to symmetry of matrix.)



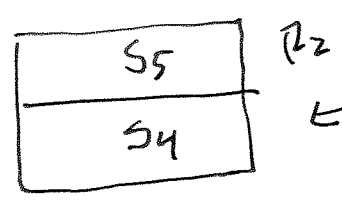
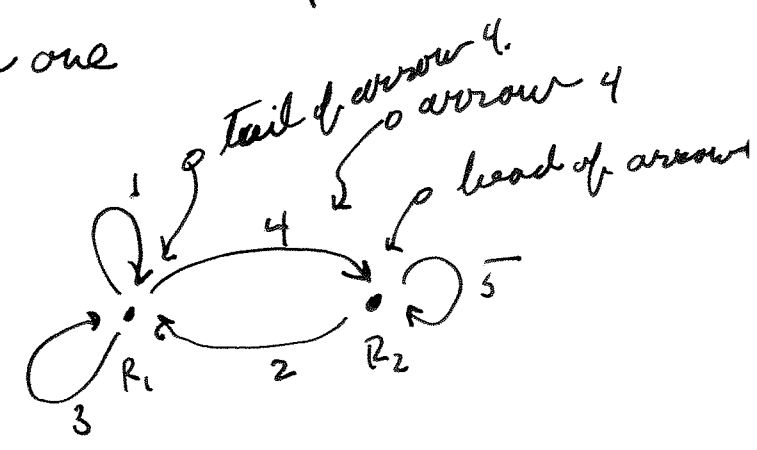
Consider sets  $f(R_i) \cap R_j$  in  $\mathbb{R}^2$ .  $f(R_i) \cap (R_j + (\frac{m}{n}))$ .

These are rectangles.



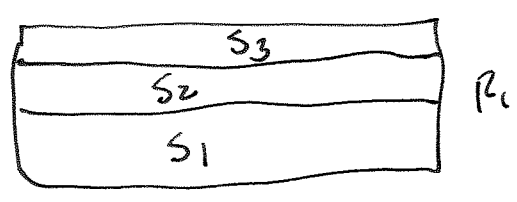
Each one

$f^{-1}(R_i) \cap R_i$



$R_i \cap f(R_i)$

$f(T_i) = S_j$



Location of  $T_i$  and  $S_j$  with respect to  $R_1$  and  $R_2$  determines the transition graph.

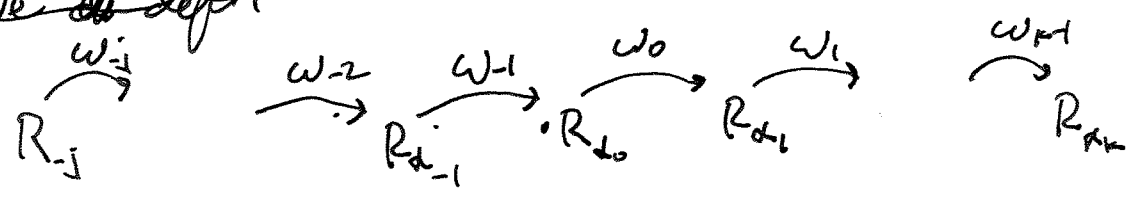
Tail of arrow  $j$  corresponds to the location of  $T_i$ .

Answer

For completeness we can define a path of length 0 to be a vertex.

Now let  $w = w_{-j} \dots w_{-1} \cdot w_0 \dots w_{k-1}$  be a finite word with  $j \geq 0$  and  $k \geq 0$ .  $w_i \in \{1, \dots, 5\}$

We ~~all~~ define



We define  $A(w)$  to be the set of points  $p \in R_{k_0}$  so that  $f^i(p) \in T_{w_i}$  for  $i = 0 \dots k$  and  $f^i(p) \in S_{w_i}$  for  $i = -j \dots -1$ .

### Obvious facts about

If we were to define iterates they would be well defined on the interior of  $A(w)$  but, <sup>possibly</sup> ambiguous on the boundary of  $A(w)$ . Recall that for expanding maps ~~in~~ of circles we ~~can~~ defined iterates and took a closure.

Elementary facts about the sets  $A(\omega)$ .

If  $\omega'$  is a subword of  $\omega$  then  $A(\omega) \supseteq A(\omega')$ .

Example  $\omega' = 211.4524$   
 $\omega = 11.4$

If two words overlap

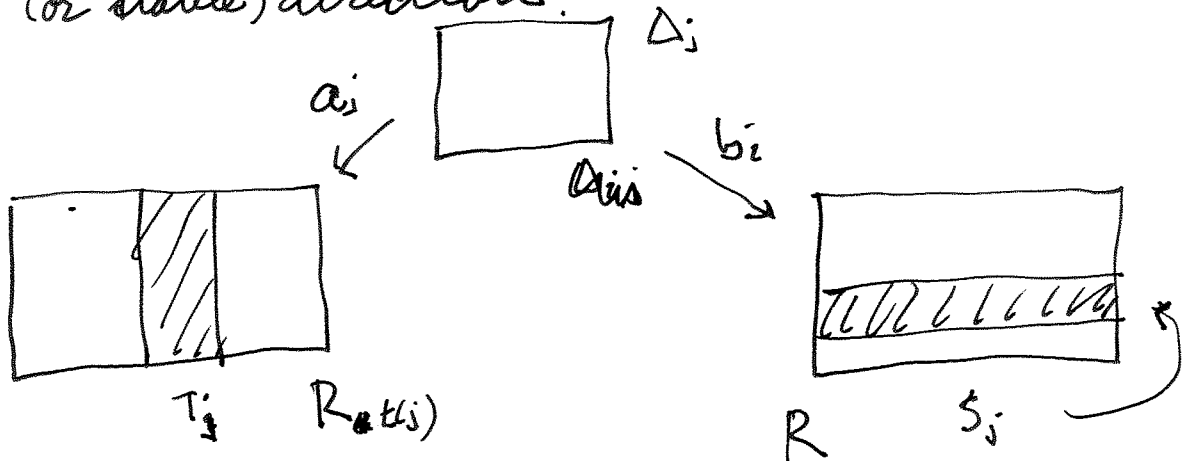
$$\omega = 11.4$$

$$\omega' = .452$$

$$\omega'' = 11.452$$

then  $A(\omega'')$  is ~~exact~~ corresponds to  $A(\omega) \cap A(\omega')$ .  
 $f$  acts like the left shift on words,  $f(A(\omega)) = A(\omega')$  where  $\omega'_i = \omega_{i+1}$ .

When restricted to a rectangle the map  $f_x$  expands the unstable direction and contracts the stable direction. As before we factor we factor this map  $f|_{T_j}$  as  $b_j a_j^{-1}$  where  $a_j$  contracts the  $x$ -direction and  $b_j$  contracts the  $y$  (or stable) direction.



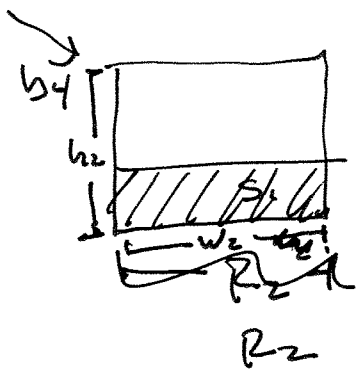
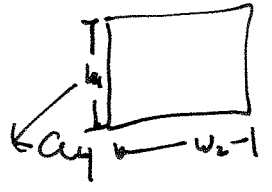
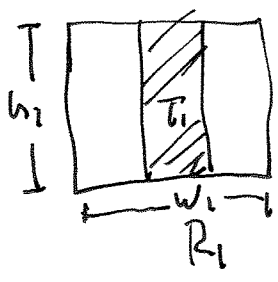


$$a_j \begin{pmatrix} x \\ y \end{pmatrix} = \begin{pmatrix} \lambda^s \cdot x + c_j \\ y \end{pmatrix}$$

$$b_j \begin{pmatrix} x \\ y \end{pmatrix} = \begin{pmatrix} x \\ \lambda^s \cdot y + d_j \end{pmatrix}$$

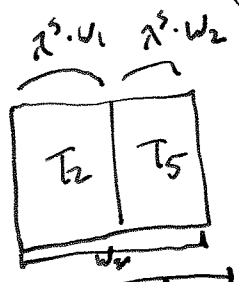
$$\Delta_i = \{ (x, y) : 0 \leq x \leq w_2, 0 \leq y \leq h_2 \}$$

where



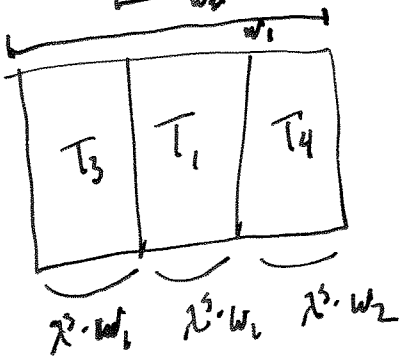
The width  $w_i$  goes from  $R_1$  to  $R_2$ .  
 It is convenient to let the dimensions of  $\Delta_i$  be  $w_2$  by  $h_2$ .

We calculate that width of  $T_j$  is  $\lambda^s \cdot w_2$  and the height of  $S_j$  is  $\lambda^s \cdot h_1$ .



Since  $a_j(\Delta_j) = T_j$  we get

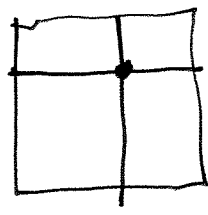
$$c_3 = 0, c_1 = \lambda^s \cdot w_1, c_4 = \lambda^s \cdot w_1 + \lambda^s \cdot w_1.$$



← implicitly this is an eigenvalue equation

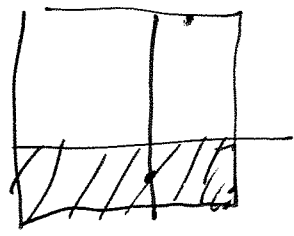
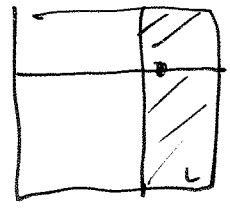
If  $p \in \text{int}(A \cap B)$  then  $p =$  (

$$a_k b_j \left( \begin{matrix} x \\ y \end{matrix} \right) = \begin{pmatrix} a^s x + c_k \\ a^s y + d_j \end{pmatrix}$$



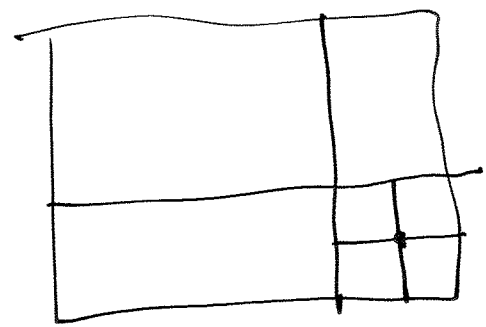
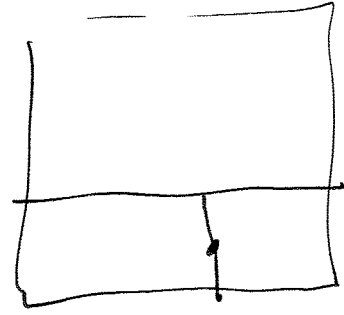
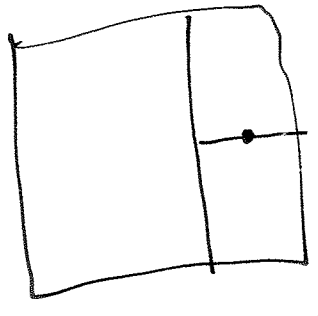
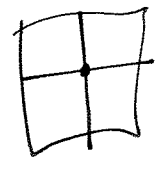
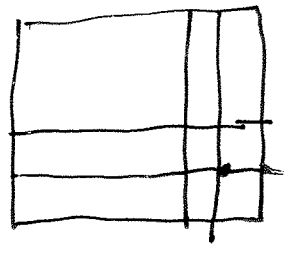
$a_1$

$b_4$



$b_4$

$a_1$



(21) (22)  
The strategy for the construction of the semi-conjugacy  $h$  is the same as that for the horseshoe. We will show that as  $i \rightarrow \infty, j \rightarrow -\infty$  the set  $B(i, j)$  is a rectangle and as  $i \rightarrow \infty, j \rightarrow -\infty$  the height and width of  $B(i, j)$  goes to 0. We then define  $h(\bar{\omega})$  as an intersection of a nested sequence of rectangles.

Let  $\bar{w} = \overset{\sim}{w}_j \dots w_{-1} \cdot w_0 \dots \overset{\sim}{w}_k$   $j \leq 0, k \geq 0$

be a word. Note that the  $w_0$  location is always included,  $|j|$  is the number of specified positions to the left of 0,  $k$  is the number of specified positions to the right of 0.

Let  $h_j$  be the width of  $B_j$  and  $w_j$  be the height of  $B_j$

Claim.  $B(\bar{w})$  is a rectangle with height

$$\frac{h w_j}{\lambda^{|j|}} \text{ and width } \frac{w w_k}{\lambda^k}.$$

Note that the height and width depends only on the  $w$ 's not the  $\alpha$ 's.

Note that the claim implies that if  $j=0$  then  $B(\bar{w})$  has full height and if  $k=0$  then  $B(\bar{w})$  has full width.

$$S_2 = B(\overset{\sim}{2} \cdot \overset{\sim}{1}) \quad k=0 \quad j=-1 \quad S_2 \text{ has full width}$$

$$T_2 = B(\cdot \overset{\sim}{2} \overset{\sim}{1}) \quad j=0 \quad k=1 \quad T_2 \text{ has full height}$$

To find the width of  $T_2$  we can apply  
f. f multiplies width by  $\lambda^u$ .  $f(T_2) = S_2$   
has width  $w_1$ . so  $T_2$  has width  $\frac{w_1}{\lambda}$  as  
was predicted by the claim.

(27)

(12.1)

Proof of the claim by induction on the length of the word. If the word has length 1 then the claim is true.

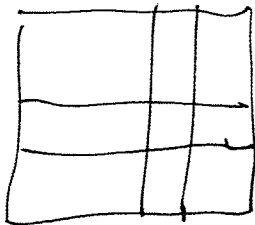
If the word ~~is~~ has length 2 say  $\cdot \overset{2}{z} \overset{1}{1}$  then it has full ~~leaf~~ height  $h_2$ . If we shift left then we get  $\overset{2}{z} \overset{1}{1}$   $\overset{j=1}{\cdot}$  corresponds to shrinking vertically by  $\lambda$  so the height is  $\frac{h_2}{\lambda}$  as predicted.

$$\frac{h_2}{\lambda^{|\lambda|}} = \frac{h_2}{\lambda}$$

If the assertion is true for words of length  $n-1$  and we have a word  $w_j \dots w_1 \cdot w_0 \dots w_k$  with  $j+k = n$  then we consider the words

$w_{-j} \dots w_{-1} \cdot w_0$  and  $\cdot w_0 \dots w_k$ . These words

are shorter. One corresponds to a rectangle of full width, the other to a rectangle of full height.



The intersection is again a rectangle. Its height and width is its height corresponds to the word  $w_{-j} \dots w_0$ . Its width is the width of  $\cdot w_0 \dots w_k$ .

If we have a word with say  $k=0$

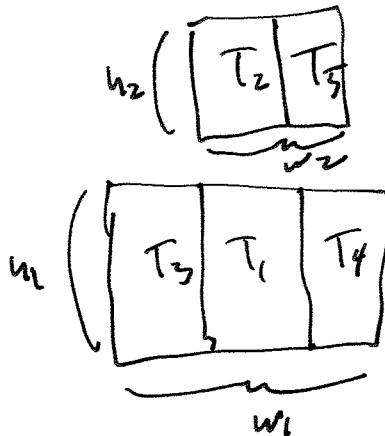
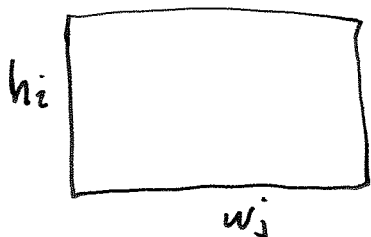
(25) (26)

$w_{-j} \dots w_{-1} \overset{a_{-1}}{\rightarrow} w_0$

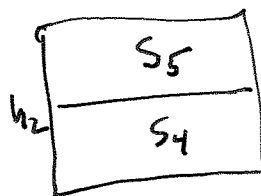
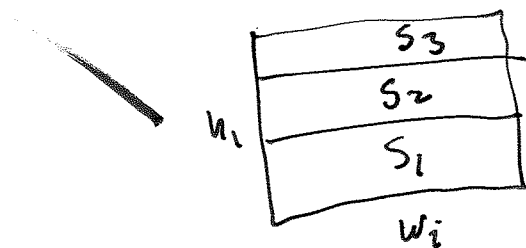
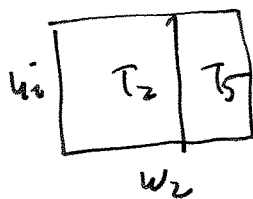
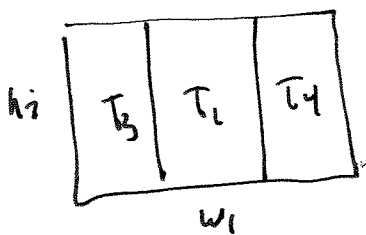
then it is contained in a rectangle  $S_{a_{-1}}$ . If we apply  $f^{-1}$  we shift the word right, and we  $f^{-1}/S$  multiplies widths by  $\lambda$  and contracts heights by  $\lambda$ . Furthermore we can analyze this set by means of the previous argument.

$R_{i,j}$  is  $\{(x,y) : 0 \leq x \leq w_j, 0 \leq y \leq h_i\}$

$R_i = R_{i,i}$

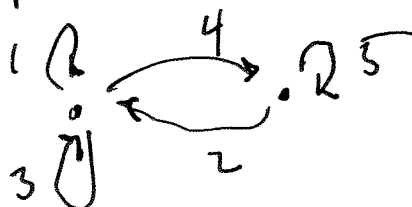


Define S and T decompositions of  $R_{i,j}$ .



On the bottom row of the triangle all rectangles are  $R_{i,i} = R_i$ .

On the second row we have rectangles of possibly 4 types. On all higher rows we have 4 types.

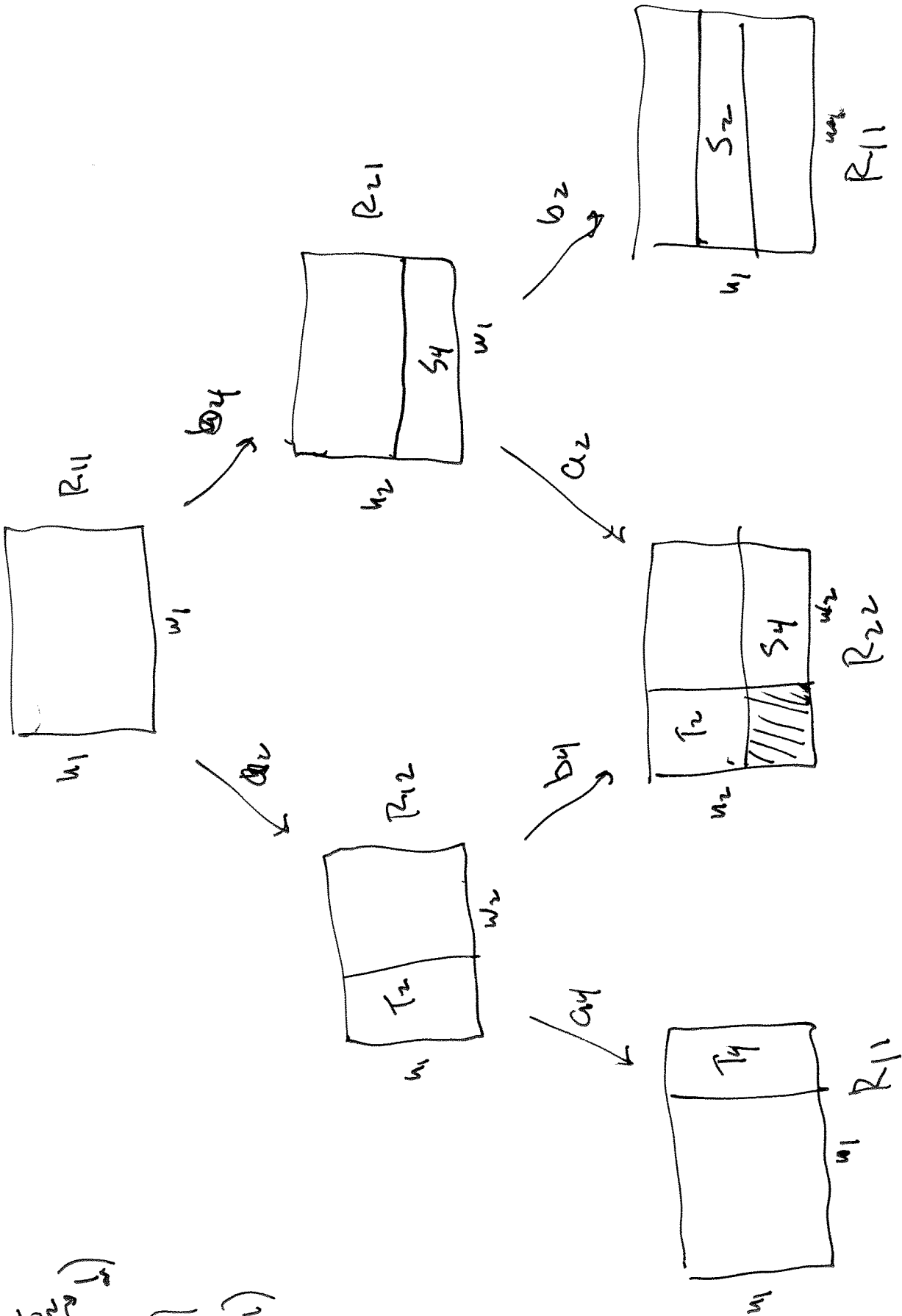


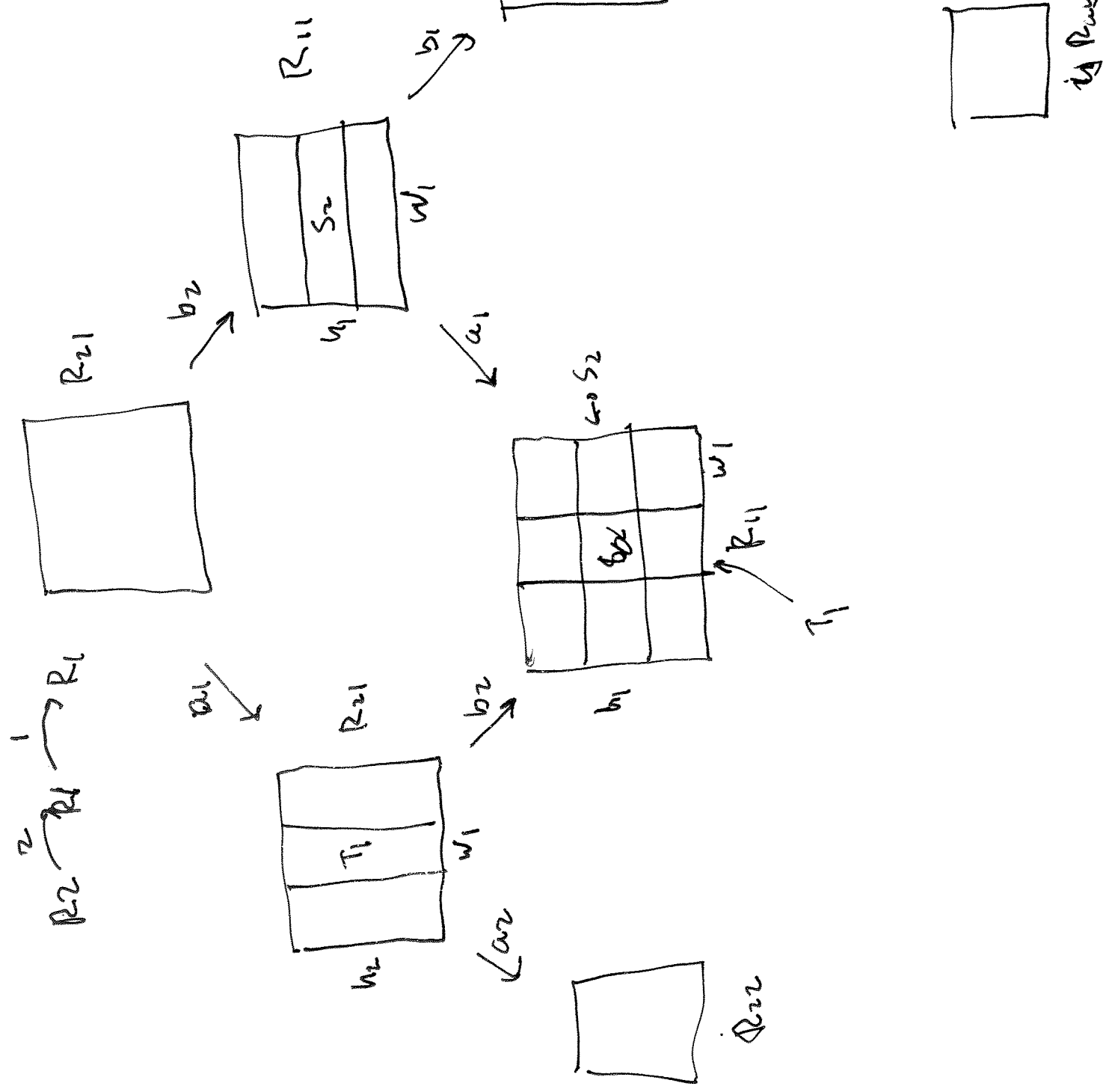
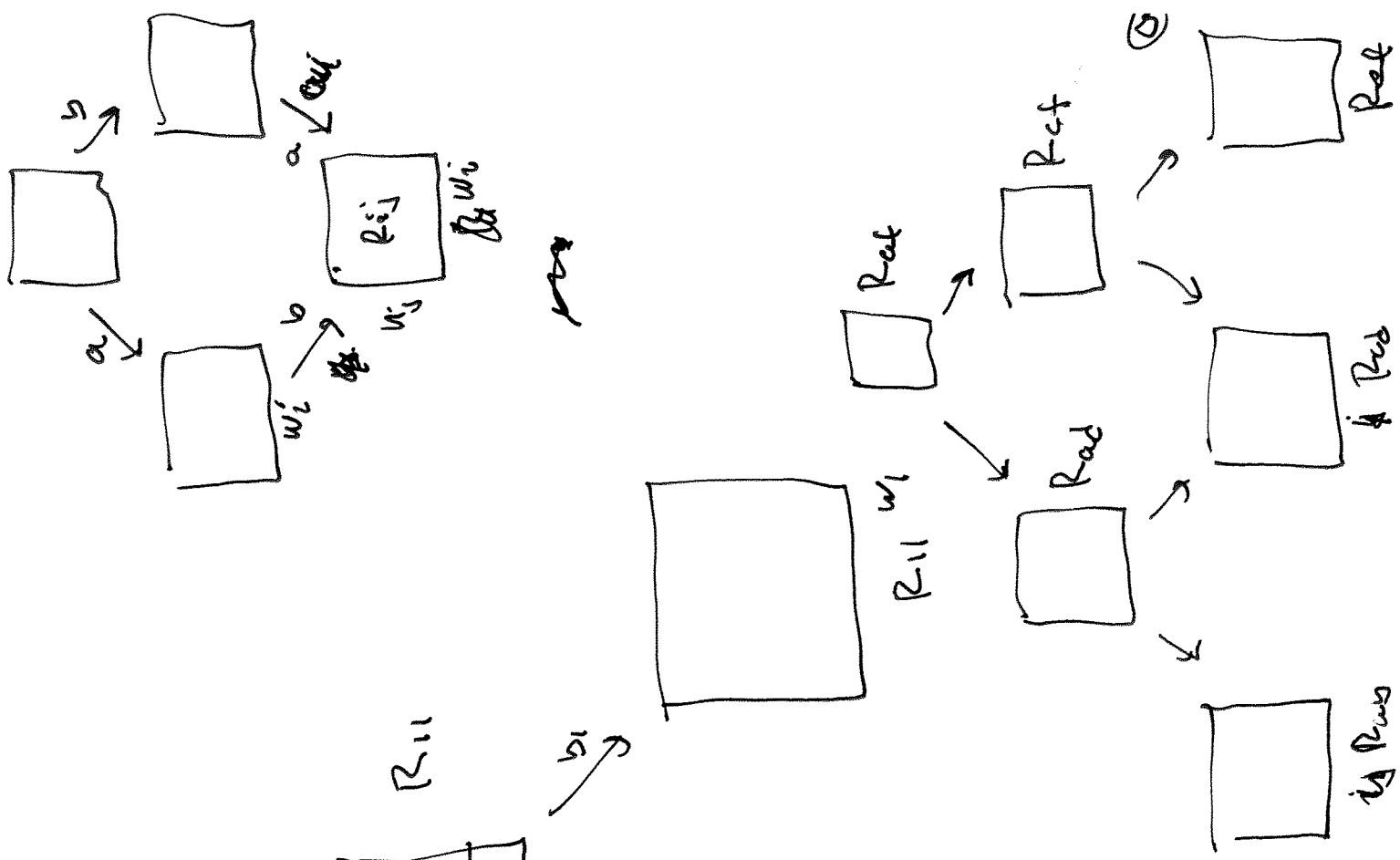
18 & 19



Construct  $\vec{r}_2$   
 $A(\vec{r}_2 \rightarrow \vec{r}_1)$

from  $A(\vec{r}_1 \rightarrow \vec{r}_2)$   
 and  $A(\vec{r}_2 \rightarrow \vec{r}_1)$





(4) (2)

Lemma. Let  $w = w_{-j} \dots w_{-1} \cdot w_0 \dots w_k$  be a word in  $\Sigma_{\mathbb{Z}}^e$ . Then  $A(w)$  is a rectangle with height  $k$  where  $w_{-j}$  starts at  $R_{\alpha_{-j}}$  and  $w_k$  ends at  $R_{\alpha_k}$ . Then  $A(w)$  is a rectangle with height  $w_{\alpha_{-j}} \cdot (2^{\alpha})^j$  and width  $w_{\alpha_k} \cdot (2^{\alpha})^k$ .

Proof. This is true

Define a semi-conjugacy  $h: \Sigma_{\mathcal{A}}^e \rightarrow \mathbb{T}^2$ .

$$h(w) = \bigcap_n A(w_{-n} \dots w_{-1} \cdot w_0 \dots w_{n-1}).$$

As before the decrease in the diameter of the sets  $A(w_{-n} \dots w_{n-1})$  means that  $h(w)$  is well defined. & It also implies continuity.

The semi-conjugacy property follows from the way that  $f$  acts on words.

Cor. Periodic points <sup>of  $f_A$</sup>  are dense in  $\mathbb{T}^2$  and there are ~~also~~ dense orbits.