

### Lecture 3

Last time we defined the space  $\Theta_{n,s}$

① As a subspace of the sphere complex

$$\mathcal{S}(M_{n,s}) \quad (M_{n,s} = \#_n S^1 \times S^2 \setminus \frac{1}{s} B^3)$$

② As a space of marked metric graphs  $(g, G)$ , where

$$G \text{ has } k \text{ leaves } (= \partial G) \text{ and } g: (R_{n,s}, \partial_s) \xrightarrow{\cong} (G, \partial G)$$

$$\text{The group } A_n = \pi_0 \text{Diff}(M_{n,s}, \partial M_{n,s}) / \text{Dehn Twists}$$

$$= \pi_0 \text{HE}(R_{n,s}, \partial_s)$$

acts on  $\Theta_{n,s}$

$$s=0 \quad A_{n,0} = \text{Out}(F_n) \quad \Theta_{n,0} = \text{Outer space}$$

$$s=1 \quad A_{n,1} = \text{Aut}(F_n) \quad \Theta_{n,1} = \text{Autre espace.}$$

**Theorem**:  $\Theta_{n,s}$  is contractible, the action of  $A_{n,s}$  is proper

Will prove this for  $\mathcal{S}(M_{n,s})$ , using an argument of Hatcher

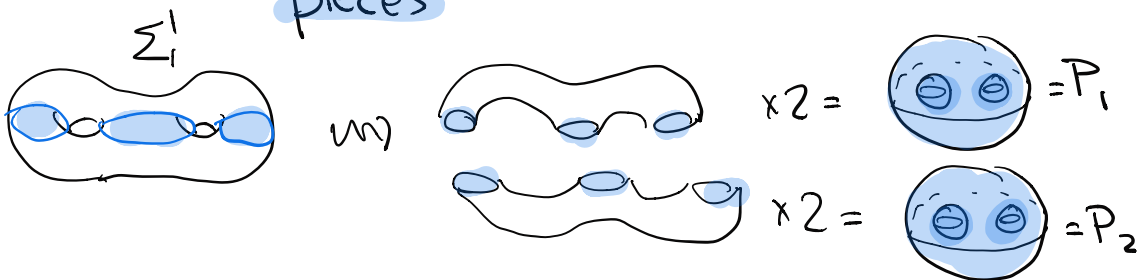
**Idea**: Fix a maximal sphere system  $\Sigma = \{\sigma_1, \dots, \sigma_m\}$   
This gives a simplex (also called  $\Sigma'$ ) of  $\mathcal{S}(M_{n,s})$

- Find a canonical path in  $\mathcal{S}_{n, \Sigma}$  from an arbitrary point  $w_1 \delta_1 + \dots + w_k \delta_k$  to a point in  $\Sigma$
- Contract  $\mathcal{S}_{n, \Sigma}$  to  $\Sigma$  along these paths.

More details: What are these paths?

They are called **surgery paths** - and the concept is useful in related contexts (eg arc complexes on surfaces)

$\Sigma$  a maximal system  $\Rightarrow$  cuts  $M_{n, \Sigma}$  into 3-punctured spheres  $S^3 - \frac{1}{3} B^3$ , called **pieces**



$\mathcal{S}$  any other sphere system. **Hatcher's normal form theorem** says:

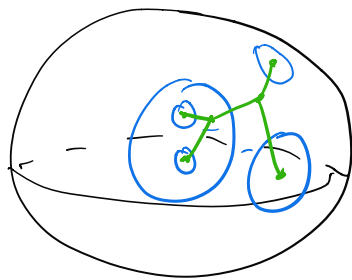
$\mathcal{S}$  is isotopic to a system that is

- ① Transverse to  $\Sigma$ .

② Intersects each piece in disks  
cylinders and pairs of pants



Furthermore, if the number of intersection  
circles is minimal, then the intersection  
pattern on each sphere of  $\Sigma$  is unique

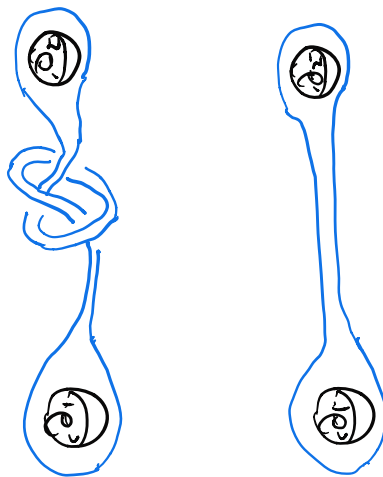


all circles  
on  $\sigma \cap \Sigma$

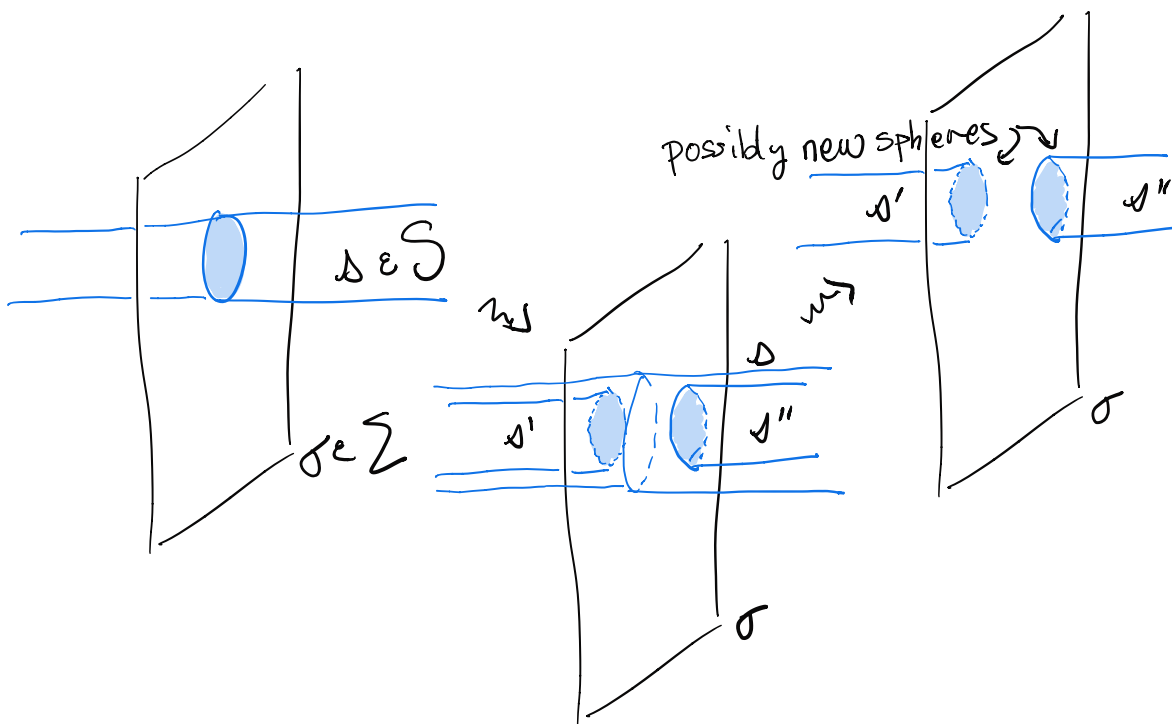
The (combinatorial) tree  
dual to these circles is unique

The proof of Hatcher's theorem relies heavily on Laudenbach's theorem that homotopic spheres in  $M_{n,k}$  are isotopic, which uses the "light bulb trick":

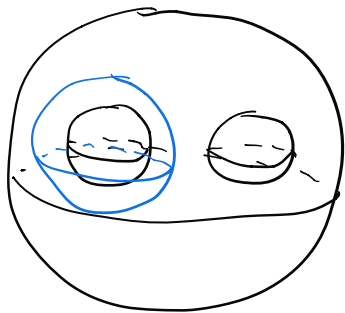
These two spheres are isotopic:



Surgery:



- Surgery path:**
- surger all innermost circles of intersection
  - transfer weight uniformly onto the new non-trivial spheres until the surgered spheres have weight 0
  - continue until all intersections are gone. The remaining (weighted) spheres are parallel to the spheres in  $\Sigma$ , so give a point in the simplex  $\Sigma$



every embedded non-trivial sphere is isotopic to one of the  $\partial$  components

It remains to prove surgery paths vary continuously. - Hatcher does this.

An easier proof is in Hatcher - V., using the spine of Outer space (coming up).

This shows  $\mathcal{L}(M_{n,s})$  is contractible. What about  $\mathcal{O}_{n,s}$ ?

**Lemma:** If  $S$  is a complete system, then the result of surgery is still complete

**Proof** Exercise (use Van Kampen)

**Corollary:** The deformation retraction  $\Sigma_{n,s} \rightarrow \Sigma_n$  along surgery paths restricts to  $\mathcal{O}_{n,s}$ .

There are other proofs that  $\mathcal{O}_{n,0}$  is contractible

- using marked graphs
- using actions on trees

One more description of  $\mathcal{O}_n = \mathcal{O}_{n,0}$

$G$  a finite connected graph

$\pi_1 G$  acts on  $\tilde{G}$  by deck transformations

This is a free action: every elt moves every point.

A marking  $g: R_n \rightarrow G$

induces  $g_* \pi_1(R_n, b) = F_n \rightarrow \pi_1(G, g(b))$

so get an action of  $F_n$  on the tree  $\tilde{G}$

If all vertices of  $G$  have valence  $\geq 3$   
this action is minimal: there  
is no invariant subtree.

If  $G$  has a metric, get an invariant  
metric on  $\tilde{G}$

If  $(g, G) \sim (g', G')$ , the isometry

$G \rightarrow G'$  lifts to an isometry  $\tilde{G} \rightarrow \tilde{G}'$

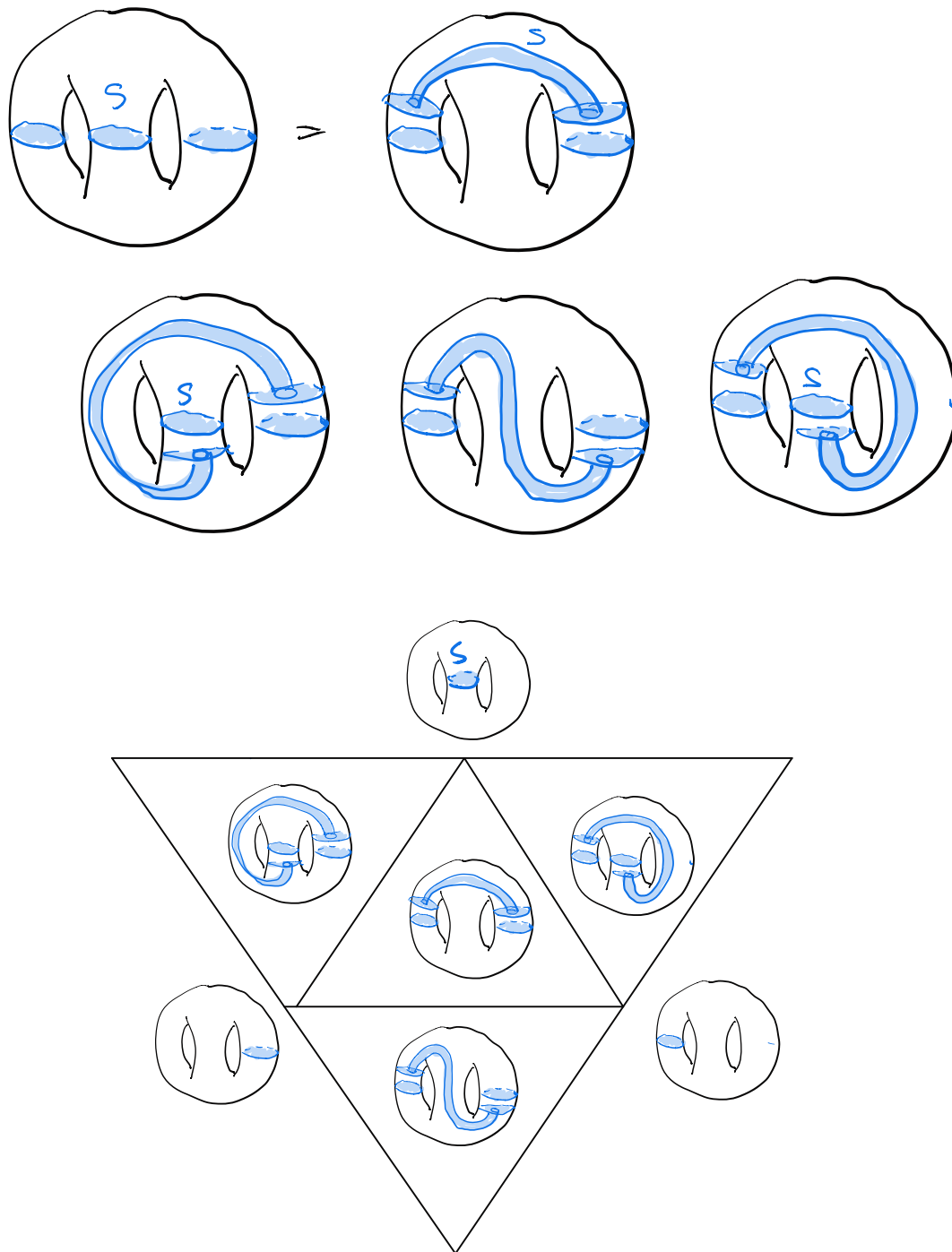
commuting with the action of  $F_n$

**Def:**  $\mathcal{O}_n$  is the space of free minimal isometric  
actions of  $F_n$  on metric simplicial  
trees

Nice concise definition, easy to generalize to  
groups other than  $F_n$  (eg free products - see  
Guirardel-Levitt - deformation spaces of actions on trees).

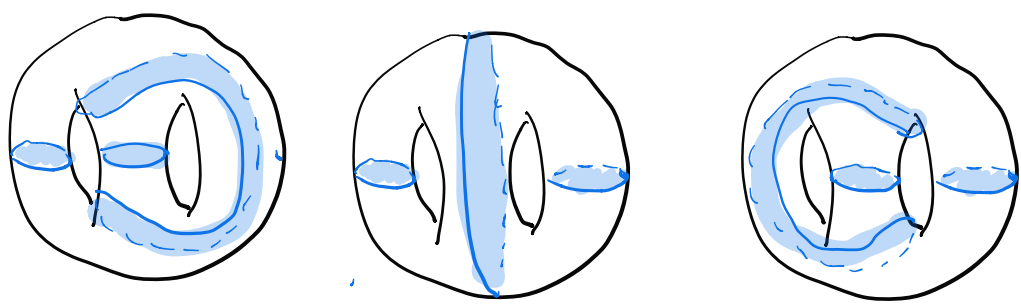
but tricky to define  $\mathcal{O}_{n,s}$  for  $s > 0$ , hard to see structure  
of space. - eg decomposition into (open) simplices

Pictures  $n=2$ ,  $S=0$

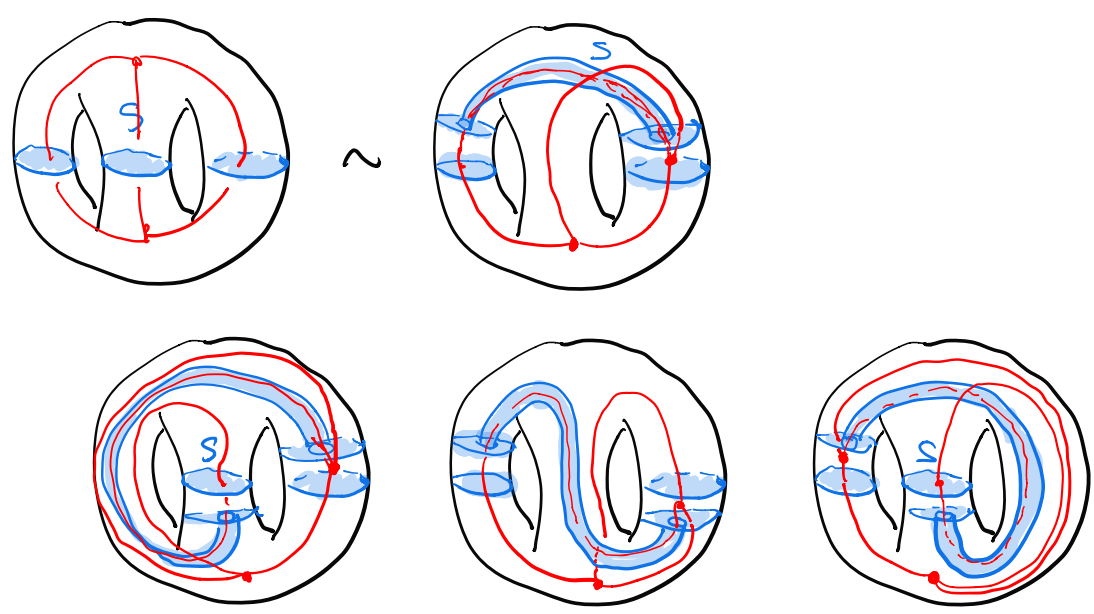




If we allow separating spheres we also get triangles



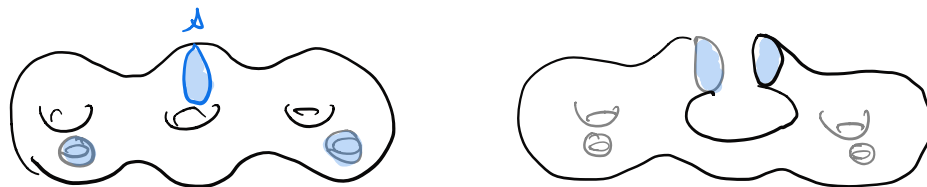
Marked graphs dual to sphere systems



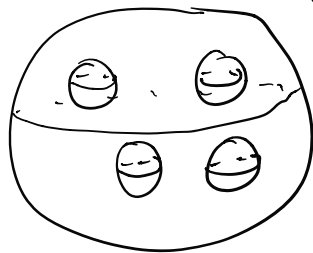
The action of  $A_{n,s}$  on  $\mathcal{S}_{n,s}$  is cocompact but not proper:

cocompact: There are only finitely many isotopy classes of sphere systems up to diffeomorphism

$$\text{stab}(S) = \pi_0 \text{Diff}(M_{n,S}, S \cup \partial) = \pi_0 \text{Diff}(M_{n-1, S+2}, \partial)$$



But, if  $S = \{s_1, \dots, s_k\}$  is complete, each component  $P$  of  $M-S$  is a punctured ball,



so any diffeo of  $P$  fixing  $\partial P$  is isotopic to the identity.

A diffeo of  $M_{n,S}$  realizing an element of  $A_{n,S}$  has to fix  $\partial M_{n,S}$  but can permute the  $s_i$

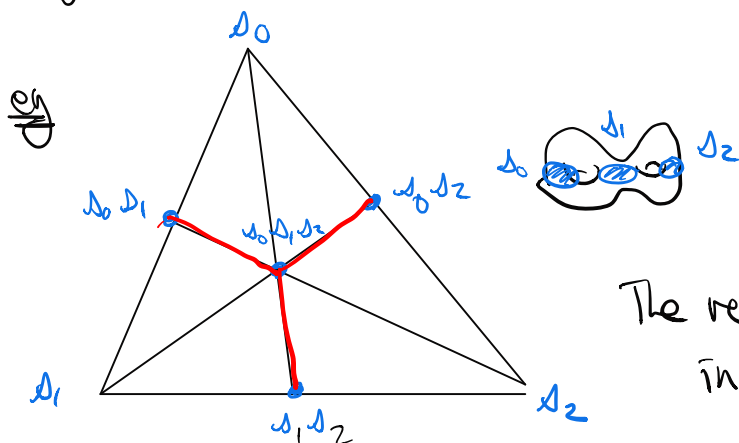
**Conclusion:**  $\text{stab}(S)$  is finite, if  $S$  is complete  
ie  $A_{n,S}$  acts properly on  $\mathcal{O}_{n,S}$

By restricting to  $\mathcal{O}_{n,S}$  we gained properness, but lost cocompactness.

To get a cocompact action, we restrict even further, to the spine of  $\mathcal{O}_{n,s}$  :

Let  $\mathcal{S}'(M_{n,s})$  = Barycentric subdivision of  $\mathcal{S}(M_{n,s})$ .  
 $k$ -simplex = chain  $S_0 \subset S_1 \subset \dots \subset S_k$  of sphere systems

**Definition.** The spine  $K_{n,s}$  is the subcomplex of  $\mathcal{S}'(M_{n,s})$  spanned by complete systems.



The red simplices are in  $K_{3,0}$

**Prop:**  $K_{n,s}$  is a deformation retract of  $\mathcal{O}_{n,s}$

**Pf:** Each point  $x \in \mathcal{O}_{n,s}$  is

$$x = w_0 \Delta_0 + \dots + w_k \Delta_k$$

where  $S = \{\Delta_0, \dots, \Delta_k\}$  is a complete sphere system  $w_i > 0$  and  $\sum w_i = 1$ .

$x$  is in some simplex of  $S'$ , say

$$S_0 \cup \dots \cup S_r = S$$

$$x = b_0 S_0 + \dots + b_r S_r, \quad b_i > 0, \quad \sum b_i = 1$$

let  $S_i$  be the first of  $S_0, \dots, S_r$  which is complete

Move  $x$  to the point

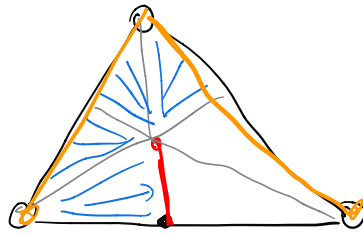
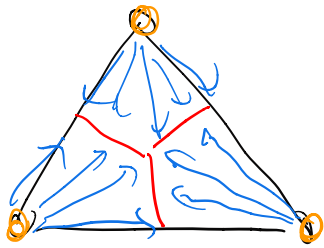
$$\lambda(b_i S_i + \dots + b_r S_r)$$

$$\text{where } \lambda(b_i + \dots + b_r) = 1$$

by linearly shrinking  $b_0, \dots, b_{i-1}$   
and expanding  $b_i, \dots, b_r$ .

Since  $S_i$  is complete, then any  $S_j \supset S_i$  is also complete, so

$$\lambda(b_i S_i + \dots + b_r S_r) \in K_{n, S},$$

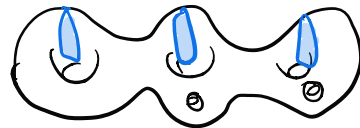


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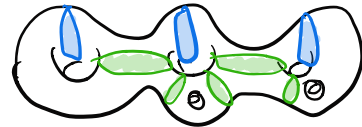
Corollary:  $K_{n,s}$  is contractible

Proposition:  $\dim K_{n,s} = 2n - 3 + s$

pf It takes at least  $n$  spheres to cut  $M_{n,s}$  into punctured balls



And you need  $3n - 3 + s$  to cut it into "pants"



So a simplex in  $K_{n,k}$  has length at most  $(3n - 3 + s) - n = 2n - 3 + s$ .

The action of  $A_{n,s}$  on  $\mathcal{O}_{n,s}$  restricts to  $K_{n,s}$  so is still proper and is now cocompact (there is one orbit of vertices for each diffeomorphism type of sphere systems,

one orbit of  $r$ -simplices for each diffeomorphism type of chain  $S_0 \subset \dots \subset S_r$

### Cube complex structure of $K_{n,s}$

let  $S = \{\omega_0, \dots, \omega_i\} \subset S' = \{\omega_0, \dots, \omega_{i+k}\}$   
be complete sphere systems

let  $S_j = S \cup \{\omega_{i+1}, \dots, \omega_{i+j}\} \quad 1 \leq j \leq k$

Then  $S = S_0 \subset S_1 \subset \dots \subset S_k = S'$  is a  $k$ -simplex of  $K_{n,s}$

Any ordering of  $\{\omega_{i+1}, \dots, \omega_{i+k}\}$  gives a different  $k$ -simplex.

These all fit together to form a  $k$ -dimensional cube

eg  $S = \{\omega_0, \omega_1\} \quad S' = \{\omega_0, \omega_1, \omega_2, \omega_3\}$

