

# Dimension and stability index for chaotically driven concave maps

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## Introduction

- $S : \Theta \rightarrow \Theta$  invertible,  $g : \Theta \rightarrow (0, \infty)$ ,  $I = [0, a]$ ,  $F_t : \Theta \times I \rightarrow \Theta \times I$ ,

$$F_t(\theta, x) = (S\theta, e^t g(\theta) h(x))$$

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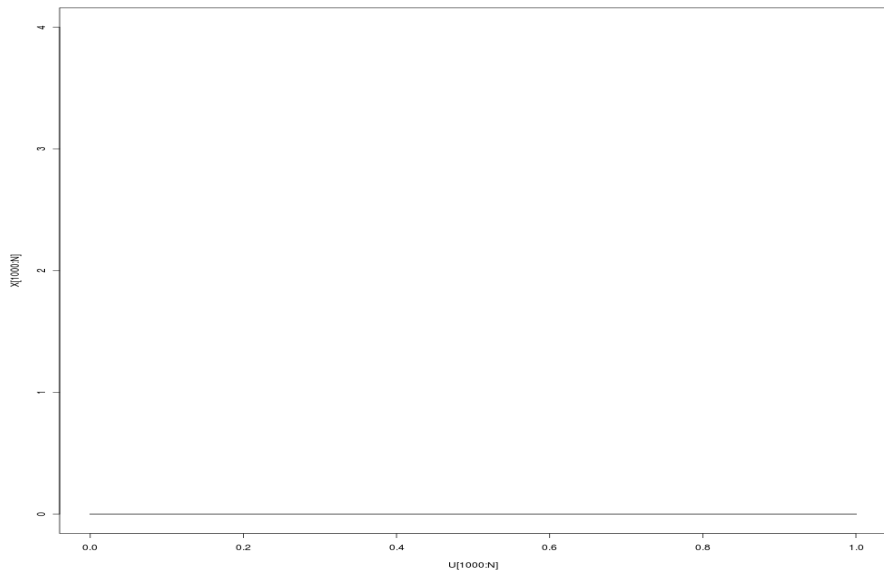
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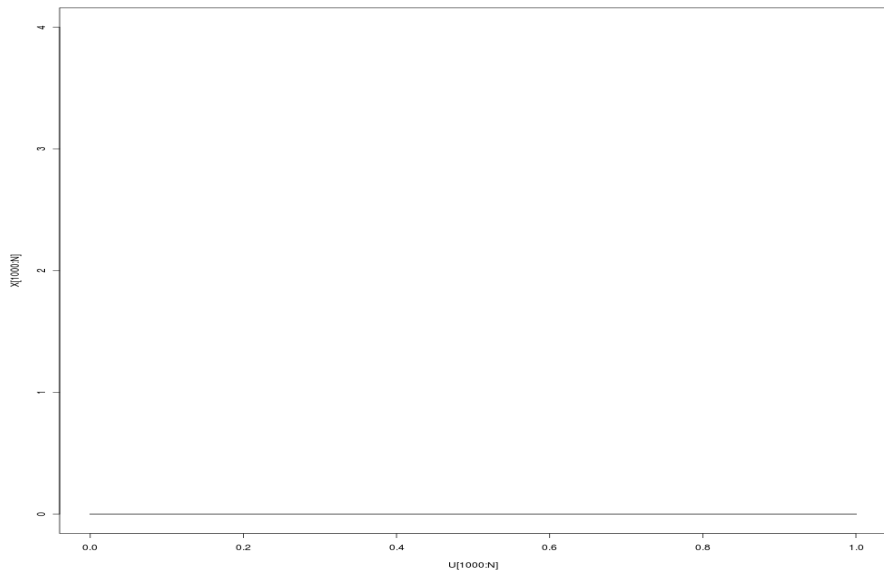
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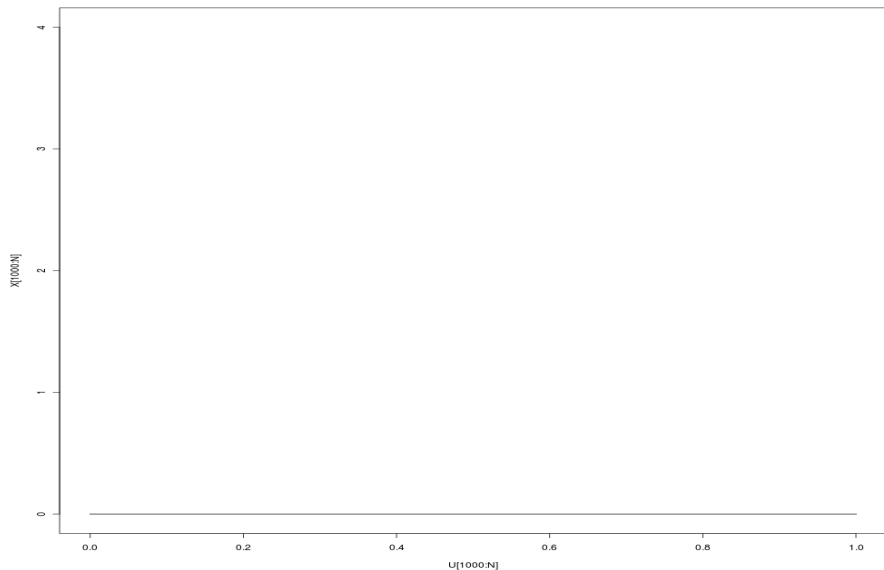
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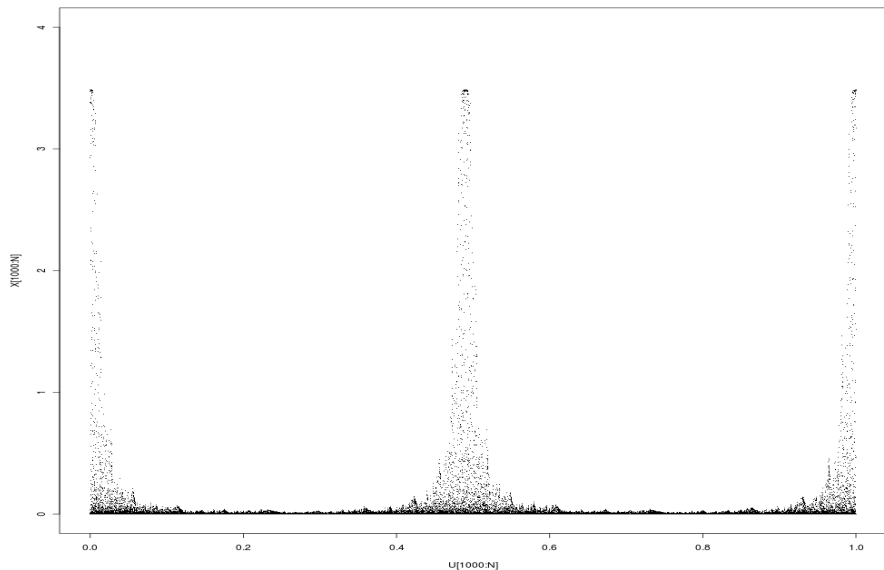


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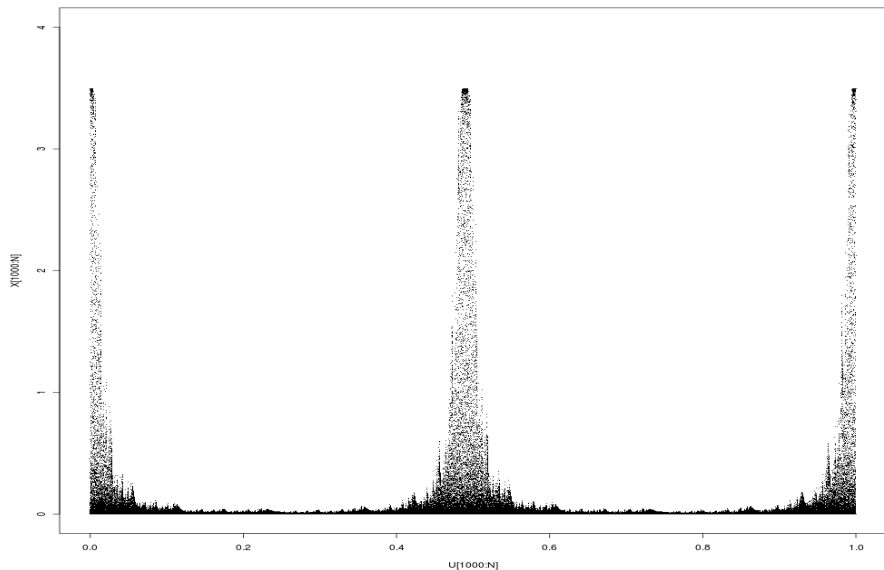




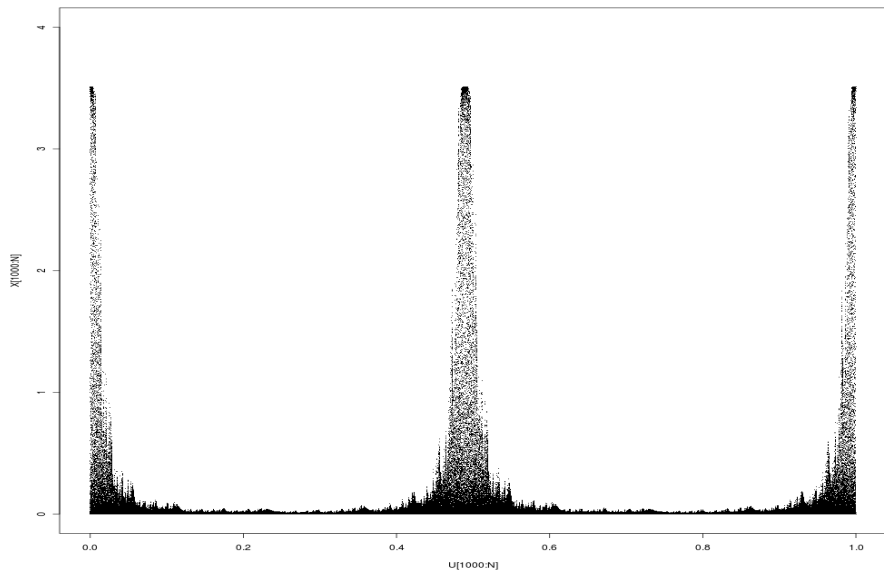
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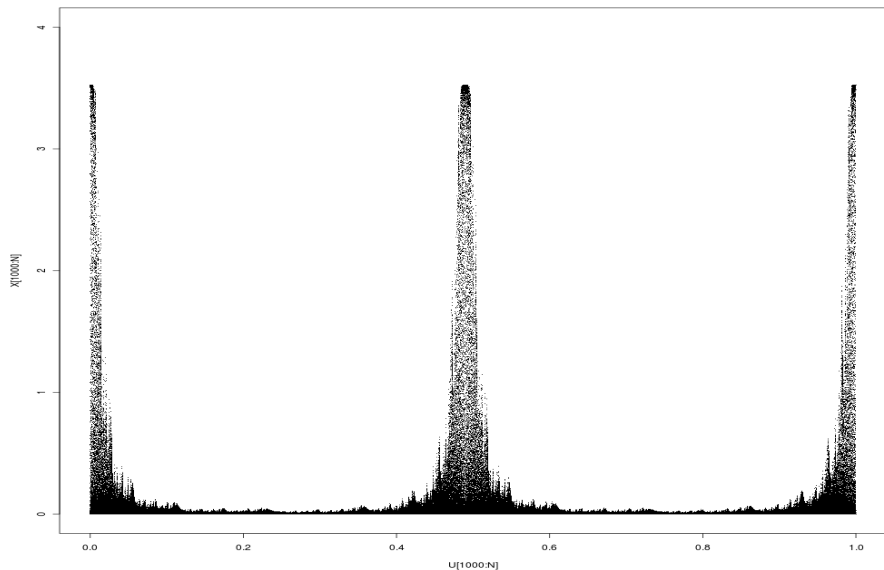
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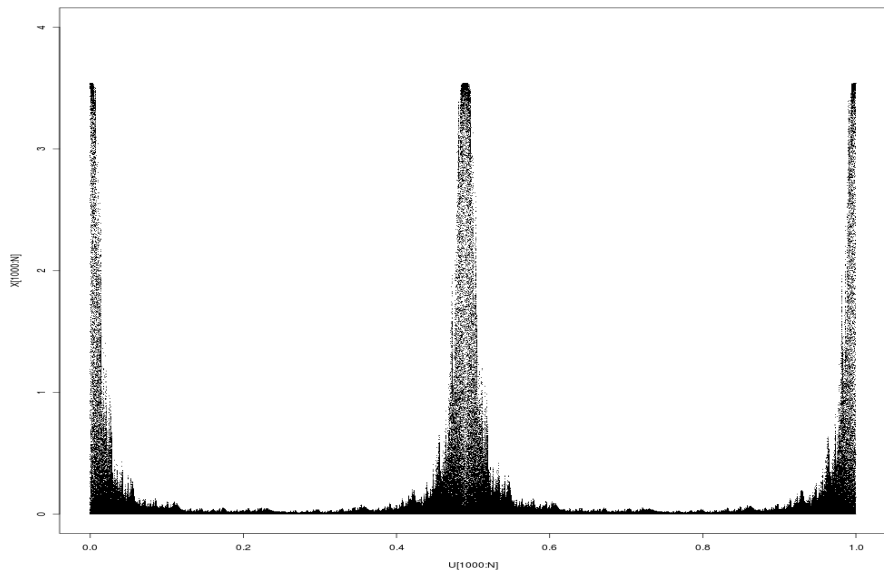
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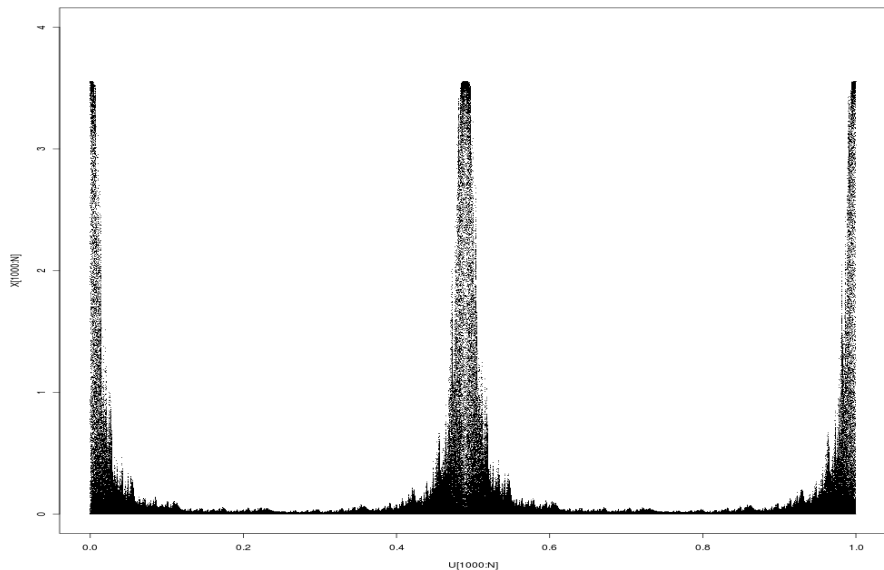
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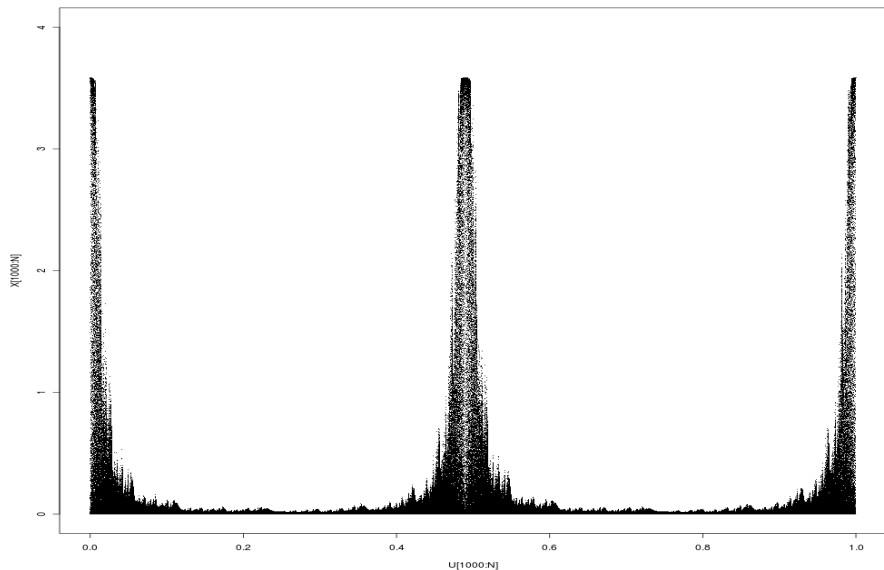
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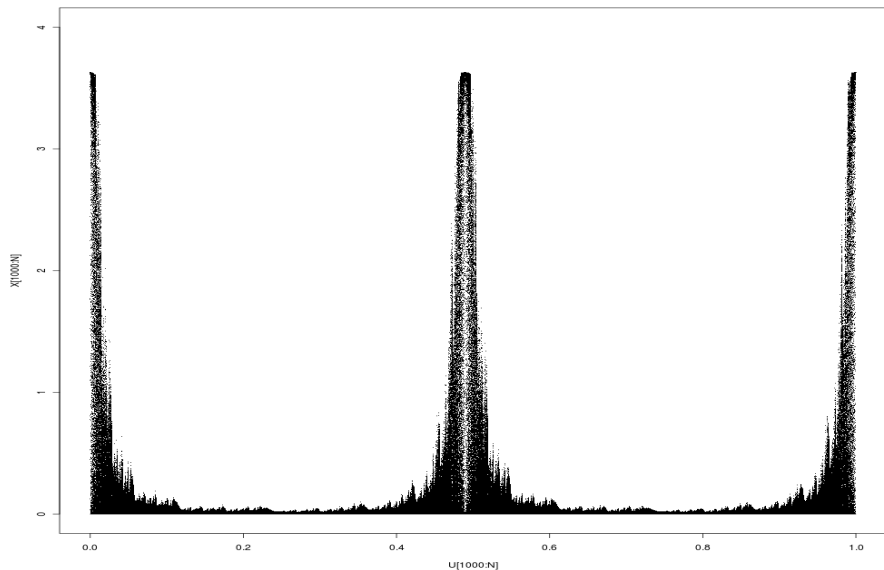
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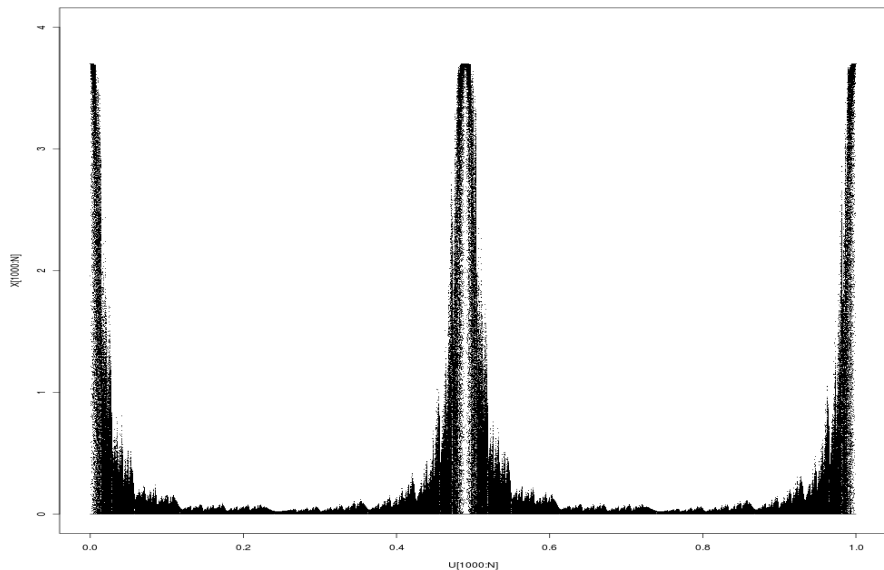


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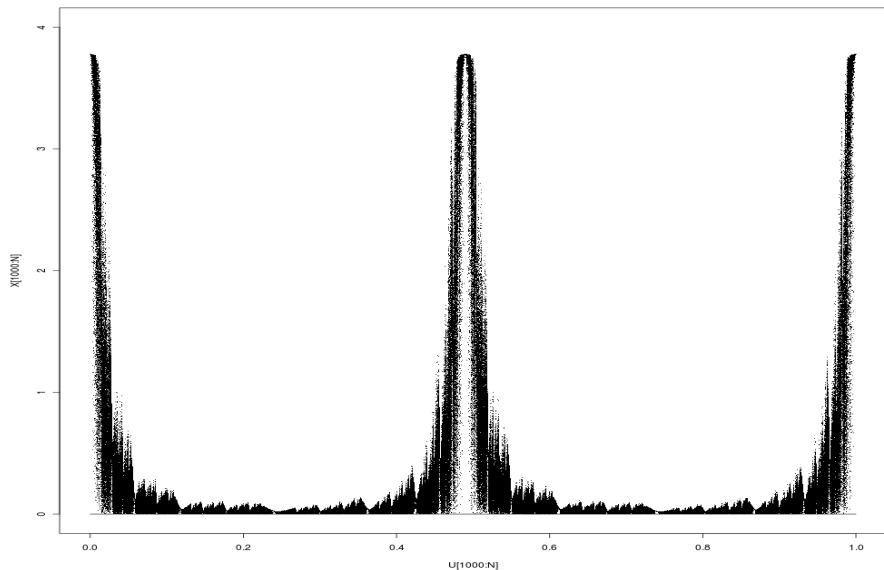




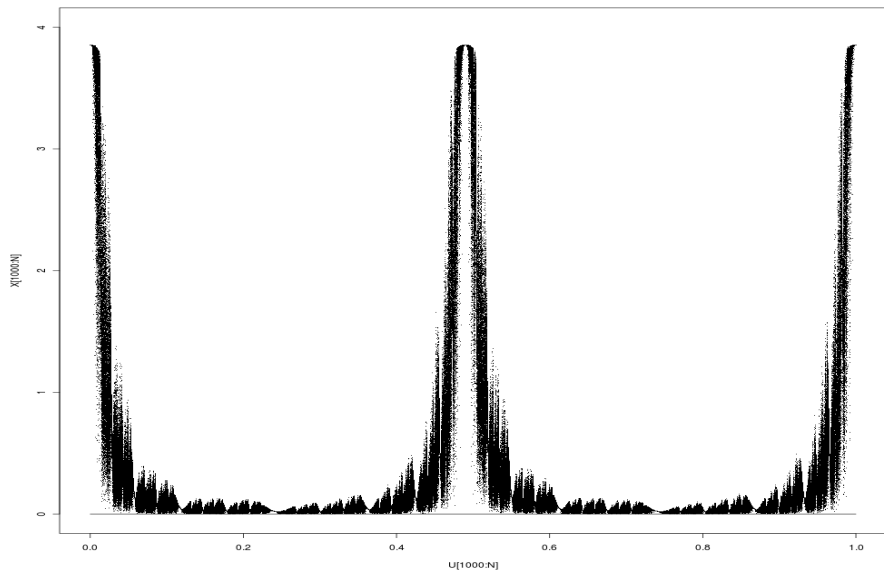
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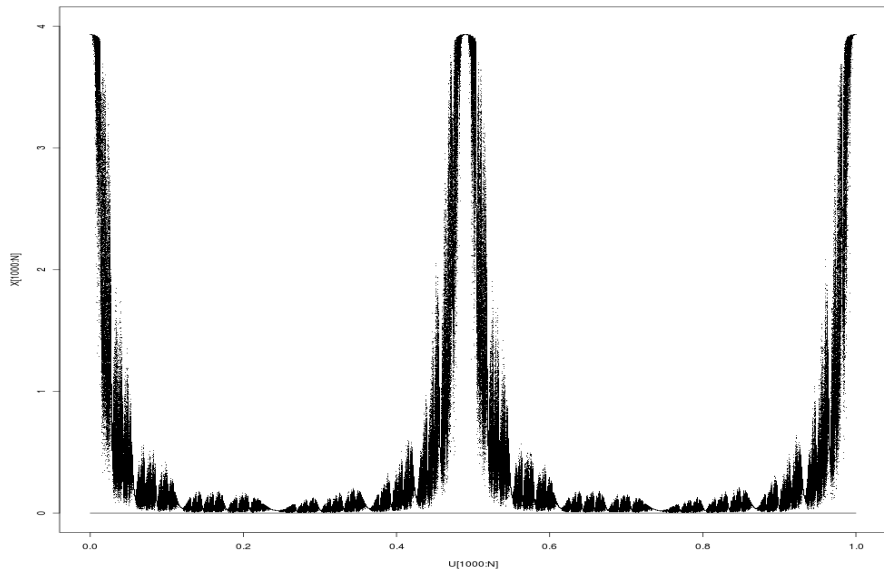
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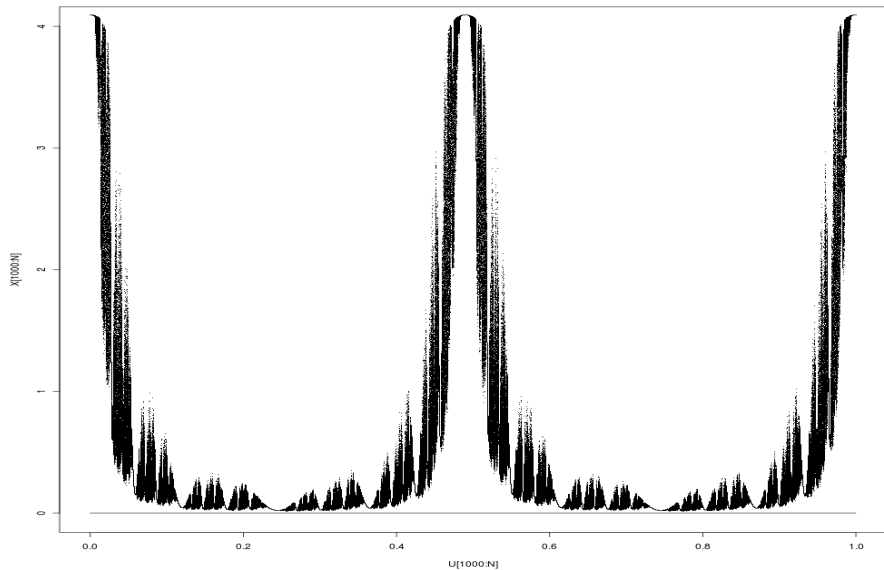
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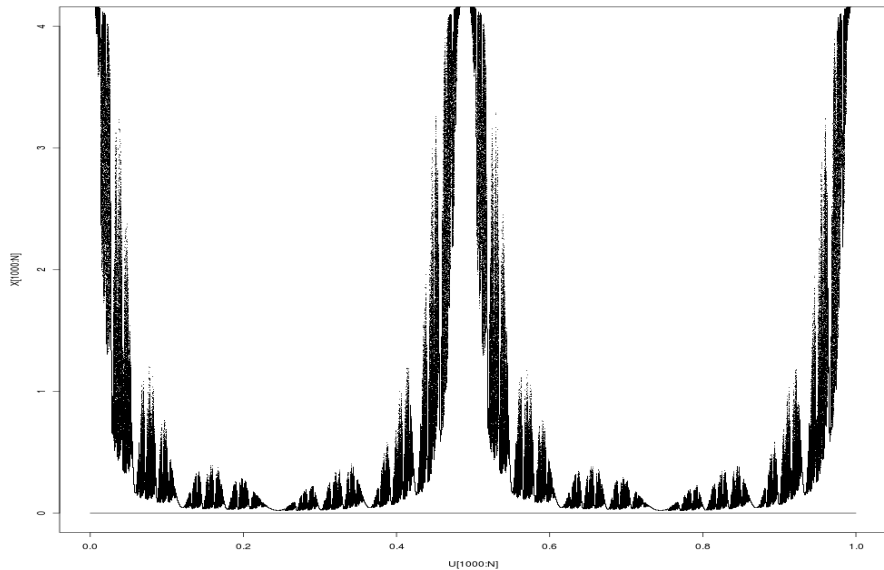
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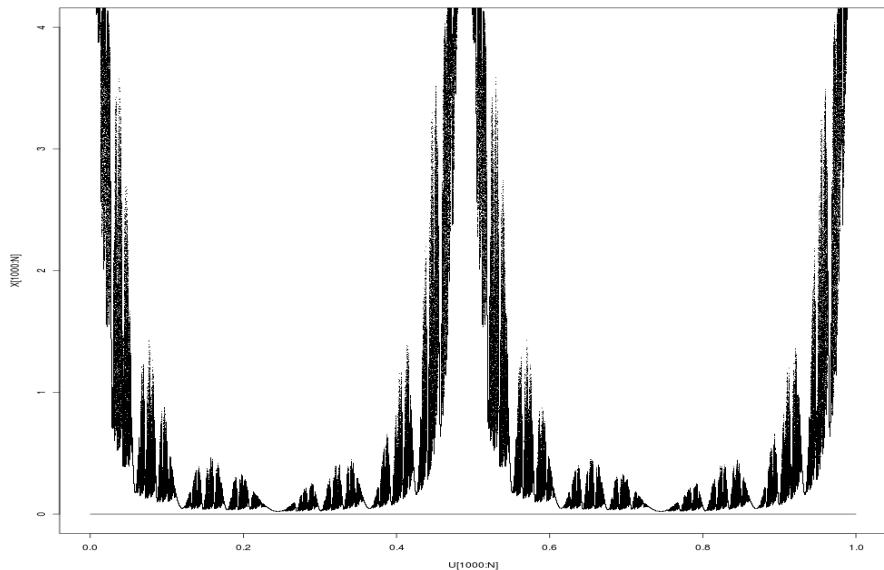
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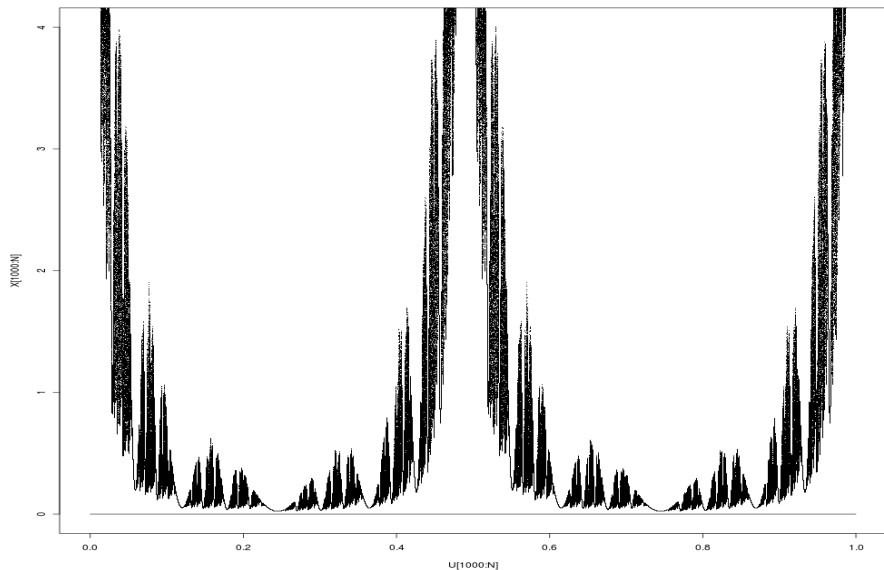
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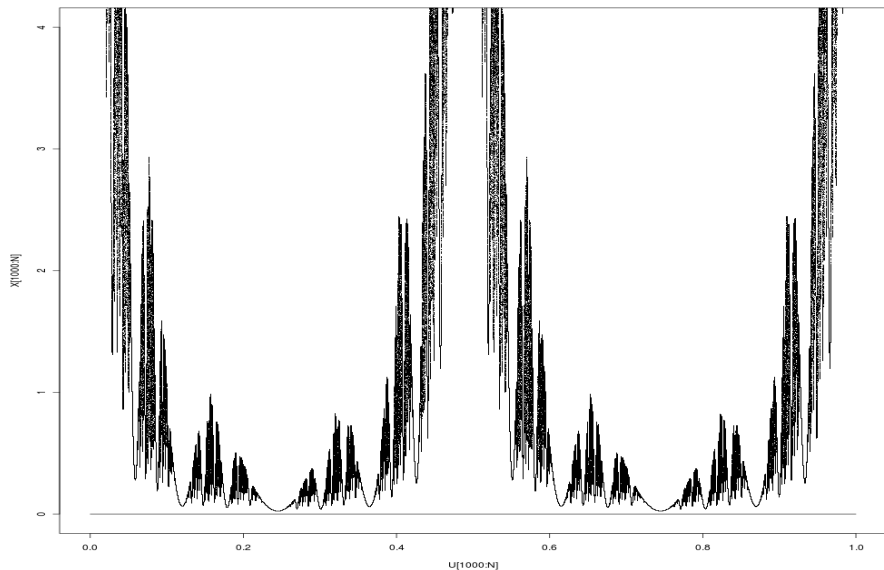


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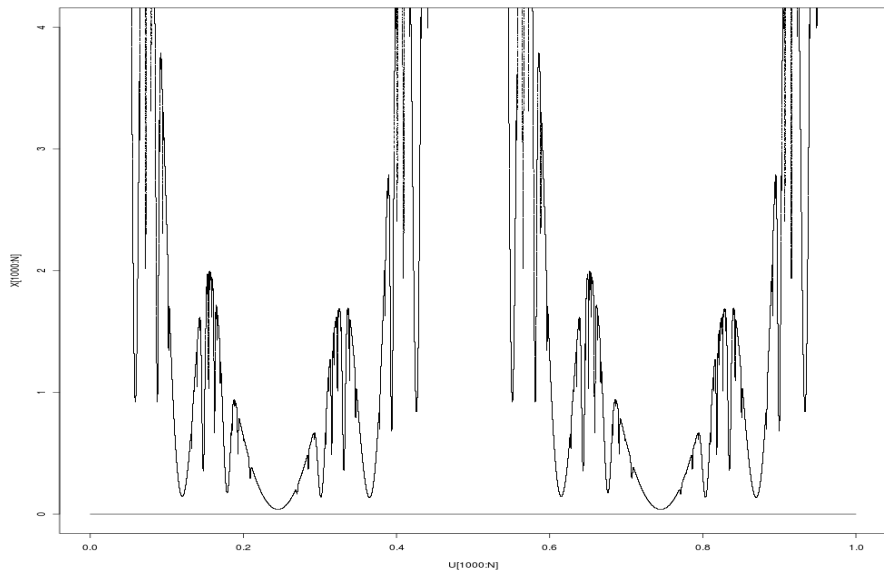




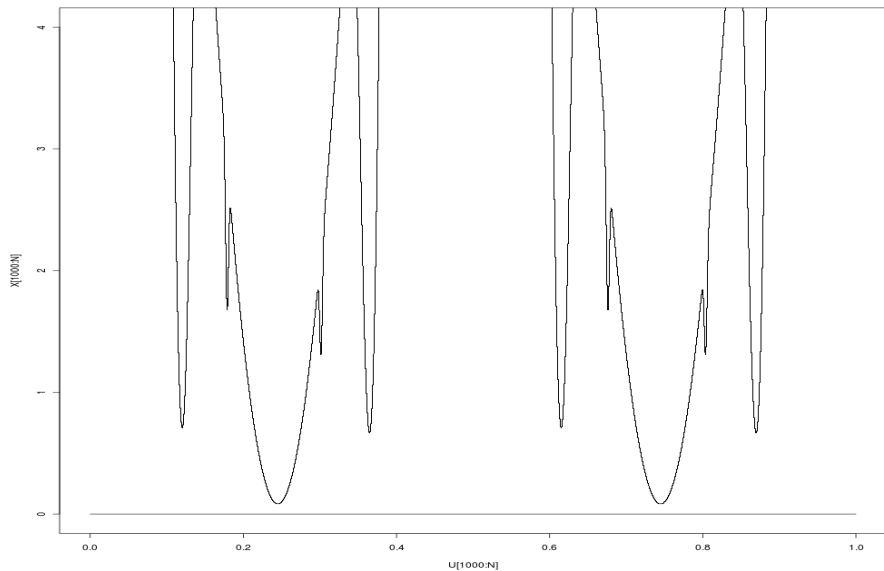
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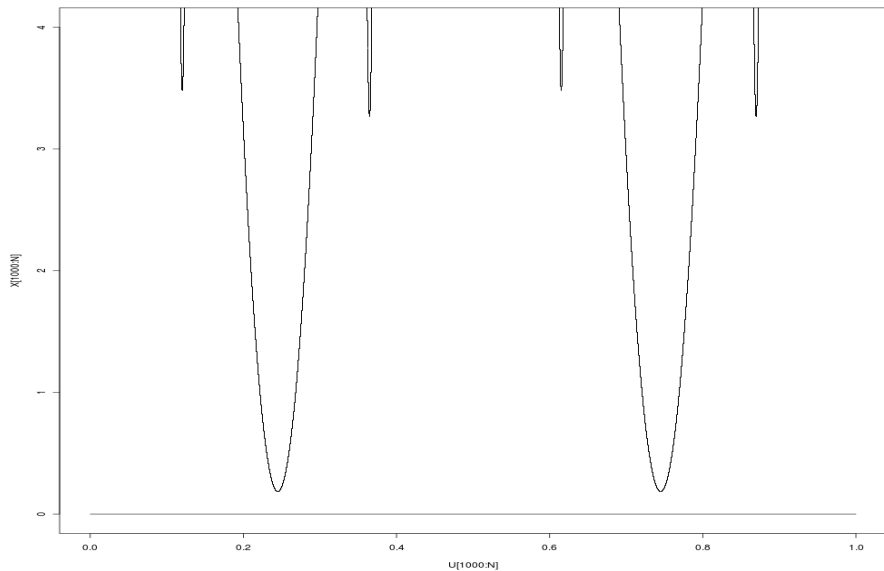
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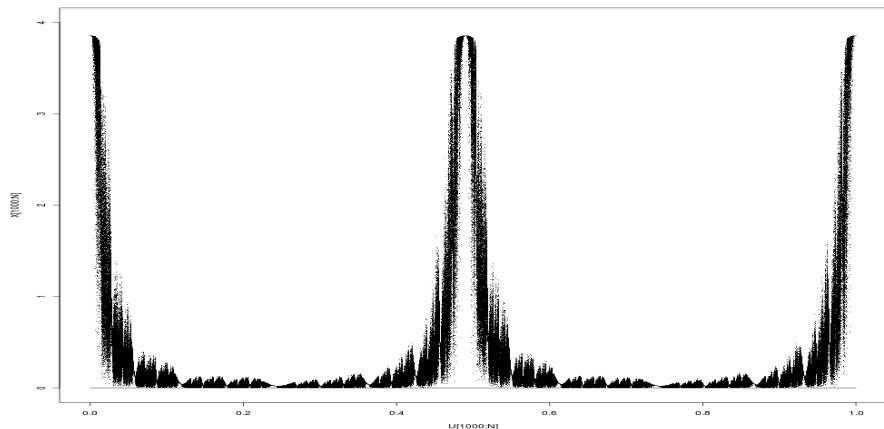
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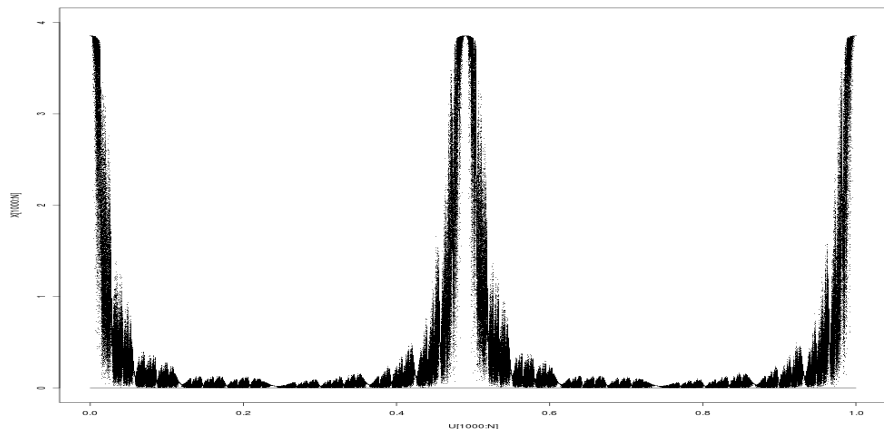


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- Scaling behaviour of  $\varphi_t$  close to 0-axis (global and local)?

## Characterization of $N_t$ [with Atsuya Otani, Erlangen]

- $N_t := \{\theta : \varphi_t(\theta) = 0\}$ , hence  $s < t \Rightarrow N_s \supseteq N_t$ .
- Critical parameter:  $t_c(\theta) = \sup\{t \in \mathbb{R} : \theta \in N_t\}$ .

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## Observe:

- $N_t$  consists of unstable fibres of  $S$  due to pullback construction of  $\varphi_t$ .

# Hausdorff dimension of $N_t$ and $C_t$ [with A. Otani, Erlangen]

- $\mu_{SRB}^-$ : SRB measure of  $S^{-1}$ 
  - ▶ absolutely continuous on unstable fibres of  $S^{-1}$
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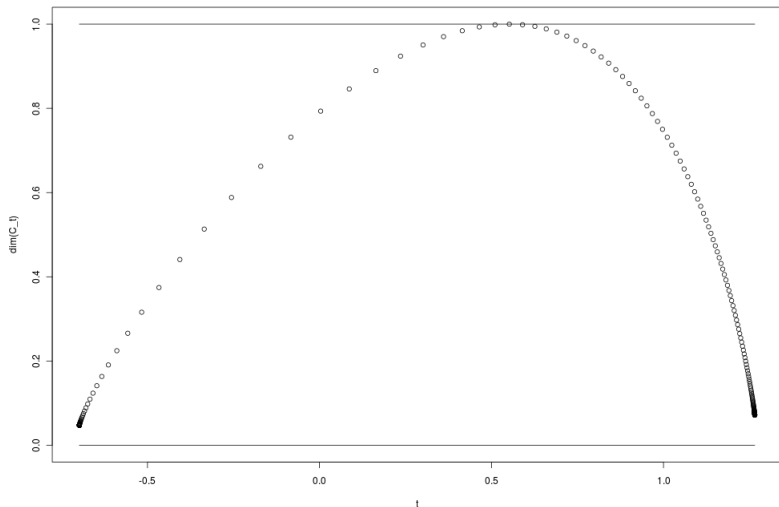
**Theorem 1** (for Anosov surface diffeo, baker)

$$\dim_H(C_t) = D(t) + 1$$

where  $D : (t_{min}, t_{max}) \rightarrow [0, 1]$  real analytic s.th.

$$D(t_c) = 1, \quad D'(t) \begin{cases} > 0 & (t_{min} < t < t_c) \\ < 0 & (t_c < t < t_{max}) \end{cases}, \quad D''(t_c) < 0.$$

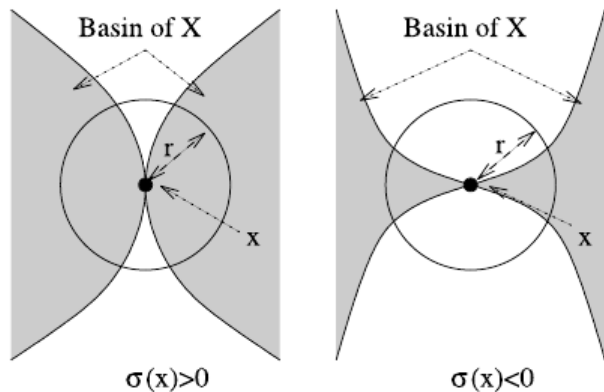
$D(t)$  for baker map and  $g(v) = 1 + \epsilon + \cos(2\pi v)$



$a = 0.45, \epsilon = 0.01$

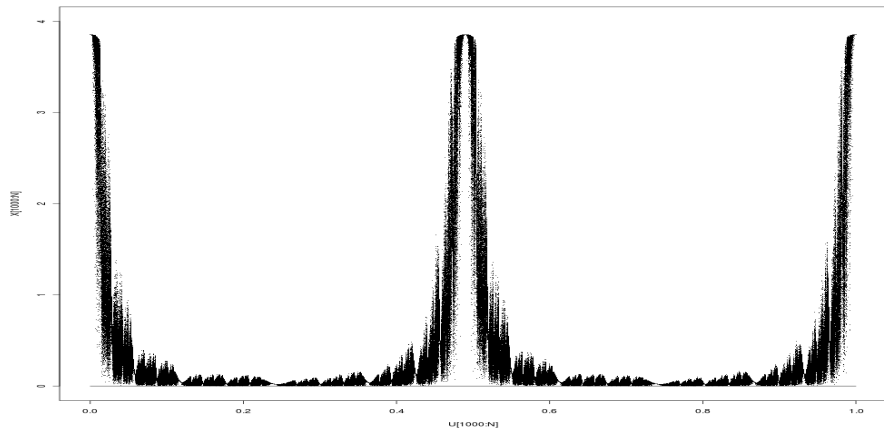
[with H. Jafri and R. Ramaswamy, New Delhi]

# The stability index [ $\rightarrow$ Podvignina/Ashwin 2011]

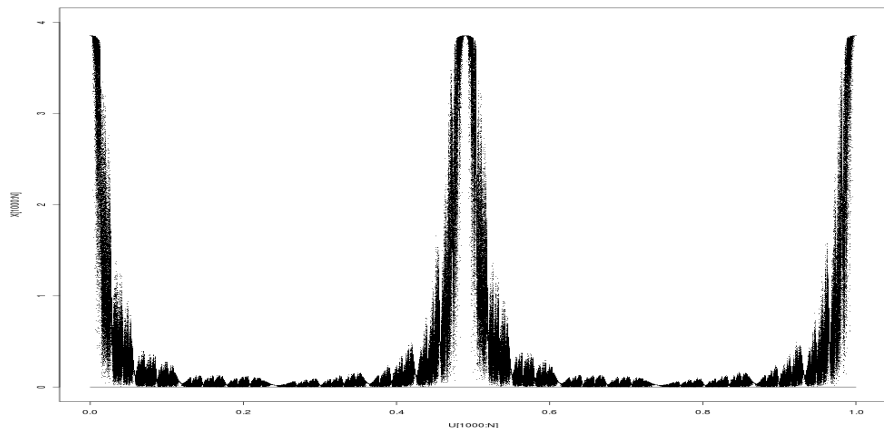


**Figure 1.** Schematic diagram illustrating how the stability index  $\sigma(x)$  of a point  $x \in X$  relates to the local geometry of the basin of attraction of  $X$  (shaded region). For  $\sigma(x) > 0$ , the measure of points in a ball of radius  $r$  that are in the complement of the basin goes to zero, relative the measure of the ball, as  $r^{|\sigma(x)|}$ . For  $\sigma(x) < 0$ , this estimate applies to the basin itself.

# The stability index for $t > t_c$



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- 1 Global scaling close to 0-line
- 2 Local scaling close to 0-line

## Global scaling: Anosov surface diffeo, baker

- $p_t(s) := \text{topological pressure}(\log |D_u S^{-1}| - s(\log g + t)) = p_0(s) - st$
- $p_t(0) = 0, p'_t(0) = -\mu_{SRB}^-(\log g + t) < 0$

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### Theorem 2

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② Let  $\Xi_\epsilon(t) := \frac{1}{\epsilon} \int_{\Theta} \min\{\varphi(\theta), \epsilon\} dm^2$ . Then

$$\lim_{\epsilon \rightarrow 0} \frac{\log(1 - \Xi_\epsilon(t))}{\log \epsilon} = s_*(t), \quad \lim_{\epsilon \rightarrow 0} \frac{\log \Xi_\epsilon(t)}{\log \epsilon} = 0$$

## Global scaling: Anosov surface diffeo, baker

- $p_t(s) := \text{topological pressure}(\log |D_u S^{-1}| - s(\log g + t)) = p_0(s) - st$
- $p_t(0) = 0$ ,  $p'_t(0) = -\mu_{SRB}^-(\log g + t) < 0$
- $\exists! s_*(t) > 0 : p_t(s_*(t)) = 0$

### Theorem 2

①

$$\lim_{\epsilon \rightarrow 0} \frac{\log m^2 \{\theta : \varphi_t(\theta) < \epsilon\}}{\log \epsilon} = s_*(t)$$

② Let  $\Xi_\epsilon(t) := \frac{1}{\epsilon} \int_{\Theta} \min\{\varphi(\theta), \epsilon\} dm^2$ . Then

$$\lim_{\epsilon \rightarrow 0} \frac{\log(1 - \Xi_\epsilon(t))}{\log \epsilon} = s_*(t), \quad \lim_{\epsilon \rightarrow 0} \frac{\log \Xi_\epsilon(t)}{\log \epsilon} = 0$$

**Proof:** Large deviations, motivated by papers on Loyne's exponent in queuing theory.

## Local scaling: Anosov surface diffeo, baker

$$\Sigma_\epsilon(\theta, t) := \frac{1}{\epsilon \cdot m^2(U_\epsilon(\theta))} \int_{U_\epsilon(\theta)} \min\{\varphi_t, \epsilon\} dm^2$$

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$$\Gamma(\theta) := \lim_{n \rightarrow \infty} \frac{1}{n} \sum_{k=1}^n \log g(S^{-k}\theta), \quad \Lambda(\theta) := \lim_{n \rightarrow \infty} \frac{1}{n} \log |D_u S^{-n}(\theta)|$$

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**Theorem 3** Let  $\theta \in \Theta$ . Suppose  $\Gamma(\theta)$  and  $\Lambda(\theta)$  exist.

① If  $\Lambda(\theta) + \Gamma(\theta) + t \geq 0$ , then

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② If  $\Lambda(\theta) + \Gamma(\theta) + t \leq 0$ , then

$$\sigma_-(\theta, t) = -\frac{\Lambda(\theta) + \Gamma(\theta) + t}{\Lambda(\theta)}, \quad \sigma_+(\theta, t) = 0$$