

Mathematical diffraction theory of deterministic and stochastic structures

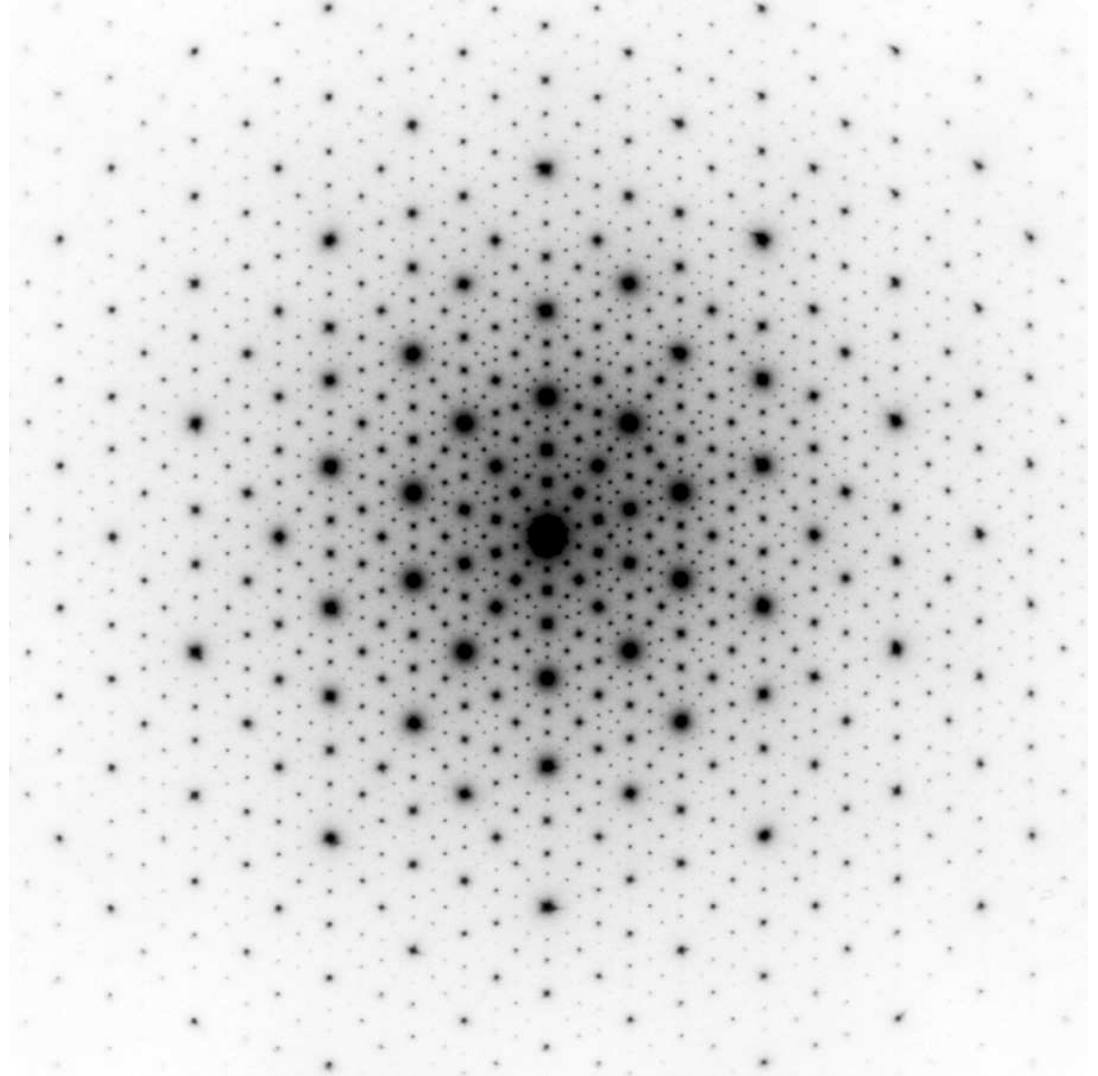
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Menue

- Diffraction theory
- PP spectra
 - Poisson formula
 - Model sets
- SC spectra
 - Cantor
 - Thue-Morse
- AC spectra
 - Bernoulli
 - Rudin-Shapiro
- Further Directions
 - Algebraic systems
 - Random systems



Diffraction theory

Structure: translation bounded measure ω
assumed 'amenable'

Autocorrelation: $\gamma = \gamma_\omega = \omega \circledast \widetilde{\omega} := \lim_{R \rightarrow \infty} \frac{\omega|_R * \widetilde{\omega}|_R}{\text{vol}(B_R)}$

Diffraction: $\widehat{\gamma} = \widehat{\gamma}_{\text{pp}} + \widehat{\gamma}_{\text{sc}} + \widehat{\gamma}_{\text{ac}}$ (relative to λ)

- pp: Bragg peaks
- ac: diffuse scattering with density
- sc: whatever remains ...

Diffraction theory, ctd

Setting: $\omega \rightsquigarrow \gamma = \omega \circledast \tilde{\omega} \rightsquigarrow \hat{\gamma} \not\rightsquigarrow \omega$

Dirac comb on \mathbb{Z} :

$$\omega = \sum_{n \in \mathbb{Z}} w(n) \delta_n \rightsquigarrow \gamma = \sum_{m \in \mathbb{Z}} \eta(m) \delta_m$$

Autocorrelation coefficients:

$$\eta(m) = \lim_{N \rightarrow \infty} \frac{1}{2N+1} \sum_{n=-N}^N w(n) \overline{w(n-m)}$$

(similar for lattices)

Pure point spectra

Point measures: δ_x , $\delta_S := \sum_{x \in S} \delta_x$

Poisson summation formula: $\widehat{\delta}_\Gamma = \text{dens}(\Gamma) \delta_{\Gamma^*}$

for lattice Γ , dual lattice Γ^*

Perfect crystals: $\omega = \mu * \delta_\Gamma$ (μ finite)

$$\implies \gamma = \text{dens}(\Gamma) (\mu * \tilde{\mu}) * \delta_\Gamma$$

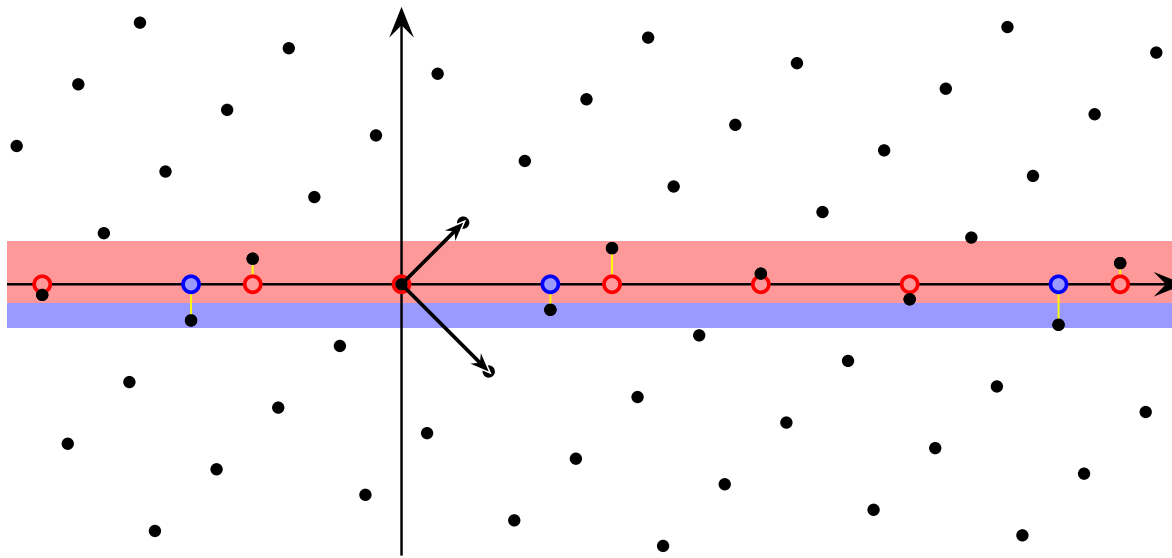
$$\implies \widehat{\gamma} = (\text{dens}(\Gamma))^2 |\widehat{\mu}|^2 \delta_{\Gamma^*}$$

pure point

Pure point spectra, ctd

Silver mean substitution: $a \mapsto aba, b \mapsto a$ ($\lambda_{\text{PF}} = 1 + \sqrt{2}$)

Silver mean point set: $\Lambda = \{x \in \mathbb{Z}[\sqrt{2}] \mid x' \in [-\frac{\sqrt{2}}{2}, \frac{\sqrt{2}}{2}]\}$



Pure point spectra, ctd

CPS:

$$\begin{array}{ccccc}
 \mathbb{R}^d & \xleftarrow{\pi} & \mathbb{R}^d \times \mathbb{R}^m & \xrightarrow{\pi_{\text{int}}} & \mathbb{R}^m \\
 \cup & & \cup & & \cup \text{ dense} \\
 \pi(\mathcal{L}) & \xleftarrow{1-1} & \mathcal{L} & \longrightarrow & \pi_{\text{int}}(\mathcal{L}) \\
 \parallel & & & & \parallel \\
 L & \xrightarrow{\quad \star \quad} & & & L^*
 \end{array}$$

Model set:

$$\Lambda = \{x \in L \mid x^* \in W\} \quad (\text{assumed regular})$$

with $W \subset \mathbb{R}^m$ compact, $\lambda(\partial W) = 0$

Diffraction:

$$\widehat{\gamma} = \sum_{k \in L^{\circledast}} |A(k)|^2 \delta_k \quad \text{pure point !!} \quad (\omega = \delta_{\Lambda})$$

with $L^{\circledast} = \pi(\mathcal{L}^*)$ (Fourier module of Λ)

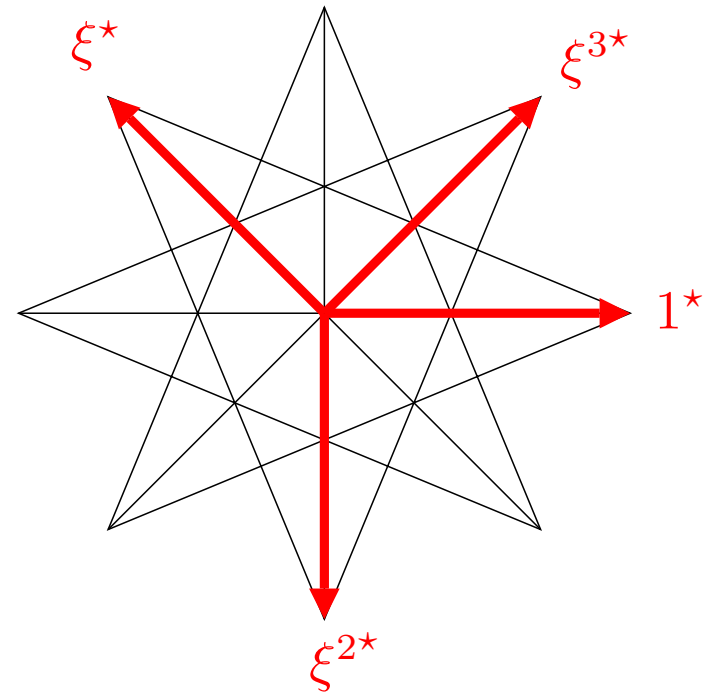
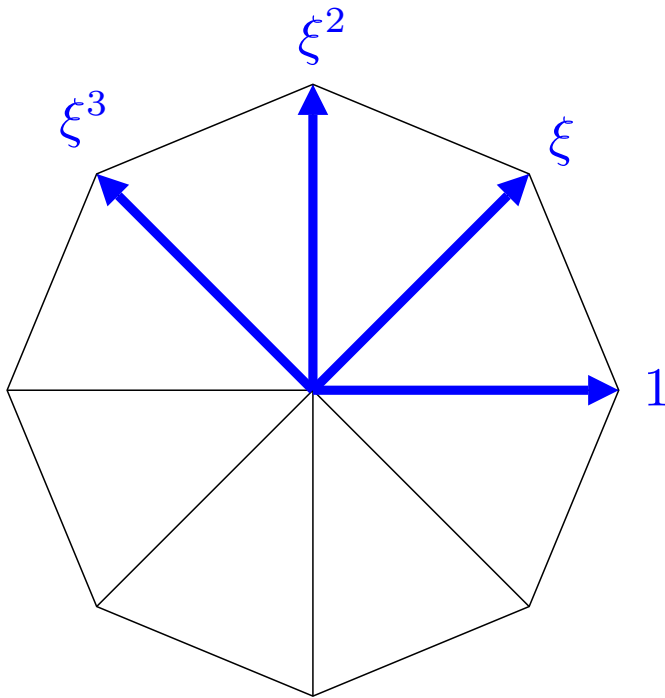
and amplitude $A(k) = \frac{\text{dens}(\Lambda)}{\text{vol}(W)} \widehat{1_W}(-k^*)$

Example: Ammann-Beenker

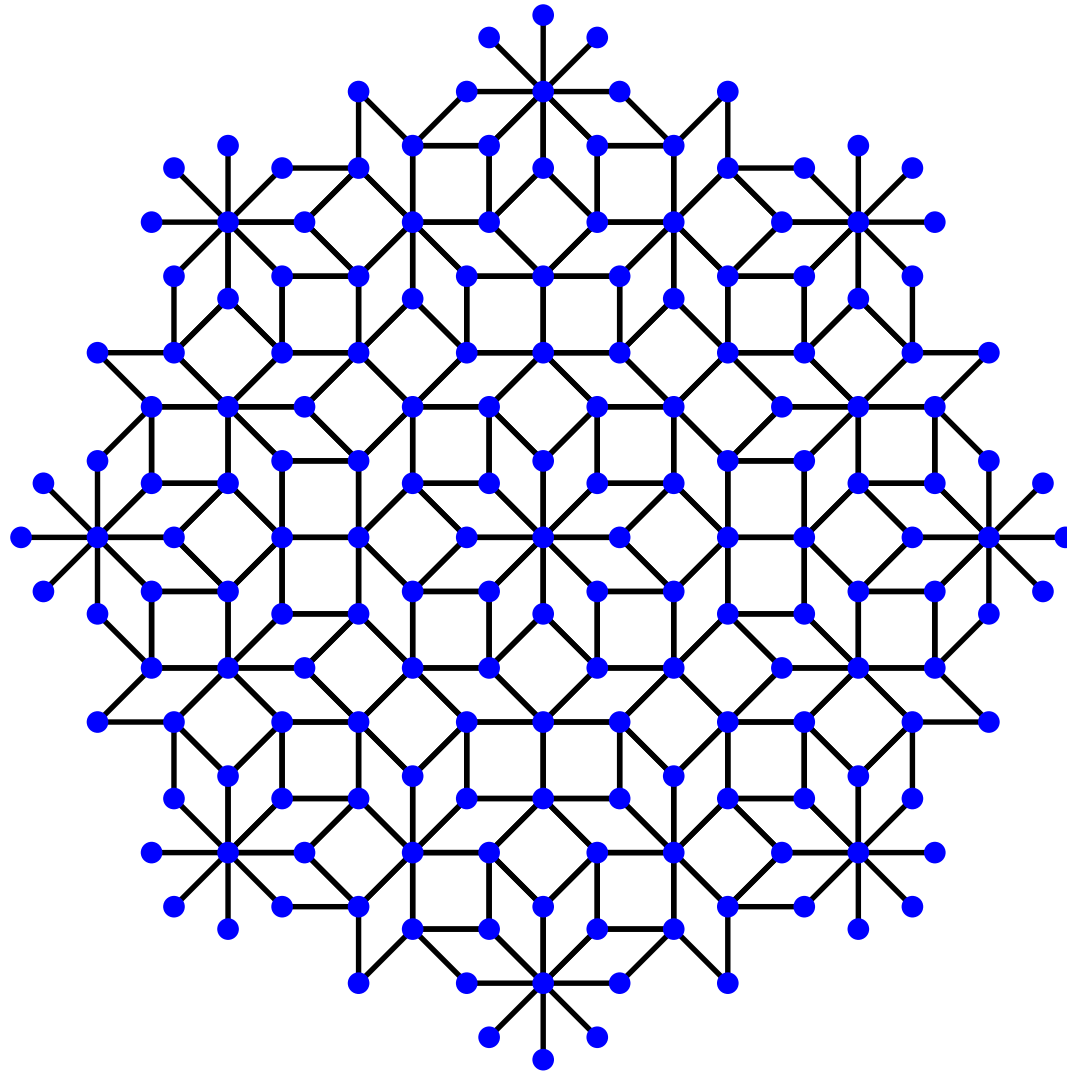
$$L = \mathbb{Z}[\xi] \quad \mathcal{L} \sim \mathbb{Z}^4 \subset \mathbb{R}^2 \times \mathbb{R}^2 \quad O: \text{octagon}$$

$$\xi = \exp(2\pi i/8) \quad \phi(8) = 4 \quad \star\text{-map: } \xi \mapsto \xi^3$$

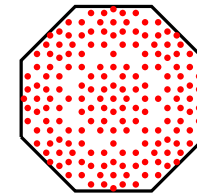
$$\Lambda_{AB} = \{x \in \mathbb{Z}1 + \mathbb{Z}\xi + \mathbb{Z}\xi^2 + \mathbb{Z}\xi^3 \mid x^* \in O\}$$



Example: Ammann-Beenker

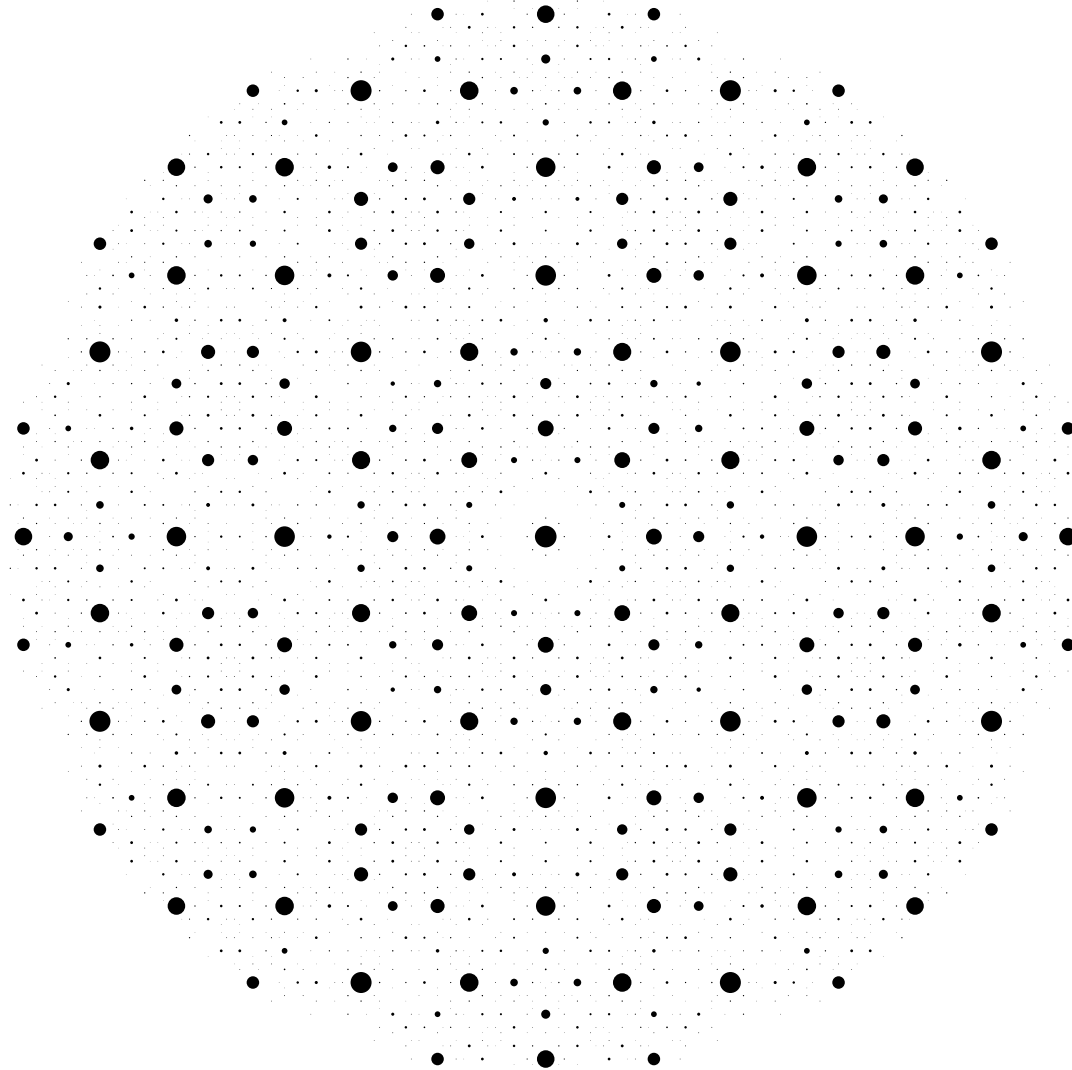


physical space



internal space

Example: Ammann-Beenker



Interlude: Homometry

Problem: distinct structures with identical autocorrelation

Example 1: $\delta_{6\mathbb{Z}} * \sum_{j=0}^5 c_j \delta_j$

j	0	1	2	3	4	5
c_j	11	25	42	45	31	14
c_j	10	21	39	46	35	17

same correlations up to order 5 (Grünbaum & Moore)

Interlude: Homometry

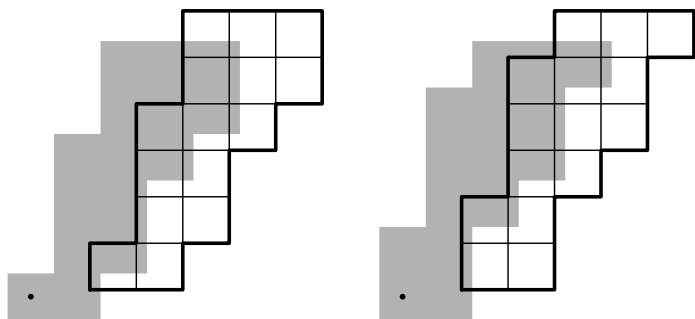
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Example 1: $\delta_{6\mathbb{Z}} * \sum_{j=0}^5 c_j \delta_j$

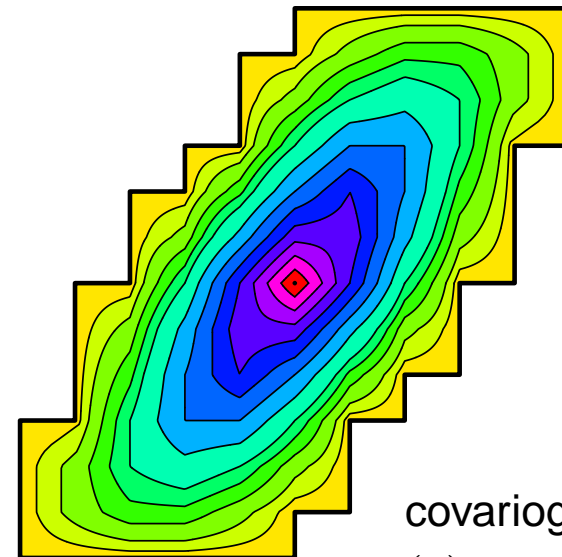
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Example 2: homometric models sets with distinct windows

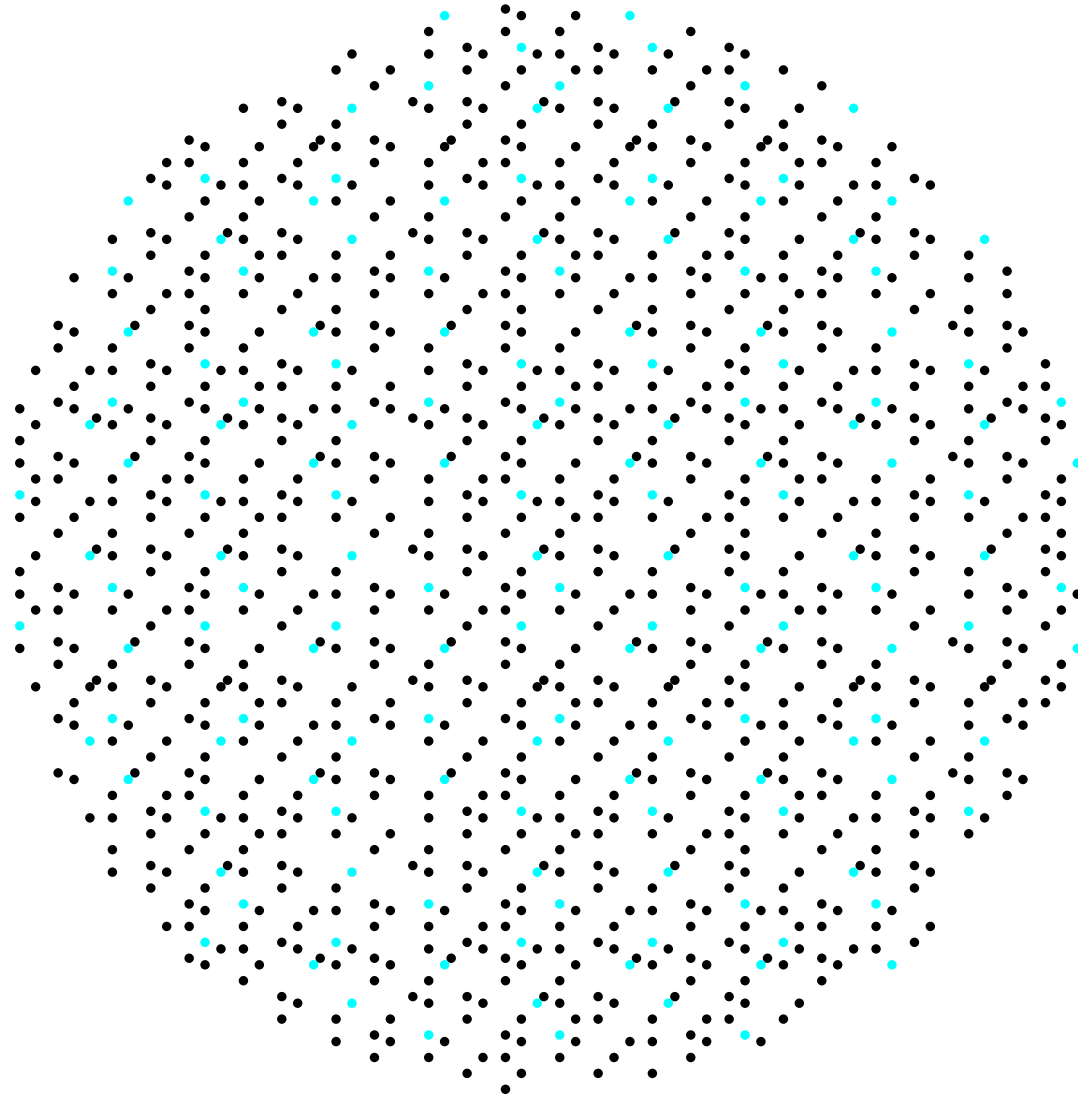


windows

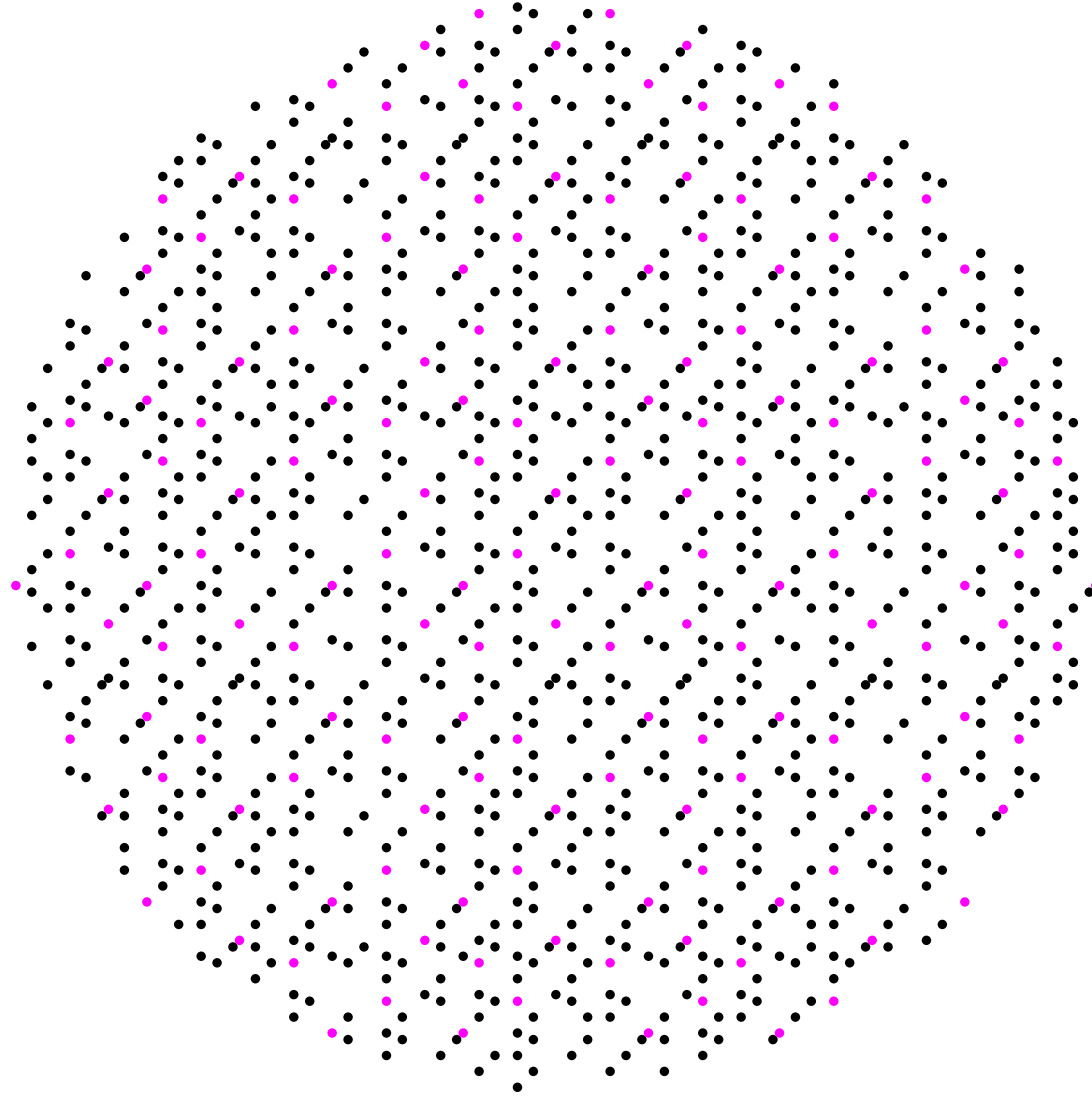


covariogram
 $\eta(z) \sim \text{cvg}(z^*)$

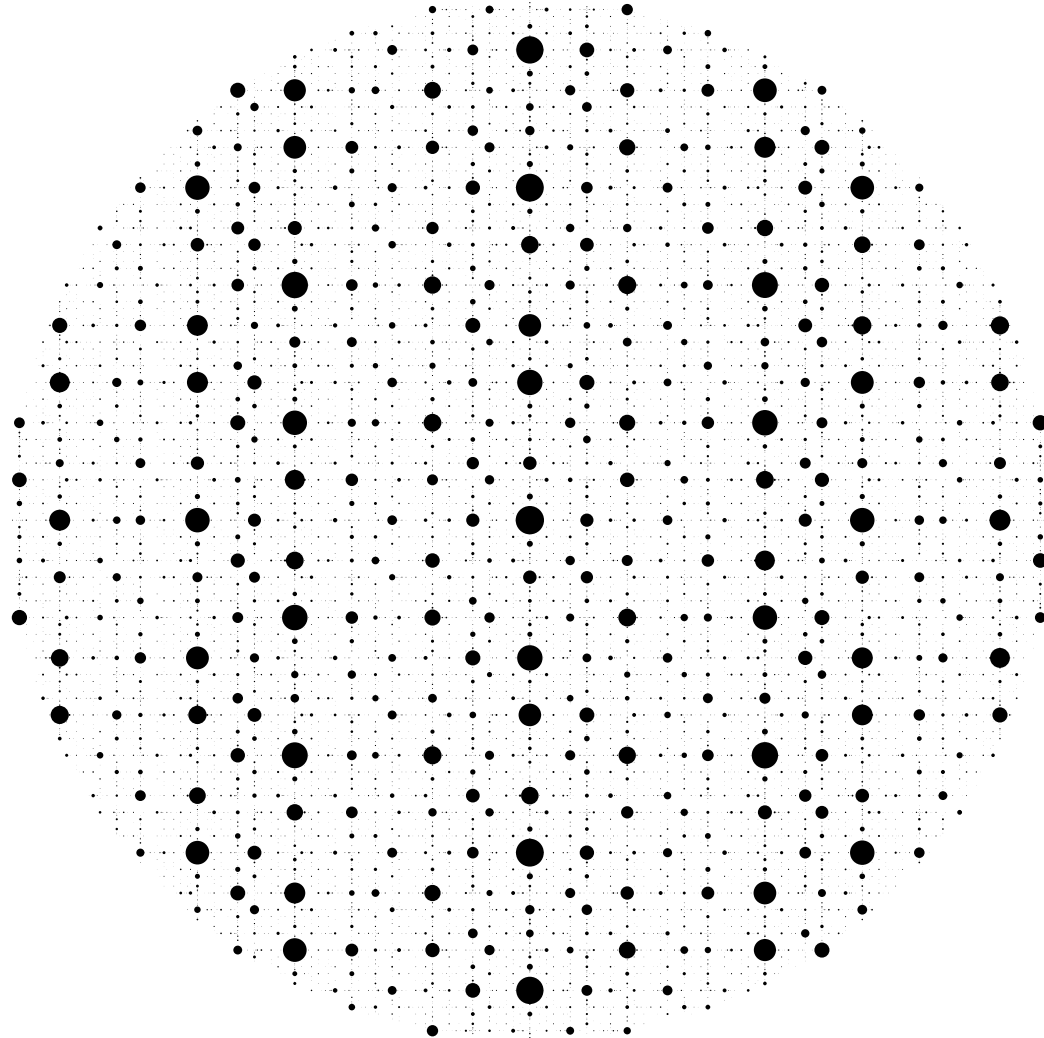
Interlude: Homometry



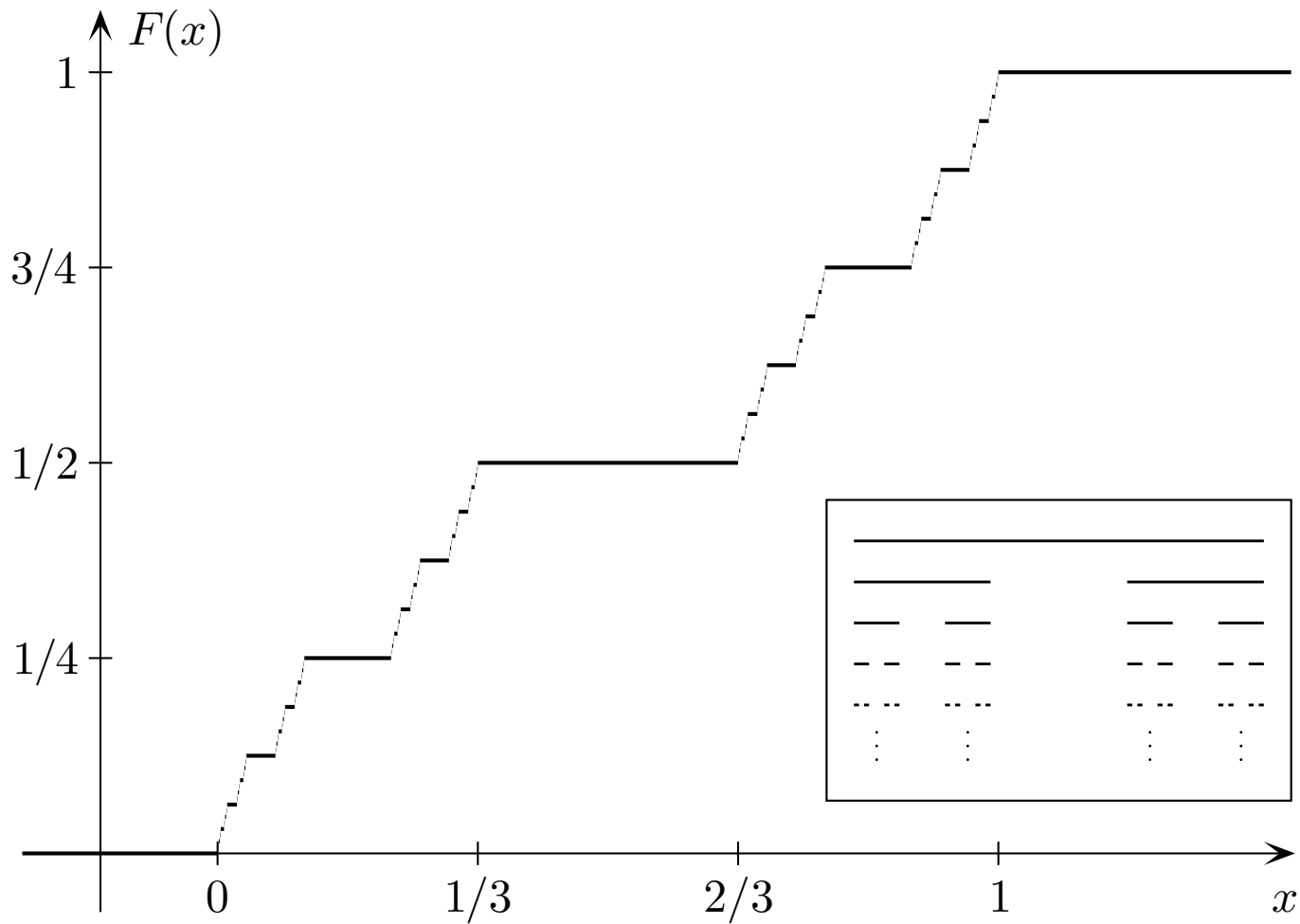
Interlude: Homometry



Interlude: Homometry



Singular spectra



Thue-Morse chain

Substitution: $\varrho : \begin{array}{l} 1 \mapsto 1\bar{1} \\ \bar{1} \mapsto \bar{1}1 \end{array} \quad (\bar{1} \hat{=} -1)$

Iteration and fixed point:

$1 \mapsto 1\bar{1} \mapsto 1\bar{1}\bar{1}1 \mapsto 1\bar{1}\bar{1}1\bar{1}11\bar{1} \mapsto \dots \longrightarrow v = \varrho(v) = v_0v_1v_2v_3 \dots$

- $v_{2i} = v_i$ and $v_{2i+1} = \bar{v}_i$
- $v_i = (-1)^{\text{sum of the binary digits of } i}$
- v is (strongly) cube-free
- hull of v is aperiodic and strictly ergodic

Two-sided version: $w_i = \begin{cases} v_i, & \text{for } i \geq 0 \\ v_{-i-1}, & \text{for } i < 0 \end{cases}$

TM: Autocorrelation

Structure: $\gamma = \sum_{m \in \mathbb{Z}} \eta(m) \delta_m = \eta \delta_{\mathbb{Z}}$

with $\eta(m) = \lim_{n \rightarrow \infty} \frac{1}{n} \sum_{i=0}^{n-1} v_i v_{i+m}$

and $\eta(-m) = \eta(m)$ for $m \geq 0$

Recursion: $\eta(0) = 1, \eta(1) = -\frac{1}{3}$ and, for all $m \geq 0$,

$$\eta(2m) = \eta(m)$$

and

$$\eta(2m + 1) = -\frac{1}{2}(\eta(m) + \eta(m + 1))$$

(also valid for all $m \in \mathbb{Z}$)

Observation: $\text{supp}(\gamma) \subset \mathbb{Z} \implies \delta_1 * \hat{\gamma} = \hat{\gamma}$

Diffraction: Absence of pp part

$$\boxed{\widehat{\gamma} = \mu * \delta_{\mathbb{Z}}} \quad \text{with } \mu = \widehat{\gamma}|_{[0,1)} \quad \text{and} \quad \eta(m) = \int_0^1 e^{2\pi i m y} d\mu(y)$$

(Herglotz-Bochner)

Wiener's criterion: $\mu_{pp} = 0 \iff \Sigma(N) = o(N)$

where $\Sigma(N) = \sum_{m=-N}^N (\eta(m))^2$

Argument: $\Sigma(4N) \leq \frac{3}{2} \Sigma(2N)$ (by recursion for η)

$$\implies \boxed{\mu = \mu_{\text{cont}} = \mu_{\text{sc}} + \mu_{\text{ac}}}$$

Define: $F(x) = \mu([0, x])$ for $x \in [0, 1]$, where $F = F_{\text{ac}} + F_{\text{sc}}$

Diffraction: Absence of ac part

Functional relation:

$$\begin{aligned}dF\left(\frac{x}{2}\right) + dF\left(\frac{x+1}{2}\right) &= dF(x) \\dF\left(\frac{x}{2}\right) - dF\left(\frac{x+1}{2}\right) &= -\cos(\pi x) dF(x)\end{aligned}$$

valid for F_{ac} and F_{sc} separately ($\mu_{ac} \perp \mu_{sc}$)

Define: $\eta_{ac}(m) = \int_0^1 e^{2\pi imx} dF_{ac}(x)$

\curvearrowright same recursion as for $\eta(m)$, but $\eta_{ac}(0)$ free

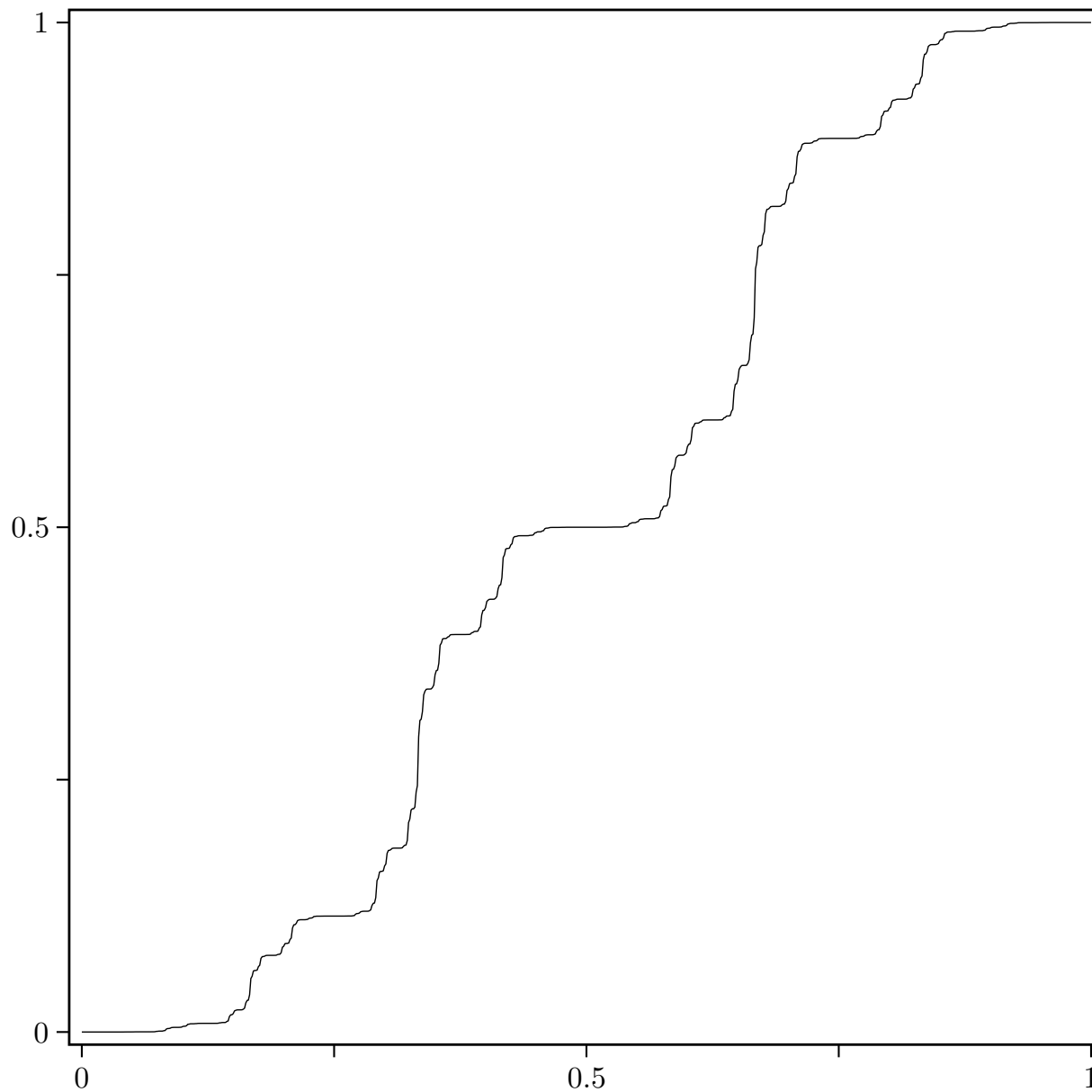
Riemann-Lebesgue lemma: $\lim_{m \rightarrow \pm\infty} \eta_{ac}(m) = 0$

$\implies \eta_{ac}(0) = 0 \implies \eta_{ac}(m) \equiv 0 \implies F_{ac} = 0$

(Fourier uniqueness thm)

Theorem: $\mu = \mu_{sc}$ and $\hat{\gamma}$ is purely sc.

TM measure



Fourier series and Volterra iteration

Functional equation: $F(1 - x) + F(x) = 1$ on $[0, 1]$ and

$$F(x) = \frac{1}{2} \int_0^{2x} (1 - \cos(\pi y)) dF(y) \quad \text{for } x \in [0, \frac{1}{2}]$$

$$\implies \boxed{F(x) = x + \sum_{m \geq 1} \frac{\eta(m)}{m\pi} \sin(2\pi m x)}$$

uniform
convergence

Define: $F_0(x) = x$ and

$$F_{n+1}(x) = \frac{1}{2} \int_0^{2x} (1 - \cos(\pi y)) F'_n(y) dy$$

for $n \geq 0$ and $x \in [0, \frac{1}{2}]$,

extension to $[0, 1]$ by symmetry

Generalised Morse sequences

Substitution: $\varrho : \begin{array}{l} 1 \mapsto 1^k \bar{1}^\ell \\ \bar{1} \mapsto \bar{1}^k 1^\ell \end{array} \quad (\text{with } k, \ell \in \mathbb{N})$

Fixed point: $v_0 = 1, \quad v_{m(k+\ell)+r} = \begin{cases} v_m, & \text{if } 0 \leq r < k \\ \bar{v}_m, & \text{if } k \leq r < k + \ell \end{cases}$

Coefficients: $\eta(0) = 1, \quad \eta(\pm 1) = \frac{k+\ell-3}{k+\ell+1}, \quad \text{and}$

$$\eta((k + \ell)m + r) = \frac{1}{k+\ell} (\alpha_{k,\ell,r} \eta(m) + \alpha_{k,\ell,k+\ell-r} \eta(m + 1))$$

with $m \in \mathbb{Z}, \quad 0 \leq r \leq k + \ell - 1, \quad \text{and}$

$$\alpha_{k,\ell,r} = k + \ell - r - 2 \min(k, \ell, r, k + \ell - r)$$

Generalised Morse sequences

Fourier series: $F(x) = \widehat{\gamma}([0, x])$

$$= x + \sum_{m \geq 1} \frac{\eta(m)}{m \pi} \sin(2\pi m x)$$

(uniform convergence)

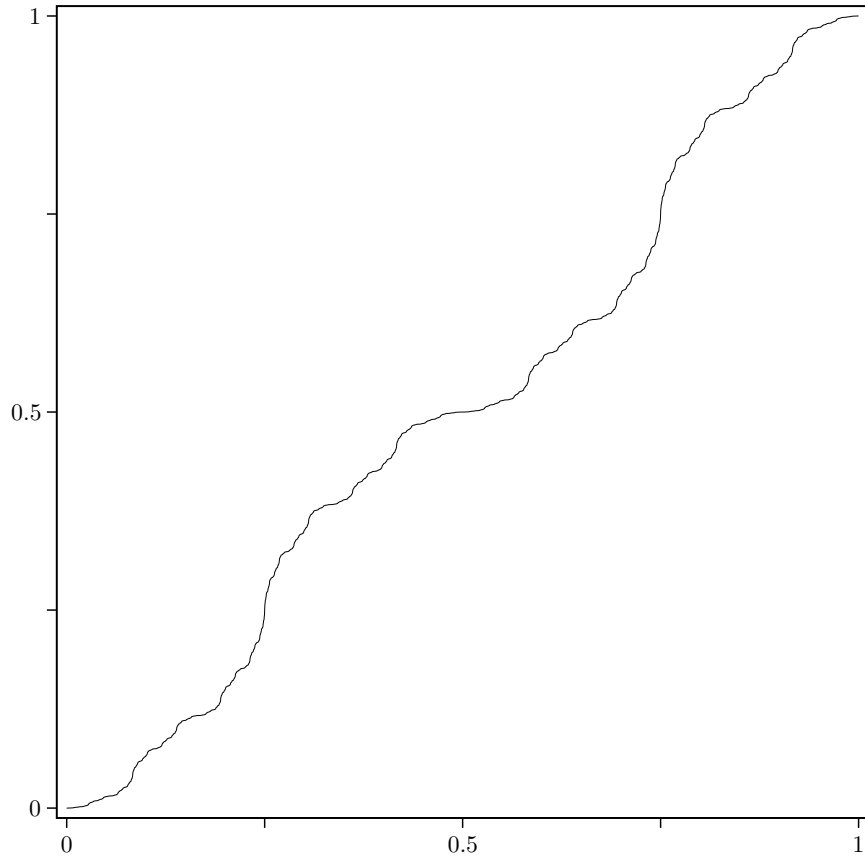
Riesz product: $\prod_{n \geq 0} \vartheta((k + \ell)^n x)$ with

$$\vartheta(x) = 1 + \frac{2}{k + \ell} \sum_{r=1}^{k+\ell-1} \alpha_{k,\ell,r} \cos(2\pi r x)$$

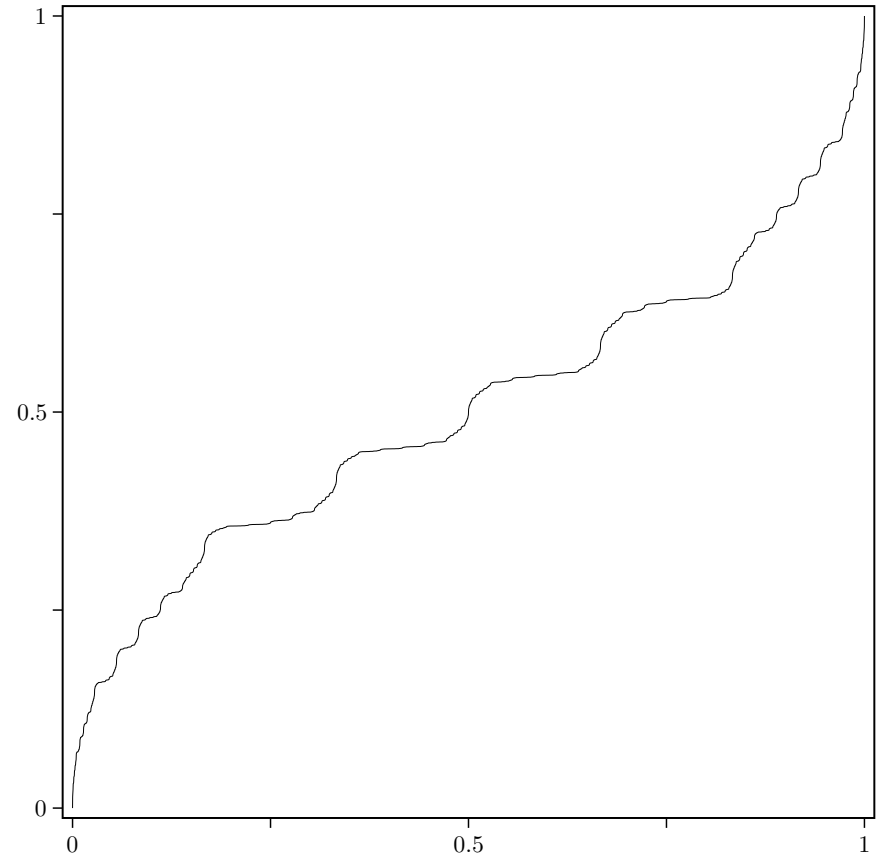
(vague convergence)

TM ($k = \ell = 1$): $\prod_{n \geq 0} (1 - \cos(2^{n+1} \pi x))$

Further TM measures



(2, 1)

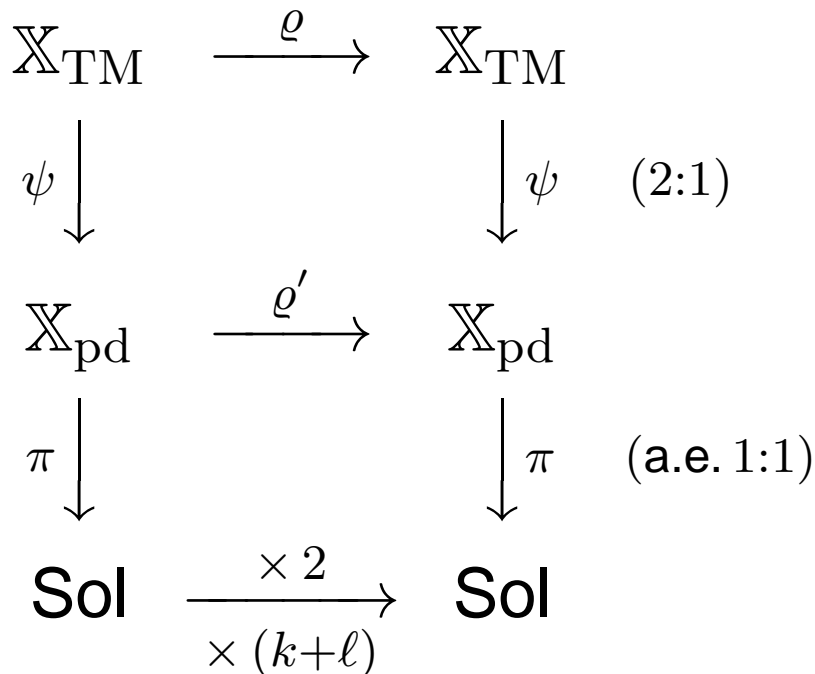


(5, 1)

Period doubling sequences

Block map: $\psi : 1\bar{1}, \bar{1}1 \mapsto a, 11, \bar{1}\bar{1} \mapsto b$

\curvearrowright gen. period doubling: $\varrho' : \begin{array}{l} a \mapsto b^{k-1}ab^{\ell-1}b \\ b \mapsto b^{k-1}ab^{\ell-1}a \end{array}$



\uparrow
 coincidence
 \implies model set !!
 (Dekking, BMS)

AC spectra: Coin tossing sequence

Sequence: i.i.d. random variables $W_n \in \{\pm 1\}$
with probabilities p and $1-p$

Metric entropy: $H(p) = -p \log(p) - (1-p) \log(1-p)$

Autocorrelation: $\gamma_B = \sum_{m \in \mathbb{Z}} \eta_B(m) \delta_m$ with

$$\eta_B(m) := \lim_{N \rightarrow \infty} \frac{1}{2N+1} \sum_{n=-N}^N W_n W_{n+m} \stackrel{\text{(a.s.)}}{=} \begin{cases} 1, & m = 0 \\ (2p-1)^2, & m \neq 0 \end{cases}$$

(strong law of large numbers)

Diffraction measure:

$$\widehat{\gamma}_B \stackrel{\text{(a.s.)}}{=} (2p-1)^2 \delta_{\mathbb{Z}} + 4p(1-p) \lambda$$

Rudin-Shapiro sequence

Substitution: $\varrho : a \mapsto ac, b \mapsto dc, c \mapsto ab, d \mapsto db$

Fixed point: $b|a \xrightarrow{\varrho^2} dbab|acab \xrightarrow{\varrho^2} \dots \longrightarrow u = \varrho^2(u)$

Reduction: $\varphi : a, c \mapsto 1, b, d \mapsto -1, \quad \boxed{w := \varphi(u)}$



Alternative description: $w(-1) = -1, w(0) = 1, \text{ and}$

$$w(4n + \ell) = \begin{cases} w(n), & \text{for } \ell \in \{0, 1\} \\ (-1)^{n+\ell} w(n), & \text{for } \ell \in \{2, 3\} \end{cases}$$

Autocorrelation: $\gamma_{\text{RS}} = \sum_{m \in \mathbb{Z}} \eta(m) \delta_m$

RS: Autocorrelation

Define: $\left. \begin{array}{l} \eta(m) \\ \vartheta(m) \end{array} \right\} := \lim_{N \rightarrow \infty} \frac{1}{2N+1} \sum_{n=-N}^N w(n) w(n+m) \left\{ \begin{array}{l} 1 \\ (-1)^n \end{array} \right.$

(all limits exist by Birkhoff's ergodic theorem)

Recursion: $\eta(0) = 1, \vartheta(0) = 0,$ and

$$\eta(4m) = \frac{1+(-1)^m}{2} \eta(m), \quad \eta(4m+2) = 0,$$

$$\eta(4m+1) = \frac{1-(-1)^m}{4} \eta(m) + \frac{(-1)^m}{4} \vartheta(m) - \frac{1}{4} \vartheta(m+1),$$

$$\eta(4m+3) = \frac{1+(-1)^m}{4} \eta(m+1) - \frac{(-1)^m}{4} \vartheta(m) + \frac{1}{4} \vartheta(m+1),$$

$$\vartheta(4m) = 0, \quad \vartheta(4m+2) = \frac{(-1)^m}{2} \vartheta(m) + \frac{1}{2} \vartheta(m+1),$$

$$\vartheta(4m+1) = \frac{1-(-1)^m}{4} \eta(m) - \frac{(-1)^m}{4} \vartheta(m) + \frac{1}{4} \vartheta(m+1),$$

$$\vartheta(4m+3) = -\frac{1+(-1)^m}{4} \eta(m+1) - \frac{(-1)^m}{4} \vartheta(m) + \frac{1}{4} \vartheta(m+1).$$

RS: Diffraction

Unique solution: $\vartheta(\pm 1) = 0 \iff \vartheta(m) = 0$ for all $m \in \mathbb{Z}$
and $\eta(m) = 0$ for all $m \neq 0$

Theorem: $\boxed{\gamma_{\text{RS}} = \delta_0}$ and $\boxed{\widehat{\gamma}_{\text{RS}} = \lambda}$

\implies homometric with coin tossing for $p = \frac{1}{2}$,
but zero entropy !

General weights: h_{\pm} instead of ± 1 :

$$\boxed{\widehat{\gamma}_h = \left| \frac{h_+ + h_-}{2} \right|^2 \delta_{\mathbb{Z}} + \left| \frac{h_+ - h_-}{2} \right|^2 \lambda}$$

Bernoullisation

Sequence: $S \in \{\pm 1\}^{\mathbb{Z}}$ (assumed ergodic)
with Dirac comb $\omega_S = \sum_{n \in \mathbb{Z}} S_n \delta_n$
and autocorrelation γ_S

Bernoullisation: $\omega := \sum_{n \in \mathbb{Z}} S_n W_n \delta_n \quad (W_n \in \{\pm 1\})$

Autocorrelation: $\gamma \stackrel{\text{(a.s.)}}{=} (2p - 1)^2 \gamma_S + 4p(1 - p) \delta_0$
(strong law of large numbers)

Application: Rudin-Shapiro, with $\gamma_S = \gamma_{\text{RS}} = \delta_0$

$\curvearrowright \gamma = \delta_0$ *independently of p*

\curvearrowright diffraction $\boxed{\hat{\gamma} \equiv \lambda}$

\curvearrowright homometric, irrespective of entropy

Ledrappier's model

$$\mathbb{X}_L = \{w \in \{\pm 1\}^{\mathbb{Z}^2} \mid w_x w_{x+e_1} w_{x+e_2} = 1 \text{ for all } x \in \mathbb{Z}^2\}$$

Properties:

closed subshift, Abelian group, Haar measure μ_L , rank 1 entropy

Dirac comb: $\omega = \sum_{x \in \mathbb{Z}^2} w_x \delta_x$ (balanced weights)

Theorem: $\boxed{\gamma = \delta_0}$ and $\boxed{\hat{\gamma} = \lambda}$ (μ_L -almost surely)

↪ homometric with 2D Bernoulli and Rudin-Shapiro
(but different 3-point function)

van Enter's example

Model: closed packed dimers on \mathbb{Z}

with random orientation: $\boxed{+ -}$ or $\boxed{- +}$

Dirac comb with weights $w_i \in \{\pm 1\}$

Diffraction: $\widehat{\gamma}_w = (1 - \cos(2\pi k)) \lambda$ (purely ac)

Factor map: $u_i = -w_i w_{i+1}$

\implies $\widehat{\gamma}_u = \frac{1}{4} \delta_{\mathbb{Z}/2} + \frac{1}{2} \lambda$ (mixed)

\curvearrowright similar to Thue-Morse versus period doubling !

Renewal process

Stationary process: $\varrho \in \mathcal{P}(\mathbb{R}_+)$ with mean 1

Autocorrelation: $\gamma = \delta_0 + \nu + \tilde{\nu}$ with
 $\nu = \varrho + \varrho * \varrho + \varrho * \varrho * \varrho + \dots$

Renewal equations: $\nu = \varrho + \varrho * \nu$ and $(1 - \hat{\varrho}) \hat{\nu} = \hat{\varrho}$

Theorem:

$$\hat{\gamma} = (\hat{\gamma})_{\text{pp}} + (1 - h) \cdot \lambda$$

with $h(k) = \frac{2(|\hat{\varrho}(k)|^2 - \text{Re}(\hat{\varrho}(k)))}{|1 - \hat{\varrho}(k)|^2}$ and

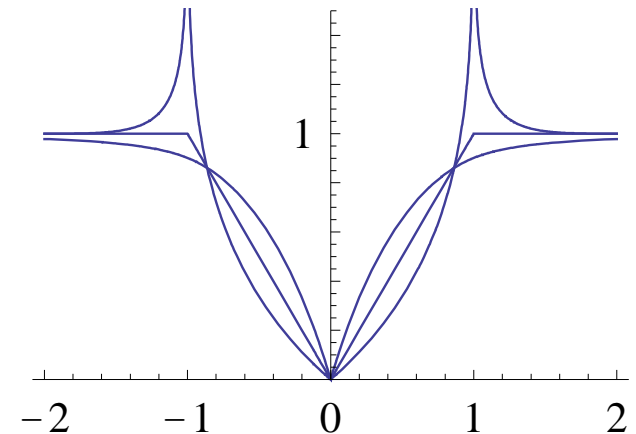
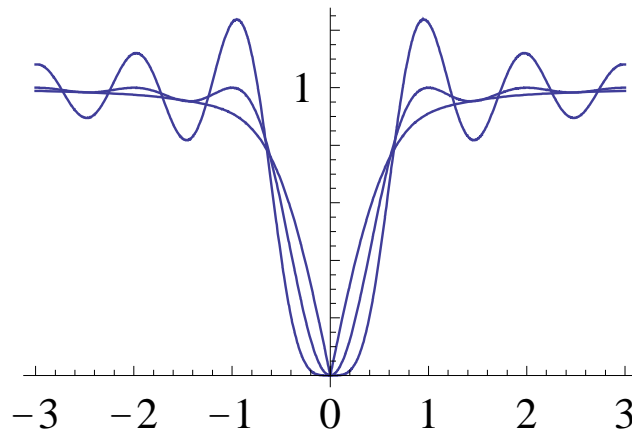
$$(\hat{\gamma})_{\text{pp}} = \begin{cases} \delta_0, & \text{if } \text{supp}(\varrho) \text{ is not a subset of a lattice,} \\ \delta_{\mathbb{Z}/b}, & \text{if } b\mathbb{Z} \text{ is the coarsest lattice that contains } \text{supp}(\varrho). \end{cases}$$

RME on the line

Setting: real eigenvalues of Dyson's random matrix ensembles

- symmetric ($\beta = 1$), Hermitian ($\beta = 2$), symplectic ($\beta = 4$) matrices
- semicircle law (with $r \sim \sqrt{\frac{2N}{\pi}}$), rescaling of central part (by $\sqrt{\frac{2N}{\pi}}$)
- stationary, ergodic point process of density 1 in the limit $N \rightarrow \infty$

Thm: $\gamma \stackrel{\text{(a.s.)}}{=} \delta_0 + (1 - f(|x|))\lambda$ and $\hat{\gamma} \stackrel{\text{(a.s.)}}{=} \delta_0 + h(k)\lambda$



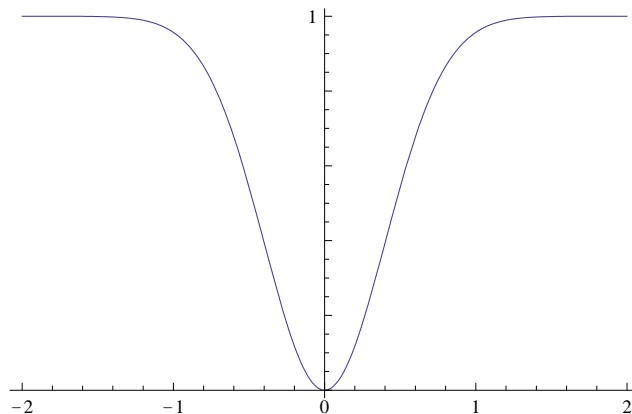
RME in the plane

Setting: eigenvalues of general, complex random matrices, viewed as point set in the plane (Ginibre's ensemble)

- uniform distribution in circle ($r \sim \sqrt{\frac{N}{\pi}}$), as $N \rightarrow \infty$
- Coulomb gas ($\beta = 2$), determinantal correlation functions
- stationary, ergodic point process of density 1 in the limit $N \rightarrow \infty$

Theorem:

$$\widehat{\gamma} \stackrel{\text{(a.s.)}}{=} \delta_0 + (1 - e^{-\pi|k|^2}) \lambda \quad (\text{self-dual})$$



Random clusters

Setting: Λ FLC set, autocorrelation $\gamma = \sum_{z \in \Lambda - \Lambda} \eta(z) \delta_z$

Modification: $\delta_{\Lambda}^{(\Omega)} = \sum_{x \in \Lambda} \Omega_x * \delta_x$ (Ω_x) $_{x \in \Lambda}$ i.i.d. with law Q
 $\mathbb{E}_Q(|\Omega|)$ finite measure
 $\mathbb{E}_Q((|\Omega|(\mathbb{R}^d))^2) < \infty$

$$\begin{aligned} \implies \gamma^{(\Omega)} &\stackrel{\text{(a.s.)}}{=} \left(\mathbb{E}_Q(\Omega) * \widetilde{\mathbb{E}_Q(\Omega)} \right) * \gamma \\ &\quad + \text{dens}(\Lambda) \left(\mathbb{E}_Q(\Omega * \tilde{\Omega}) - \mathbb{E}_Q(\Omega) * \widetilde{\mathbb{E}_Q(\Omega)} \right) * \delta_0 \end{aligned}$$

Theorem:

$$\widehat{\gamma}^{(\Omega)} \stackrel{\text{(a.s.)}}{=} |\mathbb{E}_Q(\widehat{\Omega})|^2 \cdot \widehat{\gamma} + \text{dens}(\Lambda) \left(\mathbb{E}_Q(|\widehat{\Omega}|^2) - |\mathbb{E}_Q(\widehat{\Omega})|^2 \right) \cdot \lambda$$

(analogous result holds for cluster processes)

Outlook

- Diffraction as useful tool
- Continuous spectra accessible
- Homometry more difficult
- Insensitivity to entropy
- Generalisation beyond lattice systems
- Extension to higher dimension
- Lower rank entropy (Ledrappier)
- Point process theory
- Randomness with interaction

Perspective

Harmonic Analysis

Dynamical Systems

Algebra



Aperiodic Order



Topology



Number Theory

Discrete Geometry

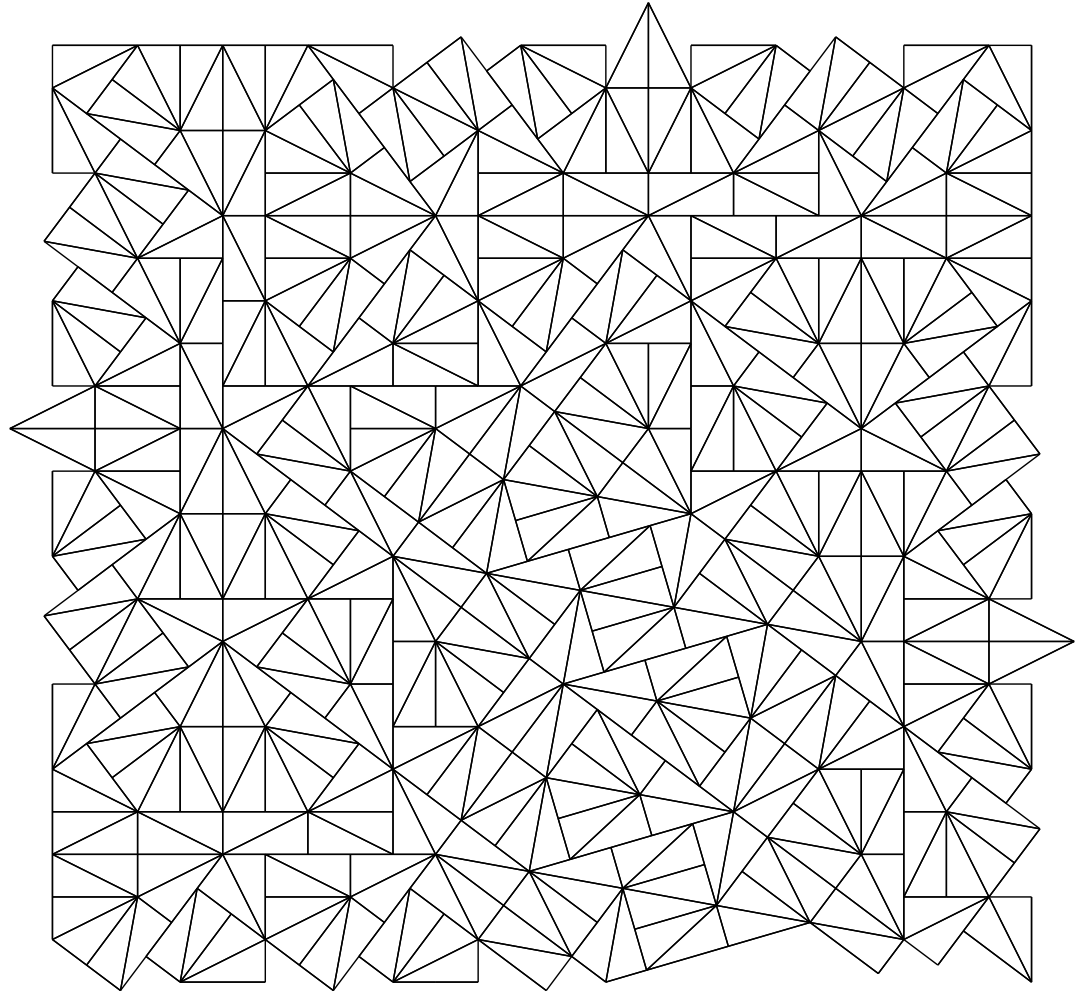
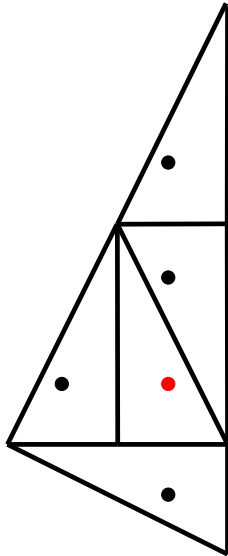
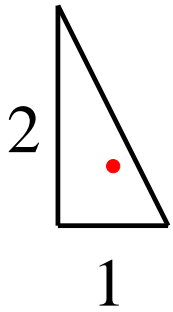
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Pinwheel tiling



Pinwheel tiling

Autocorrelation is circularly symmetric,

$$\gamma_{\Lambda} = \delta_0 + \sum_{r \in \mathcal{D} \setminus \{0\}} \eta(r) \mu_r = \sum_{r \in \mathcal{D}} \eta(r) \mu_r,$$

with μ_r the normalised uniform distribution on $r\mathbb{S}^1$ and $\mu_0 = \delta_0$

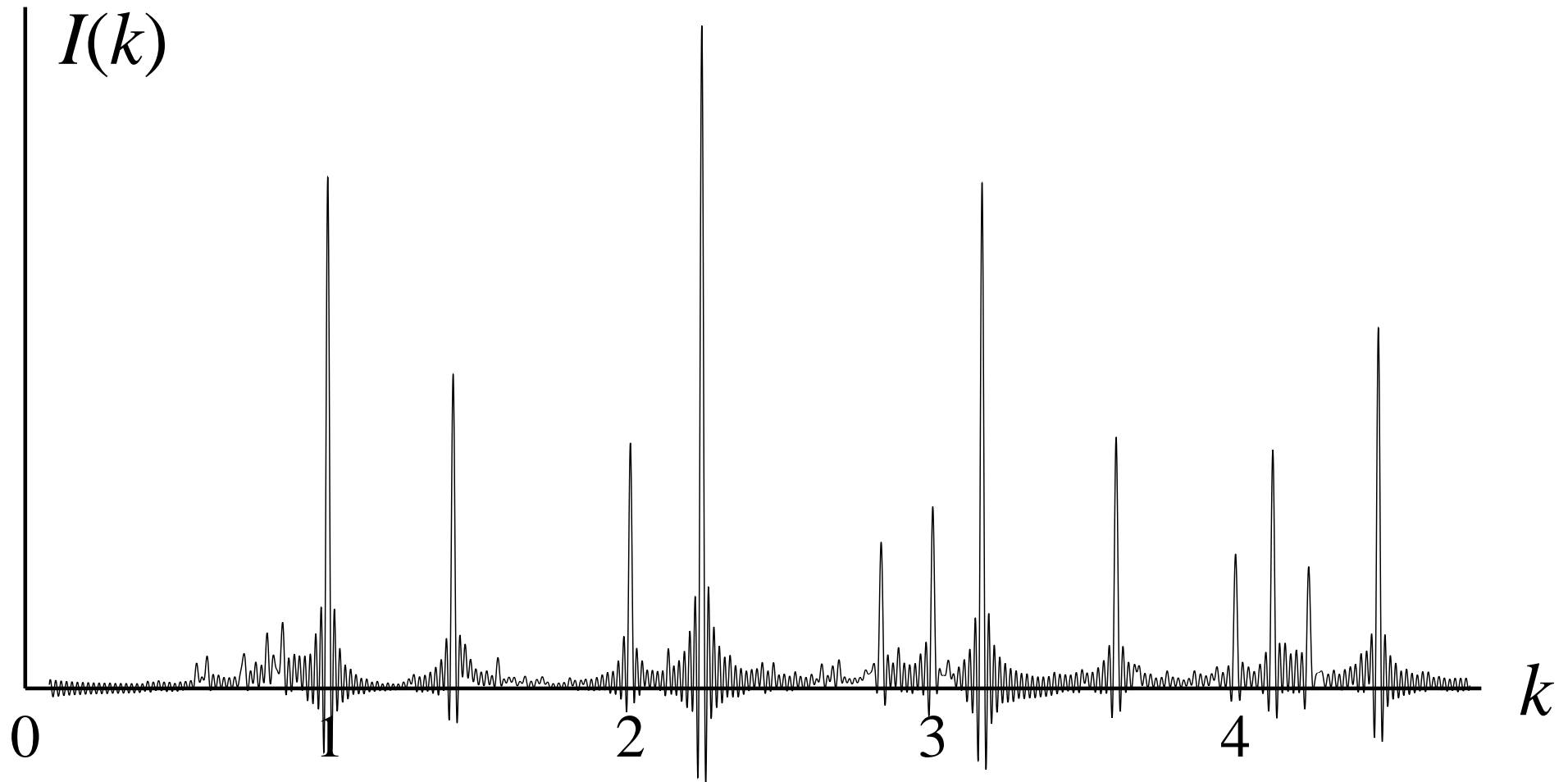
R.V. Moody, D. Postnikoff and N. Strungaru, Circular symmetry of pinwheel diffraction,
Ann. H. Poincaré 7 (2006) 711–730

→ $(\widehat{\gamma}_{\Lambda})_{\text{pp}} = (\text{dens}(\Lambda))^2 \delta_0 = \delta_0$

→ diffraction intensity on rings (singular component)
also *absolutely continuous* component?

Pinwheel tiling

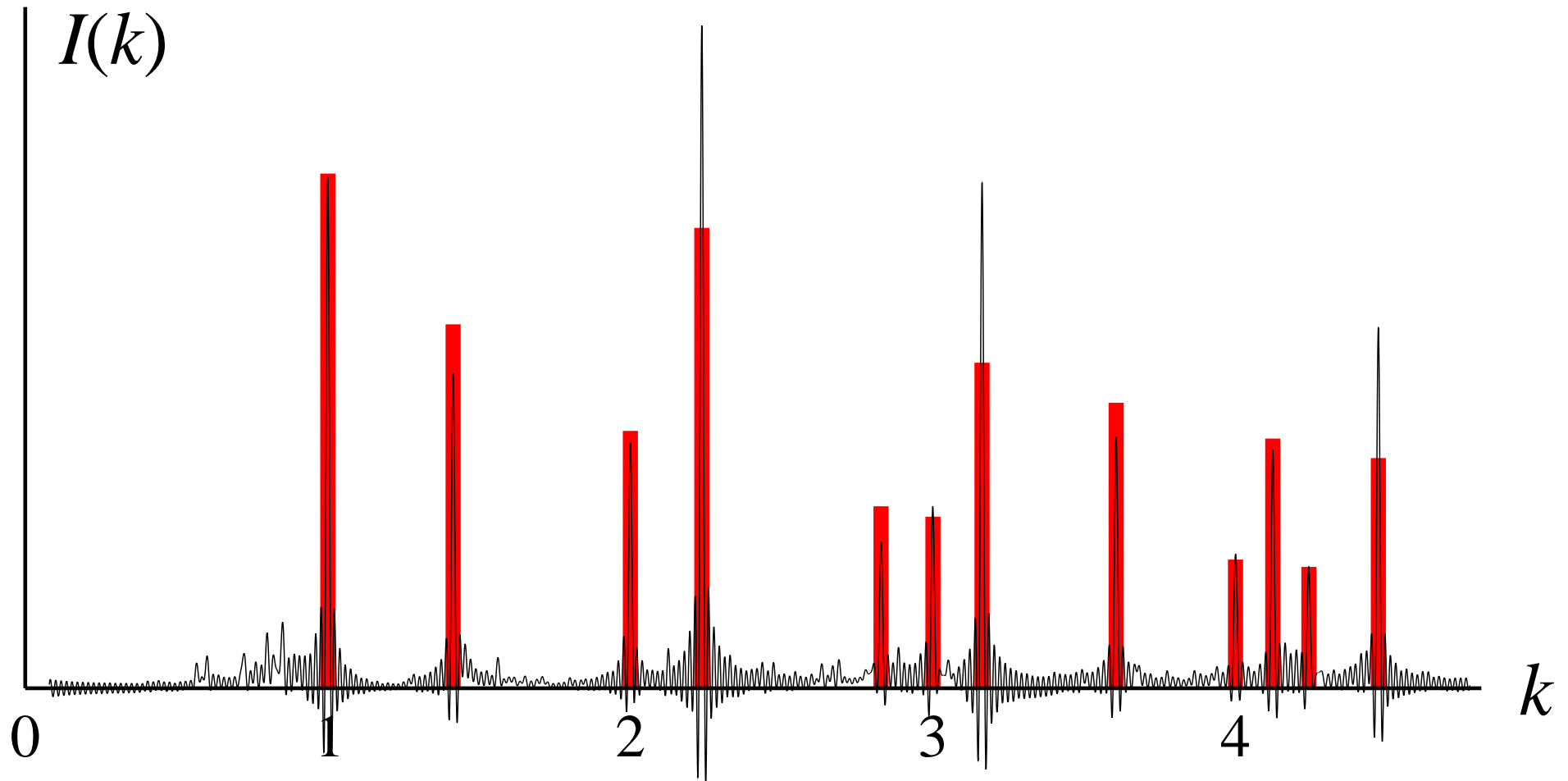
pinwheel radial intensity (numerical)



Pinwheel tiling

pinwheel radial intensity (numerical)

square lattice powder diffraction



(central intensity suppressed; relative scale chosen such peaks at $k = 1$ match)

Pinwheel tiling

