

# Diophantine condition of the interval exchange map

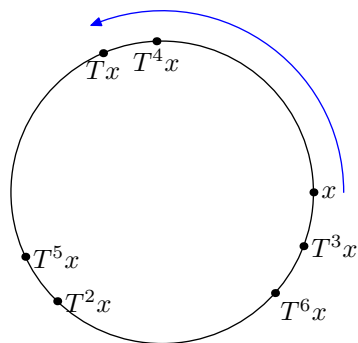
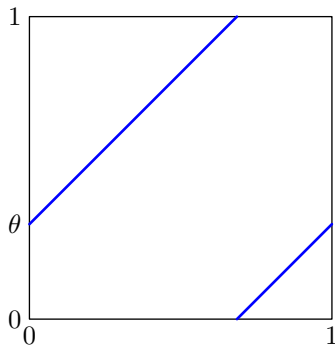
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Warwick, 13 July, 2011

## An irrational rotation

$$T : [0, 1) \rightarrow [0, 1), \quad T(x) = x + \theta \pmod{1}.$$



## Diophantine approximation

### Theorem (Dirichlet, Hurwitz)

*For any irrational  $\theta$  there are infinitely many integers  $p, q$  such that*

$$\left| \theta - \frac{p}{q} \right| < \frac{1}{\sqrt{5}q^2}.$$

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Let an irrational  $\theta$  be of **Roth type** if for any  $\varepsilon > 0$  there is a constant  $C$  such that

$$\left| \theta - \frac{p}{q} \right| > \frac{C}{q^{2+\varepsilon}}.$$

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The set of Roth type irrationals has full Lebesgue measure.

## Roth type condition and recurrence speed

$$T : [0, 1) \rightarrow [0, 1), \quad T(x) = x + \theta \pmod{1}.$$

$$\text{recurrence time } \tau_r(x) = \min\{j \geq 1 : |T^j(x) - x| < r\}.$$

### Theorem

*An irrational  $\theta$  is of Roth type if and only if*

$$\lim_{r \rightarrow 0^+} \frac{\log \tau_r(x)}{-\log r} = 1.$$

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$$|T^q x - x| < \delta \Leftrightarrow |q\theta - \exists p| < \delta \Leftrightarrow \left| \theta - \frac{p}{q} \right| < \frac{\delta}{q}.$$

## Roth type condition and continued fraction

Continued fraction is the best method of Diophantine approximation.

$$\theta = \frac{1}{a_1 + \frac{1}{a_2 + \frac{1}{a_3 + \frac{1}{\dots}}}}, \quad \frac{p_n}{q_n} = \frac{1}{a_1 + \frac{1}{a_2 + \frac{1}{\dots + \frac{1}{a_n}}}}.$$

An irrational  $\theta$  is Roth type if and only if for all  $\varepsilon > 0$  there is a constant  $C$  such that

$$a_{n+1} < Cq_n^\varepsilon \text{ for all } n.$$



## Roth type condition and uniform distribution

An irrational  $\theta$  is of Roth type if and only if

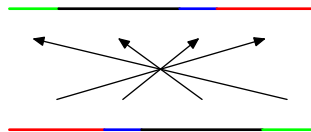
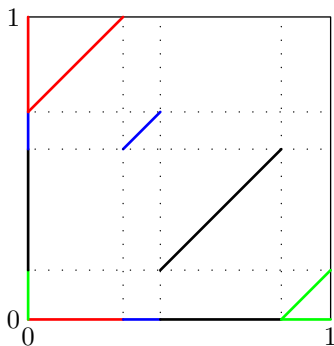
$$\{0, \theta, 2\theta, \dots, n\theta\} \quad (\text{in mod } 1)$$

is uniformly distributed in  $[0, 1)$  in the sense that

$$(\text{minimal distance between any two points}) > \frac{C}{n^{1+\varepsilon}}.$$

# An interval exchange map

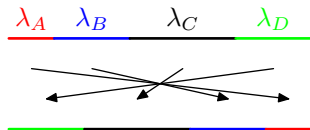
Generalization of the irrational rotation



## The interval exchange map

An interval exchange map (i.e.m.) is determined by

- ▶ The **combinatorial data**: two bijections  $(\pi_0, \pi_1)$  from  $\mathcal{A}$  (names for the intervals) onto  $\{1, \dots, d\}$ . ( $d = \text{card}(\mathcal{A})$ ).
- ▶ The **length data**  $(\lambda_\alpha)_{\alpha \in \mathcal{A}}$ .



$$\mathcal{A} = \{A, B, C, D\}.$$

$$\pi_0(A) = 1, \pi_0(B) = 2, \pi_0(C) = 3, \pi_0(D) = 4,$$

$$\pi_1(A) = 4, \pi_1(B) = 3, \pi_1(C) = 2, \pi_1(D) = 1.$$

## The Keane property

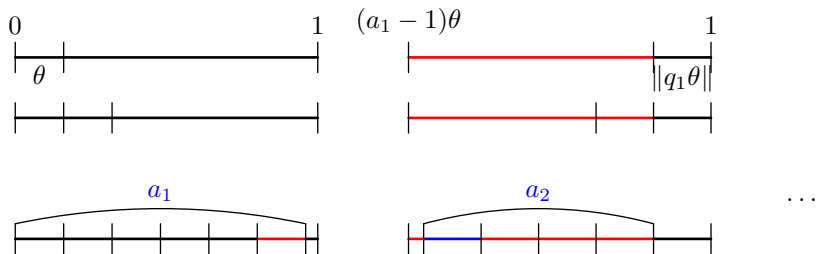
Consider only combinatorial data  $(\mathcal{A}, \pi_t, \pi_b)$  which are *admissible*, meaning that for all  $k = 1, 2, \dots, d - 1$ , we have

$$\pi_0^{-1}(\{1, \dots, k\}) \neq \pi_1^{-1}(\{1, \dots, k\}) .$$

The Keane property is the appropriate notion of irrationality for i.e.m. since, as Keane himself proved,

- ▶ An i.e.m. with Keane's property is minimal (i.e. all orbits are dense);
- ▶ If the length data are rationally independent (and the combinatorial data are admissible) then  $T$  has Keane's property.

## Continued fraction algorithm



$$\theta = \frac{1}{a_1 + \frac{1}{a_2 + \frac{1}{\dots}}}$$

Consider the induced map.

# Invariant measure for the continued fraction algorithm

Farey map for the irrational rotation.

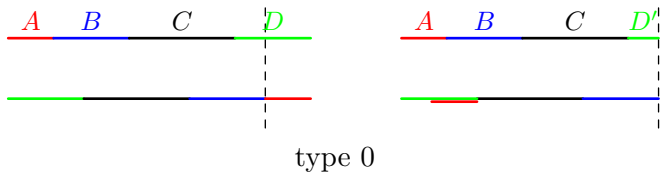
$$f(x) = \begin{cases} \frac{x}{1-x}, & 0 < x < \frac{1}{2}, \\ \frac{1}{x}, & \frac{1}{2} < x < 1 \end{cases}$$

with invariant measure  $\frac{dx}{x}$ .

Gauss map is an acceleration :

$$x \mapsto \left\{ \frac{1}{x} \right\} \text{ with invariant measure } \frac{dx}{1+x}$$

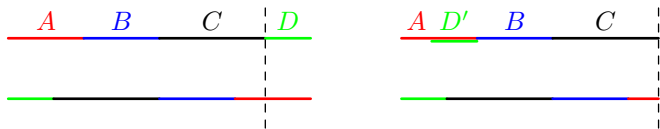
## Generalization of continued fractions to i.e.m. (Rauzy, Veech, Zorich)



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type 0



type 1



## Permutation data

$(\pi_0, \pi_1)$  : an admissible pair,  $\alpha_0, \alpha_1 \in \mathcal{A}$ ,  $\pi_0(\alpha_0) = \pi_1(\alpha_1) = d$ ;  
 Define two new admissible pairs  $\mathcal{R}_0(\pi_0, \pi_1)$ ,  $\mathcal{R}_1(\pi_0, \pi_1)$  :

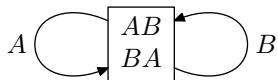
$$\mathcal{R}_0(\pi_0, \pi_1) = (\pi_0, \hat{\pi}_1), \quad \mathcal{R}_1(\pi_0, \pi_1) = (\hat{\pi}_0, \pi_1),$$

$$\hat{\pi}_0(\alpha) = \begin{cases} \pi_0(\alpha) & \text{if } \pi_0(\alpha) \leq \pi_0(\alpha_1), \\ \pi_0(\alpha) + 1 & \text{if } \pi_0(\alpha_1) < \pi_0(\alpha) < d, \\ \pi_0(\alpha_1) + 1 & \text{if } \alpha = \alpha_0, (\pi_0(\alpha_0) = d). \end{cases}$$

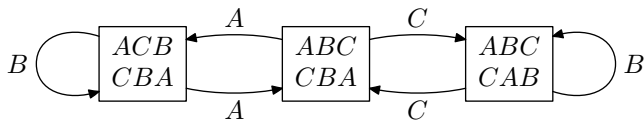
$$\hat{\pi}_1(\alpha) = \begin{cases} \pi_1(\alpha) & \text{if } \pi_1(\alpha) \leq \pi_1(\alpha_0), \\ \pi_1(\alpha) + 1 & \text{if } \pi_1(\alpha_0) < \pi_1(\alpha) < d, \\ \pi_1(\alpha_0) + 1 & \text{if } \alpha = \alpha_1, (\pi_1(\alpha_1) = d); \end{cases}$$

## Rauzy diagram

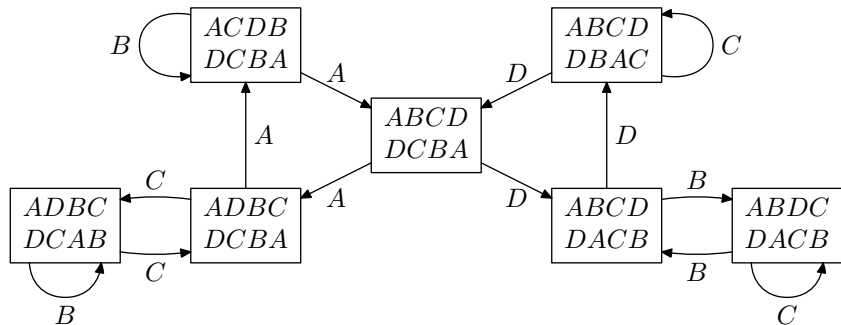
Each vertex  $(\pi_0, \pi_1)$  being the origin of two arrows joining  $(\pi_0, \pi_1)$  to  $\mathcal{R}_0(\pi_0, \pi_1)$ ,  $\mathcal{R}_1(\pi_0, \pi_1)$ .



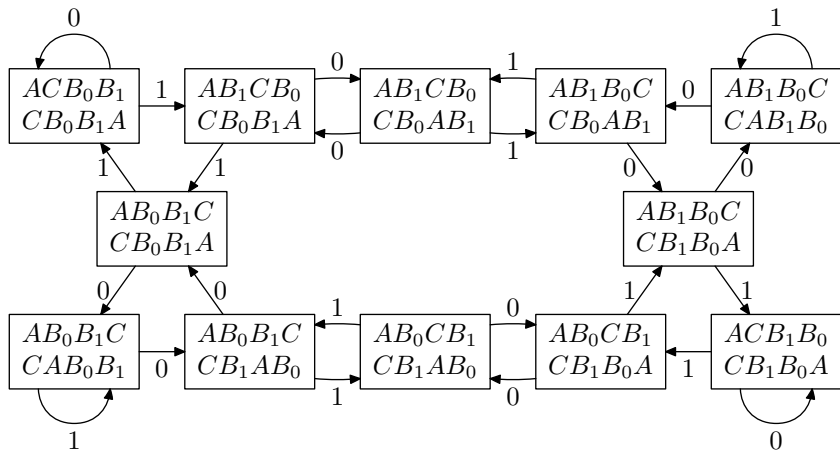
Rauzy diagram  $d = 2$



Rauzy diagram  $d = 3$



Rauzy diagram  $d = 4$  first case



Rauzy diagram  $d = 4$  second case

## Length data

Define a new i.e.m.  $\mathcal{V}(T)$  by the admissible pair  $\mathcal{R}_\varepsilon(\pi_0, \pi_1)$  and the lengths  $(\hat{\lambda}_\alpha)_{\alpha \in \mathcal{A}}$  given by

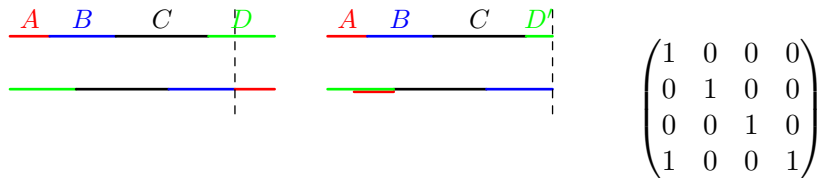
$$\begin{cases} \hat{\lambda}_\alpha = \lambda_\alpha & \text{if } \alpha \neq \alpha_\varepsilon, \\ \hat{\lambda}_{\alpha_\varepsilon} = \lambda_{\alpha_\varepsilon} - \lambda_{\alpha_{1-\varepsilon}} & \text{otherwise,} \end{cases}$$

i.e. the length data of  $T$  are obtained from those of  $\mathcal{V}(T)$  as

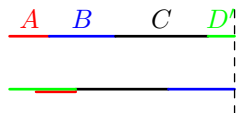
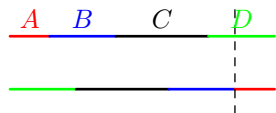
$$\lambda = V(T)\hat{\lambda}$$

where the matrix  $V(T)$  has all diagonal entries equal to 1 and all off-diagonal entries equal to 0 except the one corresponding to  $(\alpha_\varepsilon, \alpha_{1-\varepsilon})$  which is also equal to 1.

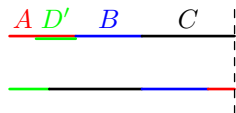
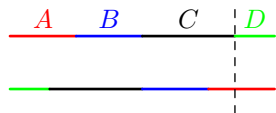
## Continued fraction matrix



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$$\begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 1 & 0 & 0 & 1 \end{pmatrix}$$



$$\begin{pmatrix} 1 & 0 & 0 & 1 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

## For irrational rotations

$$V(T) = V(\mathcal{V}(T)) = \dots = V(\mathcal{V}^{a_1-2}(T)) = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$$

$$V(\mathcal{V}^{a_1-1}(T)) = V(\mathcal{V}^{a_1}(T)) = \dots = V(\mathcal{V}^{a_1+a_2-2}(T)) = \begin{pmatrix} 1 & 0 \\ 1 & 1 \end{pmatrix}$$

$$V(\mathcal{V}^{a_1+a_2-1}(T)) = \dots = V(\mathcal{V}^{a_1+a_2+a_3-2}(T)) = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$$

$$\vdots$$

$$\underbrace{\begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix} \cdots \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}}_{a_1-1} \underbrace{\begin{pmatrix} 1 & 0 \\ 1 & 1 \end{pmatrix} \cdots \begin{pmatrix} 1 & 0 \\ 1 & 1 \end{pmatrix}}_{a_2} \underbrace{\begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix} \cdots \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}}_{a_3} \cdots$$



## Invariant measure and Zorich's acceleration

Rauzy-Veech operation has a  $\sigma$ -finite invariant measure on the length data.

For the irrational rotation it is **Farey map**:

$$f(x) = \begin{cases} \frac{x}{1-x}, & 0 < x < \frac{1}{2}, \\ \frac{1-x}{x}, & \frac{1}{2} < x < 1 \end{cases} \text{ with invariant measure } \frac{dx}{x}.$$

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Zorich introduced an **acceleration algorithm** with finite invariant measure, corresponding to **Gauss map** in rotation

$$\text{(Gauss map: } x \mapsto \left\{ \frac{1}{x} \right\} \text{ with invariant measure } \frac{dx}{1+x})$$

## Accelerated algorithm

Zorich's acceleration:

$n_{k+1}$  is taken as the largest integer  $n > n_k$  such that **one name** in  $\mathcal{A}$  are taken by arrows associated to iterations of  $\mathcal{V}$  from  $T(n_k)$  to  $\mathcal{V}^n(T)$ .

e.g.  $AA, B, D, CCCC, B, AAAA, DDDD, \dots$

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e.g.  $AA, B, D, CCCC, B, AAAA, DDDD, \dots$

Marmi-Moussa-Yoccoz's acceleration:

$m_{k+1}$  is taken as the largest integer  $n > m_k$  such that **not all names** in  $\mathcal{A}$  are taken by arrows

e.g.  $AABD, CCCCBAAAA, DDDD \dots$

- ▶  $Y(n)$  : continued fraction matrices for a given  $T$ .
- ▶  $\lambda(n)$  : length data after  $n$ th iteration.
- ▶  $T^{(n)}$  : induced map of  $T$  on  $[0, \lambda^*(n))$ ,  $\lambda^*(n) = \sum_{\alpha} \lambda_{\alpha}(n)$ .
- ▶  $Q(n) = Y(1)Y(2) \cdots Y(n)$ .
- ▶  $Z(k) = Y(n_{k-1} + 1)Y(n_{k-1} + 2) \cdots Y(n_k)$
- ▶  $A(k) = Y(m_{k-1} + 1)Y(m_{k-1} + 2) \cdots Y(m_k)$

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Diophantine condition:

For any  $\varepsilon > 0$  there exist  $C_{\varepsilon} > 0$  such that

$$\|A(k+1)\| \leq C_{\varepsilon} \|Q(m_k)\|^{\varepsilon} \quad \text{or} \quad \|Z(k+1)\| \leq C_{\varepsilon} \|Q(n_k)\|^{\varepsilon}.$$

## Distance between discontinuities

$\Delta(T)$ : minimal distance between the discontinuity points of  $T$

We have another Diophantine condition:

For any  $\varepsilon > 0$  there exist  $D_\varepsilon > 0$  such that for all  $m \geq 1$  we have

$$\Delta(T^m) \geq \frac{D_\varepsilon}{m^{1+\varepsilon}}.$$

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It has been unclear the relation between Diophantine condition by the size of  $Q$  matrices and condition using the distance between discontinuities.

(e.g. Marmi-Moussa-Yoccoz JAMS, 2006)



## Diophantine conditions

(A) For any  $\varepsilon > 0$  there exist  $C_\varepsilon > 0$  such that

$$\|A(k+1)\| \leq C_\varepsilon \|Q(m_k)\|^\varepsilon.$$

(Z) For any  $\varepsilon > 0$  there exist  $C_\varepsilon > 0$  such that

$$\|Z(k+1)\| \leq C_\varepsilon \|Q(n_k)\|^\varepsilon.$$

(D) For any  $\varepsilon > 0$  there exist  $C_\varepsilon > 0$  such that  $\Delta(T^n) \geq \frac{C_\varepsilon}{n^{1+\varepsilon}}$ .

(R)  $\lim_{r \rightarrow 0} \frac{\log \tau_r(x)}{-\log r} = 1$  for almost every  $x$ .

(U)  $\lim_{r \rightarrow 0} \frac{\log \tau_r(x)}{-\log r} = 1$  uniformly.

## Relation between the Diophantine conditions

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- ▶ In 2-interval exchange or the irrational rotation, all 5 conditions are equivalent.
- ▶ In 3-interval exchange, (A) and (D) are equivalent and (Z), (U) and (R) are equivalent.
- ▶ In general interval exchanges we have

$$(A) \Leftrightarrow (D) \begin{array}{c} \Rightarrow \\ \nLeftarrow \end{array} (U) \begin{array}{c} \Rightarrow \\ \nLeftarrow \end{array} (Z) \begin{array}{c} ?? \\ \nLeftarrow \end{array} (R)$$

$(U) \Rightarrow (R)$  by the definition

## Irrational rotations

$$Z(1) = \begin{pmatrix} 1 & a_1 - 1 \\ 0 & 1 \end{pmatrix}, \quad Z(k) = A(k) = \begin{cases} \begin{pmatrix} 1 & a_k \\ 0 & 1 \end{pmatrix}, & \text{odd } k, \\ \begin{pmatrix} 1 & 0 \\ a_k & 1 \end{pmatrix}, & \text{even } k. \end{cases}$$

By multiplying them

$$Q(n_k) = Q(m_k) = \begin{cases} \begin{pmatrix} q_{k-1} - p_{k-1} & q_k - p_k \\ p_{k-1} & p_k \end{pmatrix}, & \text{for odd } k, \\ \begin{pmatrix} q_k - p_k & q_{k-1} - p_{k-1} \\ p_k & p_{k-1} \end{pmatrix}, & \text{for even } k. \end{cases}$$

Therefore,

$$\begin{aligned}\|Z(k+1)\| &= \|A(k+1)\| = a_{k+1} + 2, \\ \|Q(n_k)\| &= \|Q(m_k)\| = q_k + q_{k-1}.\end{aligned}$$

Condition (A) and (D) are equivalent to

$$a_{k+1} + 2 \leq C_\varepsilon (q_k + q_{k-1})^\varepsilon < 2^\varepsilon C_\varepsilon q_k^\varepsilon.$$

The Roth type condition for the irrational number.

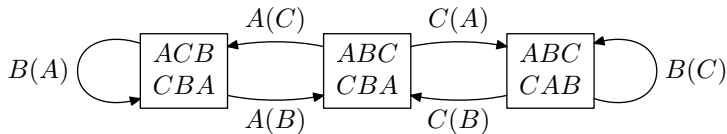
## 3-interval exchange maps

Let  $T$  be a 3-interval exchange map with  $(\lambda_A, \lambda_B, \lambda_C)$ .

Assume

$$\pi_0(A) = 1, \pi_0(B) = 2, \pi_0(C) = 3,$$

$$\pi_1(C) = 3, \pi_1(B) = 2, \pi_1(A) = 1.$$

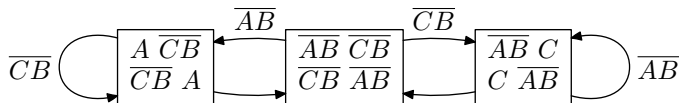


Define an irrational rotation  $\bar{T}$  on  $\bar{I} = [0, 1 + \lambda_B)$  by

$$\bar{T}(x) = \begin{cases} x + \lambda_B + \lambda_C & \text{if } x + \lambda_B + \lambda_C \in \bar{I}, \\ x - \lambda_B - \lambda_A & \text{if } x + \lambda_B + \lambda_C \notin \bar{I}. \end{cases}$$

$T$  is the induced map of  $\bar{T}$  on  $[0, 1) = [0, \lambda_A + \lambda_B + \lambda_C)$ .

Let  $\bar{T}$  be a 2-interval exchange with length data  $(\lambda_{\bar{A}}, \lambda_{\bar{B}})$ ,  $\lambda_{\bar{A}} = \lambda_A + \lambda_B$  and  $\lambda_{\bar{C}} = \lambda_A + \lambda_C$ .





Let  $\alpha = \frac{\lambda_B + \lambda_C}{1 + \lambda_B}$  be the rotation angle of  $\bar{T}$  and  $a_n$  and  $\frac{p_n}{q_n}$  be the partial quotient and partial convergent of  $\alpha$ .

$\bar{T}$  satisfies condition (A) or (D): for any  $\varepsilon > 0$  there exist  $C_\varepsilon > 0$  such that

$$a_{n+1} \leq C_\varepsilon q_n^\varepsilon.$$

For the irrational rotation

$$\lim_{r \rightarrow 0} \frac{\log \bar{\tau}_r(x)}{-\log r} = 1,$$

if  $\alpha$  is of Roth type.

## Proposition

For a 3-interval exchange map  $T$ ,

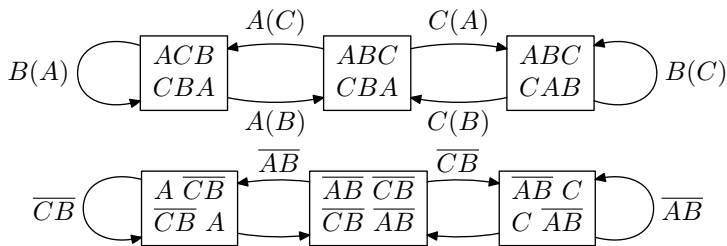
$$\lim_{r \rightarrow 0} \frac{\log \tau_r(x)}{-\log r} = 1$$

if and only if

$$\lim_{r \rightarrow 0} \frac{\log \bar{\tau}_r(x)}{-\log r} = 1,$$

where  $\bar{\tau}_r$  is the first return time of  $\bar{T}$ .

$T$  satisfies (R) or (U) if and only if  $\bar{T}$  satisfies (A) (or (Z)).



No long sequence of  $A$  implies no long sequence of  $\overline{AB}$  (center).

No long sequence of  $\overline{AB}$  implies no long sequence  $B^n C A^m$ .

### Proposition

*The 3-interval exchange map  $T$  satisfies Condition (Z) if and only if the  $\bar{T}$  satisfies (A) (or equivalently (Z)).*

## (A) implies (D)

- ▶  $\Delta((T^{(k)})^2) \leq \Delta(T^m)$  for  $\min Q_\alpha(k-1) < m \leq \min Q_\alpha(k)$
- ▶ If  $k' > k$  satisfies  $\lambda^*(k') < \lambda_\alpha(k)$ ,  $\pi_0^{(k)}(\alpha) = 1$ , then

$$\min_{\alpha} \lambda_\alpha(k') \leq \Delta((T^{(k)})^2).$$

- ▶  $(A(k+1)A(k+2) \cdots A(k+r))_{\alpha\beta} > 0$ ,  $r = \max(2d-3, 2)$
- ▶ (Marmi-Moussa-Yoccoz) If  $T$  satisfies Condition (A), then

$$\max_{\alpha \in \mathcal{A}} \lambda_\alpha(k) \leq C_\varepsilon \min_{\alpha \in \mathcal{A}} \lambda_\alpha(k) \|Q(k)\|^\varepsilon.$$

## Theorem

If  $T$  satisfies condition (A), then it also satisfies Condition (D).

## (D) implies (A)

- ▶ Suppose that  $T$  does not satisfy (A). Then for some  $\delta > 0$  there are infinitely many  $k$  such that

$$\min_{\alpha \in \mathcal{A}} \lambda_{\alpha}(k) < \lambda^{*}(k)^{1+\delta}.$$

- ▶ If  $\lambda_{\alpha}(k) < \lambda^{*}(k)^{1+\delta}$ , then for some integer  $s$ ,  $1 \leq s < d$ , we have

$$\Delta(T^{\lfloor 2/\lambda^{*}(k)^{1+s\delta/d} \rfloor}) < (d-1)\lambda^{*}(k)^{1+(s+1)\delta/d}.$$

## Theorem

*If  $T$  does not satisfy (A), then  $T$  does not satisfy (D).*

## The first return time of the interval exchange map

Let  $\mathcal{P}_n$  be the partition of  $I = [0, 1)$  consists of

$$T^i(I_\alpha(n)), \quad 0 \leq i < Q_\alpha(n)$$

Define  $R_n(x)$  by the first return time to the element of  $\mathcal{P}_n$  which contains  $x$ .

### Proposition

$$\min_{\beta \in \mathcal{A}} Q_\beta(n) \leq R_n(x) < 2 \max_{\beta \in \mathcal{A}} Q_\beta(n + m(d)) + \max_{\beta \in \mathcal{A}} Q_\beta(n).$$

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Condition (A)  $\Rightarrow$  for all  $\varepsilon > 0$  there is  $C'_\varepsilon > 0$  such that

$$\max_{\alpha \in \mathcal{A}} \lambda_\alpha(n) \leq C'_\varepsilon \|Q(n)\|^\varepsilon \min_{\alpha \in \mathcal{A}} \lambda_\alpha(n).$$

## (A) and (D) imply (U)

### Theorem

*For an interval exchange map with condition (A)*

$$\lim_{n \rightarrow \infty} \frac{\log R_n(x)}{-\log |P_n(x)|} \leq \limsup_{r \rightarrow 0^+} \frac{\log \tau_r(x)}{-\log r} = 1 \text{ uniformly.}$$

### Lemma

*If  $\tau_r(x) = n$  for some  $x$ , then we have  $\Delta(T^{2n}) < r$ .*

### Theorem

*Condition (D) implies Condition (U)*



## (U) implies (Z)

Assume there is a sequence  $k_i$  and  $r > 0$  and  $C$  such that

$$\|Z(k_i + 1)\| \geq C\|Q(m_{k_i})\|^\rho. \quad (1)$$

Let  $\alpha_i$  be the first name. Each  $\beta \in \mathcal{A}_i$  appeared  $h_i$  or  $h_i + 1$  times as the second name between  $m_{k_i} + 1$  and  $m_{k_i+1}$ .

$$C\|Q_{\alpha_i}(m_{k_i})\|^\rho < C\|Q(m_{k_i})\|^\rho \leq \|Z(k_i + 1)\| < d + |\mathcal{A}_i| \cdot (h_i + 1)$$

$$|T(m_{k_i})(x) - x| = \sum_{\beta \in \mathcal{A}_i} \lambda_\beta(m_{k_i}) < \frac{\lambda_{\alpha_i}(m_{k_i})}{h_i} = r_i, \quad x \in I_{\alpha_i}(m_{k_i})$$

$$\frac{\log \tau_{r_i}(x)}{-\log r_i} < \frac{\log \|Q_{\alpha_i}(m_{k_i})\|}{\log h_i - \log \lambda_{\alpha_i}(m_{k_i})} < \frac{\log \|Q_{\alpha_i}(m_{k_i})\|}{(1 + \rho) \log \|Q_{\alpha_i}(m_{k_i})\| + \tilde{C}}.$$

## Example with (R) without (Z)

Permutation data  $\begin{pmatrix} A & B & D & C \\ D & A & C & B \end{pmatrix}$  and sequence of names of

$$C^{m_1} B (D^2 A^3 D)^{n_1} B \cdot C^{m_2} B (D^2 A^3 D)^{n_2} B \dots$$

Let  $\ell_k = \sum_{i=1}^k (m_i + 6n_i + 2)$ ,  $\ell_0 = 0$ .

$$Q(\ell_{k-1}, \ell_k) = \begin{pmatrix} F_{2n_k+1} & F_{2n_k+1} - 1 & F_{2n_k+1} - 1 & F_{2n_k+2} - 1 \\ 0 & 1 & 1 & 1 \\ 0 & m_k & m_k + 1 & m_k \\ F_{2n_k} & F_{2n_k} & F_{2n_k} & F_{2n_k+1} \end{pmatrix},$$

where  $F_n = \frac{g^n - (-1/g)^n}{\sqrt{5}}$ ,  $g = \frac{\sqrt{5}+1}{2}$  is the Fibonacci sequence.

Choose  $m_k = F(2^{k+1})$  and  $n_k = 2^k + k$ . Then

$$\|Q(\ell_{k-1}, \ell_k)\| < g^{2^{k+1}+2k+4}, \quad \|Q(\ell_k)\| < g^{2^{k+2}+k(k+1)+4k}$$

For large  $k$

$$\|Q(\ell_k)\|^{1/2} < g^{2^{k+1}+k(k+1)/2+2k} \leq \frac{g^{2^{k+2}}}{\sqrt{5}} < \|Q(\ell_k, \ell_k + m_{k+1})\|$$

which implies that this i.e.m. does not satisfy Condition (Z).

We have

$$\lambda_A \gtrsim \lambda_D \gg \lambda_C \gg \lambda_B.$$

Only to show

$$\liminf_{r \rightarrow 0} \frac{\log \tau_r(x)}{-\log r} \geq 1, \text{ a.e. } x.$$

- ▶ On  $I_A(\ell_k)$  and  $I_D(\ell_k)$ ,  $T(\ell_k)$  is very close to the rotation by the golden mean. There is no “quick” return.
- ▶ On  $I_C(\ell_k)$ ,  $\tau_r(x)$  can be very small, but

$$\sum_k \mu \left( \bigcup_{i=0}^{Q_C(\ell_k)} T^i(I_C(\ell_k)) \right) < \sum_k \frac{1}{g^k} < \infty$$

a.e.  $x$  belongs to  $\bigcup_{i=0}^{Q_C(\ell_k)} T^i(I_C(\ell_k))$  finitely many  $k$ 's.

## Example with (Z) without (U)

Permutation data  $\begin{pmatrix} A & B & D & C \\ D & A & C & B \end{pmatrix}$  and sequence of names

$$CB^3 (D^2 A^3 D)^{2^1} B \cdot CB^3 (D^2 A^3 D)^{2^2} B \dots CB^3 (D^2 A^3 D)^{2^k} B \dots$$

Let  $l_k = \sum_{i=1}^k (5 + 6 \cdot 2^i) = 5k + 12 \cdot (2^k - 1)$ ,  $l_0 = 0$ .

$$Q(l_{k-1}, l_k) = \begin{pmatrix} F_{2^{k+1}+1} & F_{2^{k+1}+1} - 1 & F_{2^{k+1}+1} - 1 & F_{2^{k+1}+2} - 1 \\ F_{2^{k+1}} & F_{2^{k+1}} + 1 & F_{2^{k+1}} + 2 & F_{2^{k+1}+1} + 1 \\ F_{2^{k+1}} & F_{2^{k+1}} + 1 & F_{2^{k+1}} + 3 & F_{2^{k+1}+1} + 1 \\ F_{2^{k+1}} & F_{2^{k+1}} & F_{2^{k+1}} & F_{2^{k+1}+1} \end{pmatrix},$$

$$\|Q(l_{k-1}, l_k)\| < g^{2^{k+1}+5}, \quad \lambda^*(l_k) < \frac{\lambda^*(l_{k-1})}{F_{2^{k+1}+3}}.$$

$T(\ell_k + 3)$  has the same permutation data:  $\begin{pmatrix} A & B & D & C \\ D & A & C & B \end{pmatrix}$ .

$$Q(\ell_k + 3, \ell_{k+1}) = \begin{pmatrix} F_{2^{k+2}+1} & F_{2^{k+2}+1} - 1 & F_{2^{k+2}+1} - 1 & F_{2^{k+2}+2} - 1 \\ 0 & 1 & 1 & 1 \\ 0 & 0 & 1 & 0 \\ F_{2^{k+2}} & F_{2^{k+2}} & F_{2^{k+2}} & F_{2^{k+2}+1} \end{pmatrix}$$

Put  $r = \lambda_B(\ell_k + 3)$ . Then if  $k \geq 4$ , for  $x \in I_C(\ell_k + 3)$

$$\frac{\log \tau_r(x)}{-\log r} \leq \frac{\log Q_C(\ell_k + 3)}{-\log \lambda_B(\ell_k + 3)} < \frac{(2^{k+2} + 5k) \log g + \log 2}{(2^{k+3} + k - 4) \log g} < \frac{3}{4}.$$

Hence,  $\frac{\log \tau_r(x)}{-\log r}$  does not converges to 1 uniformly.