# On the real baker map 

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Ergodic Theory and Dynamical Systems University of Warwick, July 13, 2011

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回 W．Szlenk Mathematical Model of Mixing in Rumen． Applicationes Mathematicae 24 （1996），87－95．

## Kneading dough

1. Rolling out a box $(a, b, c) \longrightarrow$ box with sides $\alpha a, \beta b, \frac{1}{\alpha \beta} c$ where $\alpha \geq 1$ and $\beta \geq 1$ are coefficients of rolling
2. Folding, or stacking $\longrightarrow$ box with dimensions $\alpha a, \frac{1}{2} \beta b, \frac{2}{\alpha \beta} c$
3. Flipping, or rotating $\longrightarrow$ box with dimensions
$a^{\prime}=\frac{1}{2} \beta b, b^{\prime}=\alpha a, c^{\prime}=\frac{2}{\alpha \beta} c$

## 4 kneading procedures

"roll, fold and flip" $K_{f f}=O \circ F \circ W$
"roll, stack and flip" $K_{\text {sf }}=O \circ S \circ W$
"roll, fold and rotate" $K_{f r}=R \circ F \circ W$,
"roll, stack and rotate" $K_{s r}=R \circ S \circ W$

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After two cycles of kneading with coefficients of rolling $\alpha_{1}, \beta_{1}$ and $\alpha_{2}, \beta_{2} \longrightarrow$ box with dimensions


## Do we have anything to say to a sloppy baker who rolls the dough inconsistently, i.e., with varying rolling coefficients?



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\frac{\alpha_{1} \beta_{2}}{2} a, \frac{\beta_{1} \alpha_{2}}{2} b, \frac{4}{\alpha_{1} \alpha_{2} \beta_{1} \beta_{2}} c
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& K_{f f}(a x, b y, c z)= \begin{cases}\left(a^{\prime} 2 y, b^{\prime} x, c^{\prime}\left(1-\frac{1}{2} z\right)\right) & \text { if } 0 \leq y \leq \frac{1}{2} \\
\left(a^{\prime} 2(1-y), b^{\prime} x, c^{\prime} \frac{1}{2} z\right) & \text { if } \frac{1}{2}<y \leq 1\end{cases}
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& \left(x^{\prime}, y^{\prime}, z^{\prime}\right)=\left\{\begin{array}{lll}
\left(2 y, x, 1-\frac{1}{2} z\right) & \text { if } 0 \leq y \leq \frac{1}{2} \\
\left(2(1-y), x, \frac{1}{2} z\right) & \text { if } & \frac{1}{2}<y \leq 1
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\end{aligned}
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Only folding does?

## 2N cycles $\longrightarrow$ box with dimensions <br>  <br> product of coefficients of rolling must average out to $2^{N}$, or else the dough would aquire an odd shape.

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$2 N$ cycles $\longrightarrow$ box with dimensions $\quad b^{\prime}=b \frac{\beta_{1} \alpha_{2} \beta_{3} \alpha_{4} \ldots \beta_{2 N-1} \alpha_{2 N}}{2^{N}}$,

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Kneading map(s)

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\begin{aligned}
K_{f f}(x, y, z)=K_{s f}(x, y, z) & =\left(2 y, x, 1-\frac{1}{2} z\right), \quad \text { if } 0 \leq y \leq \frac{1}{2}, \\
K_{f f}(x, y, z) & =\left(2(1-y), x, \frac{1}{2} z\right), \quad \text { if } \frac{1}{2}<y \leq 1, \\
K_{s f}(x, y, z) & =\left(2 y-1, x, \frac{1}{2}(1-z)\right), \quad \text { if } \frac{1}{2}<y \leq 1, \\
K_{f r}(x, y, z)=K_{s r}(x, y, z) & =\left(1-2 y, x, \frac{1}{2} z\right), \quad \text { if } 0 \leq y \leq \frac{1}{2}, \\
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K_{s r}(x, y, z) & =\left(2(1-y), x, \frac{1}{2}(z+1)\right), \quad \text { if } \frac{1}{2}<y \leq 1 .
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symbolic space $\Sigma=\{0,1\}^{\mathbb{Z}}, \quad$ left shift $\sigma: \Sigma \rightarrow \Sigma$

## geometric coding map $h: \Sigma \rightarrow C=[0,1]^{3}$


$h\left(\ldots z_{3}, z_{2}, z_{1} \cdot y_{1}, x_{1}, y_{2}, x_{2}, \ldots\right)=(x, y, z)$

$\delta_{x}, \delta_{y}, \delta_{z}: \Sigma \rightarrow \Sigma$ maps which exchange 0 and 1 in $x_{i}$-s for $\delta_{x}, y_{i}$-s for $\delta_{y}, z_{i}$-s for $\delta_{z}$
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## $x_{i}, y_{i}, z_{i}, i=1,2, \ldots$, binary digits of coordinates

$(x, y, z) \in C=[0,1]^{3}$
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$$
\left(\ldots z_{3}, z_{2}, z_{1} \cdot y_{1}, x_{1}, y_{2}, x_{2}, \ldots\right)
$$

$$
\widehat{K}_{f f}=\left\{\begin{array}{lll}
\delta_{z} \circ \sigma, & \text { if } \eta_{0}=y_{1}=0 \\
\sigma \circ \delta_{y}, & \text { if } \eta_{0}=y_{1}=1
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cylinders $W_{0}=\left\{\eta_{0}=0\right\}, W_{1}=\left\{\eta_{0}=1\right\}, \quad \Sigma=W_{0} \cup W_{1}$

## Markov partition

## symbolic dynamics for $\widehat{K}, \quad g: \Sigma \rightarrow \Sigma$



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## Markov partition

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(g(\eta))_{m}=\left(\widehat{K}^{m} \eta\right)_{0}
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## Markov partition

symbolic dynamics for $\widehat{K}, \quad g: \Sigma \rightarrow \Sigma$

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\left.\begin{array}{rll}
(g(\eta))_{m} & =\left(\widehat{K}^{m} \eta\right)_{0} \\
\Sigma & \xrightarrow{\widehat{K}} & \Sigma \\
g \downarrow & & \downarrow g \\
\Sigma & & \sigma
\end{array}\right) \sigma
$$

$g(\eta)=\widetilde{\eta}$
for any $k \geq 0, I \geq 0$
block $\left(\widetilde{\eta}_{-1}, \ldots, \widetilde{\eta}_{-1}, \widetilde{\eta}_{0}, \widetilde{\eta}_{1}, \ldots, \widetilde{\eta}_{k-1}\right)$
depends only on ( $\eta_{-1}, \ldots, \eta_{-1}, \eta_{0}, \eta_{1}, \ldots, \eta_{k-1}$ )
this dependence is permutation of all $2^{1+k}$ blocks

- $g$ is $1-1$ and onto
- $g$ takes any Bernoulli measure into itself
(for $\widehat{K}_{f f}$ and $\widehat{K}_{f r}$ coding $g$ does not map cylinders into cylinders, unless they are "centered")
$K$ is measurably conjugate to $\sigma$ with $\left\{\frac{1}{2}, \frac{1}{2}\right\}$ measure
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## Quantifying mixing

## Definition

For a map $K: C \rightarrow C$, and a finite $\sigma$-algebra $\mathcal{B}$ of subsets of $C$, we say that a family $\mathcal{A}$ of subsets of $C$ has the decay rate $r_{0}<1$, with the resolution $\mathcal{B}$, if for every $r>r_{0}$ and every $A \in \mathcal{A}$ there is a constant $d>0$ such that

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& \left|\mu\left(K^{n}(A) \cap B\right)-\mu(A) \mu(B)\right| \leq d r^{n} \text { for every } B \in \mathcal{B}, n=1,2, \ldots \\
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## Definition

A subset $A \subset C$ has finite surface area if there is a constant $s>0$ such that the Lebesgue measure of the $\epsilon$-neighborhood of its boundary $\partial A$ does not exceed $s \epsilon$, for any $\epsilon>0$.

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If $A$ and $B$ have finite surface area then there is a constant $d$ such that for all $n \geq 1$

$$
\left|\mu\left(K^{n}(A) \cap B\right)-\mu(A) \mu(B)\right| \leq 2^{-\frac{n}{3}} d
$$

rate of decay for correlations of observables?
Lipschitz functions (observables) $f, g: C \rightarrow \mathbb{R}$

## Theorem

There is a constant $d=d(f, g)$ such that for all $n \geq 1$

$$
|c(f, g, n)|:=\left|\int_{C} f \circ K^{n} g d \mu-\int_{C} f d \mu \int_{C} g d \mu\right| \leq 2^{-\frac{n}{3}} d
$$

