Proving full scale invariance for a massless ϕ^4 theory

Ajay Chandra - University of Virginia Joint work with Abdelmalek Abdesselam and Gianluca Guadagni

Gradient Random Fields - University of Warwick

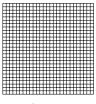
May 30, 2014

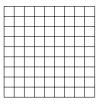
For intuition: building a hierarchical free field over \mathbb{R}^d

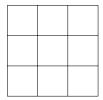
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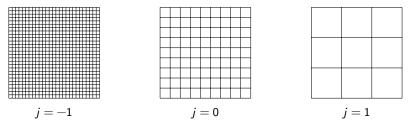
i = -1

j = 0

j = 1

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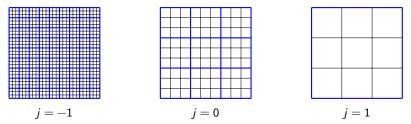


- Define a sequence of independent Gaussian random fields $\{\xi_i(x)\}_{i \in \mathbb{Z}}$
- For each j ∈ Z we set ξ_j(x) = Σ_{Δ∈B_j} α_Δ1_Δ(x) where {α_Δ}_{Δ∈B_j} are i.i.d. N(0, 1) Gaussian r.v.'s conditioned to satisfy the following:

$$\text{For all } \bar{\Delta} \in \mathcal{B}_{j+1}, \ \sum_{\substack{\Delta \in \mathcal{B}_j \\ \Delta \subset \bar{\Delta}}} \alpha_\Delta = 0$$

An example: building a hierarchical free field over \mathbb{R}^d

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Definition of | · | and φ is natural if Q^d_p is used as the space-time - in which case φ is given by the Gaussian measure on S'(Q^d_p) determined by the covariance

$$(-\Delta)^{-\frac{d-2\kappa}{2}}$$

The hierarchical ϕ^4 model

• Following Brydges, Mitter, Scoppola CMP 2003 we fix d = 3, $\kappa = \frac{3-\epsilon}{4}$ so our covariance is given by

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 $\bullet\,$ Model of interest is a critical φ^4 model

$$\mathrm{d}\nu = \frac{1}{\mathcal{Z}} \exp\left[-\int_{\mathbb{Q}^3_\rho} d^3 x \ g \ \varphi^4(x) + \mu \ \varphi^2(x)\right] \mathrm{d}\mu_{\mathcal{C}_{-\infty}}(\varphi)$$

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• $d\mu_{C_{-\infty}}$ is the law of the Gaussian field with covariance $C_{-\infty}$ $g>0,~\mu<0$

• UV Regularization: Replace original ϕ with truncated field $\phi_r = \sum_{j=r}^{\infty} p^{-\kappa j} \xi_j$, denote corresponding covariance C_r

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$$\mathrm{d} \mathbf{v}_{r,s} = \frac{1}{\mathbf{Z}_{r,s}} \exp\left[-\int_{\Lambda_s} d^3 x \ p^{-\epsilon r} g \ \Phi_r^4(x) + p^{-\frac{3+\epsilon}{2}r} \mu \ \Phi_r^2(x)\right] d\mu_{C_r}(\Phi)$$

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• Removing UV cut-off (scaling limit) means taking $r \to -\infty$, removing IR cut-off (thermodynamic limit) means taking $s \to \infty$.

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- We introduce the RG by computing partition functions Z_{r,s}. First we rescale so the UV cut-off is at scale 0 - using that φ_r(x) ^d= L^{-rκ}φ₀(L^{-r}x) one has:

$$\begin{aligned} \mathcal{Z}_{\underline{r},\underline{s}} &:= \int \exp\left[-\int_{\Lambda_{\underline{s}}} d^3 x \ L^{-\epsilon r} g \ \varphi_{\underline{r}}^4(x) + L^{-\frac{3+\epsilon}{2}r} \mu \ \varphi_{\underline{r}}^2(x)\right] d\mu_{C_{\underline{r}}}(\varphi_{\underline{r}}) \\ &= \int \exp\left[-\int_{\Lambda_{\ell(s-r)}} d^3 x \ g \ \varphi_{0}^4(x) + \mu \ \varphi_{0}^2(x)\right] d\mu_{C_{0}}(\varphi_{0}) \\ &= \int \prod_{\Delta \subset \mathcal{A}_{\ell(s-r)}} F_{0}(\varphi_{0,\Delta}) d\mu_{C_{0}}(\varphi_{0}) \end{aligned}$$

$$\phi_0(x) = \underbrace{\sum_{j=0}^{\ell-1} p^{-\kappa j} \xi_j(x)}_{\zeta(x)} + \phi_\ell(x)$$

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$$\int \prod_{\substack{\Delta \in \mathcal{B}_0 \\ \Delta \subset \Lambda_{\ell(s-r-j)}}} F_j(\phi_{0,\Delta}) d\mu_{C_0}(\phi_0) = \int \prod_{\substack{\Delta \in \mathcal{B}_0 \\ \Delta \subset \Lambda_{\ell(s-r-j-1)}}} \left(F_{j+1}(\phi_{0,\Delta}) e^{\delta b_{j+1}} \right) d\mu_{C_0}(\phi_0)$$

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Where the new functional is given by:

$$F_{j+1}(\phi_{0,\Delta}) = e^{-\delta b_{j+1}} \int \prod_{\substack{\Delta' \in \mathcal{B}_0 \\ \Delta' \subset L\Delta}} F_j(L^{-\kappa} \phi_{0,\Delta} + \zeta_{\Delta'}) \, d\mu_{\Gamma}(\zeta)$$

 $F_j(\phi_{\Delta}) = \exp\left[-g_j \ \phi_{\Delta}^4 - \mu_j \ \phi_{\Delta}^2\right] + R_j(\phi_{\Delta}) \rightarrow (g_j, \mu_j, R_j) \in (0, \infty) \times \mathbb{R} \times \mathcal{X}$

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$$g_{j+1} = L^{\epsilon}g_{j} - a(L, \epsilon)L^{2\epsilon}g_{j}^{2} + \xi_{g}(g_{j}, \mu_{j}, R_{j})$$
$$\mu_{j+1} = L^{\frac{(3+\epsilon)}{2}}\mu_{j} + \xi_{\mu}(g_{j}, \mu_{j}, R_{j})$$
$$\|R_{j+1}\| \leq \mathbf{O}(1)L^{-\frac{1}{4}}\|R_{j}\| + \xi_{R}(g_{j}, \mu_{j}, R_{j})$$

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- Can show existence of non-trivial hyperbolic fixed point of RG denoted (g_{*}, μ_{*}, R_{*}) with g_{*} > 0, along with its local stable manifold
- In particular there is an analytic function $\mu_{crit}(\cdot)$ defined on a small non-empty neighborhood $U \subset (0, \infty)$ such that

$$\lim_{n\to\infty} RG^n \left[(g, \mu_{\rm crit}(g), 0) \right] = (g_*, \mu_*, R_*)$$

For $g \in U$ we choose $\mu = \mu_{crit}(g)$ when defining $\nu_{\underline{r},\underline{s}}$

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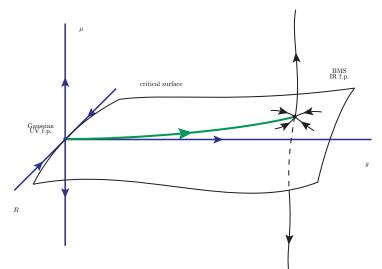
- Can show existence of non-trivial hyperbolic fixed point of RG_{ℓ} denoted $(g_{\ell,*}, \mu_{\ell,*}, R_{\ell,*})$ with $g_{\ell,*} > 0$, along with its local stable manifold
- In particular there is an analytic function $\mu_{\ell,\mathrm{crit}}(\cdot)$ defined on a small non-empty neighborhood $U \subset (0,\infty)$ such that

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Sketch of RG Phase Portrait



Ajay Chandra (UVA)

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The Flow of Observables

To construct concrete measures corresponding to the ϕ field and $\mathcal{N}[\phi^2]$ field we control the following quantity for suitable test functions f and j:

$$\frac{\mathcal{Z}_{\underline{r},\underline{s}}(f,j)}{\mathcal{Z}_{\underline{r},\underline{s}}(0,0)} = \mathbb{E}_{\underline{r},\underline{s}}\left[e^{\phi(f) + \mathcal{N}[\phi^2](j)}\right]$$

where

$$\begin{aligned} \mathcal{Z}_{\underline{r},\underline{s}}(f,j) &\coloneqq \int \exp\left[-\int_{\Lambda_{\underline{s}}} d^3x \ L^{-\epsilon r} g \phi_{\underline{r}}^4(x) + L^{-\frac{3+\epsilon}{2}r} \mu_{\ell,\mathrm{crit}} \phi_{\underline{r}}^2(x)\right] \\ &\times \exp\left[\int_{\Lambda_{\underline{s}}} d^3x \ \phi_{\underline{r}}(x) f(x) + L^{-\eta r} \left(\phi_{\underline{r}}^2(x) - L^{-(3-2\kappa)r} \gamma_{\ell,0}\right) j(x)\right] d\mu_{C_{\underline{r}}}(\phi_{\underline{r}}) \end{aligned}$$

- Observables require us to work in a larger dynamical system with an RG transformation that acts on a space of spatially varying potentials
- Constructing the composite field $\mathcal{N}[\phi^2]$ requires a correction due to eigenvalue of RG_ℓ at the non-trivial fixed point along the unstable manifold, a partial linearization theorem in the direction of the unstable manifold is used to show that with this correction one has constructed a non-zero, non-infinite composite field.

Ajay Chandra (UVA)

Theorem (Abdesselam, C., Guadagni)

For any p prime, for ℓ sufficiently large, and for ε sufficiently small there exist a non-empty neighborhood $U \subset (0,\infty)$, analytic map $\mu_{\ell,crit}(\cdot)$ on U such that

- The measures $\nu_{\ell r,\ell s}$ converge to a limiting measure ν^ℓ in the sense of moments as $r\to-\infty,s\to\infty$
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- Additionally the fields constructed have the following partial scale invariance (which hold in the two field's joint law) there exists $\eta_{\ell} > 0$ such that:

$$\left(\Phi(x), \mathcal{N}[\Phi^2](y)\right) \stackrel{d}{=} \left(L^{-\kappa}\Phi(L^{-1}x), L^{-2\kappa-\eta_\ell}\mathcal{N}[\Phi^2](L^{-1}y)\right)$$

Earlier Work:

(Bleher, Sinai 73), (Collet, Eckmann '77), (Gawedzki, Kupianien 83 & 84), (Bleher, Major 87): Hierarchical model (Brydges, Mitter, Scoppola 03): Euclidean model, non-trivial fixed point (Abdesselam 06): Euclidean model, construction of a trajectory between Gaussian and non-trivial fixed points

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- The measures $\nu_{\ell r,\ell s}$ converge to a limiting measure ν^ℓ in the sense of moments as $r\to-\infty,s\to\infty$
- The measure v_{ℓ} is translation invariant, rotation invariant, and non-Gaussian.
- There is a non-zero normal ordered field for $\mathcal{N}_\ell[\varphi^2]$ for ν^ℓ which is translation and rotational invariant.
- Additionally the fields constructed have the following partial scale invariance (which hold in the two field's joint law) there exists $\eta_{\ell} > 0$ such that:

$$\left(\Phi(x), \mathcal{N}[\Phi^2](y) \right) \stackrel{d}{=} \left(L^{-\kappa} \Phi(L^{-1}x), L^{-2\kappa - \eta_{\ell}} \mathcal{N}_{\ell}[\Phi^2](L^{-1}y) \right)$$

- Goal of what follows is to prove the following if one applies the above construction for some sufficiently large ℓ and also $\ell + 1$ then the functions $\mu_{\ell,crit}$ and $\mu_{\ell+1,crit}$ must coincide on the intersection of their domains.
- By passing to a common subsequence of scales it would follow that $\nu^{\ell} = \nu^{\ell+1}$ which means this measure is fully scale invariant.

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- By passing to a common subsequence of scales it would follow that ν^ℓ = ν^{ℓ+1} which means this measure is fully scale invariant. Easy to check that this also forces η_ℓ = η_{ℓ+1} and that the laws of N_ℓ[φ²] and N_{ℓ+1}[φ²] must coincide.

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• Proceed by contradiction: We write $\mu_1(\cdot) = \mu_{crit,\ell}(\cdot)$, $\mu_2(\cdot) = \mu_{crit,\ell+1}(\cdot)$ and suppose that $\mu_1(\cdot) > \mu_2(\cdot)$ on some open interval.

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$$J_{x,y} = J(x-y) = C_0^{-1}(x-y) > 0, \ J(x-y) \sim \frac{1}{|x-y|^{\frac{9+\epsilon}{2}}},$$

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$$\mathrm{d}\rho(s) = \exp\left[-gs^4 - (\mu + C_0^{-1}(0))s^2\right] ds$$

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• Formally define measures on lattice field configurations $\varphi = \{\varphi_x\}_{x \in \mathbb{L}}$ via

$$d\nu[g,\mu,\beta,h](\phi) = \frac{1}{Z} \exp\left[\beta \sum_{x,y \in \mathbb{L}} J_{x,y} \phi_x \phi_y + \sum_{x \in \mathbb{L}} h \phi_x\right] \left(\prod_{x \in \mathbb{L}} d\rho(\phi_x)\right)$$

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• Earlier main result can then be seen to imply that for $\beta = 1$, h = 0 (suppressed) and for both i = 1 and 2 one has both

$$\begin{split} &\inf_{x\in\mathbb{L}}\langle\varphi_0\varphi_x\rangle_{\nu[g,\mu_i(g)]}=0 \text{ absence of long range order (LRO)}\\ &\sum_{x\in\mathbb{L}}\langle\varphi_0\varphi_x\rangle_{\nu[g,\mu_i(g)]}=\infty \text{ infinite susceptability} \end{split}$$

Griffiths' Second Inequality then implies existence of an *intermediate phase* in the mass parameter, that is both equations above would be expected to hold for all (g, µ) with µ ∈ (µ₁(g), µ₂(g)). In fact we would have an open ball corresponding to an intermediate phase in the (g, µ) plane.

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- Scaling the field allows us translate this into an intermediate phase in the β parameter for *fixed* g, μ.

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$$\beta_{\textit{LRO}} = \inf \left\{ \beta | \inf_{x \in \mathbb{L}} \langle \varphi_0 \varphi_x \rangle_{\mu[\beta, 0]} > 0 \right\} \quad \beta_X = \sup \left\{ \beta | \sum_{x \in \mathbb{L}} \langle \varphi_0 \varphi_x \rangle_{\mu[\beta, 0]} < \infty \right\}$$

 $\beta_{\chi} \leq \beta_{LRO}$ is immediate, $\beta_{\chi} = \beta_{LRO}$ indicates sharpness of transition.

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- Key tools \rightarrow Ruelle's superstability estimates and tempered Gibbs measures [Ruelle 1970], [Lebowitz, Presutti 1976]

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- **Temperedness**: Define:

$$U_{\infty} = \bigcup_{n=1}^{\infty} \{ S \in \mathbb{R}^{\mathbb{L}} | |S_x|^2 \le n^2 \log(|x|+1) \}$$

Measures μ supported on U_∞ are called tempered measures.

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- **Superstability**: Use exponential factors in *d*ρ to establish exponential bounds on finite volume Gibbs measures that are are uniform in volume.

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- **Superstability**: Use exponential factors in *d*ρ to establish exponential bounds on finite volume Gibbs measures that are are uniform in volume.
- These bounds give: compactness of finite volume measures, existence of pressure independent of boundary conditions
- Can also construct analogs of + and boundary conditions along with corresponding extremal measures $\nu[\beta, h, +], \nu[\beta, h, -]$

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• Show $\lim_{h\to 0^+}\nu[\beta,h]=\nu[\beta,0,+].$ Then spontaneous magnetization \Rightarrow multiple Gibbs measures

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• For such β one can show that for all Gibbs measures ν one has:

$$\lim_{\Lambda \to \mathbb{L}} \int_{U_{\infty}} \frac{dp_{\Lambda}}{d\beta}(\beta, S) d\nu(S) = \sum_{x \in \mathbb{L} \setminus 0} J(x) \langle \phi_0 \phi_x \rangle_{\nu} = \frac{dp}{d\beta}(\beta, 0)$$

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Ajay Chandra (UVA)

Full Scale Invariance

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• $\sum_{x \in \mathbb{L} \setminus 0} J(x) \langle \phi_0 \phi_x \rangle_{\nu}$ the same for all Gibbs measures $\nu \Leftrightarrow \forall x \neq 0, \ \langle \phi_0 \phi_x \rangle_{\nu}$ the same for all Gibbs measures ν .

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- We then have:

$$\begin{split} \langle \varphi_0 \varphi_x \rangle_{\nu[\beta,0]} &= \langle \varphi_0 \varphi_x \rangle_{\nu[\beta,0,+]} \\ &= \langle \varphi_0, \varphi_x \rangle_{\nu[\beta,0,+]}^{\mathcal{T}} + \langle \varphi_0 \rangle_{\nu[\beta,0,+]}^2 \ge \langle \varphi_0 \rangle_{\nu[\beta,0,+]}^2 > 0 \end{split}$$

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- Result extends to all $\beta > \beta_m$ by Griffiths II.
- This generates the contradiction (ruling out our intermediate phase) so full scale invariance is proved.

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- Result extends to all $\beta > \beta_m$ by Griffiths II.
- This generates the contradiction (ruling out our intermediate phase) so full scale invariance is proved.
- Thanks for listening to my talk and thanks to the organizers for a great conference!