

# Rota's conjecture and the tropical Laplacian

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A *matroid* on a finite set  $E$  is a collection of subsets of  $E$ , called *independent sets*, which satisfy axioms modeled on the relation of linear independence of vectors:

1. Every subset of an independent set is an independent set.
2. If an independent set  $A$  has more elements than independent set  $B$ , then there is an element in  $A$  which, when added to  $B$ , gives a larger independent set.

- Let  $V$  be a vector space over a field  $k$ , and  $E$  a finite set of vectors.

Call a subset of  $E$  independent if it is linearly independent.

This defines a matroid  $M$  *realizable* over  $k$ .

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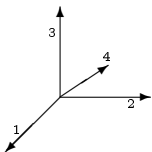
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- Let  $G$  be a finite graph, and  $E$  the set of edges.

Call a subset of  $E$  independent if it does not contain a circuit.

This defines a *graphic matroid*  $M$ .



- A sequence  $a_0, \dots, a_r$  is *log-concave* if for all  $i$

$$a_{i-1} a_{i+1} \leq a_i^2.$$

- If there are *no internal zeroes*, log-concavity implies *unimodality*:

$$a_0 \leq \dots \leq a_{i-1} \leq a_i \geq a_{i+1} \geq \dots \geq a_r \quad \text{for some } i.$$

- The chromatic polynomial of  $G$  is the function

$$\chi_G(q) = (\text{number of proper colorings of } G \text{ with } q \text{ colors}).$$

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### Example



$$\chi_G(q) = 1q^4 - 4q^3 + 6q^2 - 3q$$



Conjecture (Read '68, Hoggar '74)

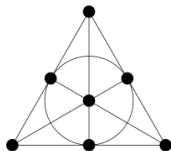
*The coefficients of the chromatic polynomial  $\chi_G(q)$  form a unimodal (log-concave) sequence for any graph  $G$ .*

- Let  $E$  be a finite subset of a vector space.
- Define the  $f$ -vector of  $E$  by

$$f_i = (\text{number of independent subsets of } E \text{ with size } i).$$

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### Example (Fano plane)

For  $E = \mathbb{F}_2^3 \setminus \{0\}$ , we have

$$f_0 = 1, \quad f_1 = 7, \quad f_2 = 21, \quad f_3 = 28.$$

## Conjecture (Welsh '69, Mason '72)

*The sequence  $f_0, f_1, \dots, f_r$  is unimodal (log-concave) for any finite subset of a vector space.*

### Theorem (H.)

*The conjecture on the chromatic polynomial is true for all graphs.*

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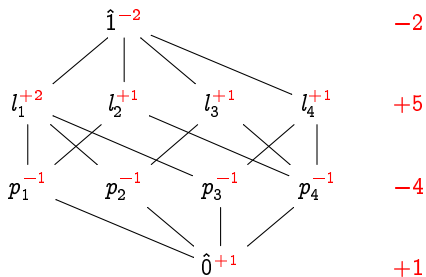
*The conjecture on the sequence  $f_i$  is true for any set of vectors.*

I will show how to prove these statements; but these are just a small part of a more general conjecture of Rota that is still *open* and *more interesting*.

Rota noted the significance of the *characteristic polynomial*  $\chi_M(q)$  defined from the lattice of flats of a matroid  $M$ .

On the lattice of flats, the *Möbius function* is defined recursively by

$$\mu(\hat{0}, \hat{0}) = 1 \quad \text{and} \quad \mu(\hat{0}, F) = - \sum_{G < F} \mu(\hat{0}, G).$$



The sum of the values of Möbius function determine the characteristic polynomial:

$$\chi_M(q) = 1q^3 - 4q^2 + 5q - 2.$$



The following conjecture specializes to the previous conjectures in the realizable case:

### Conjecture (Rota, Heron, Welsh)

*The coefficients of the characteristic polynomial  $\chi_M(q)$  form a log-concave sequence for any matroid  $M$ .*

## Theorem (H.-Katz)

*The coefficients of the characteristic polynomial  $\chi_M(q)$  form a log-concave sequence if  $M$  is realizable over some field.*

Does this give a strong evidence for the general case?

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1. A subvariety defines a homology class.

The homology class of a subvariety is called *prime*.

2. An *algebraic* class is an integral linear combination of prime classes.

The Hodge conjecture characterizes algebraic classes (up to a multiple).

The homology group contains

- (i) the set of *prime* classes, the classes of subvarieties,
- (ii) the set of *effective* classes, the nonnegative linear combinations of prime classes.

## Definition

A homology class  $\xi \in H_{2d}(X; \mathbb{R})$  is said to be *prime* if some positive multiple of  $\xi$  is the class of a subvariety. Define

$$P_d(X) = \left( \text{the closure of the set of prime classes in } H_{2d}(X; \mathbb{R}) \right).$$

This closed subset shows the asymptotic distribution of primes in the homology of  $X$ .

## Example

If  $X = \mathbb{P}^m \times \mathbb{P}^n$ , then

$$H_{2d}(X; \mathbb{R}) = \left\{ \xi = \sum_i x_i [\mathbb{P}^{d-i} \times \mathbb{P}^i] \mid x_i \in \mathbb{R} \right\}.$$



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Then  $P_d(X)$  is the set

$\left( \{x_i\} \text{ is a } \textit{log-concave} \text{ sequence of } \textit{nonnegative} \text{ integers with } \textit{no internal zeros} \right).$

The orderly structure of primes is *not* visible if we work with integral homology classes.

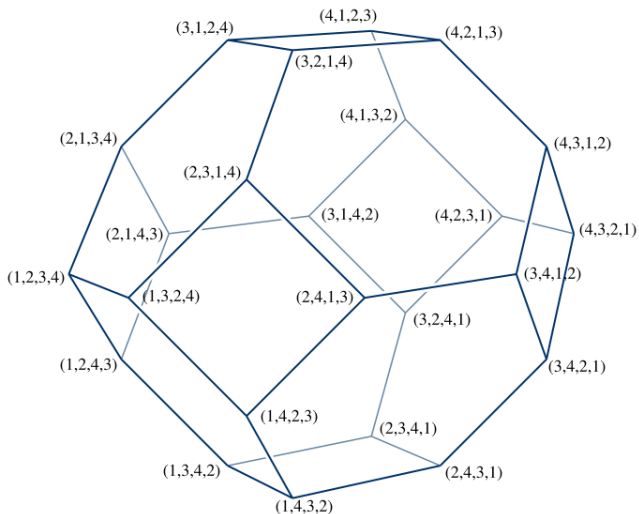
For example, there is no subvariety of  $\mathbb{P}^5 \times \mathbb{P}^5$  with the homology class

$$1[\mathbb{P}^5 \times \mathbb{P}^0] + 2[\mathbb{P}^4 \times \mathbb{P}^1] + 3[\mathbb{P}^3 \times \mathbb{P}^2] + 4[\mathbb{P}^2 \times \mathbb{P}^3] + 2[\mathbb{P}^1 \times \mathbb{P}^4] + 1[\mathbb{P}^0 \times \mathbb{P}^5],$$

although  $(1, 2, 3, 4, 2, 1)$  is a log-concave sequence with no internal zeros.

(Recall Hodge conjecture, Steenrod's problem, etc.)

I claim that matroid theory is a study of the toric variety  $X_{A_n}$  of the  $n$ -dimensional *permutohedron*:



- Let  $M$  be a (loopless) matroid on  $E = \{0, 1, \dots, n\}$  of rank  $(r + 1)$ .
- Let  $\mathcal{R}$  be a set of (nonzero) vectors  $\{f_0, f_1, \dots, f_n\}$  in an  $(r + 1)$ -dimensional vector space over  $k$ .

If the matroid of  $\mathcal{R}$  is  $M$ , then we say that  $\mathcal{R}$  is a *realization* of  $M$ .

There is a 'natural' construction of

- an  $r$ -dimensional homology class of  $X_{A_n}$  from  $M$ , denoted  $\Delta_M$ , and
- an  $r$ -dimensional subvariety of  $X_{A_n}$  from  $\mathcal{R}$ , denoted  $Y_{\mathcal{R}}$ .

The homology class  $\Delta_M$  determines  $M$ , and the subvariety  $Y_{\mathcal{R}}$  determines  $\mathcal{R}$ .

## Theorem

Let  $k$  be a field, and  $M$  be a loopless matroid on  $E$ .

- (i) The homology class  $\Delta_M$  is effective.
- (ii) The homology class  $\Delta_M$  is prime if and only if  $M$  is realizable over  $k$ .

## Theorem

More precisely,

- (iii) If  $\mathcal{R}$  is a realization of  $M$ , then  $[Y_{\mathcal{R}}] = \Delta_M$ .
- (iv) If  $Y$  is a subvariety with  $[Y] = \Delta_M$ , then  $Y = Y_{\mathcal{R}}$  for some realization  $\mathcal{R}$  of  $M$  over  $k$ .

## Theorem

Under the “anticanonical” map

$$\pi : X_{A_n} \longrightarrow \mathbb{P}^n \times \mathbb{P}^n$$

the matroid homology class pushforwards

$$\Delta_M \mapsto (\text{the coefficients of the characteristic polynomial of } M).$$



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Since prime classes map to prime classes, Rota’s conjecture is true for all matroids which are realizable over some field.

In our language,

**Conjecture** (log-concavity conjecture)

*For any matroid  $M$  and any field  $k$ ,*

$$\pi_*(\Delta_M) \in P_r(\mathbb{P}^n \times \mathbb{P}^n).$$

If Rota's conjecture is true, I believe it is because the same is true in  $X_{A_n}$ .

### Conjecture (AG)

For any matroid  $M$  and any field  $k$ ,

$$\Delta_M \in P_r(X_{A_n}).$$

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### Conjecture (CO)

*The tropical Laplacian of a matroid has exactly one negative eigenvalue.*

- (i) Conjecture (CO) holds for all matroids which are realizable over some field.
- (ii) Conjecture (CO) implies the log-concavity conjecture.