

Excitation spectrum of interacting bosons in the mean-field infinite-volume limit

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Model

- We consider an interacting, **homogeneous** Bose gas.
- The Hamiltonian of such an N -particle system is given by

$$H_N = - \sum_{i=1}^N \Delta_i + \lambda \sum_{1 \leq i < j \leq N} v(\mathbf{x}_i - \mathbf{x}_j)$$

defined on the Hilbert space $L^2_{\text{sym}}((\mathbb{R}^d)^N)$.

- $\lambda \geq 0$ is a coupling constant.
- We assume v is a real and symmetric function such that

$$v \in L^1(\mathbb{R}^d), \quad \hat{v} \in L^1(\mathbb{R}^d)$$

$$v(\mathbf{x}) \geq 0, \quad \mathbf{x} \in \mathbb{R}^d, \quad \hat{v}(\mathbf{p}) \geq 0, \quad \mathbf{p} \in \mathbb{R}^d.$$

- We want to describe a physical system of *positive* density in a large volume limit.
- To this end we replace \mathbb{R}^d by the torus $(-L/2, L/2]^d$ and the potential by its *periodized* version

$$v^L(\mathbf{x}) = \frac{1}{L^d} \sum_{\mathbf{p} \in (2\pi/L)\mathbb{Z}^d} \hat{v}(\mathbf{p}) e^{i\mathbf{px}}.$$

- The Hamiltonian in the box reads

$$H_N^L = - \sum_{i=1}^N \Delta_i^L + \lambda \sum_{1 \leq i < j \leq N} v^L(\mathbf{x}_i - \mathbf{x}_j).$$

- The *total momentum* operator

$$P_N^L = \sum_{i=1}^N -i\partial_{\mathbf{x}_i}^L.$$

The excitation spectrum

- H_N and P_N commute, thus we can consider the joint energy-momentum spectrum $\text{sp}(H_N, P_N) \subset \mathbb{R}^{d+1}$.
- Let E_N denote the *ground state energy* of H_N . Then
$$\boxed{\text{Excitation spectrum} := \text{sp}(H_N - E_N, P_N)}.$$

Bogoliubov excitation spectrum

The diagonalised Bogoliubov Hamiltonian

$$H_{\text{Bog}} = E_{\text{Bog}} + \sum_{\mathbf{p} \neq 0} e(\mathbf{p}) b_{\mathbf{p}}^\dagger b_{\mathbf{p}}$$

with $e(\mathbf{p}) = \sqrt{\mathbf{p}^4 + 2\lambda\rho\hat{v}(\mathbf{p})\mathbf{p}^2}$ and

$$E_{\text{Bog}} := -\frac{1}{2} \sum_{\mathbf{p} \in \frac{2\pi}{L}\mathbb{Z}^d \setminus \{0\}} \left(|\mathbf{p}|^2 + \hat{v}(\mathbf{p}) - \sqrt{|\mathbf{p}|^4 + 2\lambda\rho\hat{v}(\mathbf{p})|\mathbf{p}|^2} \right).$$

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\Rightarrow Choice of mean-field scaling $\lambda = 1/\rho$.

For $\mathbf{p} \in \frac{2\pi}{L}\mathbb{Z}^d$ define the **Bogoliubov elementary excitation spectrum** $e(\mathbf{p}) := \sqrt{\mathbf{p}^4 + 2\hat{v}(\mathbf{p})\mathbf{p}^2}$.

For any $\mathbf{p} \in \frac{2\pi}{L}\mathbb{Z}^d$ we consider the **Bogoliubov excitation energies** with total momentum \mathbf{p} :

$$\left\{ \sum_{i=1}^j e(\mathbf{k}_i) : \mathbf{k}_1, \dots, \mathbf{k}_j \in \frac{2\pi}{L}\mathbb{Z}^d, \mathbf{k}_1 + \dots + \mathbf{k}_j = \mathbf{p}, j = 1, 2, \dots \right\}$$

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Let $K_{\text{Bog}}^1(\mathbf{p}), K_{\text{Bog}}^2(\mathbf{p}), \dots$ be these energies in the increasing order.

Similarly, let $K_N^1(\mathbf{p}), K_N^2(\mathbf{p}), \dots$ be the corresponding excitation energies of H_N , that is, the eigenvalues of $H_N - E_N$ of total momentum \mathbf{p} in the increasing order.

Theorem

Lower bound

Let $c > 0$. Then there exists C such that

- ① if

$$L^{2d+2} \leq cN,$$

then

$$E_N \geq \frac{1}{2}\hat{v}(\mathbf{0})(N-1) + E_{\text{Bog}} - CN^{-1/2}L^{2d+3};$$

- ② if in addition

$$K_N^j(\mathbf{p}) \leq cNL^{-d-2},$$

then

$$\begin{aligned} E_N + K_N^j(\mathbf{p}) &\geq \frac{1}{2}\hat{v}(\mathbf{0})(N-1) + E_{\text{Bog}} + K_{\text{Bog}}^j(\mathbf{p}) \\ &\quad - CN^{-1/2}L^{d/2+3}(K_N^j(\mathbf{p}) + L^d)^{3/2}. \end{aligned}$$

Upper bound

Let $c > 0$. Then there exists $c_1 > 0$ and C such that

① if

$$L^{2d+1} \leq cN \quad \text{and} \quad L^{d+1} \leq c_1 N,$$

then

$$E_N \leq \frac{1}{2} \hat{v}(\mathbf{0})(N - 1) + E_{\text{Bog}} + CN^{-1/2} L^{2d+3/2};$$

② if in addition

$$\begin{aligned} K_{\text{Bog}}^j(\mathbf{p}) &\leq cNL^{-d-2} \\ \text{and} \quad K_{\text{Bog}}^j(\mathbf{p}) &\leq c_1 NL^{-2}, \end{aligned}$$

then

$$\begin{aligned} E_N + K_N^j(\mathbf{p}) &\leq \frac{1}{2} \hat{v}(\mathbf{0})(N - 1) + E_{\text{Bog}} + K_{\text{Bog}}^j(\mathbf{p}) \\ &\quad + CN^{-1/2} L^{d/2+3} (K_{\text{Bog}}^j(\mathbf{p}) + L^{d-1})^{3/2}. \end{aligned}$$

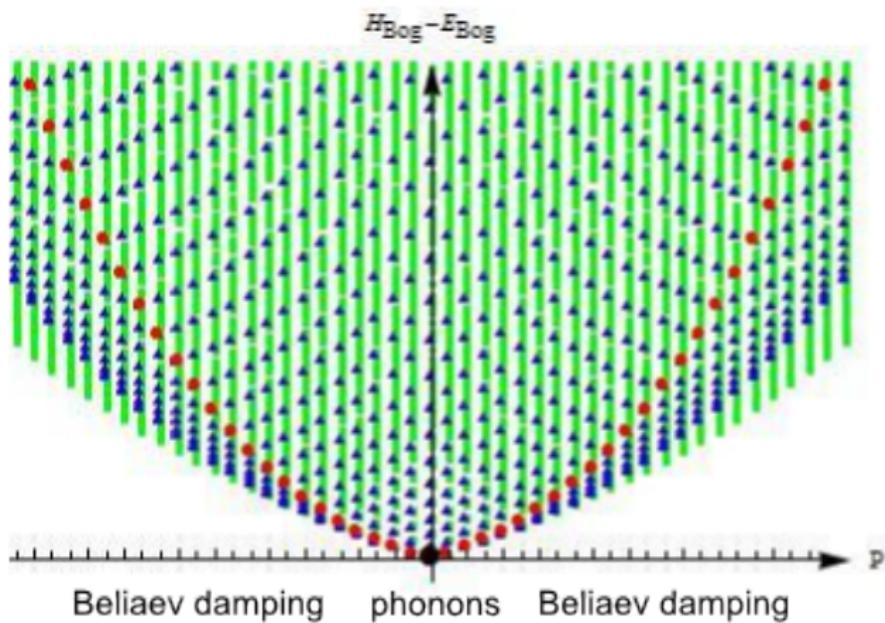
- By the exponential property of Fock spaces we have the identification

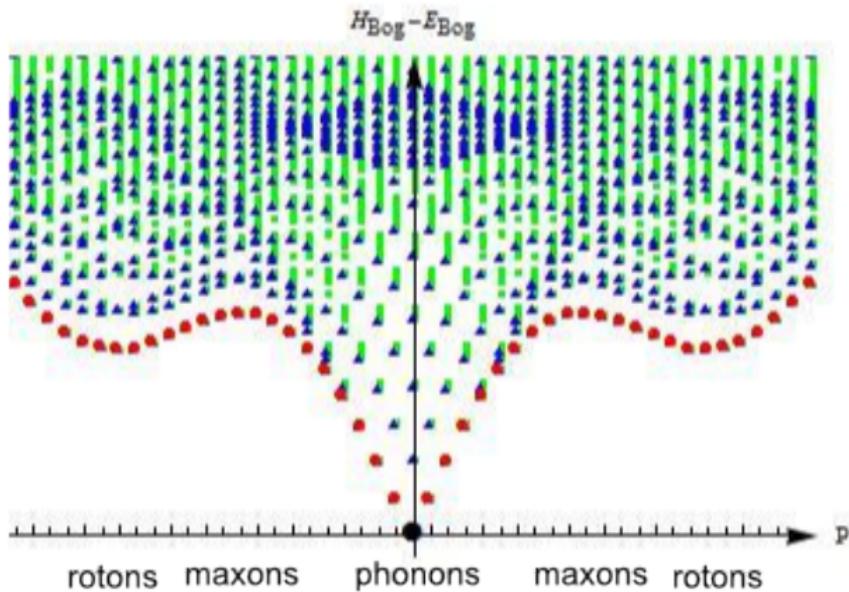
$$\begin{aligned}\mathcal{H} &= \Gamma_s \left(l^2 \left(\frac{2\pi}{L} \mathbb{Z}^d \right) \right) = \Gamma_s \left(\mathbb{C} \oplus l^2 \left(\frac{2\pi}{L} \mathbb{Z}^d \setminus \{\mathbf{0}\} \right) \right) \\ &\simeq \Gamma_s(\mathbb{C}) \otimes \Gamma_s \left(l^2 \left(\frac{2\pi}{L} \mathbb{Z}^d \setminus \{\mathbf{0}\} \right) \right).\end{aligned}$$

- We embed the space of the zeroth mode $\Gamma_s(\mathbb{C}) = l^2(\{0, 1, \dots\})$ in a larger space $l^2(\mathbb{Z})$. **The extended space**

$$\mathcal{H}^{\text{ext}} := l^2(\mathbb{Z}) \otimes \Gamma_s \left(l^2 \left(\frac{2\pi}{L} \mathbb{Z}^d \setminus \{\mathbf{0}\} \right) \right).$$

- We have also a unitary operator $U|n_0\rangle \otimes \Psi^> = |n_0 - 1\rangle \otimes \Psi^>$. For $\mathbf{p} \neq \mathbf{0}$ we define $b_{\mathbf{p}} := a_{\mathbf{p}} U^\dagger$ (on \mathcal{H}^{ext}).





Thank you for your attention!