## Closed Ideals in $\mathcal{L}(\ell_p \oplus \ell_q)$

Th. Schlumprecht (joint with A. Zsák)

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#### Main Problem

The closed ideals of  $\mathcal{L}(\ell_p \oplus \ell_q)$ , 1 .

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 $\mathcal{K}(X, Y) = \{T :\in \mathcal{L}(X, Y) : T \text{ compact}\}$  $\mathcal{S}(X, Y) = \{T :\in \mathcal{L}(X, Y) : T \text{ strictly singular}\}$  $T: X \to Y$  strictly singular if  $\forall Z \hookrightarrow X$ , dim $(Z) = \infty$   $T|_Z$  not isom.  $\mathcal{FS}(X, Y) = \{T :\in \mathcal{L}(X, Y) : T \text{ finitely strictly singular}\}$  $T: X \rightarrow Y$  finitely strictly singular if  $\forall \varepsilon > 0 \ \exists n \in \mathbb{N} \ \forall F \hookrightarrow X, \dim(F) = n \ \exists x \in S_F \quad ||T(x)|| \le \varepsilon$ Let U, V Banach spaces and  $S \in \mathcal{L}(U, V)$ , the ideal in  $\mathcal{L}(X, Y)$ generated by S is the smallest closed ideal  $\mathcal{J}^{S}$  in  $\mathcal{L}(X, Y)$ , containing  $A \circ S \circ B$ , for all  $A \in \mathcal{L}(V, Y)$  and  $B \in \mathcal{L}(X, U)$ , *i.e.*,

$$\mathcal{J}^{\mathcal{S}}(X,Y) = \Big\{\sum_{j=1}^{n} A_{j} \circ \mathcal{S} \circ B_{j} : A_{j} \in \mathcal{L}(V,Y), B_{j} \in \mathcal{L}(X,U)\Big\}.$$

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If U = V and *S* identity we write  $\mathcal{J}^{U}(X, Y)$ , closed ideal generated by the operators factoring through the space *U*.

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$$T = \begin{pmatrix} T_{(1,1)} & T_{(1,2)} \\ T_{(2,1)} & T_{(2,2)} \end{pmatrix}$$

with  $T_{(1,1)} \in \mathcal{L}(\ell_p), \ T_{(1,2)} = \mathcal{K}(\ell_q, \ell_p), \ T_{(2,1)} \in \mathcal{S}(\ell_p, \ell_q), \ T_{(2,2)} \in \mathcal{L}(\ell_q)$ 

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# Closed ideals in $\mathcal{L}(\ell_{\rho} \oplus \ell_{q})$

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$$\mathcal{J}^{\ell_p} = \left\{ T \in \mathcal{L}(\ell_p \oplus \ell_q) : T_{(2,2)} \in \mathcal{K}(\ell_q) \right\} \text{ and } \\ \mathcal{J}^{\ell_q} = \left\{ T \in \mathcal{L}(\ell_p \oplus \ell_q) : T_{(1,1)} \in \mathcal{K}(\ell_p) \right\}$$

are the only two maximal proper closed ideals in  $\mathcal{L}(\ell_p \oplus \ell_q)$ .

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All other closed ideals of  $\mathcal{L}(\ell_p \oplus \ell_q)$  are of the form

$$\tilde{\mathcal{J}} = \left\{ \mathcal{T} \in \mathcal{L}(\ell_{p} \oplus \ell_{q}) : \mathcal{T}_{(1,1)} \in \mathcal{K}(\ell_{p}), \mathcal{T}_{(2,2)} \in \mathcal{K}(\ell_{q}), \mathcal{T}_{(2,1)} \in \mathcal{J} \right\}$$

where  $\mathcal{J}$  is a closed ideal in  $\mathcal{L}(\ell_p, \ell_q)$ , and the map  $\mathcal{J} \to \tilde{\mathcal{J}}$  is a bijection between the closed ideals of  $\mathcal{L}(\ell_p, \ell_q)$  and the non maximal proper closed ideals in  $\mathcal{L}(\ell_p \oplus \ell_q)$ .

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Thus, the study of the closed ideals  $\mathcal{L}(\ell_p \oplus \ell_q)$  reduces to the study of the closed ideals in  $\mathcal{L}(\ell_p, \ell_q)$ .

## **Previous Results**

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Theorem (Milman '70)

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$$\mathcal{K} \subsetneq \mathcal{J}^{I_{p,q}} \subsetneq \mathcal{L}(\ell_p, \ell_q)$$

where  $I_{p,q}: \ell_p \to \ell_q$  is the formal identity map.

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### Sketch:

$$\begin{split} \mathcal{FS} &\subseteq \mathcal{L}(\ell_{p}, \ell_{q}) \\ \text{Pełczyński: } \ell_{p} \text{ isomorphic to } (\oplus_{n=1}^{\infty} \ell_{2}^{n})_{\ell_{p}}. \\ \text{Consider: } (\oplus_{n=1}^{\infty} \ell_{2}^{n})_{\ell_{p}} \ni (x_{n}) \mapsto (x_{n}) \in (\oplus_{n=1}^{\infty} \ell_{2}^{n})_{\ell_{q}} \end{split}$$

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$$\mathcal{K} \subsetneq \mathcal{J}^{I_{p,q}} \subset \mathcal{F} \mathcal{S} \subsetneq \mathcal{L}(\ell_p, \ell_q)$$

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 $\mathcal{FS} \subsetneq \mathcal{L}(\ell_p, \ell_q)$ Pełczyński:  $\ell_p$  isomorphic to  $(\bigoplus_{n=1}^{\infty} \ell_2^n)_{\ell_p}$ . Consider:  $(\bigoplus_{n=1}^{\infty} \ell_2^n)_{\ell_p} \ni (x_n) \mapsto (x_n) \in (\bigoplus_{n=1}^{\infty} \ell_2^n)_{\ell_q}$ Lemma: If  $E \subset c_0$  with dim E = n, then there exists  $x = (x_i) \in E$ ,  $x \neq 0$ , such that  $|x_i| = ||x||_{\infty}$  for at least *n* values of *i*. ('flat' vectors)

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 $\mathcal{FS} \subsetneq \mathcal{L}(\ell_{p}, \ell_{q})$ Pełczyński:  $\ell_{p}$  isomorphic to  $(\bigoplus_{n=1}^{\infty} \ell_{2}^{n})_{\ell_{p}}$ . Consider:  $(\bigoplus_{n=1}^{\infty} \ell_{2}^{n})_{\ell_{p}} \ni (x_{n}) \mapsto (x_{n}) \in (\bigoplus_{n=1}^{\infty} \ell_{2}^{n})_{\ell_{q}}$  **Lemma:** If  $E \subset c_{0}$  with dim E = n, then there exists  $x = (x_{i}) \in E$ ,  $x \neq 0$ , such that  $|x_{i}| = ||x||_{\infty}$  for at least *n* values of *i*. ('flat' vectors) Thus in each *n*-dimensional subspace of  $\ell_{p}$  there is a vector  $x \neq 0$ with  $||x||_{q}^{q} \leq \frac{1}{n^{q-p}} ||x||_{p}^{p}$ . Thus  $I_{p,q} \in \mathcal{FS}$ .

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### Assume 1

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### Theorem (Sari, S, Tomczak-Jaegerman, Troitsky '07)



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#### Theorem (S '11)



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Answering a question by Pietsch '78

#### Theorem (B. Wallis, 2015)

The same conclusion is true if  $1 and <math>\ell_q$  is replaced by  $c_0$ , and if p = 1 and  $2 < q < \infty$ .

## Main Result

Answering a question by Pietsch '78

Theorem (Zsák & S)

There are infinitely many closed ideals in  $\mathcal{L}(\ell_p, \ell_q)$ .

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### Main Result

Answering a question by Pietsch '78

Theorem (Zsák & S)

There are infinitely many closed ideals in  $\mathcal{L}(\ell_p, \ell_q)$ . More precisely: There is a family  $(T_r : r \in [0, 1))$  of operators so that

$$\mathcal{J}^{I_{p,q}} \subsetneq \mathcal{J}^{T_r} \subsetneq \mathcal{J}^{T_s} \subsetneq \mathcal{F} \boldsymbol{S}, \text{ if } r < \boldsymbol{s}.$$

and if 1 then

$$\mathcal{J}^{\textit{I}_{p,q}} \subsetneq \mathcal{J}^{\textit{T}_r} \subsetneq \mathcal{J}^{\textit{T}_s} \subsetneq \mathcal{F} \boldsymbol{S} \cap \mathcal{J}^{\ell_2}, \text{ if } r < \boldsymbol{s}.$$

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W.l.o.g. 1 $<math>(\mathcal{J} \text{ cl. ideal in } \mathcal{L}(\ell_p, \ell_q) \iff \mathcal{J}^* = \{T^* : T \in \mathcal{J}\} \text{ cl. ideal in } \mathcal{L}(\ell_{q'}, \ell_{p'}))$ 

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$$\frac{1}{C(c,p)} \left( \sum_{j=1}^{n} |a_j|^2 \right)^{1/2} \le \left\| \sum_{j=1}^{n} a_j f_j^{(n)} \right\| \le C(c,p) \left( \sum_{j=1}^{n} |a_j|^p \right)^{1/p}.$$

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Th. Schlumprecht Closed Ideals in  $\mathcal{L}(\ell_p \oplus \ell_q)$ 

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$$\Phi(T) = 1$$
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$$\Phi(T) = 1 \text{ and } \Phi|_{\mathcal{J}^{I_F}} \equiv 0.$$

 $\Phi$  will be an accumulation point of elements  $\Phi_i$  in

$$\ell_p \hat{\otimes} \ell_{q'} \equiv (\bigoplus_{n=1}^{\infty} \ell_p^{k_n})_{\ell_p} \hat{\otimes} (\bigoplus_{n=1}^{\infty} \ell_{q'}^n)_{\ell_{q'}}.$$

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Let  $P_n : \ell_p^{k_n} \to G_n$ , linear projection with  $\sup ||P_n|| < \infty$  and  $P = \bigoplus_{n=1}^{\infty} P_n : (\bigoplus_{n=1}^{\infty} \ell_p^{k_n})_{\ell_p} \to (\bigoplus_{n=1}^{\infty} G_n)_{\ell_p}, \quad (x_n) \mapsto (P_n(x_n)).$ Choose  $T = I_G \circ P$ .

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$$\Phi_n = rac{1}{n}\sum_{j=1}^n e_j^{(n)*}\otimes g_j^{(n)}, \quad (e_j^{(n)*}) ext{ unit basis of } \ell_{q'}^n.$$

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$$\langle \Phi_n, T \rangle = \frac{1}{n} \sum_{j=1}^n \langle \boldsymbol{e}_j^{(n)*}, T(\boldsymbol{g}_j^{(n)}) \rangle = 1.$$

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**Still Needed:** Sufficient conditions for  $\Phi_n \rightarrow 0$  pointwise on  $\mathcal{J}^{l_F}$ .

Let  $A \in \mathcal{L}(\ell_q, \ell_q)$  and  $B \in \mathcal{L}(\ell_p, (\bigoplus_{n=1}^{\infty} F_n)_{\ell_p})$ , with  $||A||, ||B|| \leq 1$ 

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 $|\langle \Phi_n, \boldsymbol{A} \circ \boldsymbol{I_F} \circ \boldsymbol{B} \rangle|$ 

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$$\left|\langle \Phi_n, A \circ I_F \circ B 
angle \right| = rac{1}{n} \left| \sum_{j=1}^n \langle e_j^{(n)*}, A \circ I_F \circ B(g_j^{(n)}) 
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angle \Big| \ \leq rac{1}{n} \sum_{j=1}^n \big| \langle A^*(e_j^{(n)*}), I_F \circ B(g_j^{(n)}) 
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$$egin{aligned} ig|\langle \Phi_n, oldsymbol{A} \circ oldsymbol{I}_F \circ oldsymbol{B} 
ight| &= rac{1}{n} \Big| \sum_{j=1}^n ig\langle oldsymbol{e}_j^{(n)*}, oldsymbol{A} \circ oldsymbol{I}_F \circ oldsymbol{B}(oldsymbol{g}_j^{(n)}) 
ight
angle \Big| \ &\leq rac{1}{n} \sum_{j=1}^n ig| \langle oldsymbol{A}^*(oldsymbol{e}_j^{(n)*}), oldsymbol{I}_F \circ oldsymbol{B}(oldsymbol{g}_j^{(n)}) 
ight
angle \Big| \ &\leq rac{1}{n} \sum_{j=1}^n ig\| oldsymbol{I}_F \circ oldsymbol{B}_n(oldsymbol{g}_j^{(n)}) ig\|_{\ell_q} \ ext{with} \ oldsymbol{B}_n = oldsymbol{B}|_{G_n} \end{aligned}$$
# Sufficient Condition for $\Phi_n \rightarrow 0$ pointwise on $\mathcal{J}^{I_F}$

Let 
$$A \in \mathcal{L}(\ell_q, \ell_q)$$
 and  $B \in \mathcal{L}(\ell_p, (\oplus_{n=1}^{\infty} F_n)_{\ell_p})$ , with  $\|A\|, \|B\| \leq 1$ 

$$\begin{split} \left| \langle \Phi_n, \boldsymbol{A} \circ \boldsymbol{I_F} \circ \boldsymbol{B} \rangle \right| &= \frac{1}{n} \Big| \sum_{j=1}^n \langle \boldsymbol{e}_j^{(n)*}, \boldsymbol{A} \circ \boldsymbol{I_F} \circ \boldsymbol{B}(\boldsymbol{g}_j^{(n)}) \rangle \Big| \\ &\leq \frac{1}{n} \sum_{j=1}^n \big| \langle \boldsymbol{A}^*(\boldsymbol{e}_j^{(n)*}), \boldsymbol{I_F} \circ \boldsymbol{B}(\boldsymbol{g}_j^{(n)}) \rangle \big| \\ &\leq \frac{1}{n} \sum_{j=1}^n \big\| \boldsymbol{I_F} \circ \boldsymbol{B}_n(\boldsymbol{g}_j^{(n)}) \big\|_{\ell_q} \text{ with } \boldsymbol{B}_n = \boldsymbol{B}|_{\boldsymbol{G}_n} \end{split}$$

Conclusion: By (\*) it suffices to ensure that

$$\frac{1}{n}\sum_{j=1}^{n}\left\|B_{n}(g_{j}^{(n)})\right\|_{\infty}\to 0 \text{ if } (B_{n}) \text{ uniformly bounded } B_{n}:G_{n}\to \big(\oplus F_{n}\big)_{\ell_{p}}.$$

with  $\|y\|_{\infty} = \sup_{n \in \mathbb{N}, j=1,2...n} |a_j^{(n)}|$  for  $y = \sum_{n=1}^{\infty} \sum_{j=1}^{n} a_j^{(n)} f_j^{(n)} \in (\bigoplus F_n)_{\ell_p}$ .

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For (finite or infinite dimensional) Banach space X with normalized unconditional basis  $(e_i)$  we define for  $k \in \mathbb{N}$ 

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$$\phi_X(k) = \sup \left\{ \left\| \sum_{j \in A} e_j \right\| : |A| \le k \right\}$$
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*Y* infinite-dimensional Banach space with normalized unconditional basis (*e<sub>j</sub>*). For  $m \in \mathbb{N}$  let  $G_m$  be an *m*-dimensional Banach space with a normalized, *c*-unconditional basis  $\{g_i^{(m)} : 1 \le i \le m\}$  for some  $c \ge 1$ .

For (finite or infinite dimensional) Banach space X with normalized unconditional basis  $(e_i)$  we define for  $k \in \mathbb{N}$ 

$$\phi_X(k) = \sup \left\{ \left\| \sum_{j \in A} e_j \right\| : |A| \le k \right\} \text{ upper Fundamental function of } (e_i)$$
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If 
$$B_m \colon G_m \to Y$$
, with  $\sup_m \|B_m\| \le 1$ , then  
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$$\lim_{k \to \infty} \sup_{m \ge k} \frac{\phi_{G_m}(k)}{k} = 0 , \quad \text{and} \lim_{m \to \infty} \frac{\phi_{G_m}(m)}{\lambda_Y(cm)} = 0 \quad \text{for all } c > 0 .$$
  
If  $B_m \colon G_m \to Y$ , with  $\sup_m \|B_m\| \le 1$ , then  
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The Schlumpredit Closed Ideals in  $\mathcal{L}(\ell_p \oplus \ell_q)$ 

Th. Schlumprecht

For  $r \in [0, 1)$  we will need  $(F_n^{(r)})_{n=1}^{\infty}$ , with  $F_n^{(r)} \hookrightarrow \ell_p^{k_n}$ , uniformly complemented in  $\ell_p^{k_n}$ , dim  $F_n^{(r)} = n$  and has *c*-unconditional basis  $(f_i^{(r,n)})$ , so that letting  $Y_r = (\oplus F_n^{(r)})_{\ell_p}$  we need for s > r

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$$F_n^{(r)} \to F_n^{(s)}, \qquad f_j^{(r,n)} \mapsto f_j^{(s,n)}, \text{ is uniformly bounded}$$
(1)  
$$\lim_{m \to \infty} \frac{\phi_{F_m^{(s)}}(m)}{\lambda_{Y_r}(cm)} = 0 \text{ for all } c > 0.$$
(2)

# Rosenthal's $X_{p,w}$ spaces

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$$\|f_j^*\|_{L_{p'}} = 1$$
 and  $\|f_j^*\|_{L_2} = w$ .

Let 
$$2 < p' < \infty$$
, let  $0 < w < 1$ ,  $n \in \mathbb{N}$  and let  
 $F^* = F^*(w, n) = \operatorname{span}(f_j^* : j = 1, 2..., n)$ , with  $f_j^*, j = 1, 2..., n$   
identically, independently distributed, 3-valued and symmetric random  
variables in  $L_p$ , with

$$\|f_j^*\|_{L_{p'}} = 1$$
 and  $\|f_j^*\|_{L_2} = w$ .

**Properties:** There is a constant , only depending on *p*, so that

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**Properties:** There is a constant , only depending on *p*, so that

•  $(f_j^*)_{j=1}^n$  *c*-unconditional,

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Properties: There is a constant , only depending on p, so that

- $(f_i^*)_{i=1}^n$  *c*-unconditional,
- $F^*$  is *c*-complemented in  $L_p$  (and thus in  $\ell_{p'}^{k_n}$ ),

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• 
$$\left\|\sum_{j=1}^{n} a_j f_j^*\right\| \sim_c \left(\sum_{j=1}^{n} |a_j|^{p'}\right)^{1/p'} \vee w\left(\sum |a_j|^2\right)^{1/2} =: \left\|(a_j)_{j=1}^{n}\right\|_{w,p}.$$

Th. Schlumprecht Closed Ideals in  $\mathcal{L}(\ell_p \oplus \ell_q)$ 

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$$\left\|\sum_{j=1}^{n} a_{j} f_{j}^{*}\right\|_{w,p} = \left(\sum_{j=1}^{n} |a_{j}|^{p'}\right)^{1/p'} \vee w\left(\sum |a_{j}|^{2}\right)^{1/2}.$$

 $(f_j)_{j=1}^n$  dual basis to  $(f_j^*)_{j=1}^n$ .

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### **Properties**

•  $(f_j)_{j=1}^n$  1-unconditional basis of F,

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### Properties

- $(f_j)_{j=1}^n$  1-unconditional basis of F,
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### Properties

- $(f_j)_{j=1}^n$  1-unconditional basis of F,
- *F* unif. equivalent to unif. complemented subspace of  $\ell_p^{k_n}$ ,

• 
$$\left\|\sum_{j\in A} f_j\right\| = \left\|\sum_{j=1}^k f_j\right\| = k^{1/p} \wedge \frac{1}{w} k^{1/2}$$
 if  $|A| = k \leq n$ .

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Our sequences of spaces  $(F_n)$  will be  $F_n = F(w_n, n)$  with  $w_n$  decreasing to 0 and  $w_n \ge n^{\frac{1}{2} - \frac{1}{p}}$ ,  $n \in \mathbb{N}$ .

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$$\phi_{F_m}(m) = \frac{1}{w_m} m^{1/2}$$

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and a little computation shows (let  $Y = (\bigoplus_{m=1}^{\infty} F_m)_{\ell_p}$ ) that for some C > 0

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Therefore we need a family of sequences  $(w_n^{(r)})_{n \in \mathbb{N}}$ ,  $r \in [0, 1)$ , with  $w_n^{(r)} \ge n^{\frac{1}{2} - \frac{1}{p}}$ ,  $n \in \mathbb{N}$ , and so that for all d > 0 and  $0 \le r < s < 1$ 

$$0 = \lim_{m \to \infty} \frac{\phi_{F_m^{(s)}}(m)}{\lambda_{Y_r}(dm)} \leq C \lim_{m \to \infty} \frac{w_{\sqrt{dm}}^{(r)}}{w_m^{(s)}}$$

# Choice of $(w_n^{(r)})$

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For example using Dedekind cuts for  $\mathbb{Q}$ , we choose  $(N_r : r \in [0, 1))$ with  $N_r \subset \mathbb{N}$ , so that

$$N_s \subset N_r$$
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$$N_s \subset N_r$$
 and  $|N_r \setminus N_s| = \infty$  if  $r < s$ .

Then if we write  $N_r$  as increasing sequence  $(k_j)$  we put  $w^{(r)}(1) = 1$ , and

$$w^{(r)}(2^{3^{k_j}})=2^{j(rac{1}{2}-rac{1}{p})}$$
 for each  $j\in\mathbb{N},$ 

and then extend the definition of  $w^{(r)}(n)$  to the rest of  $\mathbb{N}$  by linear interpolation.

# **Open Questions**

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Are there closed ideals in  $\mathcal{L}(\ell_p, \ell_q)$  which are not generated by one (or countably many) many operators? Is  $\mathcal{FS}$  such a closed ideal?

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#### Problem

How many pairwise incomparable closed ideals are in  $\mathcal{L}(\ell_p,\ell_q)$  ? (for

the moment we know only that this number is at least 2)

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How many pairwise incomparable closed ideals are in  $\mathcal{L}(\ell_p, \ell_q)$ ? (for the moment we know only that this number is at least 2)

#### Problem

What is the cardinality of the closed ideals in  $\mathcal{L}(\ell_p, \ell_q)$ ? (This cardinality must be between c and  $2^c$ )

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