

# Ideas About Quantum Metastability

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£: EPSRC

# PLAN

**Problem: relaxation & non-ergodicity in quantum systems**

(both closed = unitary & open = dissipative)

**vs. slow relaxation in classical glasses**

1. Slow relaxation in closed quantum systems due to dynamical constraints
2. Signatures of many-body localisation in the absence of disorder
3. Towards a theory of metastability in (open) quantum systems

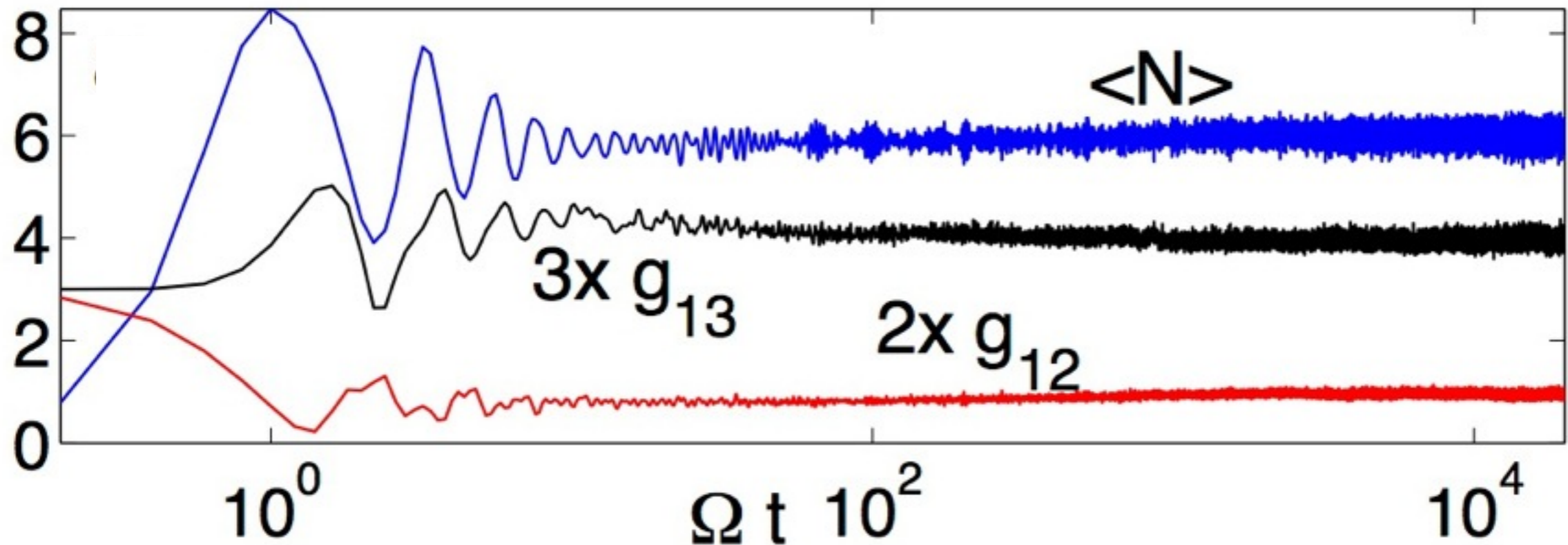
# Generic Q. many-body systems “equilibrate” (become stationary):

no degenerate E gaps  $\rightarrow$  dephasing in E basis  $\rightarrow$  diagonal ensemble

{Short, Popescu, Linden, Reimann, Eisert, Goldstein, many others}

$$\rho(t) \longrightarrow \omega = \lim_{t \rightarrow \infty} \frac{1}{t} \int_0^t U_{t'} \rho_0 U_{t'}^\dagger dt' \quad |\langle A(t) \rangle - \text{Tr}(A\omega)| \text{ small}$$

E.g. 
$$H = \Omega \sum_{k=1}^L \sigma_k^x + \Delta \sum_{k=1}^L n_k + V \sum_{k \neq m}^L \frac{n_m n_k}{|m - k|^6} \quad (\text{Rydbergs})$$



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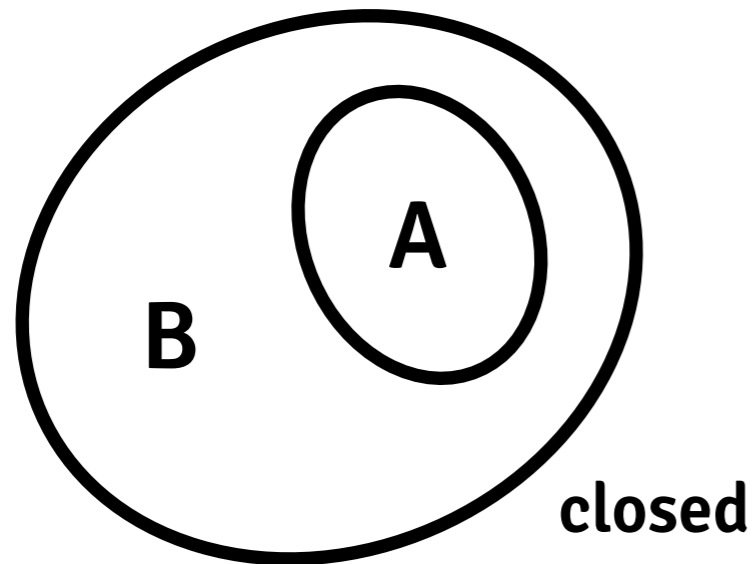
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# Most Q. many-body systems also “thermalise”:

{Deutsch, Srednicki, Goldstein, Eisert, Rigol, Gogolin,  
many others}



$$\rho_A = \text{Tr}_B |\psi\rangle\langle\psi| \longrightarrow \rho_A = \text{Tr}_B \rho_{\text{th}}$$

$$\rho_{\text{th}} = e^{-\beta H}$$

$$\beta \text{ set by } \langle \psi_0 | H | \psi_0 \rangle$$

thermalisation  $\leftrightarrow$  ergodicity (independence of initial conditions)

eigenstate thermalisation hypothesis (ETH):

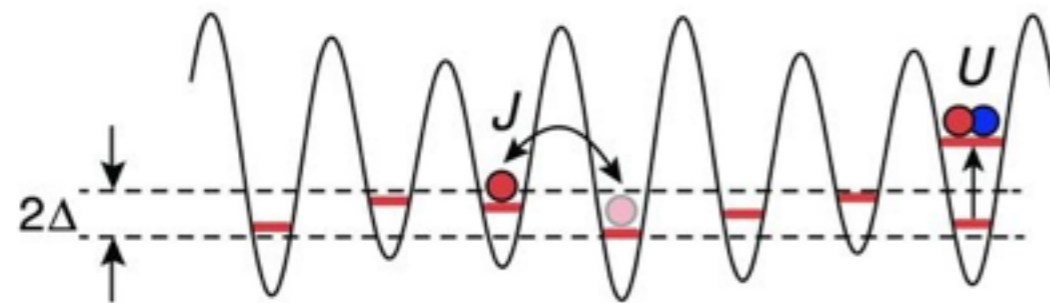
$$\langle E | \mathcal{O}_{\text{local}} | E \rangle = \text{smooth } F(E)$$

# Ergodic & thermal $\rightarrow$ non-ergodic & many-body localised (MBL)

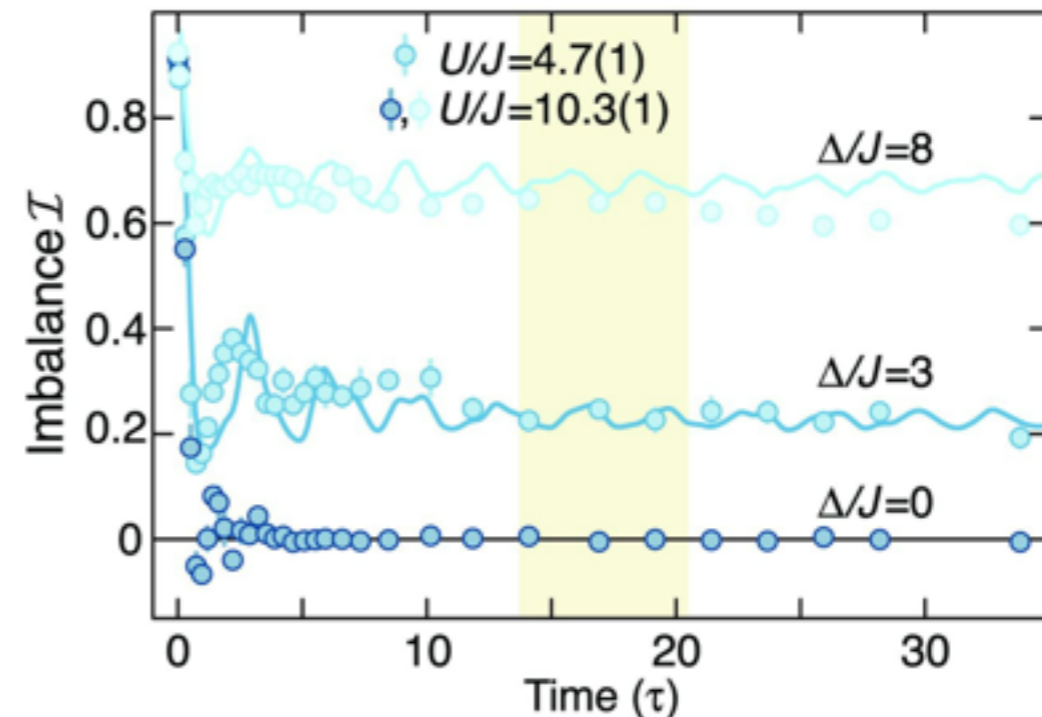
{Basko-Aleiner-Altshuler, Huse+, Prosen+,  
Abanin+, Moore+, Altman+, many others}

Cf. Anderson localisation, but with interactions

MBL transition driven by quenched disorder (rnd h small = thermal, rnd h large = MBL)



{Schreiber et al 2015}



MBL = breakdown of ETH (excited states = Area law)

MBL often thought of as a “glass transition”

**What can we say about slow relaxation in Q.S. and MBL from what we know of classical glasses?**



# Classical glasses



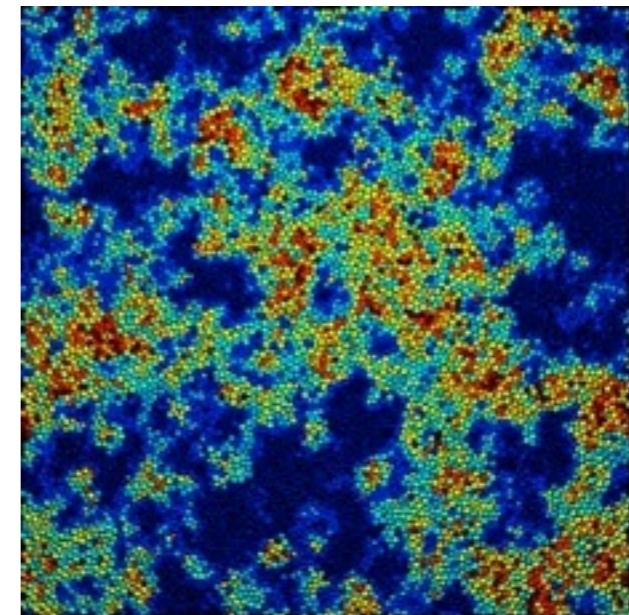
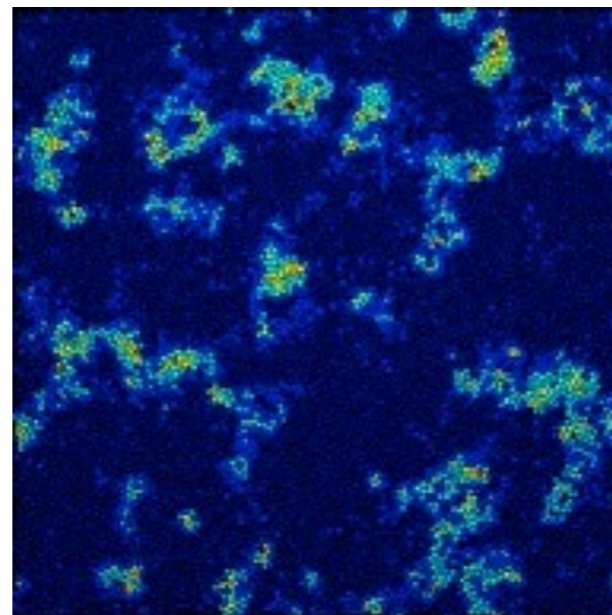
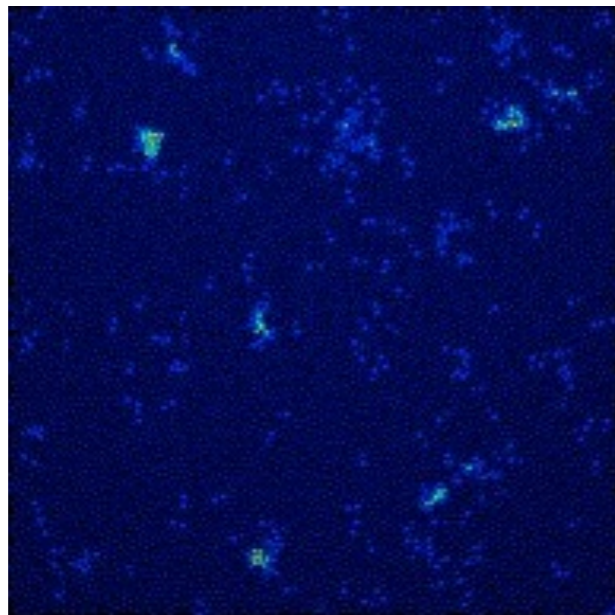
- Slowdown & eventual arrest
- Timescales not divergent
- No disorder

Relaxation is intermittent and spatially heterogeneous

$$t \ll \tau_\alpha$$

$$t \approx \tau_\alpha$$

$$t \gg \tau_\alpha$$



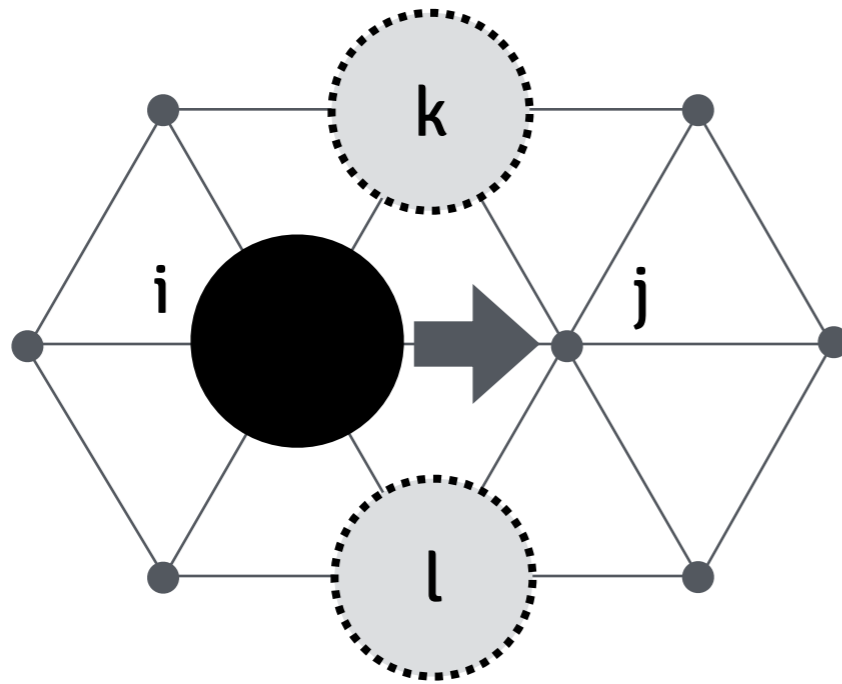
e.g.  
50:50 L-J mixture  
{Hedges 2009}

Due to (effective) local constraints on dynamics {JPG-Chandler, PRL 2002}

# 1. Quantum slow relaxation due to dynamical constraints

{van Horssen-JPG, 2016}

Steric (excluded volume) constraint on hopping:



classical = **constrained lattice gas**

{Kob-Andersen, Jackle+}

$$H = - \sum_{\langle ij \rangle} \underbrace{(1 - n_k n_l)}_{\text{constraint}} \left\{ \lambda (\sigma_i^+ \sigma_j^- + \sigma_j^+ \sigma_i^-) - (1 - \lambda) [n_i(1 - n_j) - n_j(1 - n_i)] \right\}$$

**constraint** (“1-vacancy assisted” or 1-TLG)

Rokhsar-Kivelson pt:  $\lambda = \frac{1}{2} \longrightarrow H = -$  class. Master op.  $\mathbb{W} \longrightarrow \partial_t |P\rangle = \mathbb{W}|P\rangle$

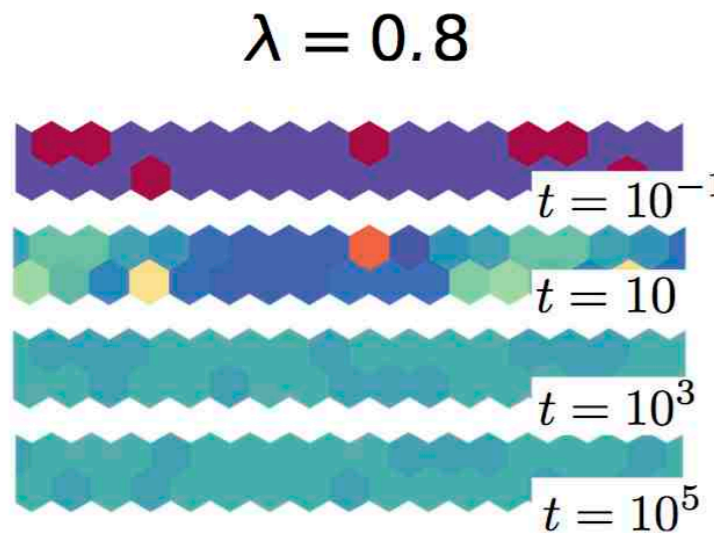
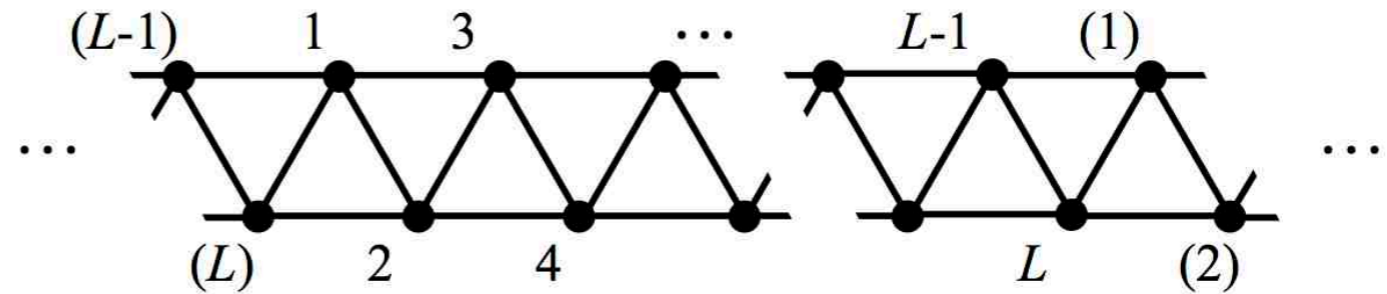
Away from RK:  $\lambda \neq \frac{1}{2} \longrightarrow$  Large-deviations: **active**  $\left(\lambda > \frac{1}{2}\right)$  **inactive**  $\left(\lambda < \frac{1}{2}\right)$

# 1. Quantum slow relaxation due to dynamical constraints

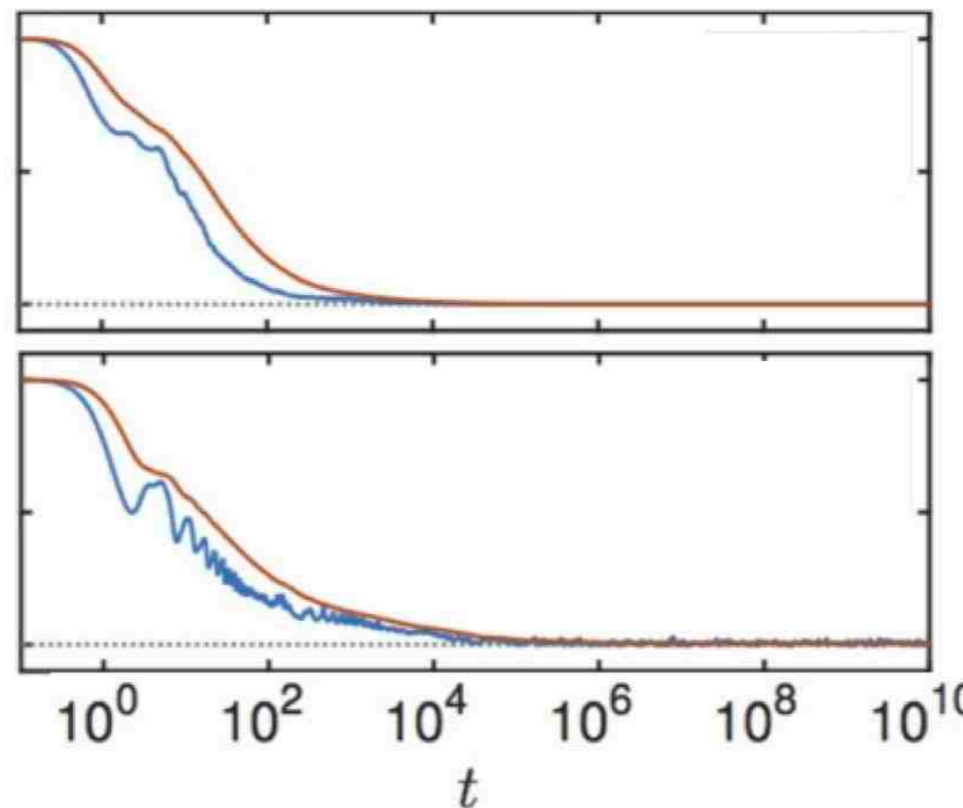
{van Horsen-JPG, 2016}

$$H = - \sum_{\langle ij \rangle} (1 - n_k n_l) \left\{ \lambda (\sigma_i^+ \sigma_j^- + \sigma_j^+ \sigma_i^-) - (1 - \lambda) [n_i(1 - n_j) - n_j(1 - n_i)] \right\}$$

$$|\psi(t)\rangle = e^{-itH} |\psi(0)\rangle$$



active = fast



$$\Phi(t) = \sum_{\langle ij \rangle} |\langle n_i(t) \rangle - \langle n_j(t) \rangle|^2$$

$$c(t) = \sum_i \langle n_i(0) n_i(t) \rangle$$

$N = 24$

$M = 20$

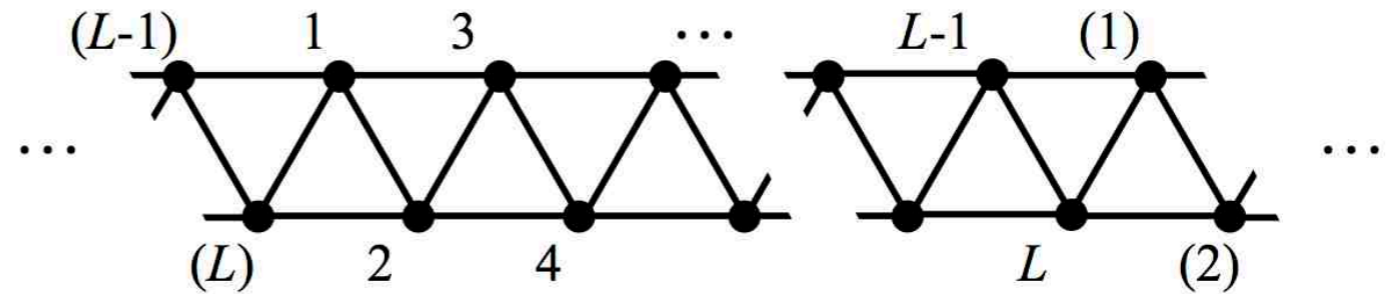


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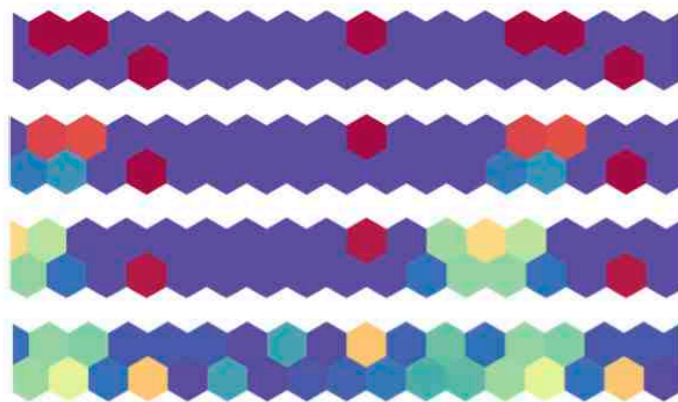
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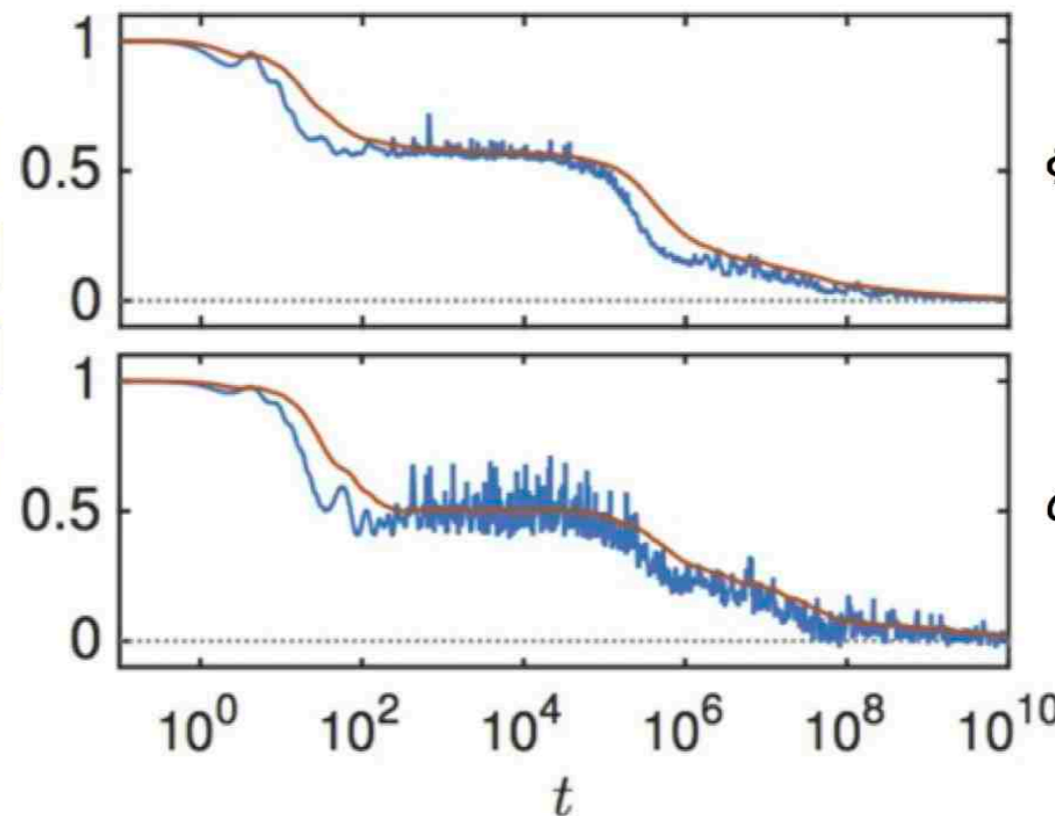
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$\lambda = 0.2$



inactive = slow



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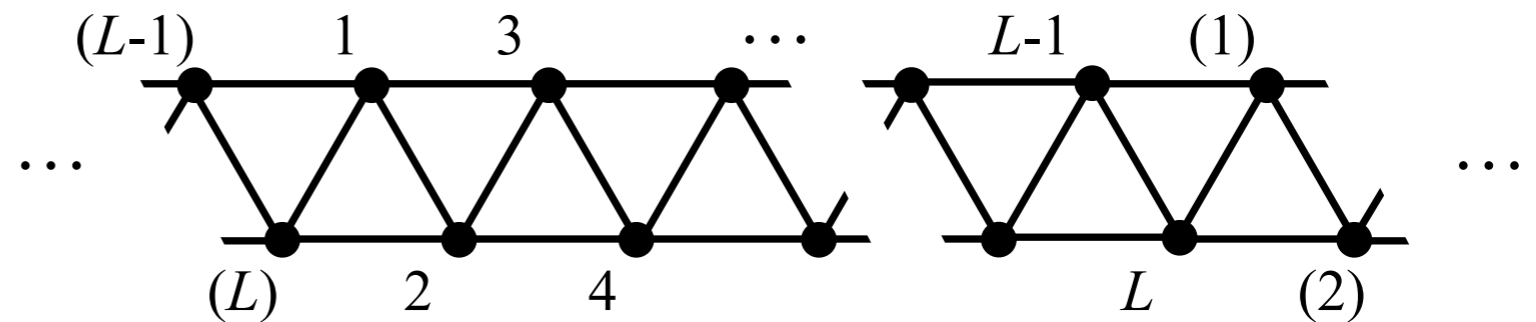
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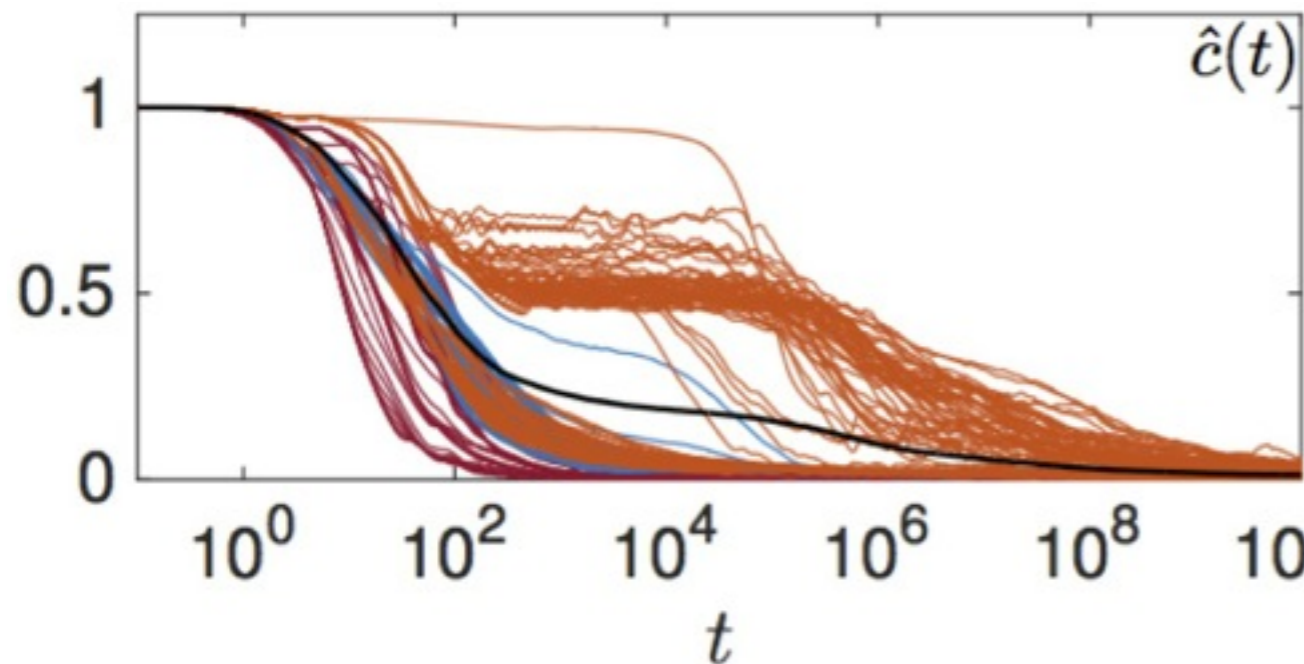
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$$H = - \sum_{\langle ij \rangle} (1 - n_k n_l) \left\{ \lambda (\sigma_i^+ \sigma_j^- + \sigma_j^+ \sigma_i^-) - (1 - \lambda) [n_i(1 - n_j) - n_j(1 - n_i)] \right\}$$

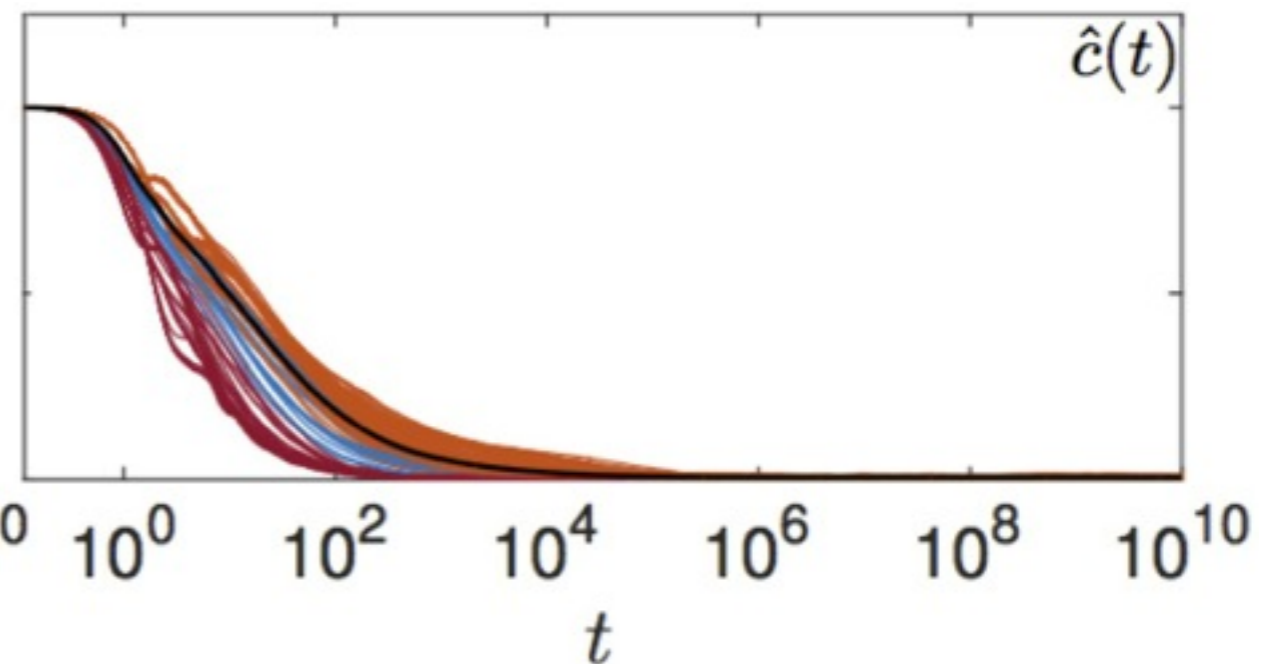
$$|\psi(t)\rangle = e^{-itH} |\psi(0)\rangle$$



$\lambda = 0.2$



$\lambda = 0.8$



**metastability = memory of  
initial conditions**

$N = 24$

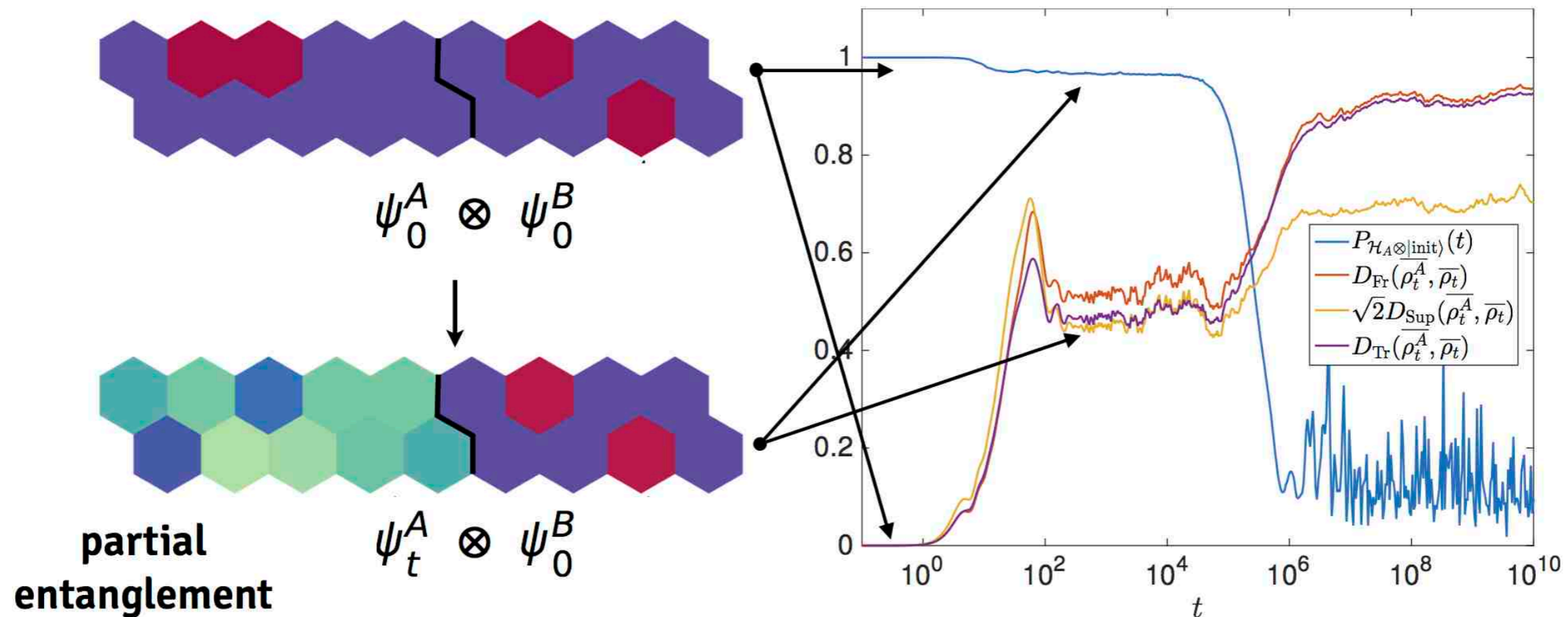
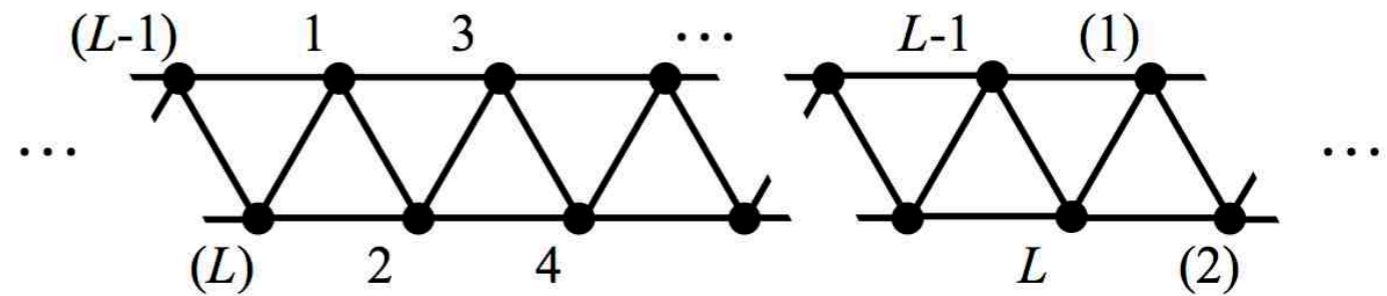
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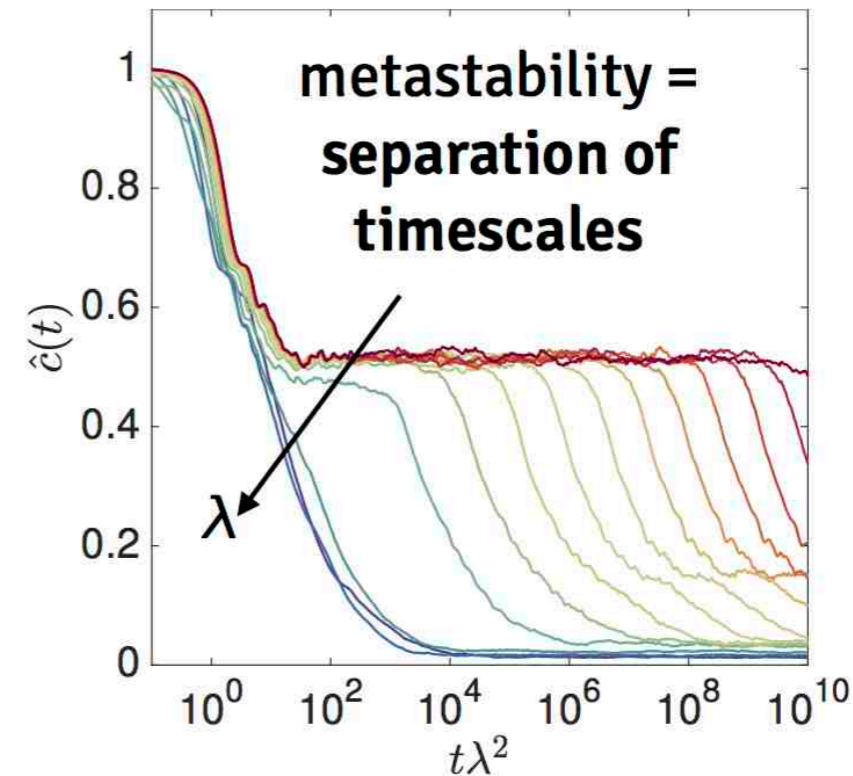
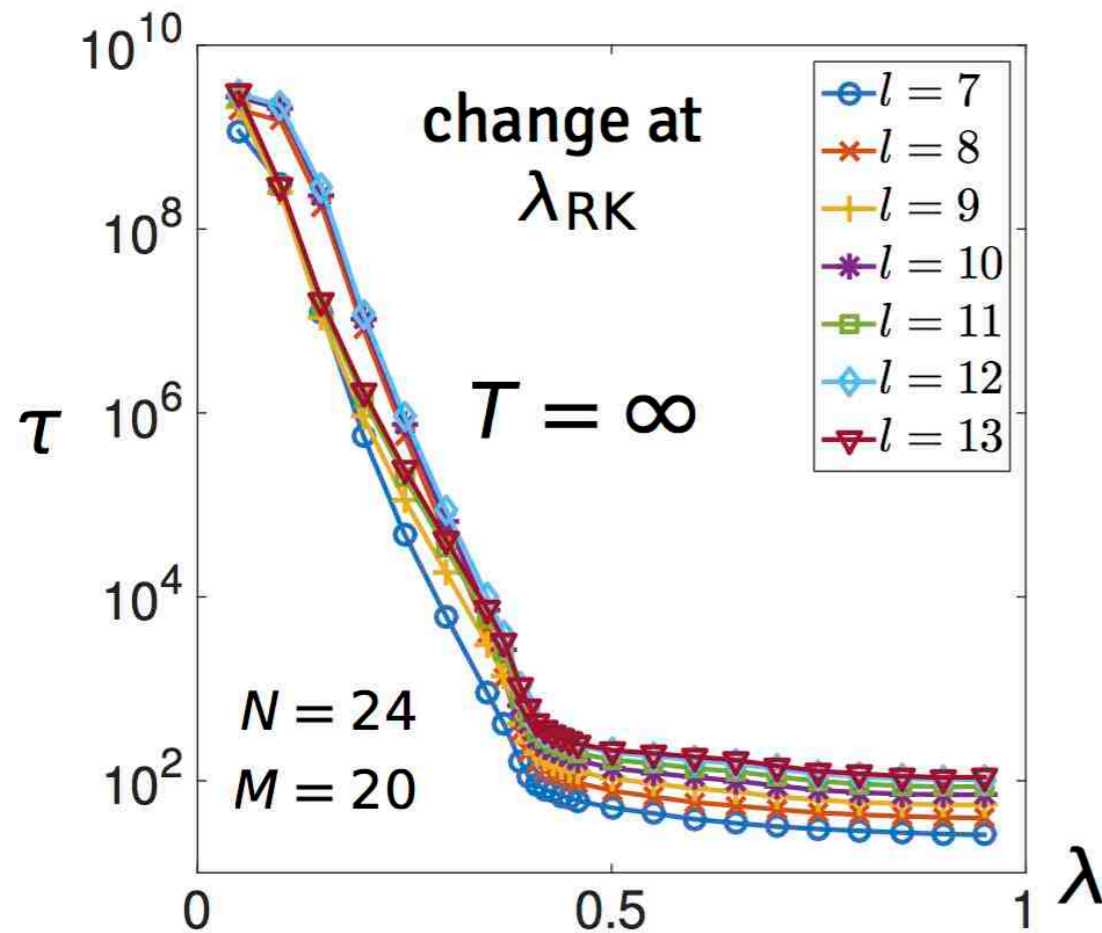
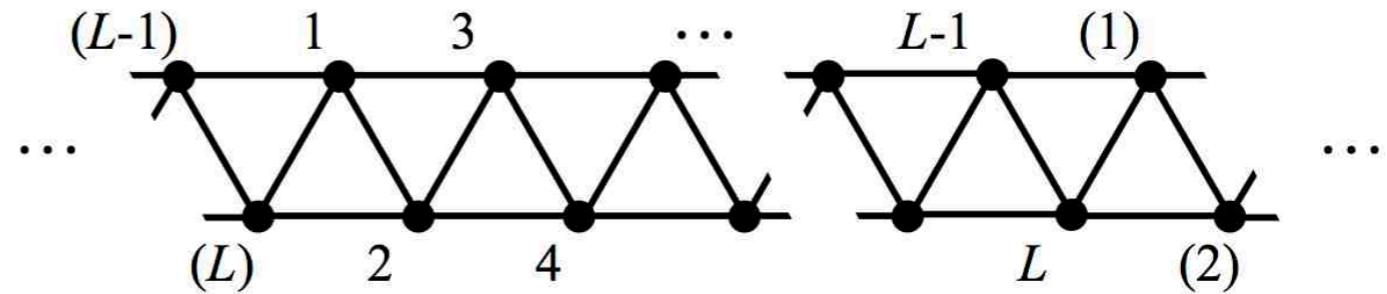


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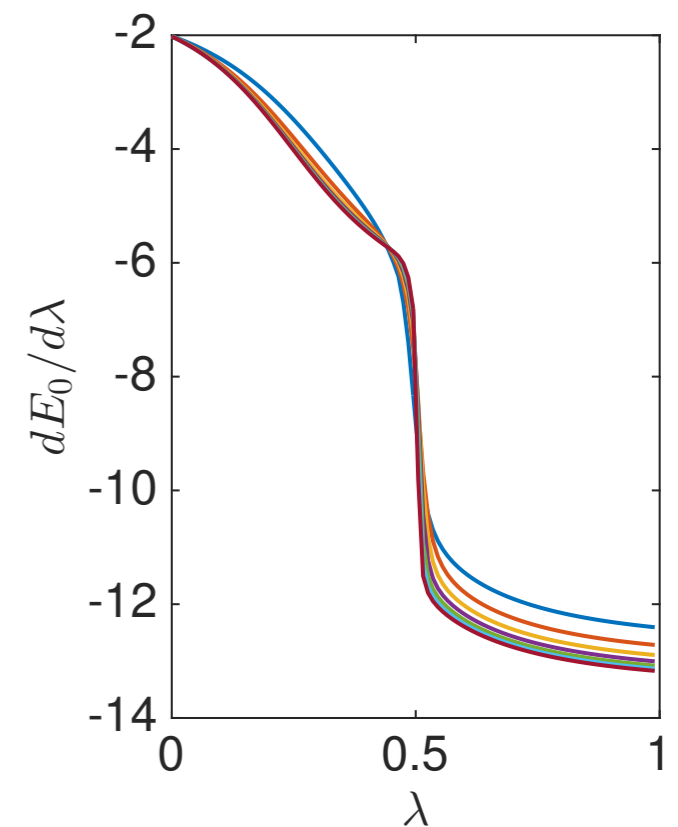
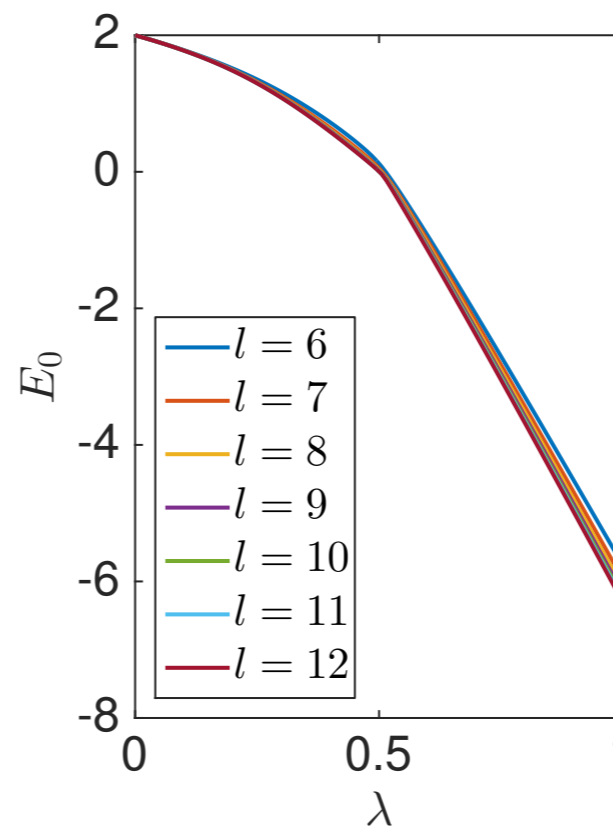
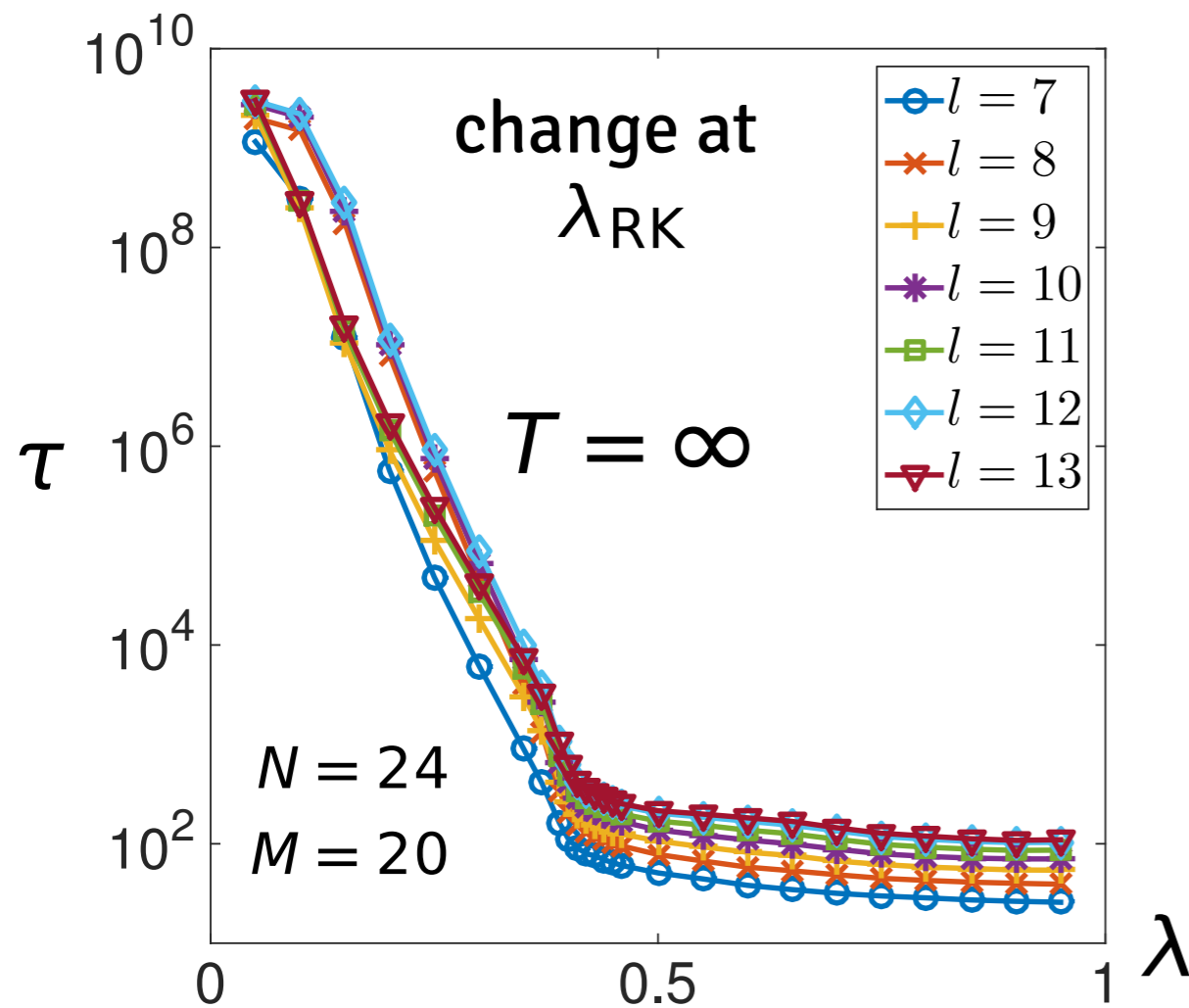
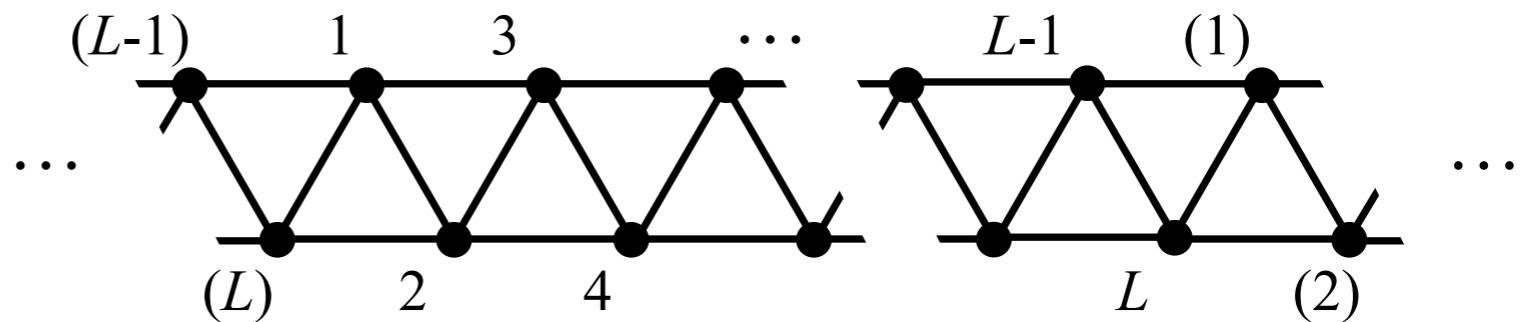


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1st order singularity in g.s.  
cf. classical active-inactive transitions



# 2. Dynamics of MBL in a quantum glass (w/o disorder)

{van Horssen-Levi-JPG, PRB 92, 100305(R) 2015}

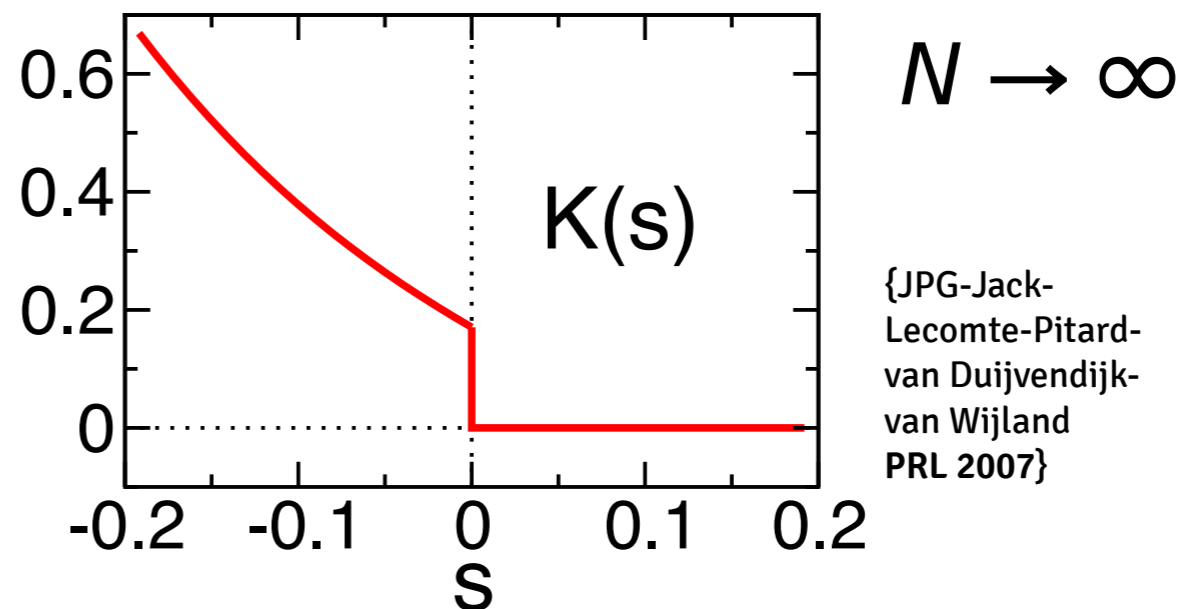
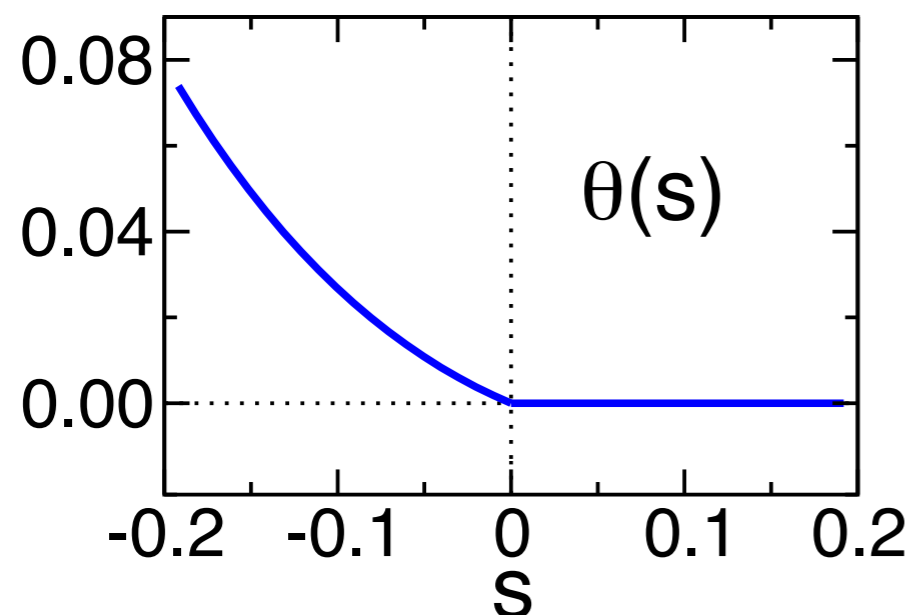
$$H = - \sum_i n_{i+1} (e^{-s} \sigma_i^x - 1)$$

$$e^{-s} = \lambda / (1 - \lambda) \longrightarrow S_{RK} = 0$$

Classical East glass model ( $T = \infty$ ):  $11 \rightleftharpoons 01$      ~~$10 \rightleftharpoons 00$~~

{Jackle+, Sollich-Evans, Aldous-Diaconis, JPG-Chandler,  
Chleboun-Faggionato-Martinelli, Blondel-Toninelli,  
many others}

$s < 0$  active to  $s > 0$  inactive transition  $\equiv$  quantum phase transition in g.s. of H



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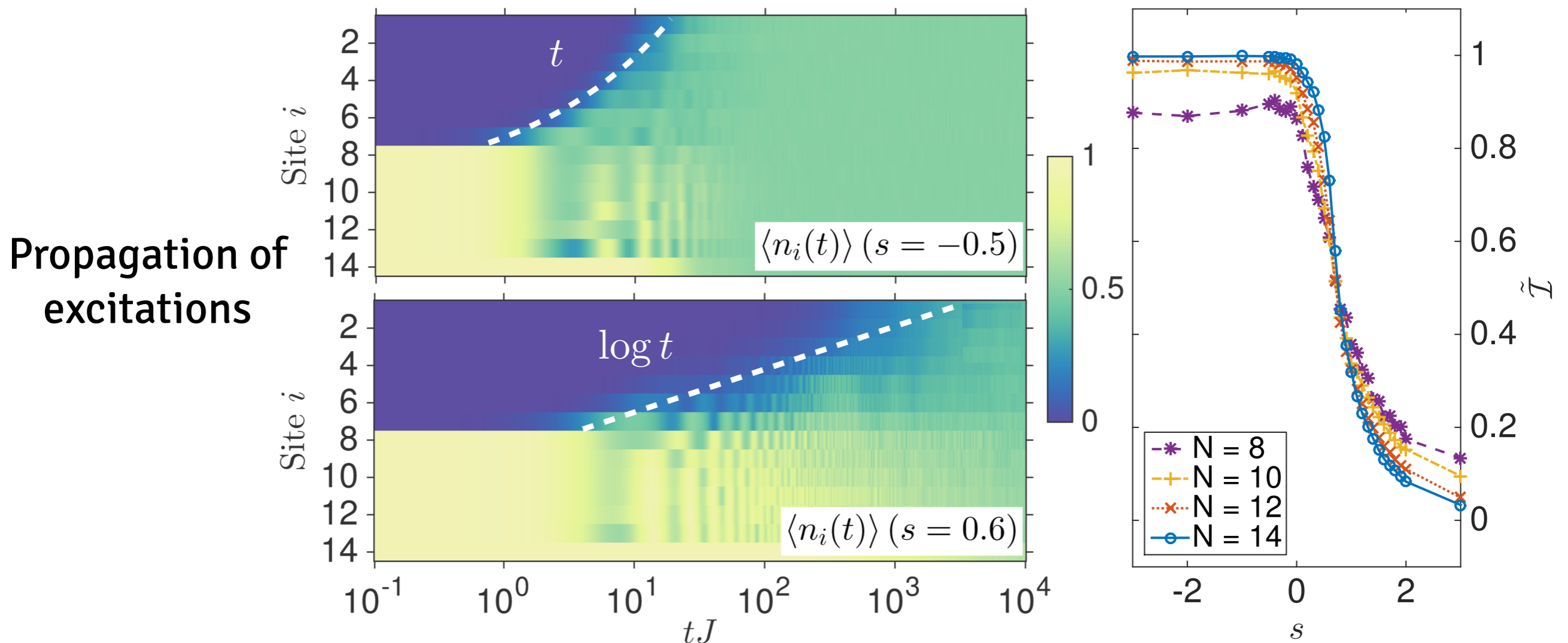
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Signatures of MBL dynamics for  $s > 0$  (inactive):



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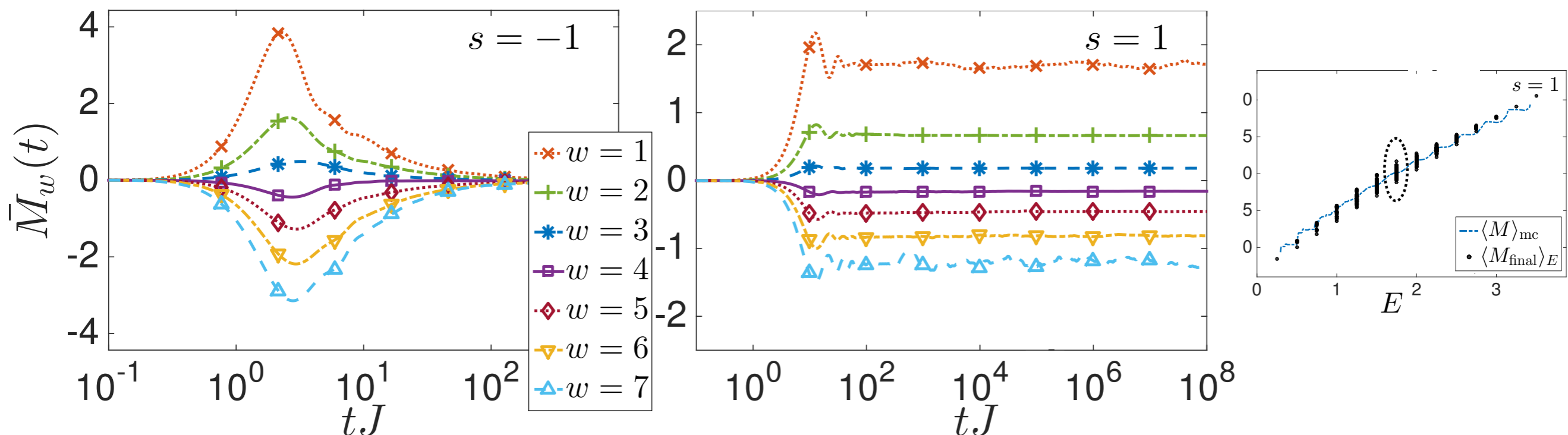
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Signatures of MBL dynamics for  $s > 0$  (inactive):

time averaged magnetisation  
dependence on initial conditions - ETH (active) v. no-ETH (inactive)



# 2. Dynamics of MBL in a quantum glass (w/o disorder)

{van Horssen-Levi-JPG, PRB 92, 100305(R) 2015}

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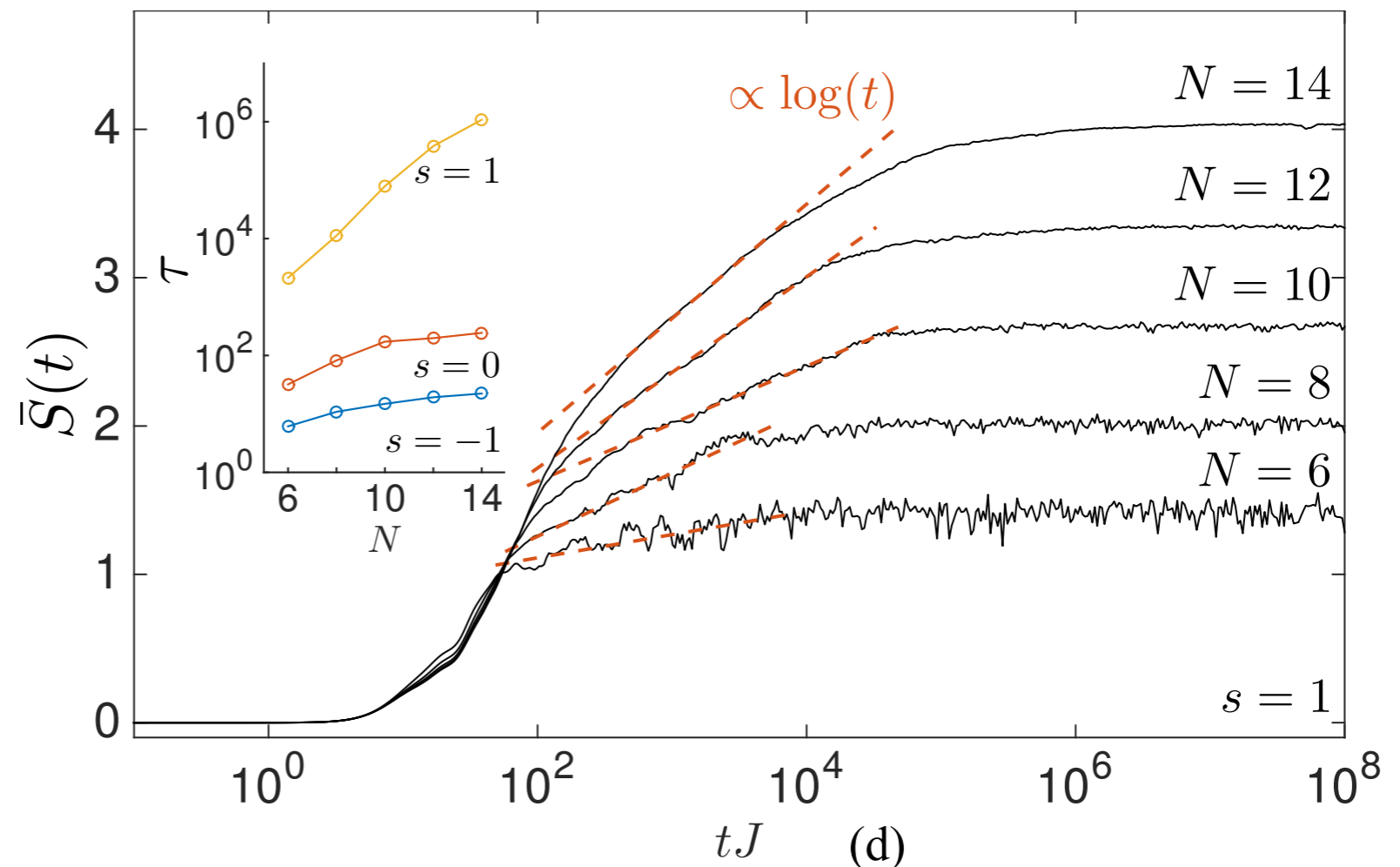
$$|\psi(t)\rangle = e^{-itH} |\psi(0)\rangle$$

$$e^{-s} = \lambda/(1 - \lambda) \longrightarrow S_{\text{RK}} = 0$$

**Signatures of MBL dynamics for  $s > 0$  (inactive):**

**log t  
growth of  
entanglement  
entropy**

{Serbyn-Papic-Abanin,  
Moore+, others}



# 2. Dynamics of MBL in a quantum glass (w/o disorder)

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$$|\psi(t)\rangle = e^{-itH} |\psi(0)\rangle$$

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Signatures of MBL dynamics for  $s > 0$  (inactive):

relaxation of correlations

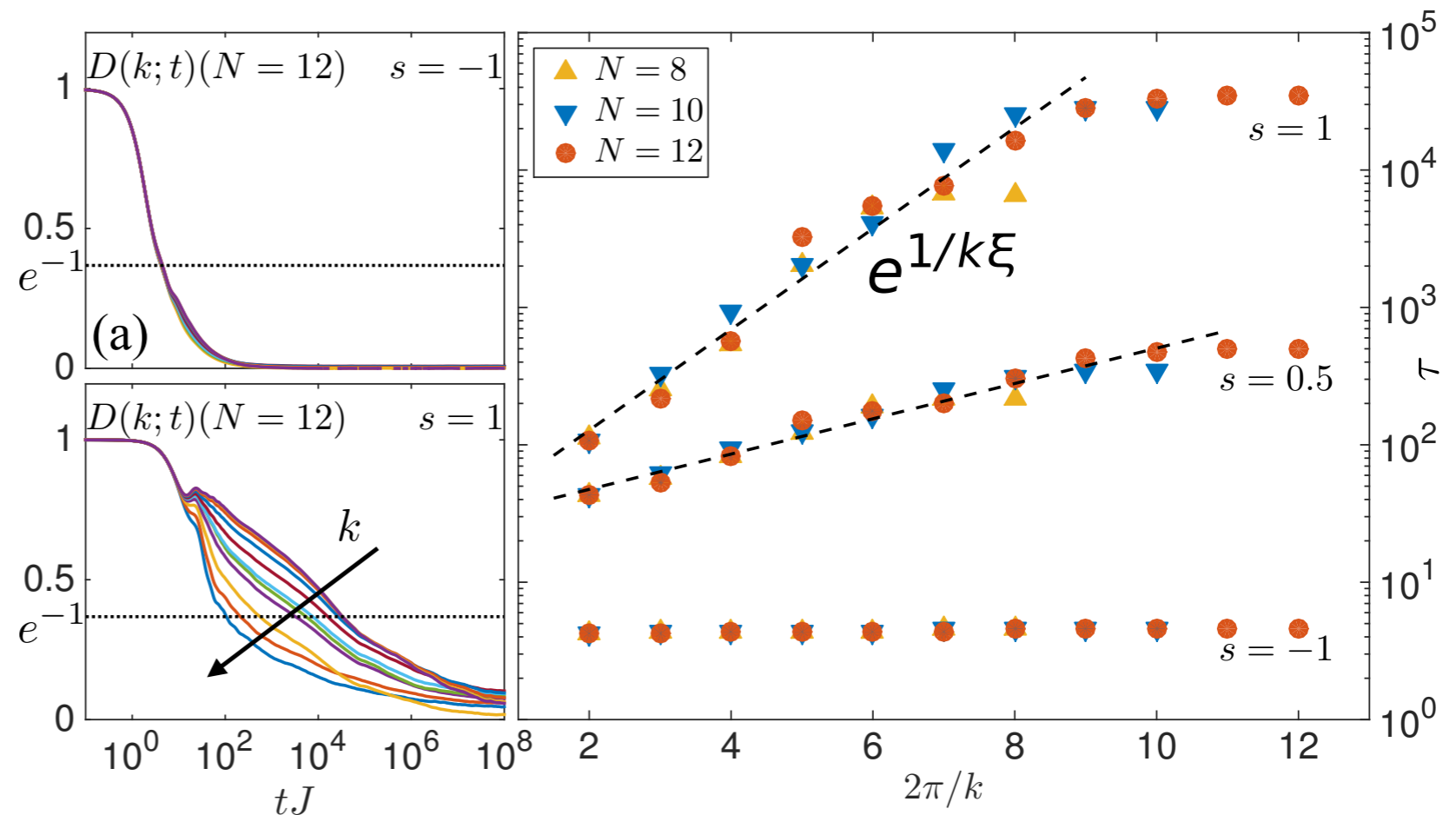
$$D(k, t) = \text{Tr} F_k(t) F_k(0)$$

$$F(k) = \sum_j \sigma_j^z e^{ikj}$$

$$w \sim \xi \log t \longrightarrow \tau(k) \sim e^{1/k\xi}$$

**MBL or quasi-MBL**

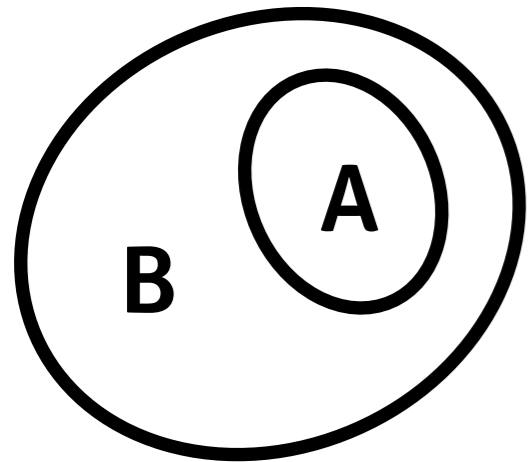
{cf. Yao-Laumann-Cirac-Lukin-Moore,  
De Roeck-Huveneers}





# 3. Towards a theory of quantum metastability

{Macieszczak-Guta-Lesanovsky-JPG, arXiv:1512.05801}



→ in A+B (closed) = difficult

→ in A only (open) = easier by analogy with classical

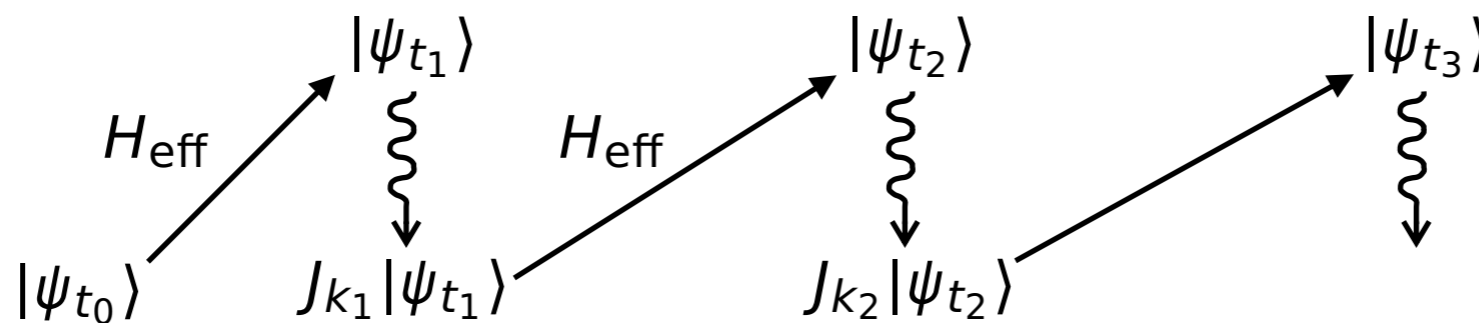
{Gaveau-Shulman, Bovier et al.}

quantum master equation: {Lindblad, Gorini-et-al}

$$\partial_t \rho = -i[H, \rho] + \sum_{\mu} [J_{\mu} \rho J_{\mu}^{\dagger} - \frac{1}{2} \{J_{\mu}^{\dagger} J_{\mu}, \rho\}] \equiv \mathcal{L}(\rho)$$

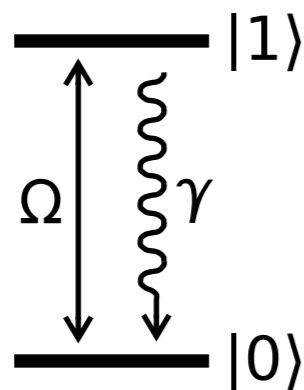
stochastic wave function:

{Dalibard-et-al, Belavkin}



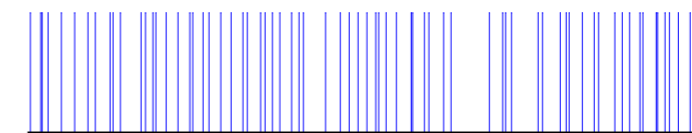
$$\left( H_{\text{eff}} = H - \frac{i}{2} \sum_{\mu} J_{\mu}^{\dagger} J_{\mu} \right)$$

E.g. 2-level system at T = 0:



$$H = \Omega \sigma_x$$

$$J = \sqrt{\gamma} \sigma_-$$

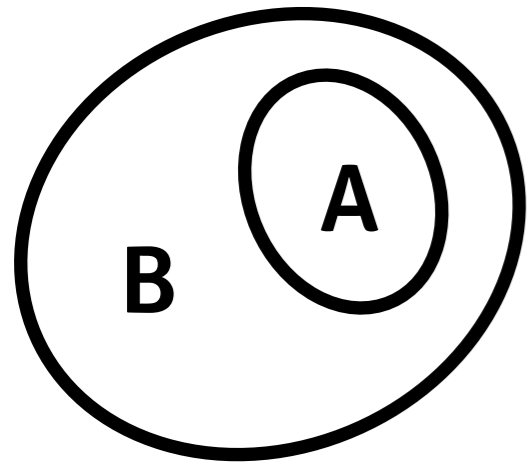


**quantum jump trajectory**

**Note: if  $\rho = \text{diagonal}$ ,  $H = 0$  and  $J_{\mu} = \text{rank } 1$  (e.g.  $J_{\mu} = |C'\rangle\langle C|$ ) then QME  $\rightarrow$  classical ME**

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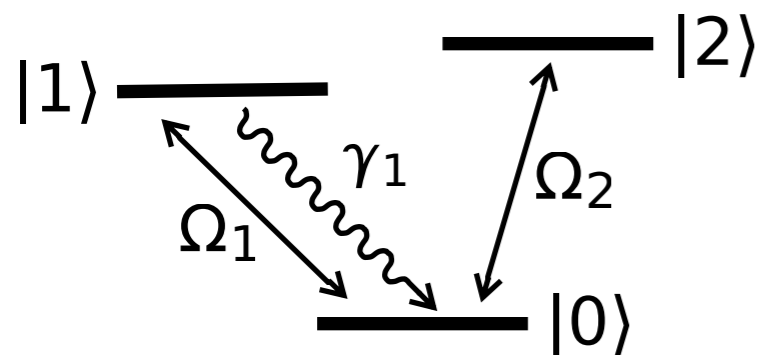
{Gaveau-Shulman,  
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$$\partial_t \rho = -i[H, \rho] + \sum_{\mu} \left[ J_{\mu} \rho J_{\mu}^{\dagger} - \frac{1}{2} \{J_{\mu}^{\dagger} J_{\mu}, \rho\} \right] \equiv \mathcal{L}(\rho)$$

## Examples of metastability in open quantum dynamics

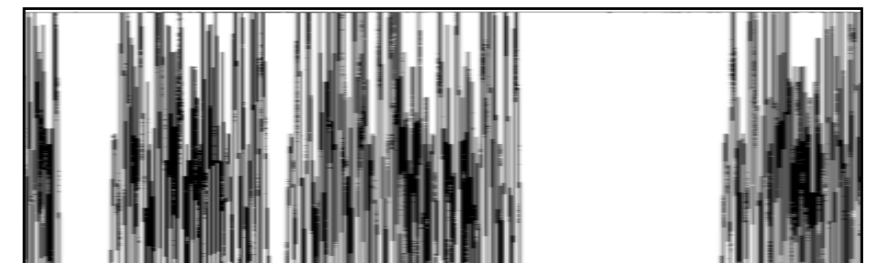
(i) **3-level system** (electron shelving, blinking q. dot ...)



$$H = \Omega_1 |0\rangle\langle 1| + \Omega_2 |0\rangle\langle 2| + \text{c.c.}$$

$$J = \sqrt{\gamma_1} |0\rangle\langle 1|$$

$$\Omega_2 \ll \Omega_1, \gamma_1$$

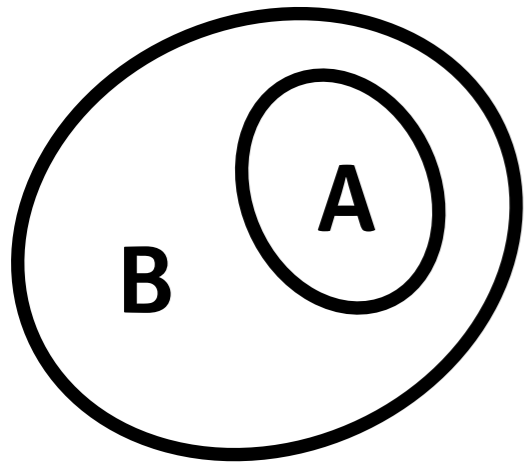


**intermittency**

{Hegerfeldt-Plenio}

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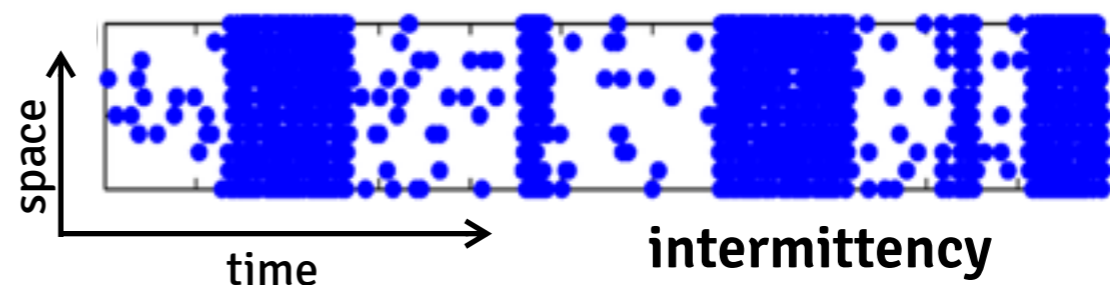
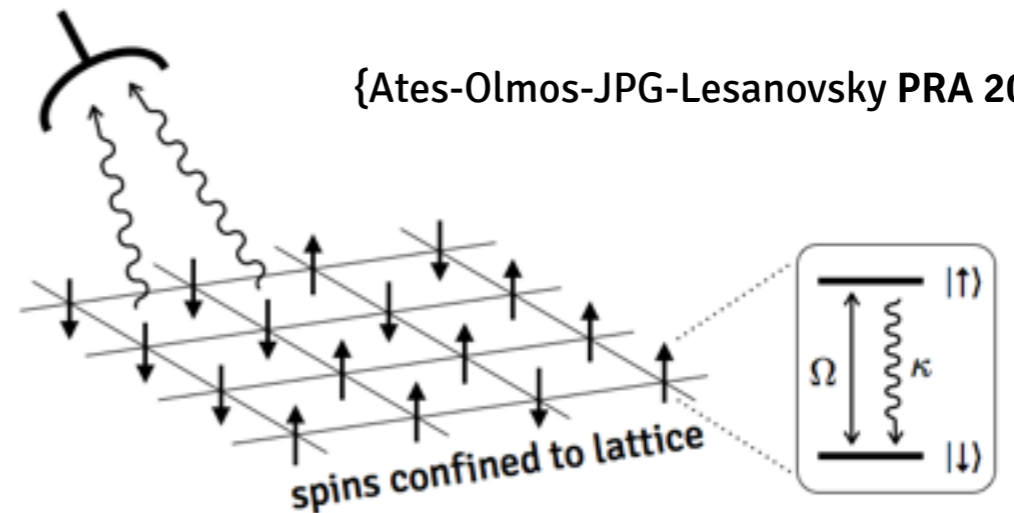
(ii) dissipative transverse field Ising model

$$H = \Omega \sum_i \sigma_i^x + V \sum_{\langle i,j \rangle} \sigma_i^z \sigma_j^z$$

$$J_i = \sqrt{K} \sigma_i^-$$

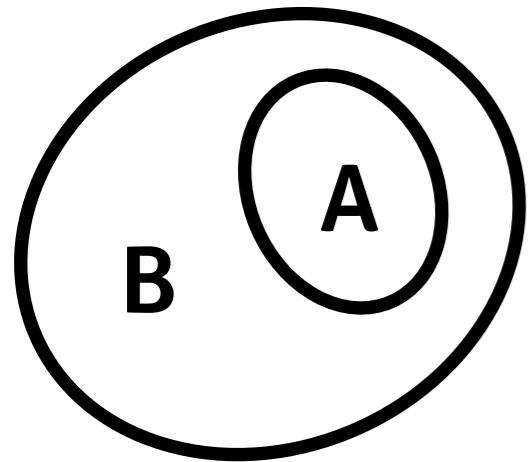
stationary state transition

ferro ( $\downarrow\downarrow \cdots \downarrow$ ) → para ( $\rightarrow\rightarrow \cdots \rightarrow$ )



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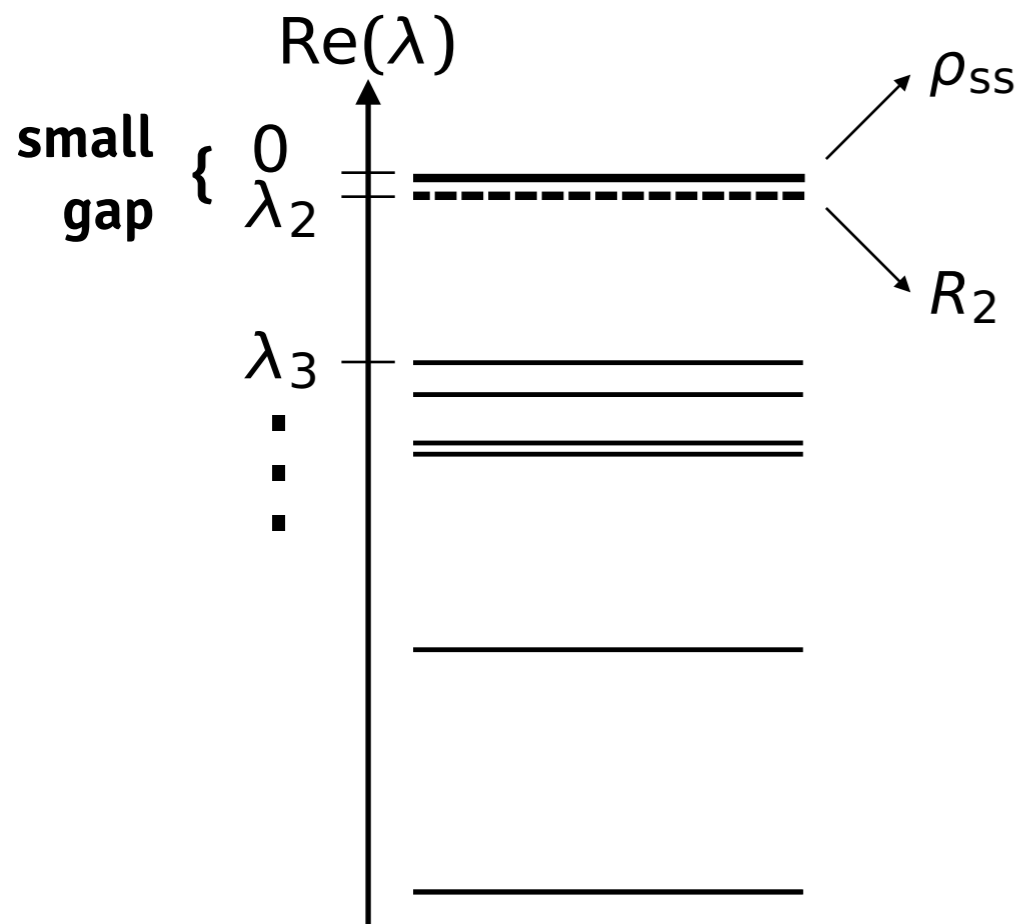
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$$\partial_t \rho = -i[H, \rho] + \sum_{\mu} [J_{\mu} \rho J_{\mu}^{\dagger} - \frac{1}{2} \{J_{\mu}^{\dagger} J_{\mu}, \rho\}] \equiv \mathcal{L}(\rho)$$

metastable states from spectrum :  $\mathcal{L}(R_k) = \lambda_k R_k$ ,  $\mathcal{L}^{\dagger}(L_k) = \lambda_k L_k$ ,  $\text{Tr}(L_k R_l) = \delta_{kl}$

$\lambda_1 = 0$ ,  $L_1 = \mathbb{1}$ ,  $R_1 = \rho_{ss}$  but  $R_{k>1} < 0$



$$\rho(t) = e^{t\mathcal{L}} \rho_0$$

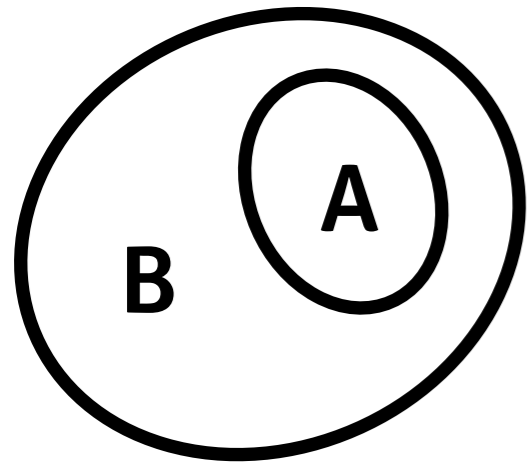
$$= \rho_{ss} + \sum_{k=2}^m e^{t\lambda_k} R_k \text{Tr}(L_k \rho_0) + \dots$$

$$\approx \rho_{ss} + \underbrace{\sum_{k=2}^m R_k \text{Tr}(L_k \rho_0)}_{\text{metastable state}} \quad \mathcal{O}(t\lambda_m)$$

metastable state  $\in$  manifold  $\text{dim}=m-1$   
dimensional reduction  $(\text{dim } \mathcal{H})^2 \rightarrow (m-1)$

# 3. Towards a theory of quantum metastability

{Macieszczak-Guta-Lesanovsky-JPG, arXiv:1512.05801}



→ in A+B (closed) = difficult

→ in A only (open) = easier by analogy with classical

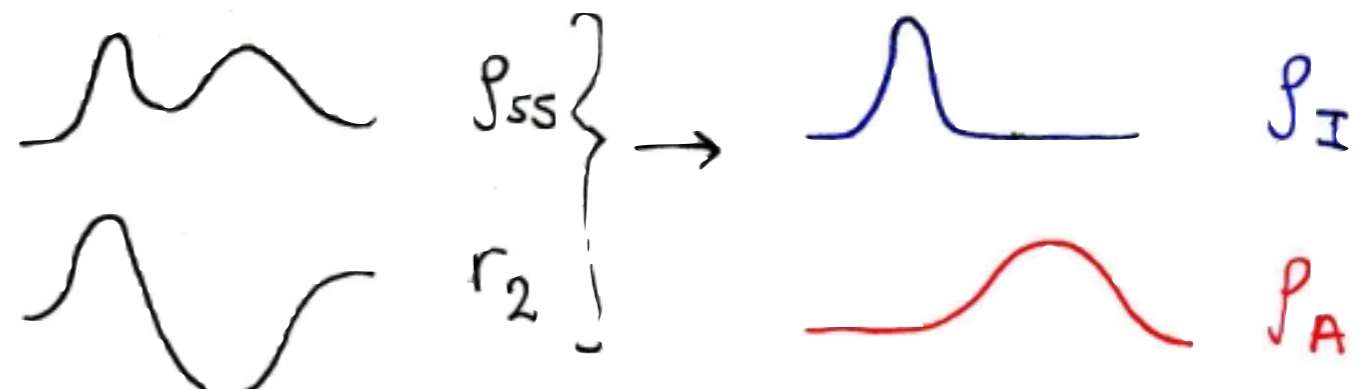
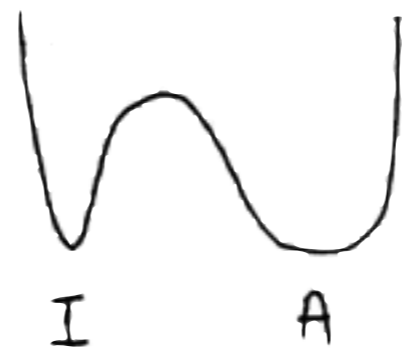
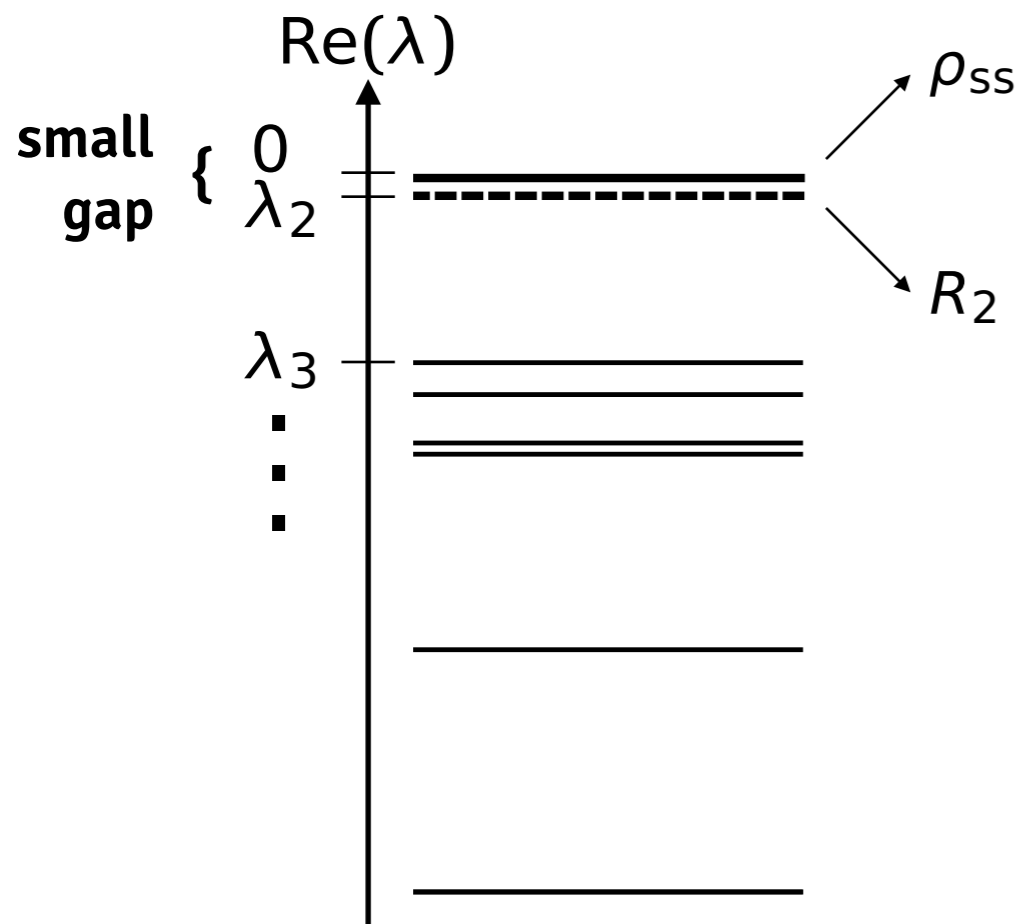
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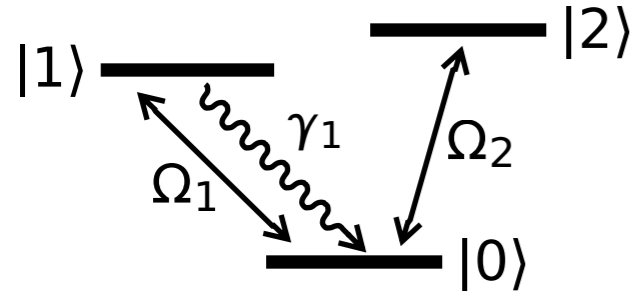
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# 3. Towards a theory of quantum metastability

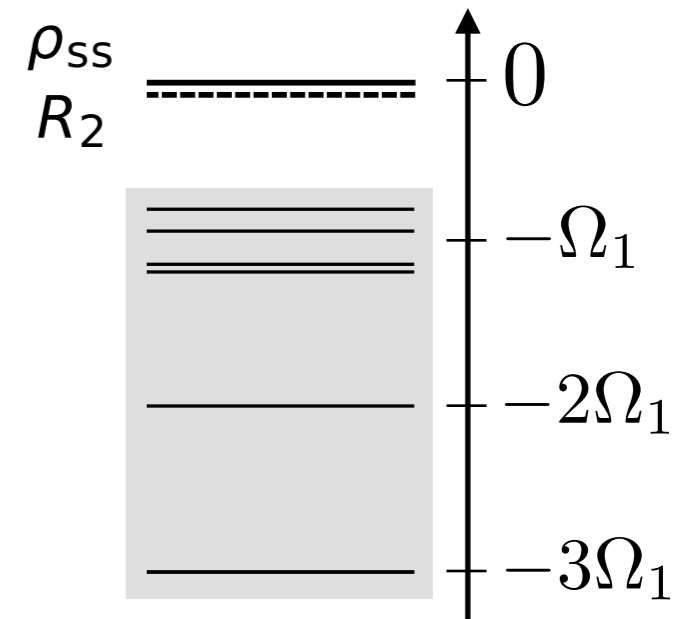
{Macieszczak-Guta-Lesanovsky-JPG, arXiv:1512.05801}



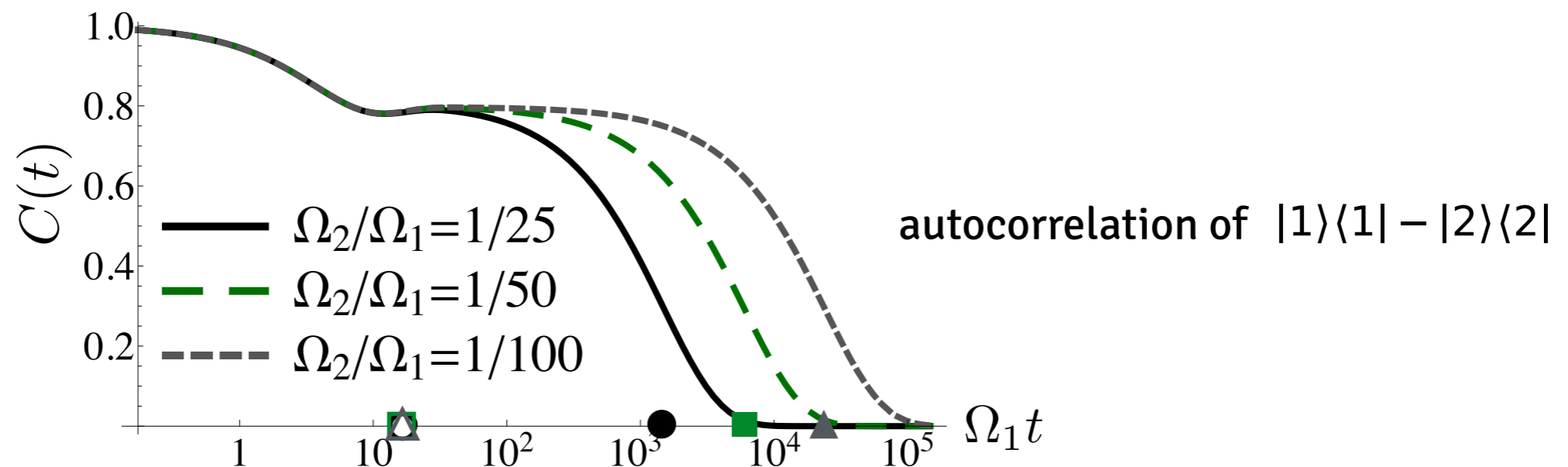
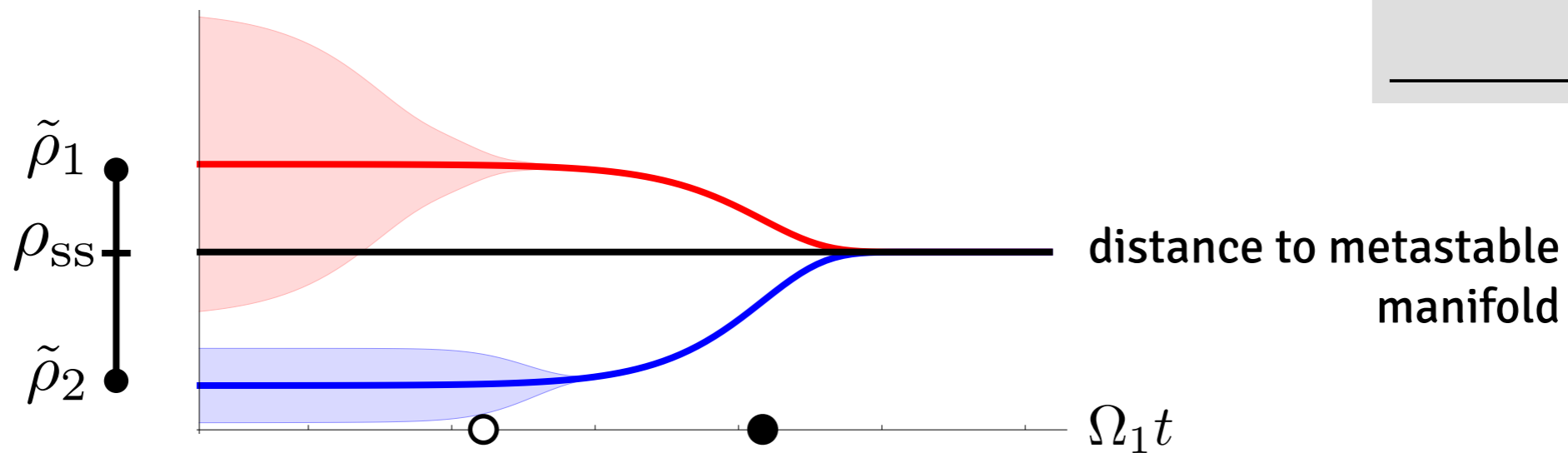
$$\tilde{\rho}_1 = \rho_{ss} + c_2^{\max} R_2$$

$$\tilde{\rho}_2 = \rho_{ss} + c_2^{\min} R_2$$

$$c_2^{\max, \min} = \text{max/min evals of } L_2$$

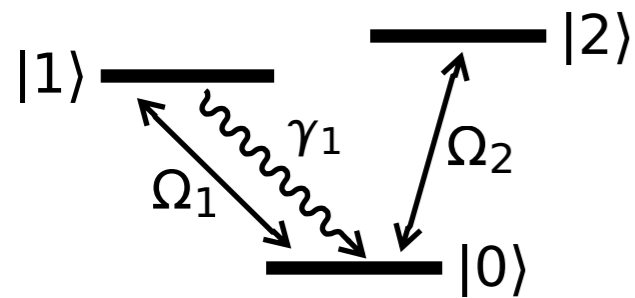


metastable manifold is 1d simplex



# 3. Towards a theory of quantum metastability

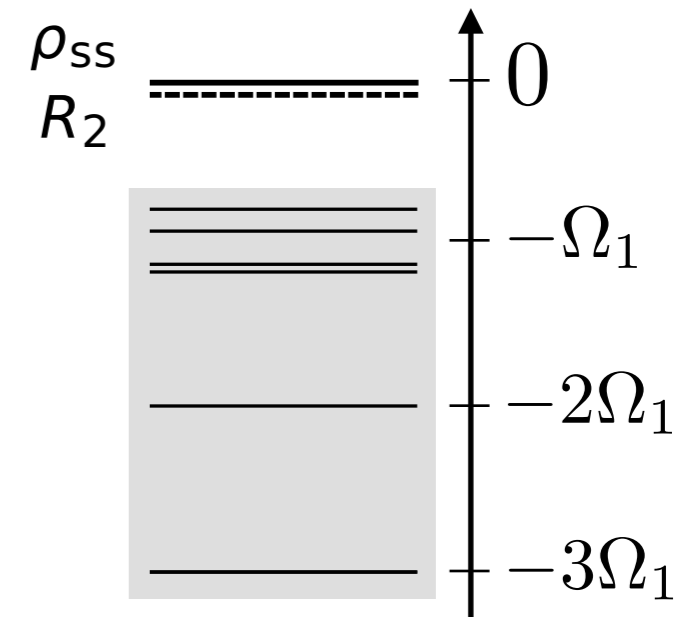
{Macieszczak-Guta-Lesanovsky-JPG, arXiv:1512.05801}



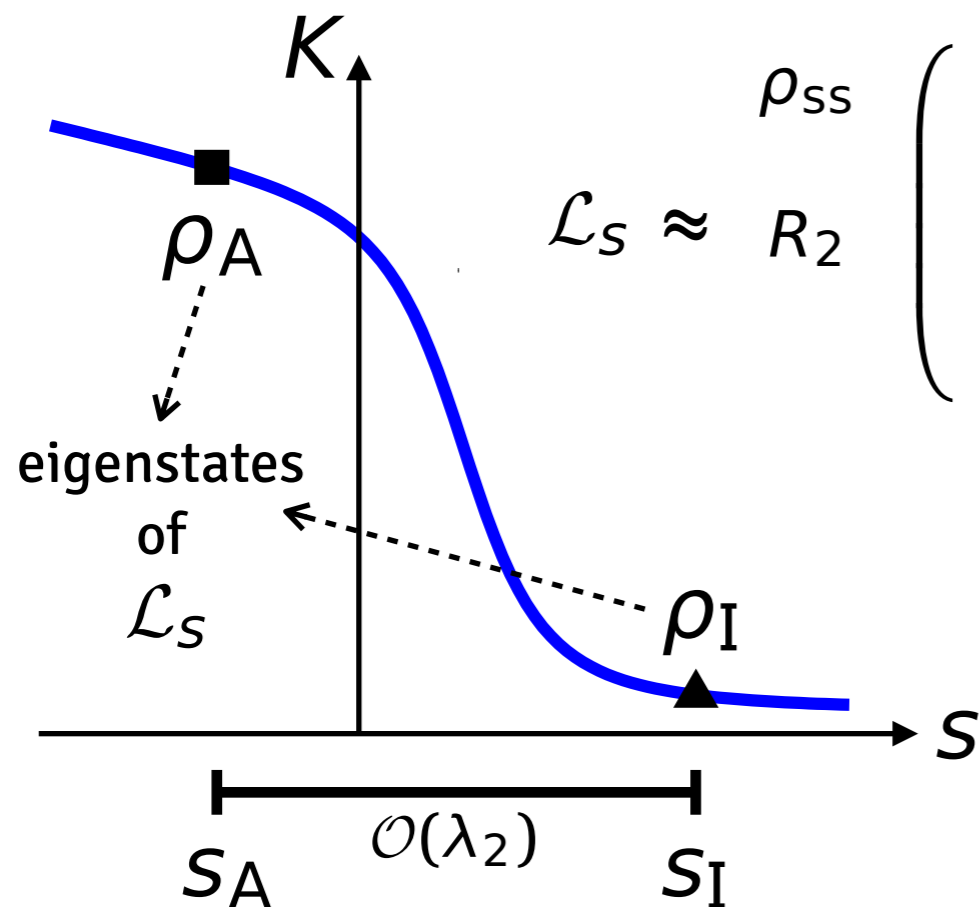
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## Connection to s-ensemble?



$$\mathcal{L}_S \approx R_2 \begin{pmatrix} \mathbb{1} & L_2 & & \\ 0 & -sJ^\dagger J & \dots & \\ -sJ^\dagger J & \lambda_2 & \dots & \\ \vdots & \vdots & \ddots & \ddots \end{pmatrix}$$

almost degenerate P.T.

$\rho_A, \rho_I$

$$\rho_A = \tilde{\rho}_1 + \mathcal{O}(t\lambda_2)$$

$$\rho_I = \tilde{\rho}_2 + \mathcal{O}(t\lambda_2)$$

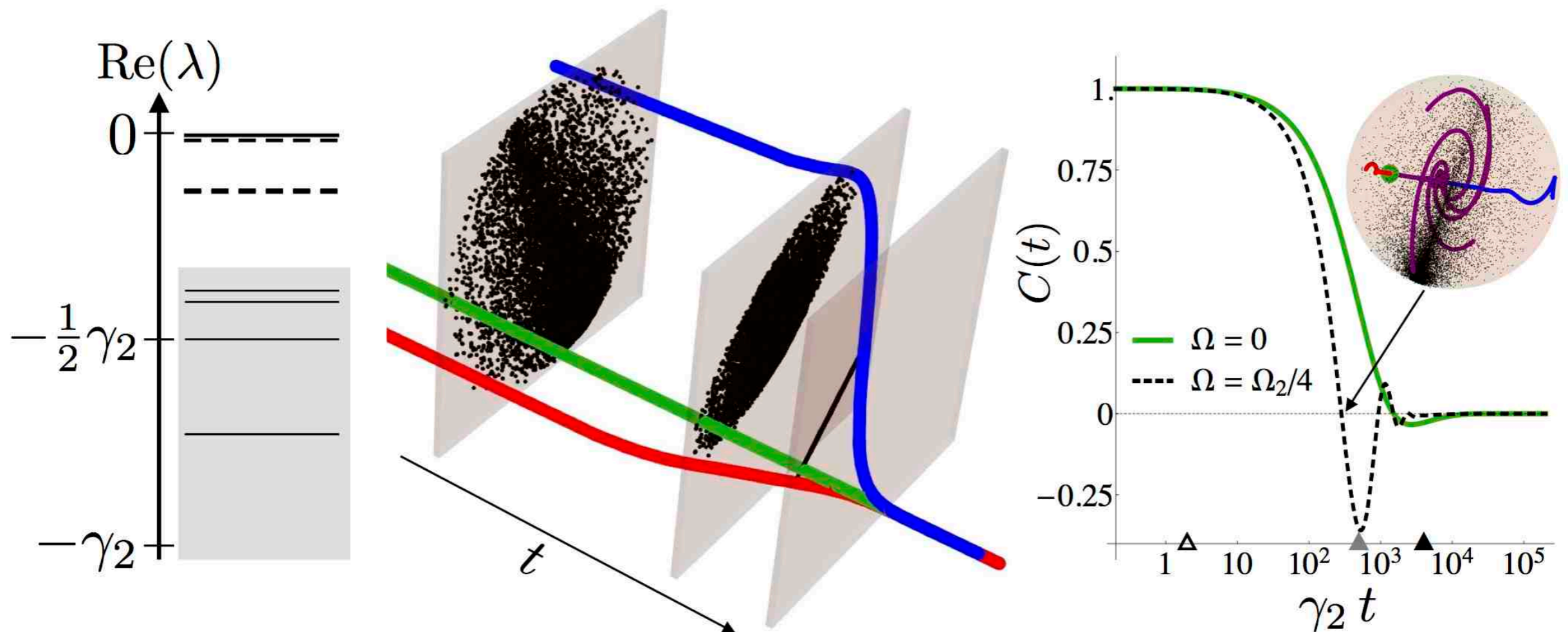
# 3. Towards a theory of quantum metastability

{Macieszczak-Guta-Lesanovsky-JPG, arXiv:1512.05801}

**Metastable Manifold** = disjoint extreme metastable states (cf. classical {Gaveau-Shulman})  
 + **Decoherence free subspaces** + **Noiseless subsystems**

$$\mathcal{L} \longrightarrow \mathcal{L}_{\text{eff}} \text{ in MM}$$

e.g. Metastable M/fold = **qubit**      $H = \Omega_1 \sigma_1^x + \Omega_2 \sigma_2^x$  ,      $L = \gamma_1 n_1 \sigma_2^- + \gamma_2 \sigma_1^+(1 - n_2)$



# SUMMARY

## 1. Slow relaxation through dynamical constraints

Constrained hopping, fast-slow crossover at RK point

## 2. Signatures of MBL dynamics in absence of disorder

Quantum East model, thermal-MBL (quasi-MBL) transition at RK point

## 3. Theory of metastability of open quantum systems

Metastable states and effective dynamics from spectrum of dynamical generator

Reduction to low-dimensional metastable manifold