

# Bose-Einstein Condensation in Ultra Cold Atoms

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Physics East 407

## Bibliography

- *Bose-Einstein Condensation and Superfluidity*  
by Lev Pitaevskii and Sandro Stringari (Oxford, 2016)



# Brief History

## 1924 - 1925

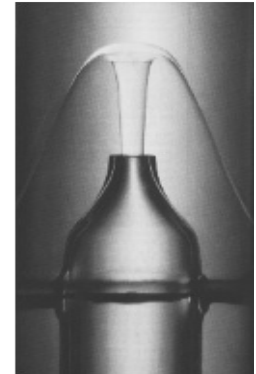
S.N. Bose and A. Einstein study quantum statistics of photons and atoms and predict a macroscopic population of ground state of an ideal gas of bosons below certain temperature – Bose-Einstein Condensation (BEC)

## 1938

Discovery of superfluidity of liquid  $^4\text{He}$  (Allen and Misener, Kapitza). F. London proposes a link with BEC.  
See also works (1940) by L. Tisza (died in 2009 aged 101)

## 1941

Two-fluid theory by L. Landau explaining superfluid properties of helium by presence of a normal and superfluid components of the density. The later was associated with the condensate (not by Landau himself).



# Brief History

1947

N. Bogoliubov proposes a theory of elementary excitations of weakly interacting Bose gases which provides a microscopical foundation of Landau phenomenological theory

1951

Concept of the Off-Diagonal Long Range Order and its relation to BEC proposed by Landau and Lifshits, Penrose and Onsager

1949-1956

Prediction of penetration of normal component into superfluid in the form of quantised vortices by Onsager, Feynman. Experimental discovery of vortices in helium by Hall and Vinen

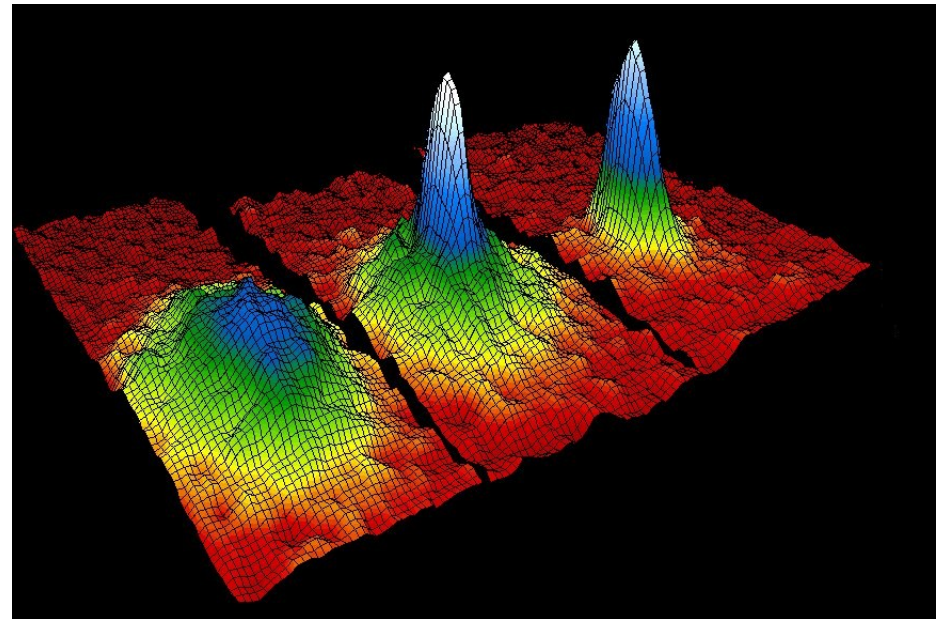
# BEC in Ultra Cold Atoms

## 1970-1995

Experiments with cold atoms (spin-polarised H, alkali): laser cooling and trapping

## 1995

First observation of BEC with ultracold atoms at JILA ( $^{87}\text{Rb}$ ) and MIT ( $^{23}\text{Na}$ )



## 1995-today

BEC observed with  $7\text{Li}$ , spin-polarised H, metastable  $^4\text{He}$ ,  $^{41}\text{K}$ ,  $^{52}\text{Cr}$ , ... around the world, see <http://www.uibk.ac.at/exphys/ultracold/atomtraps.html>

# Quantum mechanics of 1,2,...,N particles

One particle is described by wave function  $\Psi(\mathbf{x})$  depending on the position  $\mathbf{x}$

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Free particle

$$\hat{H}\Psi = -\frac{\hbar^2}{2m}\nabla^2\Psi = E\Psi$$

$$\Psi = \frac{1}{\sqrt{L^3}}e^{i\mathbf{k}\cdot\mathbf{x}}$$

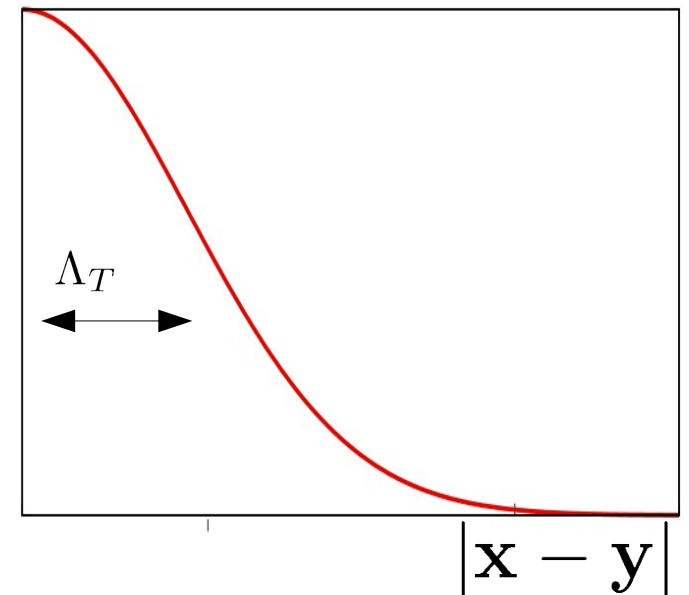
$$E = \frac{p^2}{2m} = \frac{\hbar^2 k^2}{2m} = \varepsilon_{\mathbf{k}}$$

Periodic boundary conditions:

$$\mathbf{k} = \frac{2\pi}{L}(n_x, n_y, n_z) \quad n \in \mathbb{Z}$$

# Finite temperature – density matrix

$$\begin{aligned}\rho_T(\mathbf{x}, \mathbf{y}) &= \frac{1}{Z} \langle \mathbf{x} | e^{-\hat{H}/T} | \mathbf{y} \rangle = \\ &= \left( \frac{\Lambda_T}{L} \right)^3 \sum_{\mathbf{k}} e^{-\varepsilon_{\mathbf{k}}/T} \Psi_{\mathbf{k}}(x) \Psi_{\mathbf{k}}^*(y) \\ &= \frac{1}{L^3} \exp \left( -\frac{\pi |\mathbf{x} - \mathbf{y}|^2}{\Lambda_T^2} \right) \quad *$$



**De Broglie Thermal Length**  $\Lambda_T = \left( \frac{2\pi\hbar^2}{mT} \right)^{1/2}$

# 2 identical particles

No interactions

$$\Psi(\mathbf{x}_1, \mathbf{x}_2) = \Psi_1(\mathbf{x}_1)\Psi_2(\mathbf{x}_2)$$

$$\hat{H}\Psi = (\hat{H}_1 + \hat{H}_2)\Psi = (E_1 + E_2)\Psi$$

Symmetry under particle permutation

$$\Psi(\mathbf{x}_1, \mathbf{x}_2) \rightarrow \Psi(\mathbf{x}_2, \mathbf{x}_1)$$

Bosons (even)

$$\Psi(\mathbf{x}_1, \mathbf{x}_2) = +\Psi(\mathbf{x}_2, \mathbf{x}_1)$$

Fermions (odd)

$$\Psi(\mathbf{x}_1, \mathbf{x}_2) = -\Psi(\mathbf{x}_2, \mathbf{x}_1)$$

# Density matrix for 2 bosons

Symmetrise:

$$\Psi_{\mathbf{k}_1, \mathbf{k}_2}(\mathbf{x}_1, \mathbf{x}_2) = \frac{1}{\sqrt{2}} [\Psi_{\mathbf{k}_1}(\mathbf{x}_1)\Psi_{\mathbf{k}_2}(\mathbf{x}_2) + \Psi_{\mathbf{k}_1}(\mathbf{x}_2)\Psi_{\mathbf{k}_2}(\mathbf{x}_1)]$$

$$\begin{aligned} \rho_T^{(2)}(\mathbf{x}_1, \mathbf{x}_2; \mathbf{y}_1, \mathbf{y}_2) &= \frac{1}{Z} \sum_{\alpha} e^{-E_{\alpha}/T} \Psi_{\alpha}^*(\mathbf{x}_1, \mathbf{x}_2) \Psi_{\alpha}(\mathbf{y}_1, \mathbf{y}_2) \\ &= \frac{1}{2} [\rho_T(\mathbf{x}_1, \mathbf{y}_1)\rho_T(\mathbf{x}_2, \mathbf{y}_2) + \rho_T(\mathbf{x}_1, \mathbf{y}_2)\rho_T(\mathbf{x}_2, \mathbf{y}_1)] \end{aligned}$$

$\mathbf{x}_1$  —————  $\mathbf{y}_1$

$\mathbf{x}_2$  —————  $\mathbf{y}_2$

+

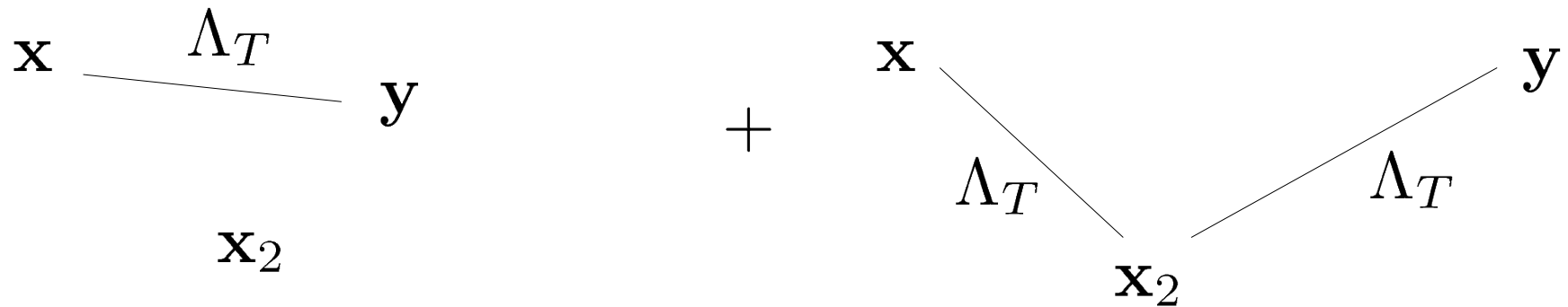
$\mathbf{x}_1$  —————  $\mathbf{y}_1$

$\mathbf{x}_2$  —————  $\mathbf{y}_2$



# Effective 1 particle density matrix

$$\begin{aligned}\rho_T^{(1)}(\mathbf{x}, \mathbf{y}) &= \int d\mathbf{x}_2 \rho_2(\mathbf{x}, \mathbf{x}_2; \mathbf{y}, \mathbf{x}_2) \\ &= \rho_T(\mathbf{x}, \mathbf{y}) + \int d\mathbf{x}_2 \rho_T(\mathbf{x}, \mathbf{x}_2) \rho_T(\mathbf{x}_2, \mathbf{y}) \quad *\end{aligned}$$



has larger correlation range as a result of statistics

# N particles. Spin-Statistics Theorem

Many body wavefunction of N identical particles\*  $\Psi(\mathbf{x}_1, \mathbf{x}_2, \dots, \mathbf{x}_N)$   
is either *totally symmetric* (bosons)

$$\Psi(\mathbf{x}_{P_1}, \mathbf{x}_{P_2}, \dots, \mathbf{x}_{P_N}) = \Psi(\mathbf{x}_1, \mathbf{x}_2, \dots, \mathbf{x}_N)$$

or *totally antisymmetric* (fermions)

$$\Psi(\mathbf{x}_{P_1}, \mathbf{x}_{P_2}, \dots, \mathbf{x}_{P_N}) = (-1)^{\delta_P} \Psi(\mathbf{x}_1, \mathbf{x}_2, \dots, \mathbf{x}_N)$$

under any permutation  $P$  of particles

$$\delta_P = \begin{array}{l} \text{number of swaps required to bring} \\ P_1, P_2, P_3, \dots, P_N \\ \text{back to } 1, 2, 3, \dots, N \\ \text{example } \delta_{(3,1,2)} = 2 \end{array}$$

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\* can be interacting

# One body density matrix - definition

$N$  bosons in many body state (interacting or not)  $\Psi_\alpha(\mathbf{x}_1, \mathbf{x}_2, \dots, \mathbf{x}_N)$

$$\begin{aligned}\rho_\alpha^{(1)}(\mathbf{x}, \mathbf{y}) &= \langle \alpha | \hat{\Psi}^\dagger(\mathbf{x}) \hat{\Psi}(\mathbf{y}) | \alpha \rangle = \\ &= N \int d\mathbf{x}_2 \dots d\mathbf{x}_N \Psi_\alpha^*(\mathbf{x}, \mathbf{x}_2, \dots, \mathbf{x}_N) \Psi_\alpha(\mathbf{y}, \mathbf{x}_2, \dots, \mathbf{x}_N)\end{aligned}$$

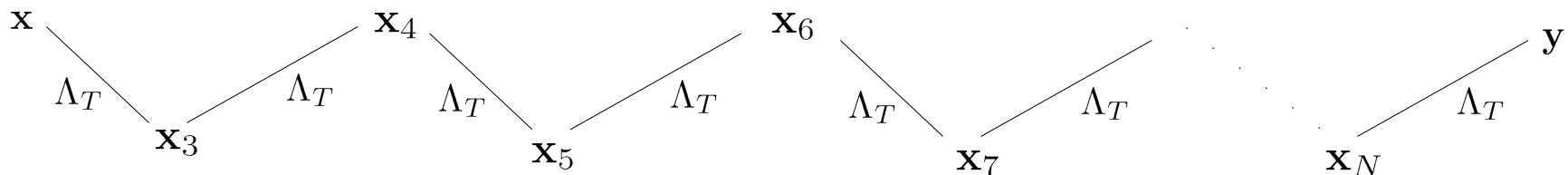
At thermal equilibrium

$$\rho_T^{(1)}(\mathbf{x}, \mathbf{y}) = \frac{1}{Z_N} \sum_\alpha e^{-E_\alpha/T} \rho_\alpha^{(1)}(\mathbf{x}, \mathbf{y})$$

# Off Diagonal Long Range Order

Thermodynamic limit:  $N, L \rightarrow \infty$  constant density  $n = N/L^3$

Another length scale appears: mean interparticle spacing  $d = n^{-1/3}$



When temperature is lowered  $\Lambda_T$  increases and becomes larger than  $d$

Correlation range increases and one can expect a ODLRO at  $T < T_c$  i.e.

$$\rho_T^{(1)}(\mathbf{x}, \mathbf{y}) \rightarrow n_0 \quad |\mathbf{x} - \mathbf{y}| \rightarrow \infty$$

# Momentum distribution

For translationally invariant system density matrix depends only on the distance

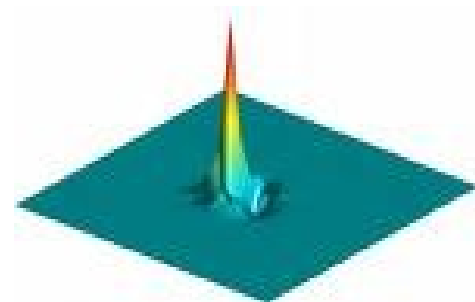
$\rho_T^{(1)}(\mathbf{x}, \mathbf{y}) = \rho_T^{(1)}(\mathbf{x} - \mathbf{y})$  and can be diagonalised by Fourier transform:

$$\rho_T^{(1)}(\mathbf{x}) = \frac{1}{L^3} \int d\mathbf{p} n(\mathbf{p}) e^{i\mathbf{p}\cdot\mathbf{x}/\hbar}$$

$n(\mathbf{p})$  is called momentum distribution or occupation number of the state  $\mathbf{p}$

OLDRO implies a peak in the momentum distribution

$$n(\mathbf{p}) = N_0 \delta(\mathbf{p}) + \tilde{n}(\mathbf{p})$$



# Long Range Order and Condensate fraction

$$\rho_T^{(1)}(\mathbf{x}) = \frac{1}{L^3} \int d\mathbf{p} n(\mathbf{p}) e^{i\mathbf{p}\cdot\mathbf{x}/\hbar}$$

$$n_0/n = N_0/N \leq 1$$

Above  $T_c$   $n(\mathbf{p})$  is smooth

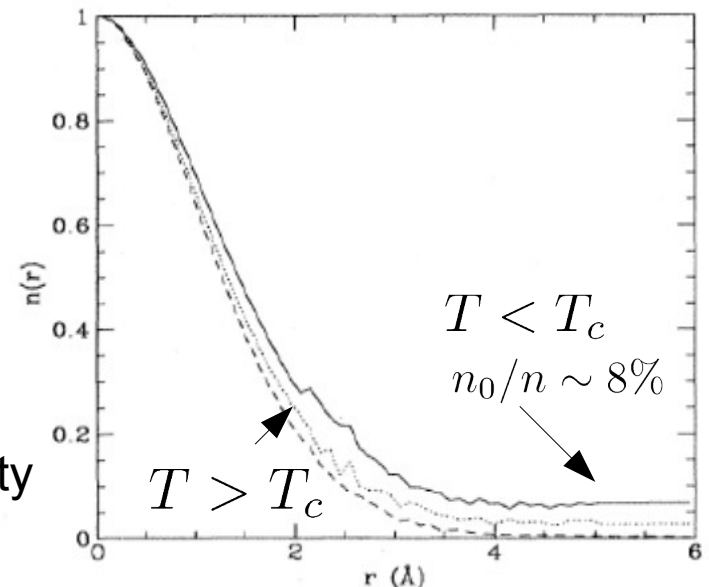
$$\rho_T^{(1)}(\mathbf{x}) \rightarrow 0$$

$$|\mathbf{x}| \rightarrow \infty$$

Below  $T_c$

$$\rho_T^{(1)}(\mathbf{x}) \sim \frac{N_0}{L^3} = n_0 \quad \text{- condensate density}$$

Example of density matrix behaviour in helium  
(Ceperley and Pollack, 1987)



# Condensation in Ideal Gas

Grand canonical ensemble: chemical potential  $\mu$  and temperature  $T$ ,  
$$\beta = 1/k_B T$$

Occupation of the state  $\mathbf{p}$  is the **Bose-Einstein distribution**

$$n(\mathbf{p}) = \frac{1}{e^{\beta(\varepsilon_{\mathbf{p}} - \mu)} - 1} \quad (\mu < 0)$$

Total number of particles

$$N(T, \mu) = \sum_{\mathbf{p}} n(\mathbf{p}) = L^3 \int \frac{d\mathbf{p}}{(2\pi\hbar)^3} \frac{1}{e^{\beta(\varepsilon_{\mathbf{p}} - \mu)} - 1}$$

# Density of states

$$\begin{aligned}\rho(\varepsilon) &= \sum_{\mathbf{p}} \delta(\varepsilon - \varepsilon_{\mathbf{p}}) = L^3 \int \frac{d\mathbf{p}}{(2\pi\hbar)^3} \delta(\varepsilon - p^2/2m) \\ &= L^3 \int \frac{p^2 dp}{2\pi^2 \hbar^3} \delta(p - \sqrt{2m\varepsilon}) \sqrt{\frac{m}{2\varepsilon}} = L^3 \left(\frac{m}{2}\right)^{3/2} \frac{\sqrt{\varepsilon}}{\pi^2 \hbar^3}\end{aligned}$$

In  $d = 1, 2, 3$  dimensions

$$\rho(\varepsilon) = L^d \frac{\Omega_d}{(2\pi\hbar)^d} m p^{d-2}(\varepsilon) \sim \varepsilon^{\frac{d}{2}-1}$$



# Maximum Number of Particles

Total number of particles  $N(T, \mu) = \int \frac{\rho(\varepsilon) d\varepsilon}{e^{\beta(\varepsilon - \mu)} - 1}$

is an increasing function of  $\mu$  ( $\mu \leq 0$ )

Consider  $N_{\max} = \int \frac{\rho(\varepsilon) d\varepsilon}{e^{\beta\varepsilon} - 1}$ ,  $\rho(\varepsilon) \sim \sqrt{\varepsilon}$

the integral converges  
for small energies

$$\frac{N_{\max}}{L^3} = n_{\max} = \frac{g_{3/2}(1)}{\Lambda_T^3}$$

$$g_p(z) = \frac{1}{\Gamma(p)} \int_0^\infty \frac{x^{p-1} dx}{z^{-1} e^x - 1} = \sum_{l=1}^{\infty} \frac{z^l}{l^p} \quad g_{3/2}(1) = \frac{2}{\sqrt{\pi}} \int \frac{x^{1/2}}{e^x - 1} \simeq 2.612$$

# What is wrong?

**Q.** What if the number of particles is larger than  $N_{\max} \sim T^{3/2}$  ?

**A.** In calculating  $N$  we have replaced a discrete sum over states by an integral

This completely ignores the occupation of the lowest state

$$N_0(T, \mu) = \frac{1}{e^{-\beta\mu} - 1}$$

since  $\rho(\varepsilon = 0) = 0$

In fact it diverges as  $\mu \rightarrow 0^-$  and the state  $\mathbf{p} = 0$  gets

macroscopically occupied  $N_0 \sim N$

# Bose-Einstein Condensation

Below critical temperature calculated from the condition

$$n\Lambda_T^3 = g_{3/2}(1) \quad \text{or} \quad \Lambda_T \sim d$$

particles condense in the lowest energy state

$$N_T = \frac{\Lambda_{T_c}^3}{\Lambda_T^3} N = \left( \frac{T}{T_c} \right)^{3/2} N$$

$$N_0 = N - N_T = \left[ 1 - \left( \frac{T}{T_c} \right)^{3/2} \right] N$$

# Condensed phase

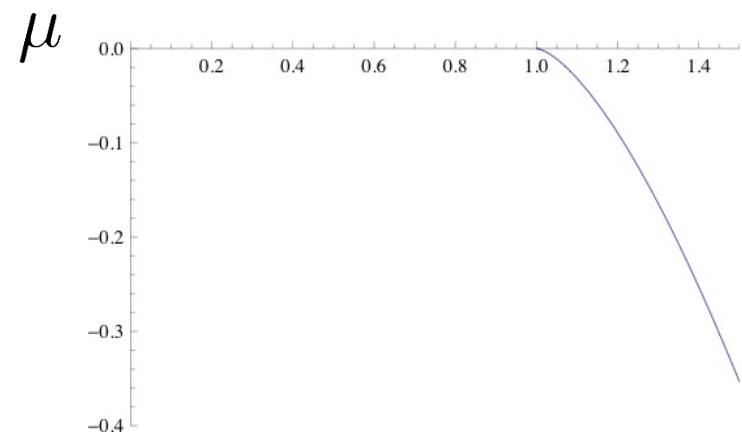
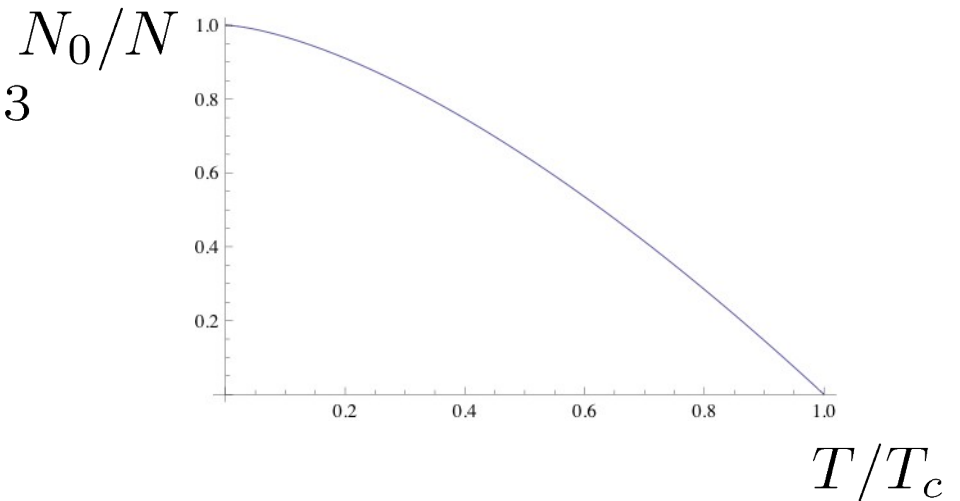
Condensation temperature  $T_c \sim n^{2/3}$   
must be distinguished from the  
*microscopic* temperature

$$T_1 = \varepsilon_1 \sim \hbar^2 / 2mL^2$$

Chemical potential

$$-\mu = T \ln \left( 1 + \frac{1}{N_0} \right) \simeq \frac{T}{N_0}$$

is microscopically small below condensation energy



# Thermodynamics

Energy

$$E = \int d\varepsilon \frac{\varepsilon \rho(\varepsilon)}{e^{\beta(\varepsilon - \mu)} - 1} = \frac{3}{2} T \frac{L^3}{\Lambda_T^3} g_{5/2}(e^{\beta\mu})$$

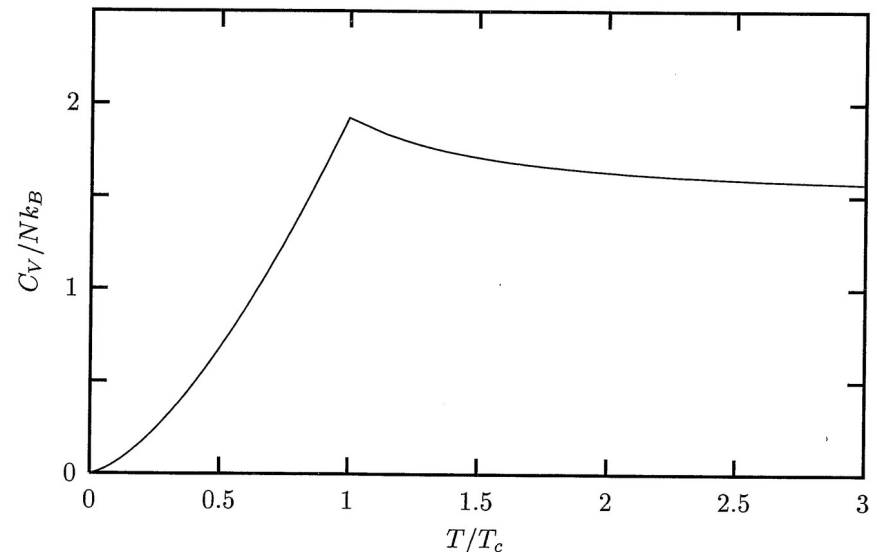
Specific heat  $C_V = \partial E / \partial T$

is continuous at the transition

Pressure

$$P = \frac{2}{3} \frac{E}{V} = \frac{T}{\Lambda_T^3} g_{5/2}(1)$$

is volume independent - infinite compressibility



# Condensation and density of states

Total number of particles  $N = N_T + N_0$

$$N_T \leq N_{\max}(T) = \int \frac{\rho(\varepsilon)d\varepsilon}{e^{\beta\varepsilon} - 1}$$

BEC occurs when  $N_{\max}(T_c) = N$

**NB.**  $N_{\max}$  can be infinite (integral diverges) and one can accommodate any number of particles by adjusting chemical potential

Example: uniform system in low dimension (no interactions)

$$d = 2 \quad \rho(\varepsilon) \sim \varepsilon^0$$

$$d = 1 \quad \rho(\varepsilon) \sim \varepsilon^{-1/2}$$

# Harmonic Trap

$$V(x, y, z) = \frac{1}{2}m\omega_x^2x^2 + \frac{1}{2}m\omega_y^2y^2 + \frac{1}{2}m\omega_z^2z^2$$

Energy levels  $\varepsilon_{n_x, n_y, n_z} = E_0 + \hbar\omega_x n_x + \hbar\omega_y n_y + \hbar\omega_z n_z$

Density of states

$$\rho(\varepsilon) = \frac{1}{2} \frac{\varepsilon^2}{\hbar^3 \omega_{\text{ho}}^3}$$

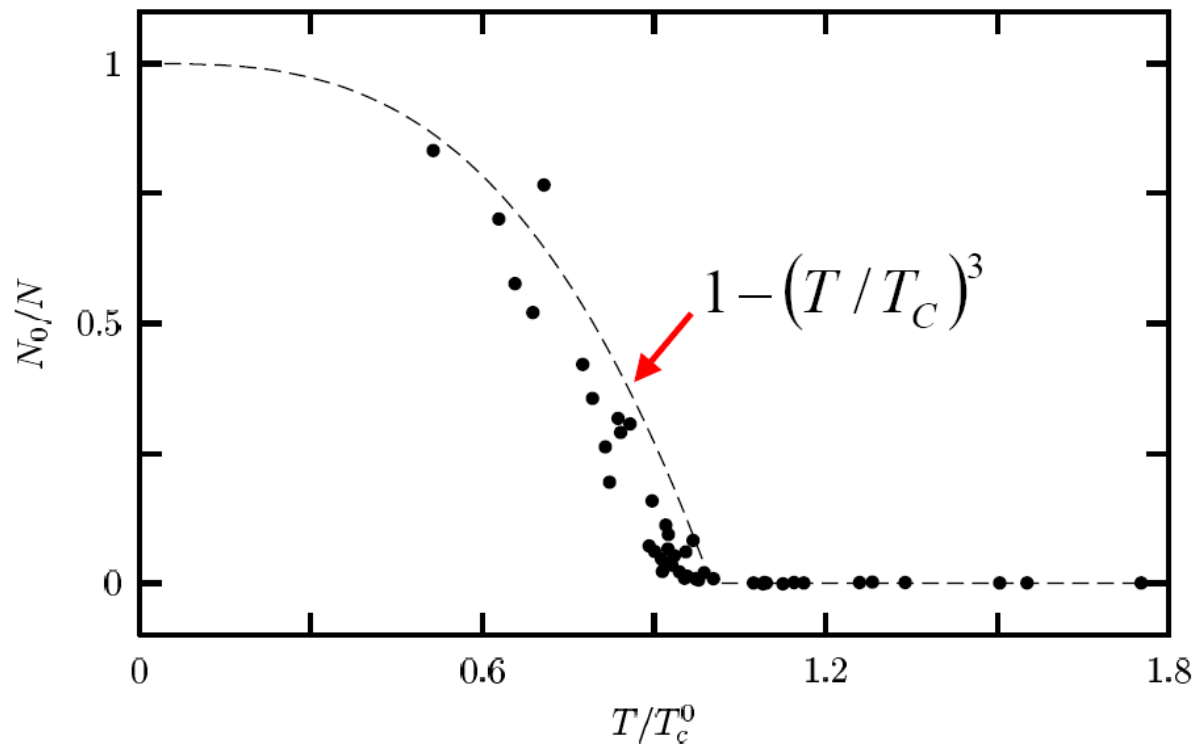
\*  $\omega_{\text{ho}} = (\omega_x \omega_y \omega_z)^{\frac{1}{3}}$

$$N_{\text{max}}(T) = \frac{T^3}{2\hbar^3 \omega_{\text{ho}}^3} \int \frac{x^2 dx}{e^x - 1} = \left( \frac{T}{\hbar\omega_{\text{ho}}} \right)^3 g_3(1)$$

$$T_c \simeq 0.94 \hbar\omega_{\text{ho}} N^{1/3} \gg \hbar\omega_{\text{ho}}$$

# Number of condensed particles

Below  $T_c$        $N_0 = N - N_T = N \left[ 1 - \left( \frac{T}{T_c} \right)^3 \right]$



Experimental evidence  
(JILA 96)

of the number  
of condensed particles



# Condensate wave function

Density matrix can be diagonalised  $\rho^{(1)}(\mathbf{x}, \mathbf{y}) = \sum_{\alpha} n_{\alpha} \psi_{\alpha}^*(\mathbf{x}) \psi_{\alpha}(\mathbf{y})$

$n_{\alpha}$  are occupation numbers

( for ideal gas  $\psi_{\alpha}(\mathbf{x})$  are 1-particle wavefunctions )

$n_0 = N_0 \sim N$   $\psi_0(\mathbf{x})$  is the condensate wavefunction

*Penrose, Onsager, 1956*

Uniform system  $\psi_0(x, y, z) = 1/L^{3/2}$

Harmonic oscillator

$$\psi_0(x, y, z) = \left( \frac{m\omega_{\text{ho}}}{\pi\hbar} \right)^{3/4} \exp \left[ -\frac{m}{2\hbar} (\omega_x x^2 + \omega_y y^2 + \omega_z z^2) \right]$$

# Density matrix below $T_c$

$$\rho^{(1)}(\mathbf{x}, \mathbf{y}) = N_0 \psi_0^*(\mathbf{x}) \psi_0(\mathbf{y}) + \sum_{\alpha \neq 0} n_\alpha \psi_\alpha^*(\mathbf{x}) \psi_\alpha(\mathbf{y})$$

Density profile  $n(\mathbf{x}) = \rho^{(1)}(\mathbf{x}, \mathbf{x}) = n_0(\mathbf{x}) + n_T(\mathbf{x})$

$$n_0(\mathbf{x}) = N_0 |\psi_0(\mathbf{x})|^2$$

Non condensed distribution is semiclassical:

$$n_T(\mathbf{x}) \simeq \int \frac{d\mathbf{p}}{(2\pi\hbar)^3} \frac{1}{e^{\beta\left(\frac{\mathbf{p}^2}{2m} + V(\mathbf{x})\right)} - 1} = \frac{1}{\Lambda_T^3} g_{3/2}(e^{-\beta V(\mathbf{x})})$$

# Bimodal distribution

Condensate width

$$\langle \mathbf{x}^2 \rangle_0 \sim \frac{\hbar}{2m\omega_{\text{ho}}}$$

Width of thermal cloud

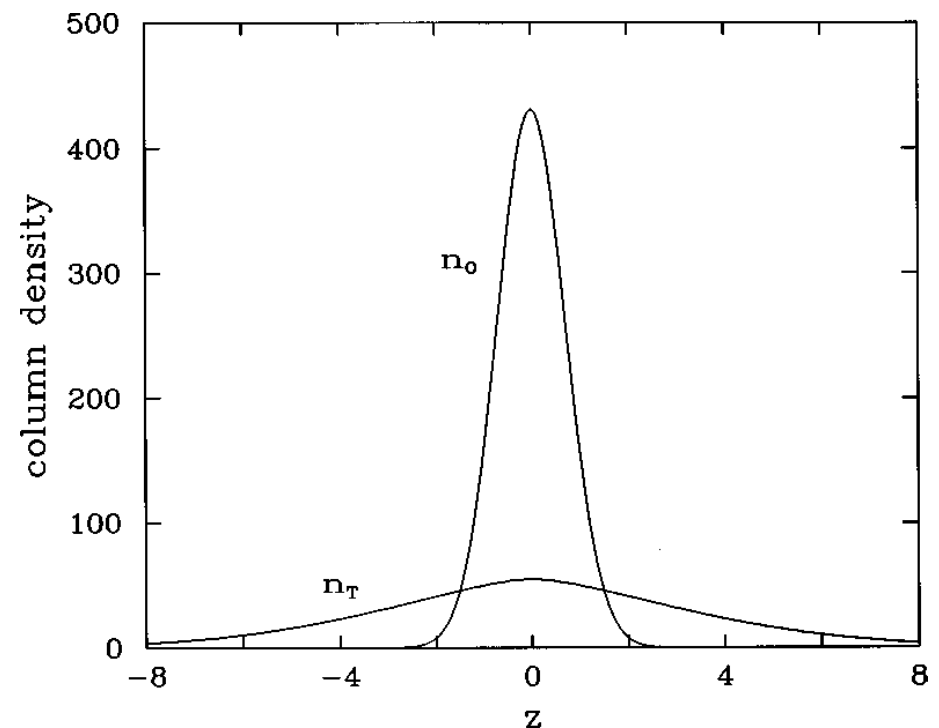
$$\langle \mathbf{x}^2 \rangle_T \sim \frac{T}{m\omega_{\text{ho}}^2}$$

Ratio

$$\frac{\langle \mathbf{x}^2 \rangle_T}{\langle \mathbf{x}^2 \rangle_0} \sim \frac{T}{\hbar\omega_{\text{ho}}} \gg 1$$

5000 bosons at  $T = 0.9T_c$

*Dalfovo et al. 1999*



# Summary of Lecture 1

- Quantum statistics modify thermodynamic property and may lead to long range order at low enough temperature when  $\Lambda_T$  is comparable with mean interparticle separation
- The long range order is in non-diagonal elements of one body density matrix and for ideal gas is connected with macroscopic occupation of ground state orbital, *i.e.* BEC
- The occurrence of condensation depends crucially on the density of states which controls number of particles for zero chemical potential: no condensation in uniform system in 2d and 1d. Very different for harmonic trap
- Ideal gas model gives a fair intuition for occurrence of BEC and is good close to  $T_c$  but is *unphysical* (infinite compressibility, shape of condensate....) at lower temperatures.