

Lecture 3: Fluctuations of the order parameter. Bogoliubov theory

Previous lecture: Mean field description of the condensates. Order parameter and its equation of motion.

$$\hat{\Psi}(\mathbf{x}) \rightarrow \Psi_0$$

This lecture

- Fragmented condensate and its energy – role of interactions
- Quantum and thermal fluctuations. Bogoliubov theory of weakly interacting Bose gas
- Elementary excitations of the BEC. Particles and Quasiparticles
- Phonons and Quantum Hydrodynamics
- Beliaev decay of phonons

Order Parameter and Ground State

$$\hat{\Psi}(\mathbf{x}) = \Psi_0 + \delta\hat{\Psi}(\mathbf{x}) = \Psi_0 + \sum_{\mathbf{p} \neq 0} \psi_{\mathbf{p}}(\mathbf{x}) \hat{a}_{\mathbf{p}}$$

$$\Psi_0 = \langle \hat{\Psi} \rangle = \langle N | \hat{\Psi} | N + 1 \rangle$$

$$|N\rangle = \frac{1}{\sqrt{N!}} \left(a_0^\dagger \right)^N |VAC\rangle$$

Possible Fragmented BEC?

Suppose macroscopic occupation of states

$$\mathbf{p} = 0$$

$$N_0$$

$$\mathbf{p} = (2\pi\hbar/L, 0, 0)$$

$$N_1$$

$$N_0 + N_1 = N \quad N_0, N_1 \sim N$$

Fragmented condensate

$$|\text{Frag}\rangle = \frac{1}{\sqrt{N_0!N_1!}} \left(a_0^\dagger\right)^{N_0} \left(a_1^\dagger\right)^{N_1} |\text{Vac}\rangle$$

Interaction Energy

$$H_{\text{int}} = \frac{g}{2} \int d\mathbf{r} \hat{\Psi}^\dagger(\mathbf{r}) \hat{\Psi}^\dagger(\mathbf{r}) \hat{\Psi}(\mathbf{r}) \hat{\Psi}(\mathbf{r})$$

Simplify field operator in the subspace of 1 and 2

$$\hat{\Psi}(\mathbf{r}) = \psi_0(\mathbf{r}) \hat{a}_0 + \psi_1(\mathbf{r}) \hat{a}_1$$

$$\hat{\Psi}^\dagger(\mathbf{r}) \hat{\Psi}^\dagger(\mathbf{r}) \hat{\Psi}(\mathbf{r}) \hat{\Psi}(\mathbf{r}) =$$

$$= \left[(\psi_0^*)^2 (\hat{a}_0^\dagger)^2 + (\psi_1^*)^2 (\hat{a}_1^\dagger)^2 + 2\psi_0^* \psi_1^* \hat{a}_0^\dagger \hat{a}_1^\dagger \right] \times \left[(\psi_0)^2 (\hat{a}_0)^2 + (\psi_1)^2 (\hat{a}_1)^2 + 2\psi_0 \psi_1 \hat{a}_0 \hat{a}_1 \right]$$

Energy of fragmented state

$$\begin{aligned} & \hat{\Psi}^\dagger(\mathbf{r}) \hat{\Psi}^\dagger(\mathbf{r}) \hat{\Psi}(\mathbf{r}) \hat{\Psi}(\mathbf{r}) = \\ & = \left[(\psi_0^*)^2 (\hat{a}_0^\dagger)^2 + (\psi_1^*)^2 (\hat{a}_1^\dagger)^2 + 2\psi_0^* \psi_1^* \hat{a}_0^\dagger \hat{a}_1^\dagger \right] \times \left[(\psi_0)^2 (\hat{a}_0)^2 + (\psi_1)^2 (\hat{a}_1)^2 + 2\psi_0 \psi_1 \hat{a}_0 \hat{a}_1 \right] \end{aligned}$$

$$E_{\text{Frag}} = \frac{g}{2} \int d\mathbf{r} \left(N_0^2 |\psi_0|^4 + N_1^2 |\psi_1|^4 + 4N_0 N_1 |\psi_0|^2 |\psi_1|^2 \right)$$

BEC state $N_0 + N_1 = N$

$$E_{\text{BEC}} = \frac{g}{2} \int d\mathbf{r} (N_0 + N_1)^2 |\psi_0|^4$$

Absence of fragmented state in uniform system. Nozières' argument

$$|\psi_0(\mathbf{r})|^2 = |\psi_1(\mathbf{r})|^2 = \text{const}$$

$$\Delta E = E_{\text{Frag}} - E_{\text{BEC}} = gN_0N_1 \int d\mathbf{r} |\psi_0|^4 > 0$$

and fragmentation is inhibited by repulsive interactions

NB. In a *non-uniform* system quantum fluctuations may favour fragmented state (see Josephson effect)

Bogoliubov theory

$$\hat{H} - \mu\hat{N} = \int d\mathbf{x} \left[\frac{\hbar^2}{2m} \nabla \hat{\Psi}^\dagger \cdot \nabla \hat{\Psi} - \mu \hat{\Psi}^\dagger(\mathbf{x}) \hat{\Psi}(\mathbf{x}) \right] \\ + \frac{g}{2} \int d\mathbf{x} \hat{\Psi}^\dagger(\mathbf{x}) \hat{\Psi}^\dagger(\mathbf{x}) \hat{\Psi}(\mathbf{x}) \hat{\Psi}(\mathbf{x})$$

Substitute

$$\hat{\Psi}(\mathbf{x}) = \Psi_0 + \hat{\varphi}(\mathbf{x}) = \Psi_0 + \frac{1}{\sqrt{V}} \sum_{\mathbf{p} \neq 0} e^{i\mathbf{p} \cdot \mathbf{x}} \hat{a}_{\mathbf{p}}$$

Bogoliubov Hamiltonian

$$\begin{aligned}\hat{H} - \mu\hat{N} &= \frac{g}{2}|\Psi_0|^4 - \mu|\Psi_0|^2 \\ &+ \int d\mathbf{x} (g|\Psi_0|^2\Psi_0 - \mu\Psi_0) \hat{\varphi}^\dagger + \text{h.c.} \\ &+ \int d\mathbf{x} \left[\frac{\hbar^2}{2m} \nabla\hat{\varphi}^\dagger \cdot \nabla\hat{\varphi} - \mu\hat{\varphi}^\dagger\hat{\varphi} + \frac{gn_0}{2} (\hat{\varphi}^\dagger\hat{\varphi}^\dagger + 2\hat{\varphi}^\dagger\hat{\varphi} + \hat{\varphi}\hat{\varphi}) \right] \\ &+ O(\hat{\varphi}^3)\end{aligned}$$

Linear terms (GPE)

$$|\Psi_0|^2 = n_0 = \frac{\mu}{g}$$

Quadratic terms

$$\hat{H} - \mu\hat{N} = -V\frac{\mu^2}{2g} + \sum_{\mathbf{p}\neq\mathbf{0}} \left(\frac{p^2}{2m} + gn_0 \right) a_{\mathbf{p}}^\dagger a_{\mathbf{p}} + \frac{gn_0}{2} \left(a_{\mathbf{p}}^\dagger a_{-\mathbf{p}}^\dagger + a_{-\mathbf{p}} a_{\mathbf{p}} \right)$$

$$[\hat{N}, \hat{H}] \neq 0 \quad - \text{particle number is not conserved}$$

Quadratic, so can be diagonalised

Remark: to this order in the condensate density n_0 can be replaced by n

Linear equation of motion

To find the energy of elementary excitation we use Heisenberg equations of motion:

$$i\hbar\dot{a}_{\mathbf{p}} = [a_{\mathbf{p}}, \hat{H}] = \left(\frac{p^2}{2m} + gn_0 \right) a_{\mathbf{p}} + gn_0 a_{-\mathbf{p}}^\dagger$$

and similarly for $a_{-\mathbf{p}}^\dagger$

$$\text{Using } a_{\mathbf{p}}(t) = e^{-\frac{i\varepsilon t}{\hbar}} a_{\mathbf{p}} \quad a_{-\mathbf{p}}^\dagger(t) = e^{+\frac{i\varepsilon t}{\hbar}} a_{-\mathbf{p}}^\dagger$$

we obtain linear system

$$\begin{pmatrix} \lambda(p) - \varepsilon & gn_0 \\ gn_0 & \lambda(p) + \varepsilon \end{pmatrix} \begin{bmatrix} a_{\mathbf{p}} \\ a_{-\mathbf{p}}^\dagger \end{bmatrix} = 0 \quad \lambda(p) = \frac{p^2}{2m} + gn_0$$

Excitation energies

Has solution only if $\det \begin{pmatrix} \lambda(p) - \varepsilon & gn \\ gn & \lambda(p) + \varepsilon \end{pmatrix} = 0$

or

$$\varepsilon^2 = \lambda^2(p) - (gn)^2 = \left(2gn + \frac{p^2}{2m}\right) \frac{p^2}{2m}$$

Bogoliubov dispersion

$$\varepsilon(p) = \sqrt{\left(2gn + \frac{p^2}{2m}\right) \frac{p^2}{2m}}$$

Bogoliubov spectrum

$$\varepsilon(p) = \sqrt{\left(2gn + \frac{p^2}{2m}\right) \frac{p^2}{2m}}$$

describes 2 regimes:

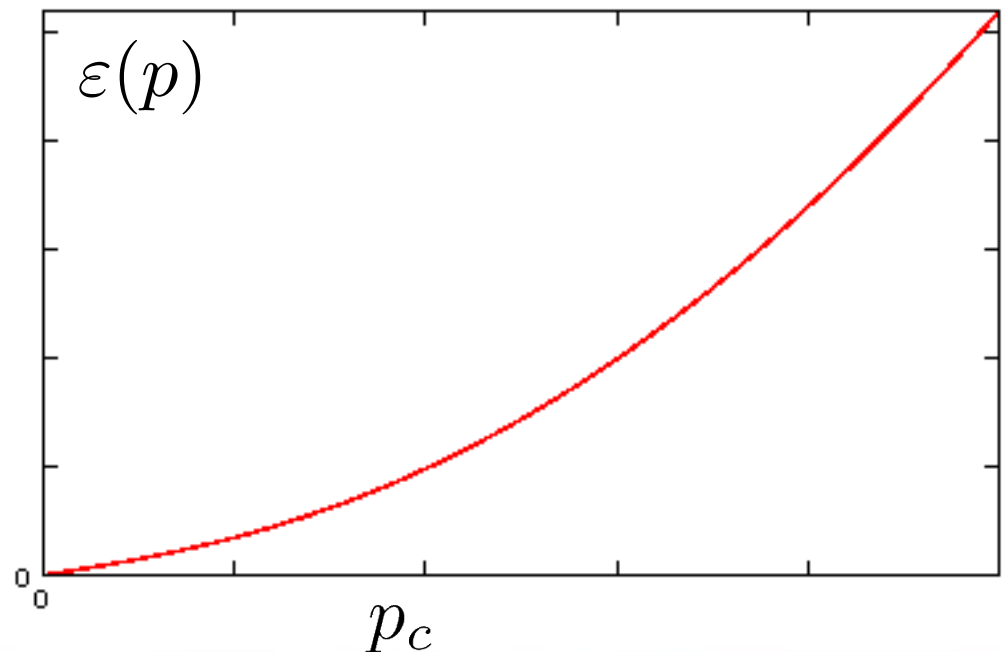
$$p < p_c = \sqrt{mgn} = \hbar/\xi$$

linear (phonons)

$$\varepsilon(p) = \sqrt{\frac{gnp^2}{m}} = c|p|$$

$p > p_c$ free particle

$$\varepsilon(p) = \frac{p^2}{2m} + gn$$



Bogoliubov transformation

Mix $a_{\mathbf{p}}^\dagger$ (particles) and $a_{-\mathbf{p}}$ (anti-particles)

$$a_{\mathbf{p}} = u_{\mathbf{p}} b_{\mathbf{p}} + v_{-\mathbf{p}}^* b_{-\mathbf{p}}^\dagger \quad a_{\mathbf{p}}^\dagger = u_{\mathbf{p}}^* b_{\mathbf{p}}^\dagger + v_{-\mathbf{p}} b_{-\mathbf{p}}$$

This is a linear canonical transformation. Canonical means that it preserves bosonic commutation relations:

$$\begin{aligned} [a_{\mathbf{p}}, a_{\mathbf{p}}^\dagger] &= u_{\mathbf{p}}^* u_{\mathbf{p}} [b_{\mathbf{p}}, b_{\mathbf{p}}^\dagger] + u_{\mathbf{p}}^* v_{-\mathbf{p}}^* [b_{-\mathbf{p}}^\dagger, b_{\mathbf{p}}^\dagger] + \\ &+ u_{\mathbf{p}} v_{-\mathbf{p}} [b_{\mathbf{p}}, b_{-\mathbf{p}}] + v_{-\mathbf{p}}^* v_{-\mathbf{p}} [b_{-\mathbf{p}}^\dagger, b_{-\mathbf{p}}] \\ &= |u_{\mathbf{p}}|^2 - |v_{-\mathbf{p}}|^2 = 1 \end{aligned}$$

Bogoliubov transformation

Parametrisation $u_{\mathbf{p}} = \cosh \alpha_{\mathbf{p}} \quad v_{-\mathbf{p}} = \sinh \alpha_{\mathbf{p}}$

$$|u_{\mathbf{p}}|^2 - |v_{-\mathbf{p}}|^2 = 1$$

* Choose $\alpha_{\mathbf{p}}$ to eliminate nondiagonal terms $a_{\mathbf{p}}^\dagger a_{-\mathbf{p}}^\dagger + a_{-\mathbf{p}} a_{\mathbf{p}}$ in the Hamiltonian

Result

$$\frac{gn}{2} (|u_{\mathbf{p}}|^2 + |v_{-\mathbf{p}}|^2) + \left(\frac{p^2}{2m} + gn \right) u_{\mathbf{p}} v_{-\mathbf{p}} = 0$$

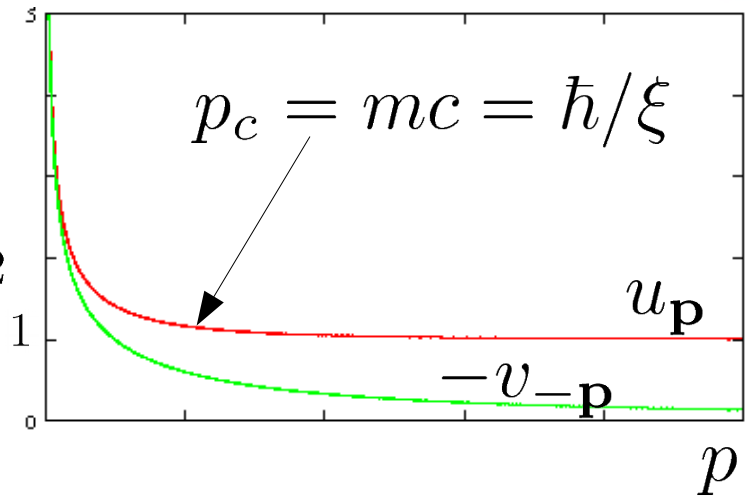
$$\cosh 2\alpha = \cosh^2 \alpha + \sinh^2 \alpha$$

$$\sinh 2\alpha = 2 \cosh \alpha \sinh \alpha$$

Bogoliubov coefficients

$$\coth 2\alpha_{\mathbf{p}} = 1 - \frac{p^2}{2mgn}$$

$$u_{\mathbf{p}}, v_{-\mathbf{p}} = \pm \left(\frac{p^2/2m + gn_0}{2\epsilon(p)} \pm \frac{1}{2} \right)^{1/2}$$



Phonons

$$u_{\mathbf{p}} = -v_{-\mathbf{p}} = \sqrt{mc/2p}$$

Free particles


$$u_{\mathbf{p}} \simeq 1 \quad v_{-\mathbf{p}} \simeq 0$$

Ground State Energy

$$* \quad \hat{H} - \mu \hat{N} = E_0 - \mu N + \sum_{\mathbf{p} \neq 0} \varepsilon(p) b_{\mathbf{p}}^{\dagger} b_{\mathbf{p}}$$

(difference of) zero point oscillations

$$E_0 = -\frac{gnN}{2} + \mu N$$

$$+ \frac{V}{2} \int \frac{d\mathbf{p}}{(2\pi\hbar)^d} \left(\varepsilon(p) - \frac{p^2}{2m} - gn \right)$$


A problem...

The integral

$$\int \frac{d\mathbf{p}}{(2\pi\hbar)^d} \left(\varepsilon(p) - \frac{p^2}{2m} - gn \right)$$

has ultra violet (large momenta) divergence in $d \geq 2$
since

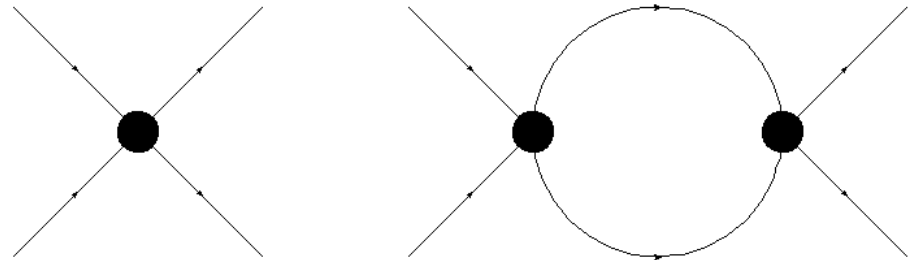
$$\varepsilon(p) \simeq \frac{p^2}{2m} + gn - \frac{m(gn)^2}{p^2} + O(p^{-4})$$

second order in coupling

Renormalisation of coupling

Exactly the same divergence occurs when trying to use effective interaction potential

$$V_{\text{eff}}(\mathbf{x}) = g\delta^{(3)}(\mathbf{x})$$



to calculate second order mean-field energy

$$* \quad g \frac{N^2}{2V} \rightarrow g \frac{N^2}{2V} + \frac{m(gn)^2}{2} \sum_{\mathbf{p} \neq 0} \frac{1}{p^2}$$

Putting all together

$$E_0 = \frac{gnN}{2} + \frac{V}{2} \int \frac{d\mathbf{p}}{(2\pi\hbar)^d} \left(\varepsilon(p) - \frac{p^2}{2m} - gn + \frac{m(gn)^2}{p^2} \right)$$

$$\frac{E_0}{V} = \frac{gn^2}{2} \left[1 + \frac{128}{15\sqrt{\pi}} (na^3)^{1/2} \right] \quad *$$

$$\mu = \frac{\partial E_0}{\partial N} = gn \left[1 + \frac{32}{3\sqrt{\pi}} (na^3)^{1/2} \right]$$

Corrections are proportional to $g^{5/2}$ - not a perturbation theory

Ground state properties

Ground state is a vacuum of Bogoliubov quasiparticles

$$b_{\mathbf{p}}|0\rangle = \left(u_{\mathbf{p}}a_{\mathbf{p}} - v_{-\mathbf{p}}^*a_{-\mathbf{p}}^\dagger \right) |0\rangle = 0$$

and NOT of real particles!

$$a_{\mathbf{p}}|0\rangle = \left(u_{\mathbf{p}}b_{\mathbf{p}} + v_{-\mathbf{p}}^*b_{-\mathbf{p}}^\dagger \right) |0\rangle \neq 0$$

In fact it is a coherent superposition of pairs with momenta p and $-p$

Occupation numbers and depletion

Using Bogoliubov transformation and commutation relations

$$n_{\mathbf{p}} = \langle a_{\mathbf{p}}^{\dagger} a_{\mathbf{p}} \rangle = |v_{-\mathbf{p}}|^2 + |u_{\mathbf{p}}|^2 \langle b_{\mathbf{p}}^{\dagger} b_{\mathbf{p}} \rangle + |v_{-\mathbf{p}}|^2 \langle b_{-\mathbf{p}}^{\dagger} b_{-\mathbf{p}} \rangle$$

At zero temperature the number of particles “depleted” from the condensate is

$$\begin{aligned} n - n_0 &= \frac{1}{V} \sum_{\mathbf{p} \neq 0} |v_{\mathbf{p}}|^2 = \int \frac{d\mathbf{p}}{(2\pi\hbar)^3} \left(\frac{p^2/2m + gn}{2\varepsilon(p)} - \frac{1}{2} \right) \\ &= \frac{8n}{3\sqrt{\pi}} (na^3)^{1/2} \end{aligned}$$

Phonons and quantum hydrodynamics

Low energy excitations of Bogoliubov theory are phonons, quantum analogue of sound

$$\epsilon(p) = cp$$

This remains true in strongly interacting superfluids (see next lecture).

Indeed, at low energy quantum pressure term is small and one can use hydrodynamic equations

$$\frac{\partial \rho}{\partial t} + \text{div}(\mathbf{v}_s \rho) = 0 \quad \text{- continuity}$$

$$m \frac{\partial \mathbf{v}_s}{\partial t} + \nabla \left(\frac{m \mathbf{v}_s^2}{2} + \mu(\rho) \right) = 0 \quad \text{- Euler equation}$$

Hydrodynamic hamiltonian

The density ρ and superfluid velocity $\mathbf{v}_s = \frac{\hbar}{m} \nabla \phi$ configuration has energy

$$H = \int d\mathbf{r} \left[\frac{1}{2} m \rho \mathbf{v}_s^2 + e(\rho) \right] \quad \mu(\rho) = \frac{de}{d\rho}$$

In 1941 Landau suggested a way to quantise this Hamiltonian by imposing canonical commutation relations

$$\left[\hat{\rho}(\mathbf{r}), \hat{\phi}(\mathbf{r}') \right] = i\hbar \delta(\mathbf{r} - \mathbf{r}')$$

Hydrodynamic hamiltonian

and properly symmetrising the Hamiltonian:

$$\hat{H} = \int d\mathbf{r} \left[\frac{1}{2m} \nabla \hat{\phi} \hat{\rho} \nabla \hat{\phi} + e(\hat{\rho}) \right]$$

This quantum Hamiltonian yields the same hydrodynamic equations of motion if one uses the Heisenberg time evolution

$$i\hbar\partial_t\hat{\rho} = [\hat{\rho}, \hat{H}] \quad i\hbar\partial_t\hat{\phi} = [\hat{\phi}, \hat{H}]$$

Density – Phase representation

Density – phase representation of bosonic operators

$$\hat{\Psi}(\mathbf{x}) = \sqrt{\hat{\rho}(\mathbf{x})} e^{i\hat{\phi}(\mathbf{x})}$$

$$\frac{\hbar^2}{2m} \nabla \hat{\Psi}^\dagger \cdot \nabla \hat{\Psi} \longrightarrow \frac{\hbar^2}{2m} \left[\cancel{\left(\nabla \sqrt{\hat{\rho}} \right)^2} + \hat{\rho} \left(\nabla \hat{\phi} \right)^2 \right]$$

$$\frac{g}{2} \hat{\Psi}^\dagger(\mathbf{x}) \hat{\Psi}^\dagger(\mathbf{x}) \hat{\Psi}(\mathbf{x}) \hat{\Psi}(\mathbf{x}) \longrightarrow e(\hat{\rho}) = \frac{g\hat{\rho}^2}{2}$$

The last substitution is valid for weak interactions only. As interactions become stronger higher terms “dress” the value of $e(\rho)$

Normal mode expansion

Consider small deviations around uniform density $\rho = \rho_0 + \rho'$

$$\rho' = \frac{1}{\sqrt{2V}} \sum_{\mathbf{k} \neq 0} \left(\frac{\rho_0 k}{mc} \right)^{1/2} (b_{\mathbf{k}} + b_{-\mathbf{k}}^\dagger) e^{i\mathbf{k} \cdot \mathbf{r}}$$

and from the commutation relations

$$\phi = \frac{i}{\sqrt{2V}} \sum_{\mathbf{k} \neq 0} \left(\frac{mc}{\rho_0 k} \right)^{1/2} (b_{\mathbf{k}} - b_{-\mathbf{k}}^\dagger) e^{i\mathbf{k} \cdot \mathbf{r}}$$

Hamiltonian of phonons

The coefficients in this expansion are chosen to diagonalise the quadratic Hamiltonian

$$\hat{H}_0 = \int d\mathbf{r} \left[\frac{\rho_0}{2m} (\nabla \hat{\phi})^2 + \frac{mc^2}{2\rho_0} (\rho')^2 \right] = \sum_{\mathbf{k} \neq 0} ck b_{\mathbf{k}}^\dagger b_{\mathbf{k}}$$

which describes free phonons (sound waves). Sound velocity is obtained from compressibility

$$\frac{mc^2}{\rho_0} = \frac{d^2 e}{d\rho_0^2} = \frac{d\mu}{d\rho_0}$$

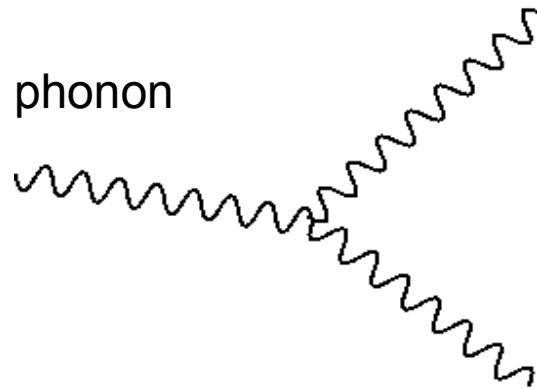
Anharmonic terms (phonon interactions)

Next term in the expansion gives 3-phonon interactions

$$\hat{H}_3 = \int d\mathbf{r} \left[\frac{1}{2m} \nabla \hat{\phi} \hat{\rho}' \nabla \hat{\phi} + \frac{1}{6} \left(\frac{d}{d\rho_0} \frac{mc^2}{\rho_0} \right) (\rho')^3 \right]$$

absent in Bogoliubov theory

which may lead to decay of a phonon into two phonons



Beliaev decay

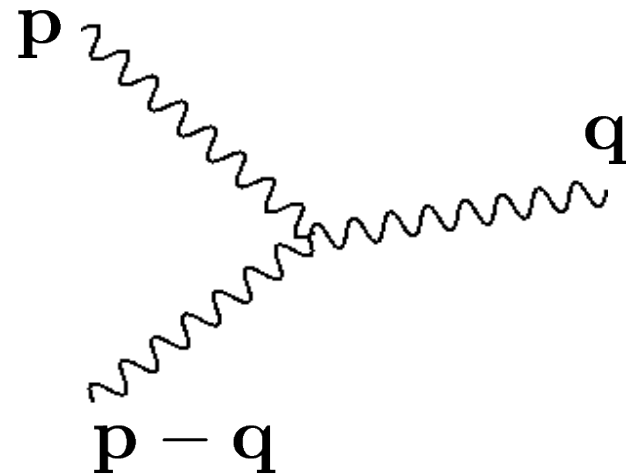
Probability of decay in unit time is given by Fermi Golden Rule

$$\Gamma = \frac{2\pi}{\hbar} \sum_f \left| \langle f | \hat{H}_3 | i \rangle \right|^2 \delta(\epsilon(q) + \epsilon(|\mathbf{p} - \mathbf{q}|) - \epsilon(p))$$

initial state: 1 phonon with momentum \mathbf{p}

final state: 2 phonons with momenta

\mathbf{q} and $\mathbf{p} - \mathbf{q}$



Energy conservation

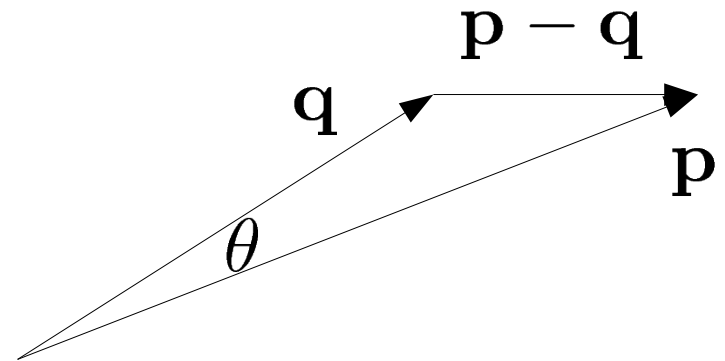
$$\epsilon(p) = \epsilon(q) + \epsilon(|\mathbf{p} - \mathbf{q}|)$$

Linear dispersion leads to *divergent result*.
Include nonlinearity

$$\epsilon(p) = cp + \alpha p^3$$

$$\begin{aligned} c(p - q - |\mathbf{p} - \mathbf{q}|) &\simeq -\frac{cpq}{p - q}(1 - \cos \theta) \\ &= -\alpha(p^3 - q^3 - |\mathbf{p} - \mathbf{q}|^3) \simeq -3\alpha pq(p - q) \end{aligned}$$

$$1 - \cos \theta = \frac{3\alpha}{c}(p - q)^2 \geq 0$$



Matrix element

$$\rho' \sim \frac{1}{\sqrt{2V}} \left[\left(\frac{\rho_0 p}{mc} \right)^{1/2} b_{\mathbf{p}} e^{i\mathbf{p}\cdot\mathbf{r}} + \left(\frac{\rho_0 q}{mc} \right)^{1/2} b_{\mathbf{q}}^\dagger e^{i\mathbf{q}\cdot\mathbf{r}} \right. \\ \left. + \left(\frac{\rho_0 |\mathbf{p} - \mathbf{q}|}{mc} \right)^{1/2} b_{\mathbf{p}-\mathbf{q}}^\dagger e^{i(\mathbf{p}-\mathbf{q})\cdot\mathbf{r}} \right]$$

and similarly for $\nabla \hat{\phi}$

$$\langle \mathbf{q}, \mathbf{p} - \mathbf{q} | \hat{H}_3 | \mathbf{p} \rangle = \langle \mathbf{q}, \mathbf{p} - \mathbf{q} | \frac{\nabla \hat{\phi} \rho' \nabla \hat{\phi}}{2m} | \mathbf{p} \rangle = \\ = \frac{-i}{\sqrt{2V}} \left[\frac{mc}{\rho_0} pq(p - q) \right]^{1/2}$$

Decay rate

$$\Gamma = p^5 \frac{3}{320\pi\rho_0\hbar^4} \sim \int_0^p \frac{q^2 dq}{2\pi} (p - q)^2$$

Note that there is no dependence on α and on the coupling constant

The only small parameter is the smallness of low energy phase space:

$$\frac{p}{mc} \ll 1$$

Conclusions of Lecture 3

- Repulsive interactions favour a non-fragmented condensate in uniform systems. Not the case in inhomogeneous situation
- Fluctuations of order parameter can be described by elementary excitations: quasiparticles. At low energies their properties are drastically different from those of particles
- Quasiparticles are phonons with linear dispersion law and survive for strong interactions. This is the base of superfluidity
- Quasiparticles are weakly interacting. The weak interactions lead to a 2-phonon decay (Beliaev decay of phonons)
- The uniform approach of this lecture can be generalised to inhomogeneous (trapped) systems by expanding around Thomas Fermi background