

# CONGRUENCES WITH A PRIME-POWER MODULUS

CIS002-2 COMPUTATIONAL ALGEBRA AND NUMBER  
THEORY

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# OUTLINE

- 1 ARITHMETIC OF  $\mathbb{Z}_p$
- 2 PSEUDOPRIMES AND CARMICHAEL NUMBERS
- 3 UNITS
- 4 EULER'S FUNCTION
- 5 THE GROUP OF UNITS
- 6 APPLICATIONS OF EULER'S FUNCTION

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- $ax \equiv b \pmod{n}$  has unique solution  $\pmod{n}$  if  $\gcd(a, n) = 1$ .
- If  $n$  is a prime,  $p$ , then  $\gcd(a, p)$  is either 1 or  $p$ .
  - ①  $\gcd(a, p) = 1$  has a unique solution  $\pmod{p}$
  - ②  $\gcd(a, p) = p$ 
    - if  $p \mid b$  every  $x$  is a solution.
    - if  $p \nmid b$  no  $x$  is a solution.

If the polynomial  $ax - b$  has degree  $d = 1$  over  $\mathbb{Z}_p$  (that is, if  $a \not\equiv 0 \pmod{p}$ ), then it has at most one root in  $\mathbb{Z}_p$ .

In algebra we learn that a polynomial of degree  $d$  has at most  $d$  distinct roots.

*Is this also true for number systems  $\mathbb{Z}_p$  (we have just seen it is true for  $d = 1$ )?*

# LAGRANGE'S THEOREM

## THEOREM

*Let  $p$  be prime, and let  $f(x) = a_d x^d + \cdots + a_1 x + a_0$  be a polynomial with integer coefficients, where  $a_i \not\equiv 0 \pmod{p}$  for some  $i$ . Then the congruence  $f(x) \equiv 0 \pmod{p}$  is satisfied by at most  $d$  congruence classes  $[x] \in \mathbb{Z}_p$ .*

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$p = 17$ ; there are two classes,  $\pm[4]$  in  $\mathbb{Z}_{17}$ .

There are two roots if  $p \equiv 1 \pmod{4}$ , none if  $p \equiv 3 \pmod{4}$ , and one if  $p = 2$ .

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- If  $g$  is any element of a group of finite order  $n$ , then  $g^n$  is the identity element in that group.
- Therefore, each class  $[a] \neq [0]$  satisfies  $[a]^{p-1} = [1]$ , so  $a^{p-1} \equiv 1$ .

# FERMAT'S LITTLE THEOREM

## THEOREM

*If  $p$  is prime and  $a \not\equiv 0 \pmod{p}$ , then  $a^{p-1} \equiv 1 \pmod{p}$*

# FERMAT'S LITTLE THEOREM

If  $a \not\equiv 0$  then Fermat's Little Theorem gives  $a^{p-1} \equiv 1$ , multiplying both sides by  $a$  gives the following corollary.

## COROLLARY

*If  $p$  is prime then  $a^p \equiv a \pmod{p}$  for every integer  $a$ .*



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Since  $2^4 = 16 \equiv -3 \pmod{19}$ , we can write  $14 = 4 \times 3 + 2$  and deduce that

$$2^{14} = (2^4)^3 \times 2^2 \equiv (-3)^3 \times 2^2 \equiv -27 \times 4 \equiv -8 \times 4 \equiv -32$$

so that  $2^{68} \equiv 6 \pmod{19}$

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# WILSON'S THEOREM

## THEOREM

*An integer  $n$  is prime if and only if  $(n - 1)! \equiv -1 \pmod{n}$*

# ANOTHER THEOREM...

## THEOREM

*Let  $p$  be an odd prime. Then the quadratic congruence  $x^2 + 1 \equiv 0 \pmod{p}$  has a solution if and only if  $p \equiv 1 \pmod{4}$*

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- If  $n$  passes the base 2 test, and  $n$  is not prime,  $n$  is called **pseudoprime**

## EXAMPLE - PSEUDOPRIME

$n = 341$ . By noting  $2^{10} = 1024 \equiv 1 \pmod{341}$ , so

$$2^{314} = (2^{10})^{34} \times 2 \equiv 2 \pmod{341}$$

and 341 has passed the test. However  $341 = 11 \times 13$ , so it is not prime but a pseudoprime. 341 is in fact the smallest pseudoprime. Although pseudoprimes are quite rare, we theorise that there are infinitely many pseudoprimes.

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- This gives  $a^{91} = g(g(f(a^{11}))) = (g \circ g \circ f)(a^{11})$ .
- Continuing this we find  $a^{91} = (g \circ g \circ f \circ g \circ g \circ f \circ g)(a^0)$ .

$f$  involves one multiplication, and  $g$  involves two, so the total number of multiplications required is 12 (we can halt the iteration a step earlier and reduce the number to 10). Since each multiplication is performed in  $\mathbb{Z}_{91}$ , the number involved never becomes excessively large.

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### THEOREM

*This argument implies that, for any  $n$ , the number of multiplications required to compute  $a^n$  is at most twice the number of digits in the binary expansion of  $n$ , that is, at most  $2(1 + \lfloor \lg n \rfloor)$*

# CARMICHAEL NUMBERS

## DEFINITION

Carmicheal numbers are composite integers  $n$  with the property that  $a^n \equiv a \pmod{n}$  for all integers  $a$

- The smallest Carmicheal number is  $561 = 3 \times 11 \times 17$ .
- However,  $a^{561} \equiv a \pmod{561}$  for all integers  $a$ .
- The next few Carmicheal numbers are 1105, 1729, 2465.

## LEMMA

*If  $n$  is square-free (a product of distinct primes) and if  $p - 1$  divides  $n - 1$  for each prime  $p$  dividing  $n$ , then  $n$  is either a prime or a Carmichael number.*



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# DEFINITION

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A multiplicative inverse for a class  $[a] \in \mathbb{Z}_n$  is a class  $[b] \in \mathbb{Z}_n$  such that  $[a][b] = [1]$ . A class  $[a] \in \mathbb{Z}_n$  is a **unit** if it has a multiplicative inverse in  $\mathbb{Z}_n$ .

## LEMMA

$[a]$  is a unit in  $\mathbb{Z}_n$  if and only if  $\gcd(a, n) = 1$ .

## EXAMPLE

The units of  $\mathbb{Z}_8$  are  $[1]$ ,  $[3]$ ,  $[5]$  and  $[7]$ : in fact  $[1][1] = [3][3] = [5][5] = [7][7] = [1]$ , so each of these units is its own multiplicative inverse. In  $\mathbb{Z}_9$ , the units are  $[1]$ ,  $[2]$ ,  $[4]$ ,  $[5]$ ,  $[7]$  and  $[8]$ : for instance  $[2][5] = [1]$ , so  $[2]$  and  $[5]$  are inverses of each other.

# THE SET OF UNITS

We let  $U_n$  denote the set of units in  $\mathbb{Z}_n$ . Thus

$$U_8 = \{[1], [3], [5], [7]\} \text{ and } U_9 = \{[1], [2], [4], [5], [7], [8]\}.$$

## THEOREM

*For each integer  $n \geq 1$ , the set  $U_n$  forms a group under multiplication mod( $n$ ), with identity element  $[1]$ .*

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$$U_8 = \{[1], [3], [5], [7]\} \text{ and } U_9 = \{[1], [2], [4], [5], [7], [8]\}.$$

## THEOREM

*For each integer  $n \geq 1$ , the set  $U_n$  forms a group under multiplication mod( $n$ ), with identity element  $[1]$ .*

## EXAMPLE ( $U_n$ IS ABELIAN)

$[a][b] = [ab]$  and  $[b][a] = [ba]$ ; since  $ab = ba$  for all  $a, b \in \mathbb{Z}$ , we have  $[a][b] = [b][a]$  for all  $[a], [b] \in \mathbb{Z}_n$ .

# PROOF $U_n$ IS A GROUP

The axioms that set out the constraints of a group are:

- Closure
- Associativity
- Identity
- Inverses

# CLOSURE OF $U_n$

If  $[a]$  and  $[b]$  are units, they have inverse  $[u]$  and  $[v]$  such that  $[a][u] = [au] = [1]$  and  $[b][v] = [bv] = [1]$ ; then  $[ab][uv] = [abuv] = [aubv] = [au][bv] = [1]^2 = [1]$ , so  $[ab]$  has inverse  $[uv]$ , and is therefore a unit. This proves closure.



# ASSOCIATIVITY OF $U_n$

Associativity asserts that  $[a]([b][c]) = ([a][b])[c]$  for all  $[a]$ ,  $[b]$  and  $[c]$ ; the left and right classes are  $[a(bc)]$  and  $[(ab)c]$  so this follows from the associativity property  $a(bc) = (ab)c$  in  $\mathbb{Z}$ .

IDENTITY OF  $U_n$ 

The identity element of  $U_n$  is  $[1]$ , since  $[a][1] = [a] = [1][a]$  for all  $[a] \in U_n$ .

INVERSES OF  $U_n$ 

If  $[a] \in U_n$  then by definition there exists  $[u] \in \mathbb{Z}_n$  such that  $[a][u] = [1]$ ; now  $[u] \in U_n$  because  $[a]$  satisfies  $[u][a] = [1]$ , so  $[u]$  is the inverse of  $[a]$  in  $U_n$ .

# OUTLINE

- ① ARITHMETIC OF  $\mathbb{Z}_p$
- ② PSEUDOPRIMES AND CARMICHAEL NUMBERS
- ③ UNITS
- ④ EULER'S FUNCTION
- ⑤ THE GROUP OF UNITS
- ⑥ APPLICATIONS OF EULER'S FUNCTION

## DEFINITION

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We define  $\phi(n) = |U_n|$ , the number of units in  $\mathbb{Z}_n$ ; the number of integers  $a = 1, 2, \dots, n$  such that  $\gcd(a, n) = 1$ . The function  $\phi$  is called **Euler's function** (or Euler's totient function). For small  $n$ , its values are as follows:

$$n = 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, \dots$$

$$\phi(n) = 1, 1, 2, 2, 4, 2, 6, 4, 6, 4, 10, 4, \dots$$

We define a subset  $R$  of  $\mathbb{Z}$  to be a **reduced set of residues mod( $n$ )** if it contains one element from each of the  $\phi(n)$  congruence classes in  $U_n$ . For instance,  $\{1, 3, 5, 7\}$  and  $\{\pm 1, \pm 3\}$  are both reduced sets of residues mod(8).

# EULER'S THEOREM - A GENERALISATION OF FERMAT'S LITTLE THEOREM

## THEOREM

*If  $\gcd(a, n) = 1$  then  $a^{\phi(n)} \equiv 1 \pmod{n}$*

# A GENERAL FORMULA FOR $\phi(n)$

## LEMMA

$$\phi(n) = p^e - p^{e-1} = p^{e-1}(p - 1) = n \left(1 - \frac{1}{p}\right)$$

# OUTLINE

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## LEMMA

*$U_n$  is an abelian group under multiplication mod( $n$ ).*

## LEMMA

*If  $l$  and  $m$  are coprime positive integers, then  $2^l - 1$  and  $2^m - 1$  are coprime.*

# MERSENNE NUMBERS

## DEFINITION

Integers in the form  $2^p - 1$ , where  $p$  is prime, are called Mersenne numbers.

## COROLLARY

*Distinct Mersenne numbers are coprime.*

# OUTLINE

- 1 ARITHMETIC OF  $\mathbb{Z}_p$
- 2 PSEUDOPRIMES AND CARMICHAEL NUMBERS
- 3 UNITS
- 4 EULER'S FUNCTION
- 5 THE GROUP OF UNITS
- 6 APPLICATIONS OF EULER'S FUNCTION

**EXAMPLE**

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- $3^4 = 81 \equiv -19 \pmod{100}$  so  $3^8 \equiv (-19)^2 = 361 \equiv -39$ .
- therefore  $3^{12} \equiv -19 \times -39 = 741 \equiv 41$ . The last two digits are therefore 41.

**EXAMPLE**

Using a similar method, check the consistency of the above calculation by finding only the last digit of  $3^{1492}$ .

# NUMBER THEORY AND CRYPTOGRAPHY

If we represent letters as integers, say  $A = 0, B = 1, \dots, Z = 25$ , and then add 1 to each. To encode  $Z$  as  $A$ , we must add  $\text{mod}(26)$ , so that  $25 + 1 \equiv 0$ . Similar codes are obtained by adding some fixed integer  $k$ . To decode we subtract  $k \text{ mod } (26)$ . These codes are easy to break: we could try all possible values of  $k$ , or compare the most frequent letters (E and then T in English).

## EXAMPLE

Which mathematician is encoded in the above way as  $LBSLY$ , and what is the value of  $k$ ?

# NUMBER THEORY AND CRYPTOGRAPHY

A more secure class of codes uses transformations in the form  $x \rightarrow ax + b \pmod{26}$ , for various integers  $a$  and  $b$ . To decode, we need to find  $x$  from  $ax + b$ ; this is possible if and only if  $a$  is a unit  $\pmod{26}$ . It turns out there are  $\phi(26) \times 26 = 12 \times 26 = 312$  such codes.

## EXAMPLE

If the encoding transformation is  $x \rightarrow 7x + 3 \pmod{26}$ , encode *GAUSS* and decode *MFSJDG*.

## NUMBER THEORY AND CRYPTOGRAPHY

We can do better with codes based on Fermat's Little Theorem. Choose a large prime  $p$ , and an integer  $e$  coprime to  $p - 1$ . For encoding we use the transformation  $\mathbb{Z}_p \rightarrow \mathbb{Z}_p$  given by  $x \rightarrow x^e \pmod{p}$ . If  $0 < x < p$  then  $x$  is coprime to  $p$ , so  $x^{p-1} \equiv 1 \pmod{p}$ . To decode, we first find the multiplicative inverse  $f$  of  $e \pmod{p - 1}$ , i.e. we solve the congruence  $ef \equiv 1 \pmod{p - 1}$ . Then  $ef = (p - 1)k + 1$  for some integer  $k$ , so  $(x^e)^f = x^{(p-1)k+1} = (x^{p-1})^k \cdot x \equiv x \pmod{p}$ , thus we find  $x$  and the message can be decoded.

## EXAMPLE

Suppose  $p = 29$ , we choose  $e$  coprime to 28, and then find  $f$  such that  $ef \equiv 1 \pmod{28}$ . If we choose  $e = 5$ , the encoding would be  $x \rightarrow x^5 \pmod{29}$ , then  $f = 17$  and decoding is given by  $x \rightarrow x^{17} \pmod{29}$ . Encode 9 and decode 11 in this example coding.