

# PRINCIPLES OF MODERN COMMUNICATIONS

## DIGITAL COMMUNICATIONS II

based on 2011 lecture series by Dr. S. Waharte.  
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15<sup>th</sup> January 2013



# Outline

Modern  
Communications

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University of  
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Frequency Modulation

Data Communications

Baseband  
transmission

Broadband  
transmission

Modulation

Transmission  
impairments

Nyquist Theorem

Shannon's Law

Performance

## ① Frequency Modulation

## ② Data Communications

Baseband transmission

Broadband transmission

Modulation

Transmission impairments

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# FREQUENCY MODULATION





# Carrier wave equation

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- Given a carrier wave whose equation is given by:

$$v(t) = V_c \cos(2\pi f_c t + \phi_c)$$

- where
  - $V_c$  = Amplitude
  - $f_c$  = carrier frequency and
  - $\phi_c$  = phase angle of the wave
- The modulating wave can be defined as above.





# Modulating wave equation

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- A modulating wave equation is given by:

$$e(t) = V_m \cos(2\pi f_m t + \phi_m)$$

- where
  - $V_m$  = Amplitude
  - $f_m$  = modulating frequency and
  - $\phi_m$  = phase angle of the modulating wave
- The above equations can be used to define Amplitude Modulation (AM), Frequency Modulation (FM) and Phase Modulation (PM).





# Defining AM, FM and PM

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- In Amplitude Modulation, the modulating signal is used to modify the amplitude  $V_c$  of the carrier wave.
- In Frequency Modulation the modulating signal is used to modify the carrier frequency  $f_c$ .
- In Phase Modulation, the modulating signal is used to modify the phase  $\phi_c$  of the carrier signal.





# FM and PM analysis

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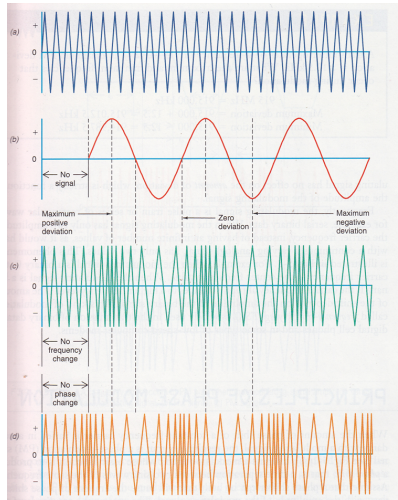
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- FM and PM signals
  - Carrier signal
  - Modulating signal
  - FM signal
  - PM signal





# FM and PM analysis

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- The complete mathematical analysis of FM and PM is beyond the scope this class.
- For our purposes, it will suffice to simply give solutions and discuss them.







# FM signal

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- An FM signal is given by the equation:

$$v(t) = A \cos(\omega_c t + m_f \sin \omega_m t)$$

- Where:

- $A$  = amplitude of the carrier wave
- $\omega_c, \omega_m$  = angular frequencies for the carrier and modulating signals respectively.
- $m_f$  = modulation index for FM
- $m_f = \frac{\delta}{f_m}$  where  $\delta$  = max frequency shift caused by the modulating signal
- $f_m$  : highest frequency component in the modulating signal





# FM mathematical solution

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- The equations for FM and PM are more complex than they look as they contain cosine of a sine.
- Therefore, to solve the frequency components of an FM wave we need use a mathematical tool known as Bessel functions.

$$v_s(t) = V_c \sum_{n=-\infty}^{\infty} J_n(\beta) \cos(\omega_c + n\omega_m)t$$

- The Bessel functions gives us a way of calculating the sidebands of an FM signal when the modulating index is known.





# Bessel functions

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$x$ ( $m_1$ )	$n$ OR ORDER																
	$J_0$	$J_1$	$J_2$	$J_3$	$J_4$	$J_5$	$J_6$	$J_7$	$J_8$	$J_9$	$J_{10}$	$J_{11}$	$J_{12}$	$J_{13}$	$J_{14}$	$J_{15}$	$J_{16}$
0.00	1.00	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—
0.25	0.98	0.12	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—
0.5	0.94	0.24	0.03	—	—	—	—	—	—	—	—	—	—	—	—	—	—
1.0	0.77	0.44	0.11	0.02	—	—	—	—	—	—	—	—	—	—	—	—	—
1.5	0.51	0.56	0.23	0.06	0.01	—	—	—	—	—	—	—	—	—	—	—	—
2.0	0.22	0.58	0.35	0.13	0.03	—	—	—	—	—	—	—	—	—	—	—	—
2.5	-0.05	0.50	0.45	0.22	0.07	0.02	—	—	—	—	—	—	—	—	—	—	—
3.0	-0.26	0.34	0.49	0.31	0.13	0.04	0.01	—	—	—	—	—	—	—	—	—	—
4.0	-0.40	-0.07	0.36	0.43	0.28	0.13	0.05	0.02	—	—	—	—	—	—	—	—	—
5.0	-0.18	-0.33	0.05	0.36	0.39	0.26	0.13	0.05	0.02	—	—	—	—	—	—	—	—
6.0	0.15	-0.28	-0.24	0.11	0.36	0.36	0.25	0.13	0.06	0.02	—	—	—	—	—	—	—
7.0	0.30	0.00	-0.30	-0.17	0.16	0.35	0.34	0.23	0.13	0.06	0.02	—	—	—	—	—	—
8.0	0.17	0.23	-0.11	-0.29	-0.10	0.19	0.34	0.32	0.22	0.13	0.06	0.03	—	—	—	—	—
9.0	-0.09	0.24	0.14	-0.18	-0.27	-0.06	0.20	0.33	0.30	0.21	0.12	0.06	0.03	0.01	—	—	—
10.0	-0.25	0.04	0.25	0.06	-0.22	-0.23	-0.01	0.22	0.31	0.29	0.20	0.12	0.06	0.03	0.01	—	—
12.0	0.05	-0.22	-0.08	0.20	0.18	-0.07	-0.24	-0.17	0.05	0.23	0.30	0.27	0.20	0.12	0.07	0.03	0.01
15.0	-0.01	0.21	0.04	-0.19	-0.12	0.13	0.21	0.03	-0.17	-0.22	-0.09	0.10	0.24	0.28	0.25	0.18	0.12

Source: E. Cambi, *Bessel Functions*, Dover Publications, Inc., New York, 1948. Courtesy of the publisher.





# Sidebands

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- In FM and PM as in AM sum and difference sidebands are produced.
- Sidebands are determined in FM and PM in accordance with Bessel functions.
- FM and PM use a wider spectrum than in AM.
- All the modulations systems produce infinite number of sidebands but only the significant ones are relevant.





# Example to illustrate use of Bessel chart

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Determine a bandwidth required to transmit an FM signal with  $f_i = 10$  kHz and a maximum deviation  $\delta = 20$  kHz.

SOLUTION

$$m_f = \frac{\delta}{f_i} = \frac{20 \text{ kHz}}{10 \text{ kHz}} = 2$$

with  $m_f = 2$ , the following significant components are obtained:

$$J_0, J_1, J_2, J_3, J_4$$

This means that besides the carrier,  $J_1$  will exist  $\pm 10$  kHz around the carrier,  $J_2$  at  $\pm 20$  kHz,  $J_3$  at  $\pm 30$  kHz, and  $J_4$  at  $\pm 40$  kHz. Therefore, the total required bandwidth is  $2 \times 40 \text{ kHz} = 80 \text{ kHz}$ .





# Phase modulation

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Performance

- Phase modulation is achieved when the amount of phase shift of a constant-frequency carrier is varied in accordance with a modulating signal.
- This can be achieved with a phase shifter that changes the phase of a carrier signal as the amplitude of the modulating signal changes.





# Frequency-Shift Keying (FSK)

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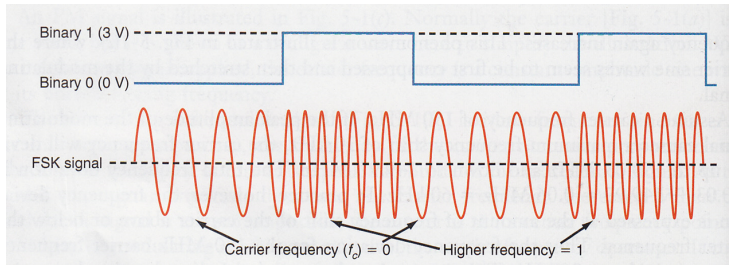
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- Frequency modulating a carrier with binary data produces FSK





# Phase-shift keying (PSK)

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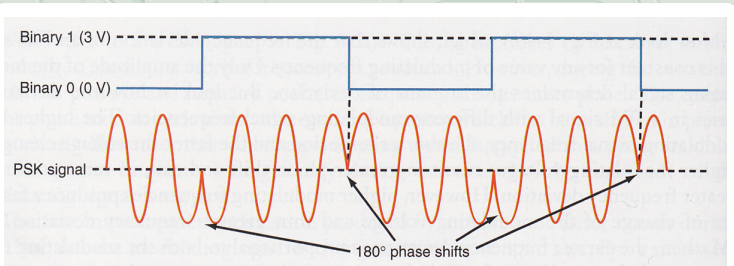
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- Phase modulating a carrier with a binary data produces PSK







# Directed Learning and Self Study

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## Example

- 1 Use the internet to find what Carson's rule is about and explain the formula that defines the rule.
- 2 What is the meaning of ASK? What is its relationship with FSK and PSK?





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# DATA COMMUNICATIONS





# Overview

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- Baseband transmission
- Broadband transmission
- Modulation
- Transmission impairment (attenuation, distortion, and noise)
- Nyquist Theorem
- Shannon's law
- Performance (Bandwidth, Throughput, Latency)





# Baseband Transmission

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- Sends digital signal over a channel.
- The signal is not changed to analogue signal.
- Require a channel with a low pass bandwidth. ( i.e. 0 – infinity) Hz



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# Practical Baseband Bandwidth

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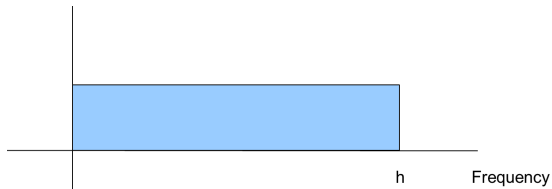
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- A low pass channel with a narrow bandwidth.
- A bandwidth from 0 -  $h$  Hz where  $h$  lies between 0 and infinity.



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# Broadband Transmission

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- We change the digital signal to analogue signal by modulating the digital signal with a carrier frequency.
- This allows us to use a band pass channel.
- A band pass channel does not start from 0 Hz. E.g.  $F_1$



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# Modulation technique

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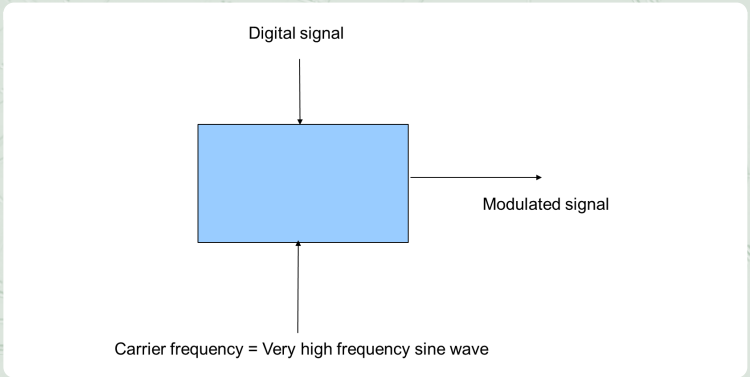
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# Signal in transmission medium

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- They suffer transmission impairments.
- For example:
- Attenuation (i.e. loss of energy).
- Distortion (due to transmission delays in the components of the composite signal and
- Noise (due to external and internal influences).

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# Attenuation

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- Reduction in power when signals pass through a medium.
- If a signal with power  $P_1$  passes through a medium and the signal is measured as power  $P_2$  at the end of the medium then the gain of the signal is:

$$Gain = 10 \log_{10} \left( \frac{P_2}{P_1} \right)$$

- A reduction in the gain may require an amplifier to boost the signal up

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# Distortion

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- Different components in a composite signal reacts differently to the medium.
- Thus, signals arriving at a point may be out of phase and with different amplitudes.
- The cumulative effect is a distorted signal of the composite signal.

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# Noise (causes)

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- Thermal noise: Random noise generated in the medium.
- Induced noise: Generated from motors and electrical appliances. These serve as transmitters and the medium serves as receivers.
- Cross-talk: This is the effect one wire on another.



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# Signal-to-noise Ratio

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- This ratio helps us to estimate the effect noise on a signal.
- It is measured as:

$$SNR = 10 \log_{10} \left( \frac{\text{Signal Power}}{\text{Noise Power}} \right)$$

- This ratio is important as it is one of the determining factors of the channel capacity.

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# Exercise

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## Example

- Calculate the signal to noise ratio of a lossless channel

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# Solution

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## Example

- Solution:
- $\text{SNR} = \text{Signal Power} / \text{Noise Power}$
- Noise Power = 0 (for a lossless channel)
- Thus,  $\text{SNR} = \text{Signal Power} / 0 = \infty$
- Therefore,  $\text{SNR} = 10 \log_{10}(\infty) = \infty$

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# Data rate limits

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- A very important consideration in data communications is how fast we can send data, in bits per second, over a channel. Data rate depends on three factors:
  - ① The bandwidth available
  - ② The level of the signals we use
  - ③ The quality of the channel (the level of noise)
- Noiseless Channel: Nyquist Bit Rate
- Noisy Channel: Shannon Capacity

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# Question

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## Example

- Increasing the levels of a signal increases the probability of an error occurring, in other words it reduces the reliability of the system. Why??

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# Capacity of a system

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- The bit rate of a system increases with an increase in the number of signal levels we use to denote a symbol.
- A symbol can consist of a single bit or “n” bits.
- The number of signal levels =  $2n$ .
- As the number of levels goes up, the spacing between level decreases → increasing the probability of an error occurring in the presence of transmission impairments.



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# Nyquist Lossless Channel

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- Nyquist gives the upper bound for the bit rate of a transmission system by calculating the bit rate directly from the number of bits in a symbol (or signal levels) and the bandwidth of the system (assuming 2 symbols/per cycle and first harmonic).
- Nyquist theorem states that for a noiseless channel:

$$C = 2B \log_2(2n)$$

- $C$  = capacity in bps
- $B$  = bandwidth in Hz

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# Transmitting over a lossless channel

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- Let us suppose we want to transmit 500kbps over a noiseless channel with a 30Khz bandwidth. How many signal levels do we require?

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# Solution

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## Example

- Using Nyquist Theorem:
- Bit Rate =  $2 \times \text{Bandwidth} \times \log_2(L)$
- $500 \times 1000 = 2 \times (30 \times 1000) \times \log_2(L)$
- $\log_2(L) = 50/6 = 8.333$
- $L = \log_{10}(8.333) / \log_{10}(2)$

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# Shannon's Law

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- We can never have a lossless channel.
- Shannon(1944) proposed that the maximum bit rate (i.e. channel capacity) depends on the signal-to-noise ratio. i.e.

$$\text{Bit Rate} = \text{Bandwidth} \times \log_2(1 + S/N)$$

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# Observations of Shannon's Law

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- Shannon's Law does not mention signal levels.
- This means that no matter what signal levels you have, you cannot achieve a data rate higher than the channel capacity.

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# Problem

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## Example

- What is the channel capacity of a faint signal that is completely swamped by noise?



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# Answer

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## Example

- Using Shannon's Law:
- $C = B \log_2(1 + S/N)$
- Noise  $\gg S$
- Therefore,  $S/N=0$
- $\log_2(1 + S/N) = \log_2(1 + 0) = 0$
- Hence,  $C = 0$
- This mean that the channel cannot transmit any signal.

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# Performance metrics of a communication system

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- **Bandwidth:** Defines the channel or the bit rate
- **Throughput:** Refers to the actual bit rate measured at the end of a transmission
- **Latency (Delay):** Refers to delays caused during the transmission of a signal.
- These metrics are important in communication systems.

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# Bandwidth (Hz)

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- This means a range of channel frequencies that allows a signal to pass.
- E.g. The bandwidth of a telephone line is 4KHz.

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# Bandwidth (Bits Per Second)

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- This is the number of bits per second that a link, channel or a network can transmit.
- E.g. A fast Ethernet network can transmit at 100 Mbps (i.e. Mega bits per second)



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# Bandwidth in Hz Versus Bandwidth in Bps

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Modulation

Transmission  
impairments

Nyquist Theorem

Shannon's Law

Performance

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- Bandwidth in Hz refer to a range of frequencies.
- Bandwidth in Bps refers to the speed of transmission in a channel or a link.
- The relationship between them is that, the bandwidth in Bps is proportional to bandwidth in Hz



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# Throughput

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- A measure of how fast we actually send data through a network.
- Suppose you have a link designated as  $C$  bps but you may be able to measure the signal through the link as  $T$  bps where  $T \ll C$ .
- This is because intermediary devices connected to the channel have slower bit rates affecting the transmission.

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# Example

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## Example

- A network with a bandwidth of 12 Mbps can only pass an average 15,000 frames per minute. If each frame carries an average of 10,000 bits, calculate the throughput of the network

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# Solution

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## Example

- Throughput is that actual bit rate measured.
- It is independent of the channel speed but depends on devices located on the channel.
- Therefore,
  - $\text{Throughput} = 15,000 \times 10,000 / 60 = ???$
- Answer is less than 12 Mbps channel speed

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# Latency (Delays)

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- This defined as the time time it takes for a message to arrive at the destination i.e. from the time it was first sent.
- Latency = Propagation Time + Transmission Time + Queuing Time + Processing Delay.



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# Propagation Time

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- This is a measure of the time it takes a bit to travel from the source to the destination.
- Propagation Time = Distance/Propagation Speed
- This depends on the transmission medium (in a vacuum electro magnetic waves travels at  $3 \times 10^8$  m/s but in a coaxial cable it is far less.

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# Transmission Time

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- The Transmission Time is defined as:  $\text{Transmission Time} = \text{Message Size} / \text{Bandwidth}$
- Note that the Bandwidth is in Bps.



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# Exercise

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## Example

- Calculate:
  - The propagation time and Transmission time, for a 2.5 kbyte email message if the bandwidth is 1.0 Gbps. Assume the distance between sender and receiver is 12,000km and that light travels at  $2.4 \times 10^8$  m/s.

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# Solution

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## Example

- Propagation Time =  $12,000 \times 1000 / 2.4 \times 10^8 = 50$  ms
- Transmission Time =  $2500 \times 8 / 10^9 = 0.020$  ms
- Note that the dominant factor is the propagation time and not transmission time.

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# Queuing Time

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- This is the time required for devices (i.e. intermediate or end devices) to hold data before it can be processed.
- QT changes with load on the network.

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# Bandwidth-Delay Product

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- An important metric in data transmission.
- It is used by network engineers to define the number of bits that can fill a link.

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# Jitter

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- This is the delay caused by different packets arriving at a destination at different times e.g. time sensitive data such as audio and video.
- This can cause serious effects on the received signal.



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# Summary (1)

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- Data communication uses periodic analogue and non-periodic digital signals.
- Fourier analysis shows that a composite signal is a combination of simple sine waves with different frequencies, amplitudes and phases.
- A digital signal is a composite analogue signal with infinite bandwidth.
- Baseband transmission transmits digital signals through a low pass channel with an infinite bandwidth.
- Nyquist Theorem defines the theoretical maximum bit rate a digital signal.
- Signal impairments are: attenuation, distortion and noise.

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# Directed Reading and Self Study

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## Example

- Given a list of frequencies shown below calculate their corresponding periods.
  - a) 24 Hz, b) 8 MHz, c) 140 GHz, d) 100 GHz
- What are the corresponding frequencies of following periods:
  - a) 5s, b) 12  $\mu$ s, c) 200 ms.
- A device is sending out data at the rate of 1000 bps. How long does it take to send:
  - a) 10 bits, b) 1 single character, c) a thesis containing 20,000 words? The average word length is 6 characters.



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