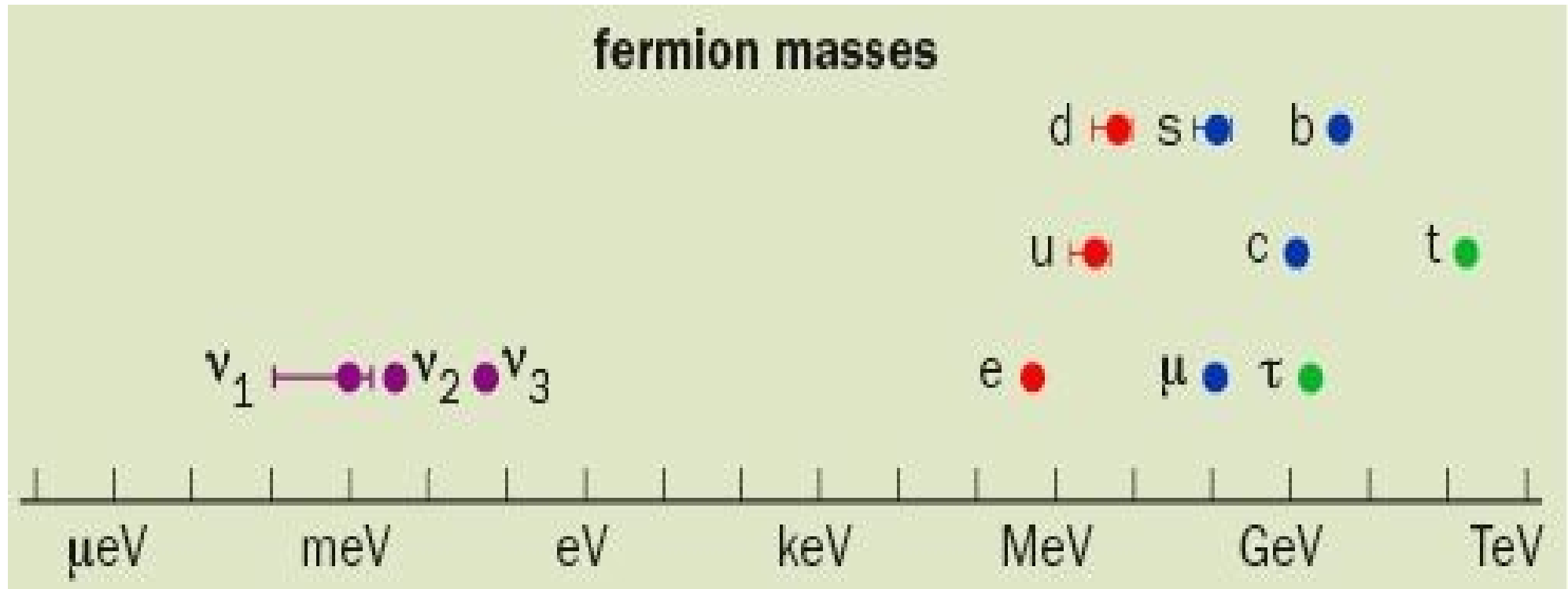


# Lecture 3

*In which the origin of mass is considered and  
unsuccessfully measured*

# The mystery of neutrino mass



Why are neutrino masses so small?

# $\nu$ Mass in the Standard Model

In the Standard Model neutrinos are assumed to be massless  
If they are not, we need to work out a way of putting them in.

Neutrinos are fermions and obey the Dirac Equation, so there might be a Dirac Lagrangian term for the neutrino fields

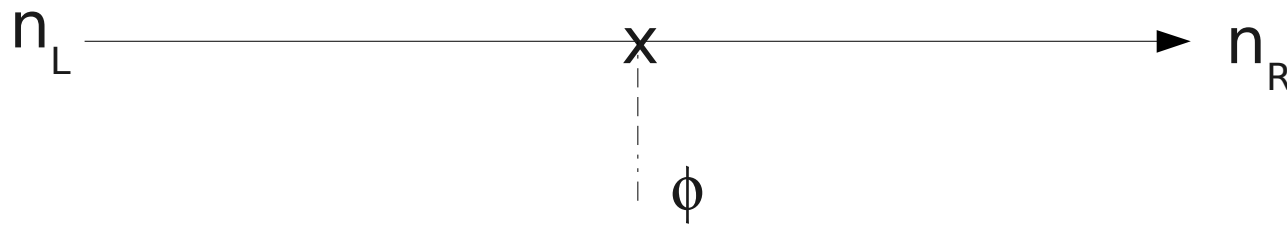
$$L_\nu = \bar{n}(i\gamma\cdot\partial - m_D)n \Rightarrow m_D \bar{n}n \quad \text{Dirac mass term}$$

Not antineutrino. This is the  
adjoint neutrino spinor :  $\bar{n} = n^\dagger \gamma_0$

$$L_{mass} = m_D \bar{n}n = m_D (\bar{n}_L + \bar{n}_R)(n_L + n_R) = m_D (\bar{n}_L n_R + \bar{n}_R n_L)$$

Mass term is the coupling between the left- and right-handed chiral neutrino states. To conserve gauge invariance we need to introduce the Higgs field and spontaneous symmetry breaking. The Dirac mass term explicitly conserves lepton number

# $\nu$ Dirac Mass



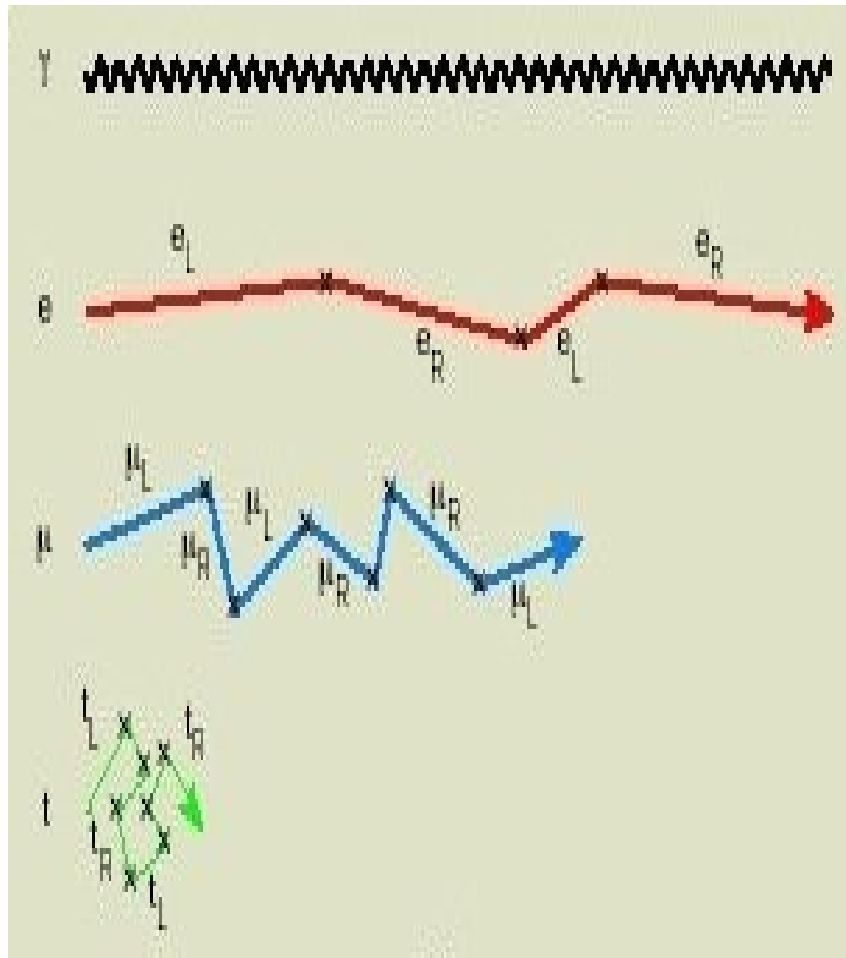
Dirac Mass

Higgs mechanism :  $m'_D = G_\nu \frac{\langle h \rangle}{\sqrt{2}}$

$\langle h \rangle \sim 246 \text{ GeV}$  Vacuum Expectation Value

Hang on, but...

- Small  $m_\nu \rightarrow$  smaller  $G_\nu (< 10^{-13})$
- Addition to SM of a sterile  $n_R$  that is a distinct state



# Majorana Neutrinos

Mass term involves a left- and a right-handed field. For neutrinos we know that the left-handed field exists. In 1937, Ettore Majorana wondered if you could make a right-handed field from the left-handed one and form a mass term that way

$$n_L^C = C \bar{n}_L^T \quad \text{is a right-handed field}$$

Can form a *Majorana* neutrino :  $n = n_L + n_L^C$  which is self-conjugate. That is, the **particle is identical to the antiparticle**

Clearly this can only happen for neutral particles. A majorana electron, for example, would violate charge conservation. The neutrino is the only fermion with potential to be Majorana.

We can also now write down a mass term for Majorana neutrinos

$$L_{Maj} = \frac{1}{2} m_L (\bar{n}^C n + \bar{n} n^C) = \frac{1}{2} m_L (\bar{n}_L^C n_L + \bar{n}_L n_L^C)$$

We are now coupling neutrinos and antineutrinos, leading to a process which **violates lepton number by 2**

# Damn

It turns out that you can't actually form this Majorana term with the left-handed neutrino field in the Standard Model

$$\begin{array}{cc} n_L & \begin{array}{l} I_3 = 1/2 \\ Y = -1 \end{array} \end{array} \quad \begin{array}{cc} \overline{n_L^c} & \begin{array}{l} I_3 = 1 \\ Y = -2 \end{array} \end{array} n_L$$

To couple to the Higgs field you need to find a Higgs with  $Y = +2$  and  $I_3 = -1$  - that is a Higgs triplet with hypercharge +2. No such field exists in the Standard Model (although you do get them if you expand the Higgs sector to include both a scalar doublet and triplet)

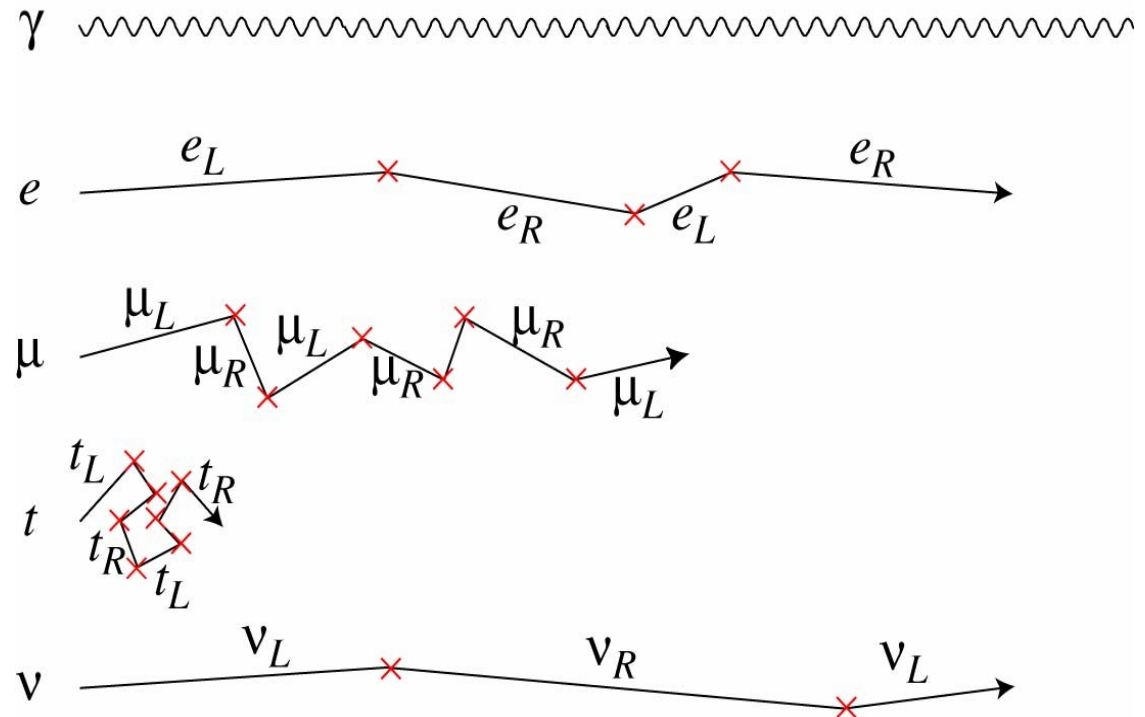
We are forced then to consider the existence of another right-handed neutrino field even in the case of Majorana neutrinos. This would be a singlet with  $I_3 = 0$  and  $Y=0$  which can couple to the Standard Model Higgs.

The existence of neutrino mass implies physics beyond the Standard Model, either from a right-handed state needed for the standard mass mechanism, or a Higgs triplet, or a new mass mechanism.

# Two ways to go

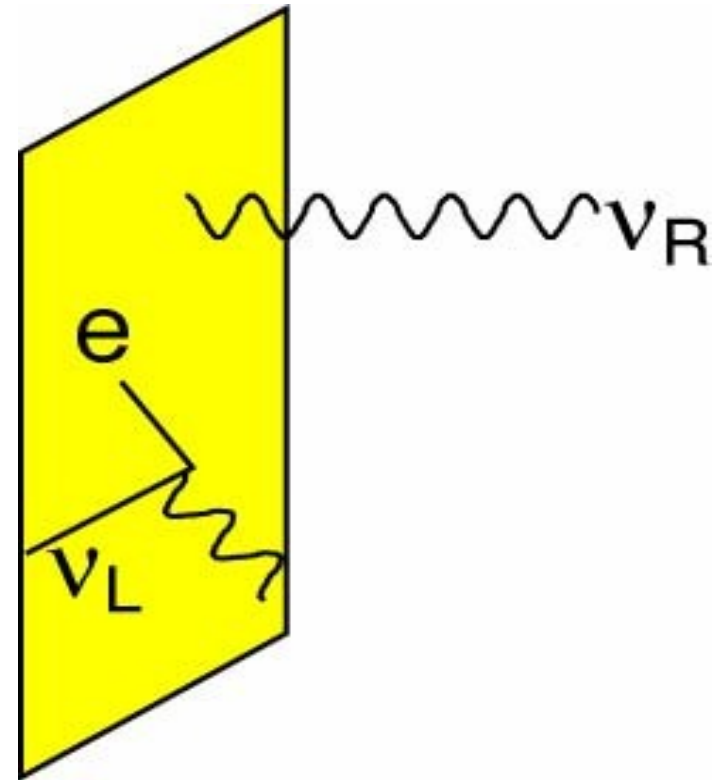
## Dirac neutrinos

- There are new particles (right handed neutrinos) after all
- Why haven't we seen them?
- They must be *very very* weakly coupled
- Why?



# Extra Dimensions?

- All charged particles are on a 3-brane
- Right handed neutrinos are standard model gauge singlets
- Can they propagate in the bulk?
- We are not seeing most of the coupling in the 3-dimensional world. This could make the neutrino mass small.
- Same explanation as the weakness of gravity?!

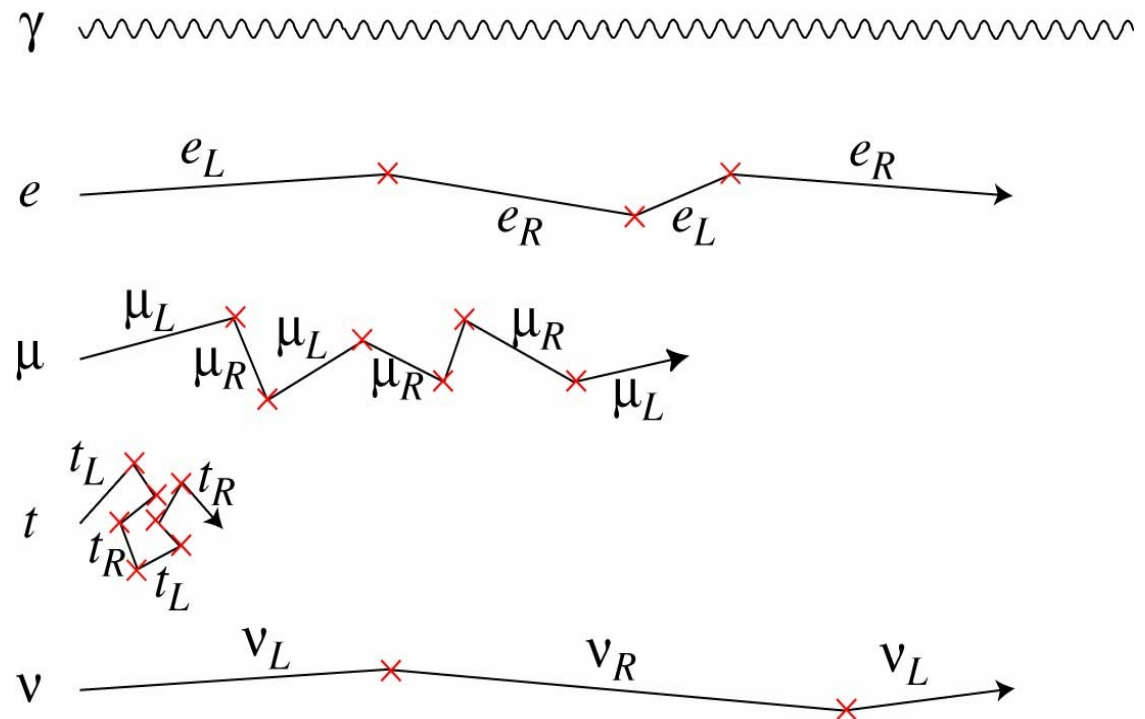




# Two ways to go

## Majorana neutrinos

- There are new particles (right handed neutrinos) after all
- If I pass a neutrino and look back I will see a right-handed thing
- Must be a right-handed anti-neutrino
- No fundamental difference between neutrinos and anti-neutrinos



(Theorists Favourite!)

# The General Mass Term

If we are resigned to the existence of a sterile right-handed state, then we can construct a general mass term with Dirac and Majorana masses

$$L_{mass} = \begin{pmatrix} \bar{n}_L^C & \bar{n}_R^C \end{pmatrix} \begin{pmatrix} m_L & m_D \\ m_D & m_R \end{pmatrix} \begin{pmatrix} n_L \\ n_R^C \end{pmatrix}$$

$$n \equiv \begin{pmatrix} n_L \\ n_R^C \end{pmatrix} \rightarrow L_{mass} = -\frac{1}{2} [\bar{n}^C M n + \bar{n} M n^C] \quad \text{with} \quad M = \begin{pmatrix} m_L & m_D \\ m_D & m_R \end{pmatrix}$$

Observable masses are the eigenvalues of the diagonalised mass matrix  $(m_1, m_2)$

$$\tilde{M} = Z^{-1} M Z = \begin{pmatrix} \tilde{m}_1 & 0 \\ 0 & \tilde{m}_2 \end{pmatrix}$$

$$\tilde{m}_{1,2} = \frac{1}{2} \left[ (m_L + m_R) \pm \sqrt{(m_L - m_R)^2 + 4 m_D^2} \right]$$

 Mixing matrix

# Seesaw Mechanism

$$\tilde{m}_{1,2} = \frac{1}{2} \left[ (m_L + m_R) \pm \sqrt{(m_L - m_R)^2 + 4m_D^2} \right]$$

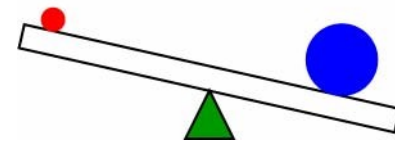
Suppose  $m_L = 0$ ,  $m_R \gg m_D$  and  $m_D \sim$  quark/charged lepton mass

$$\tilde{m}_1 = \frac{m_D^2}{m_R} = m_n$$

the physical field  $m_n$  now naturally has a very small mass (“our” neutrino)

$$\tilde{m}_2 = m_R \left( 1 + \frac{m_D^2}{m_R^2} \right) \approx m_R = m_N$$

The field  $m_N$  now has a very large mass ( $\sim 10^{15}$  GeV)



# Leptogenesis

Seesaw mechanism requires a GUT scale heavy Majorana neutrino partner.

Cosmologically,  $B-L$  must be conserved (baryon number - lepton number)

Suppose there is direct CP violation in the heavy neutrino decay? This generates a violation of  $L$ .

To keep  $B-L$  conserved one needs to violate  $B$  as well.

Could neutrino mass help explain CP violation in the baryons? In other words, could neutrinos help explain why there is more matter than antimatter in the universe?

Need to find out whether neutrino is Majorana or not.

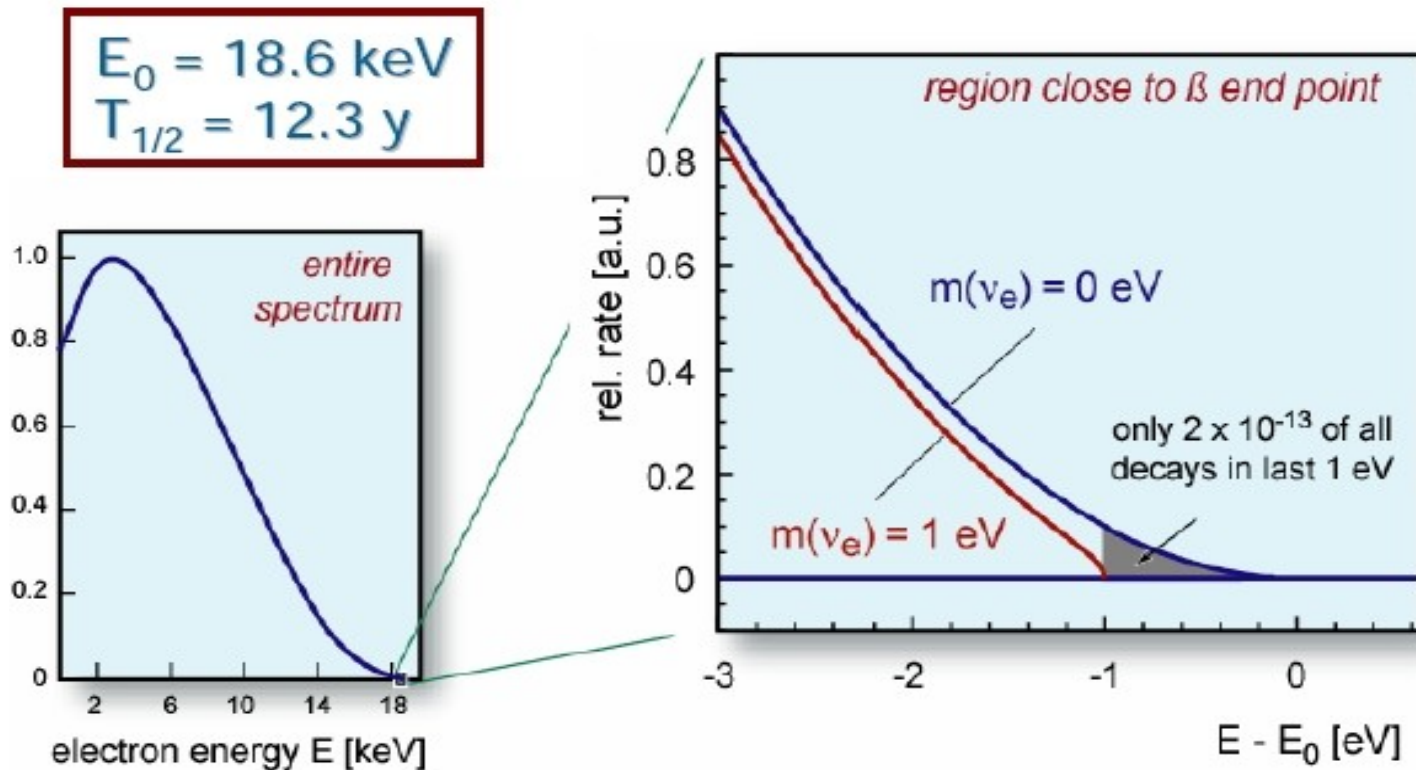
*(Attempts at) mass measurements*

# $\beta$ decay

Measurement of  $\nu$  mass from kinematics of  $\beta$  decay.

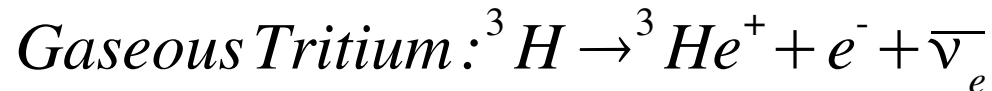
$$\frac{d\Gamma_i}{dE} = C p(E + m_e)(E_0 - E) \sqrt{(E_0 - E)^2 - m_\nu^2} F(E) \theta(E_0 - E - m_\nu)$$

Observable is  $m_\nu^2$



# Requirements

- The number of electrons close to the endpoint should be large
- Good (and well-understood) electron energy resolution
- No (or minimal) electron energy loss within the source
- Minimal atomic and nuclear final state effects, of excited transitions



Endpoint is at 18574 eV

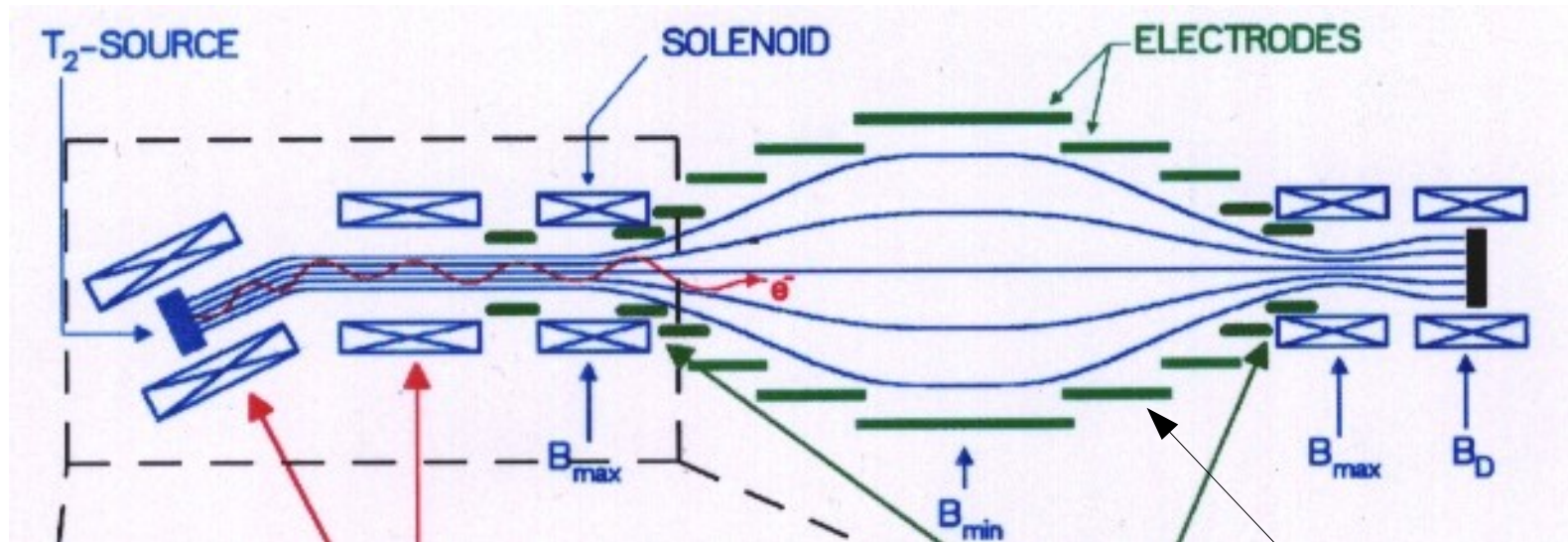
No molecular excitation above 18547 eV

Still only  $10^{-9}$  electrons in this region

Gaseous so you can have a very large source

# Mainz Experiment

The current standard for tritium beta decay experiments



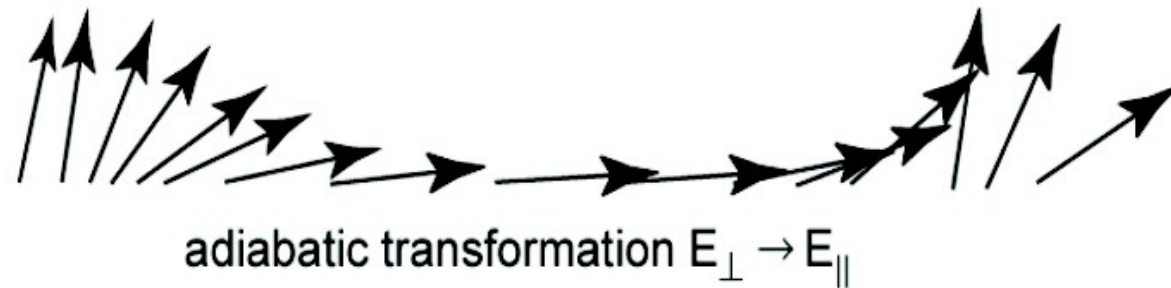
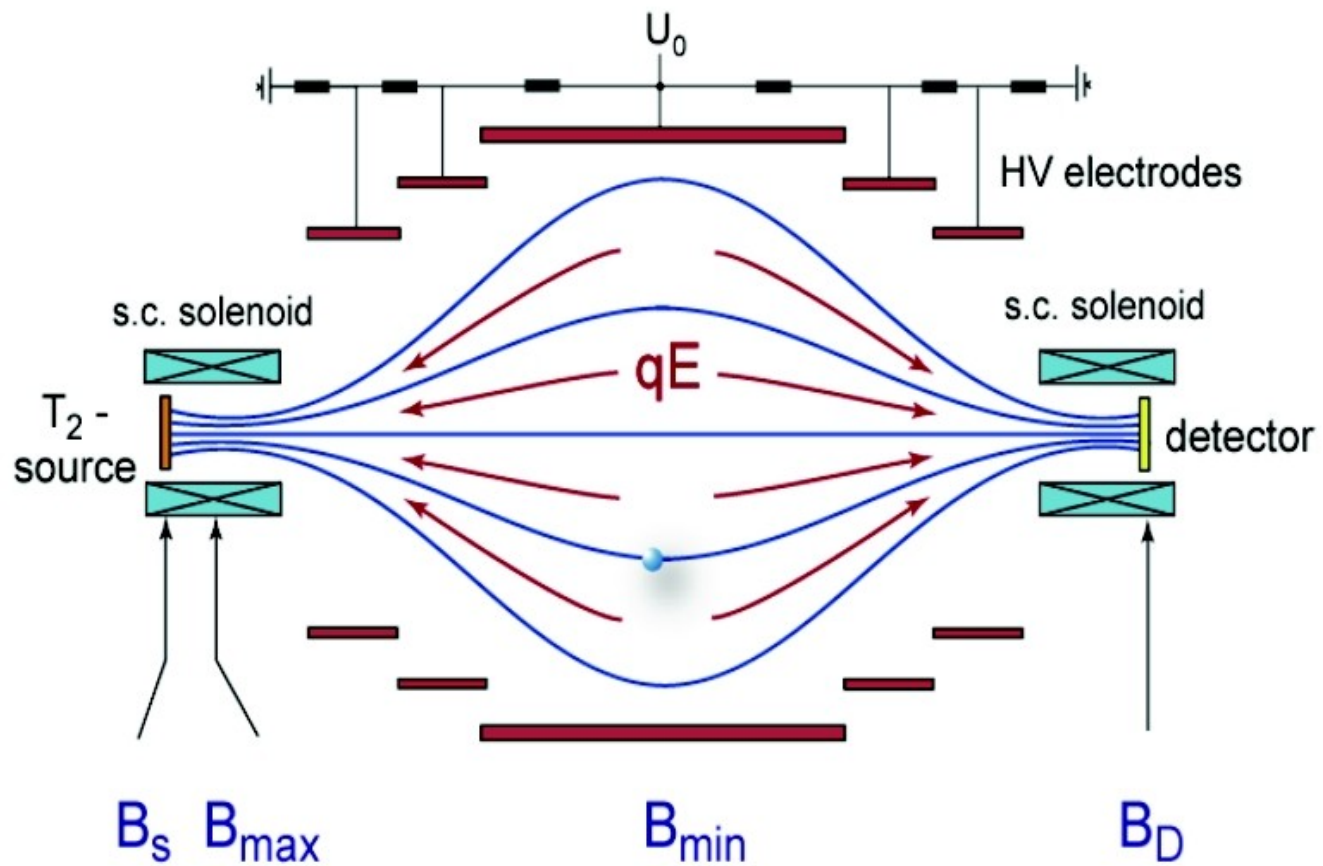
- $2\pi$  acceptance
- High energy resolution

$$\frac{\Delta E}{E} \sim 0.03\%$$

Electrostatic  
MAC-E Filter



# MAC-E Filters



# History of Tritium- $\beta$ decay

ITEP

$T_2$  in complex molecule  
magn. spectrometer (Tret'yakov)

$m_\nu$

17-40 eV

Los Alamos

gaseous  $T_2$  - source  
magn. spectrometer (Tret'yakov)

< 9.3 eV

Tokio

$T$  - source  
magn. spectrometer (Tret'yakov)

< 13.1 eV

Livermore

gaseous  $T_2$  - source  
magn. spectrometer (Tret'yakov)

< 7.0 eV

Zürich

$T_2$  - source impl. on carrier  
magn. spectrometer (Tret'yakov)

< 11.7 eV

Troitsk (1994-today)

gaseous  $T_2$  - source  
electrostat. spectrometer

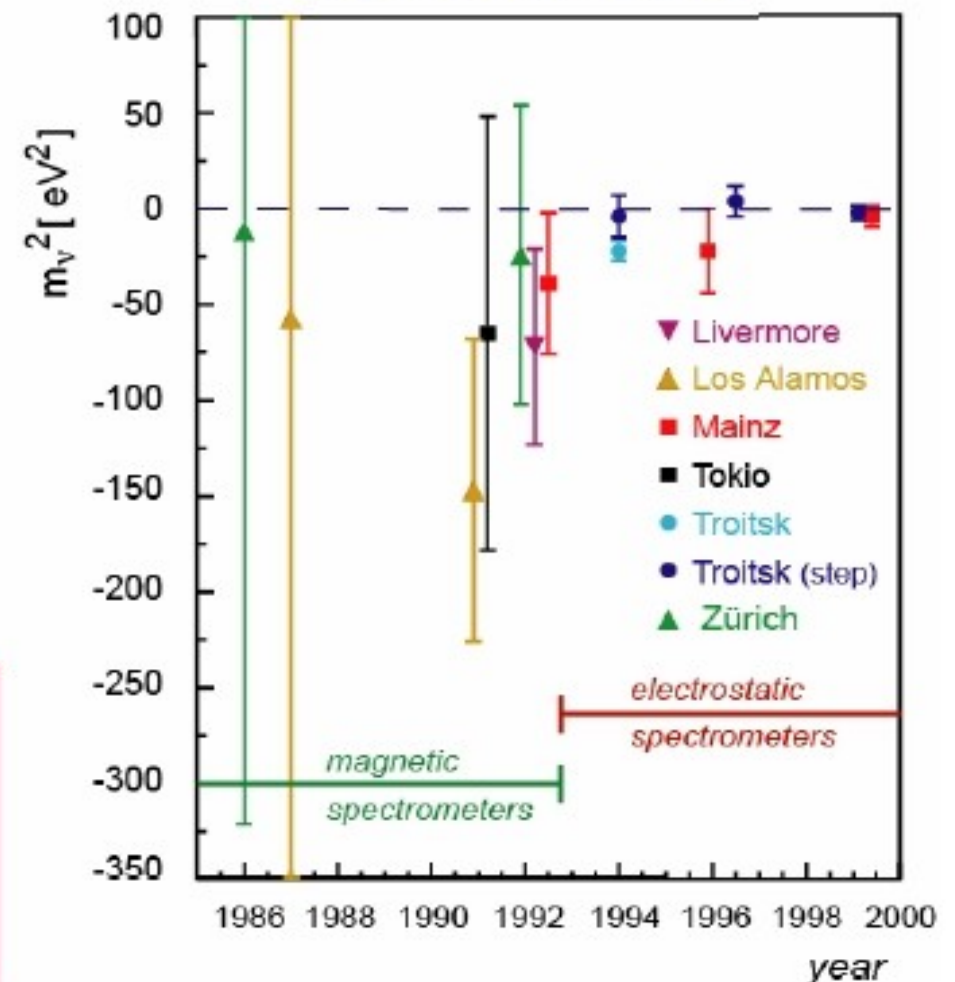
< 2.05 eV

Mainz (1994-today)

frozen  $T_2$  - source  
electrostat. spectrometer

< 2.3 eV

experimental results



# Present Status



Troitsk

windowless gaseous  $T_2$  source

analysis 1994 to 1999, 2001

$$m_{\nu}^2 = -2.3 \pm 2.5 \pm 2.0 \text{ eV}^2$$

$$m_{\nu} \leq 2.2 \text{ eV (95\% CL.)}$$

Mainz

quench condensed solid  $T_2$  source

analysis 1998/99, 2001/02

$$m_{\nu}^2 = -1.2 \pm 2.2 \pm 2.1 \text{ eV}^2$$

$$m_{\nu} \leq 2.2 \text{ eV (95\% CL.)}$$

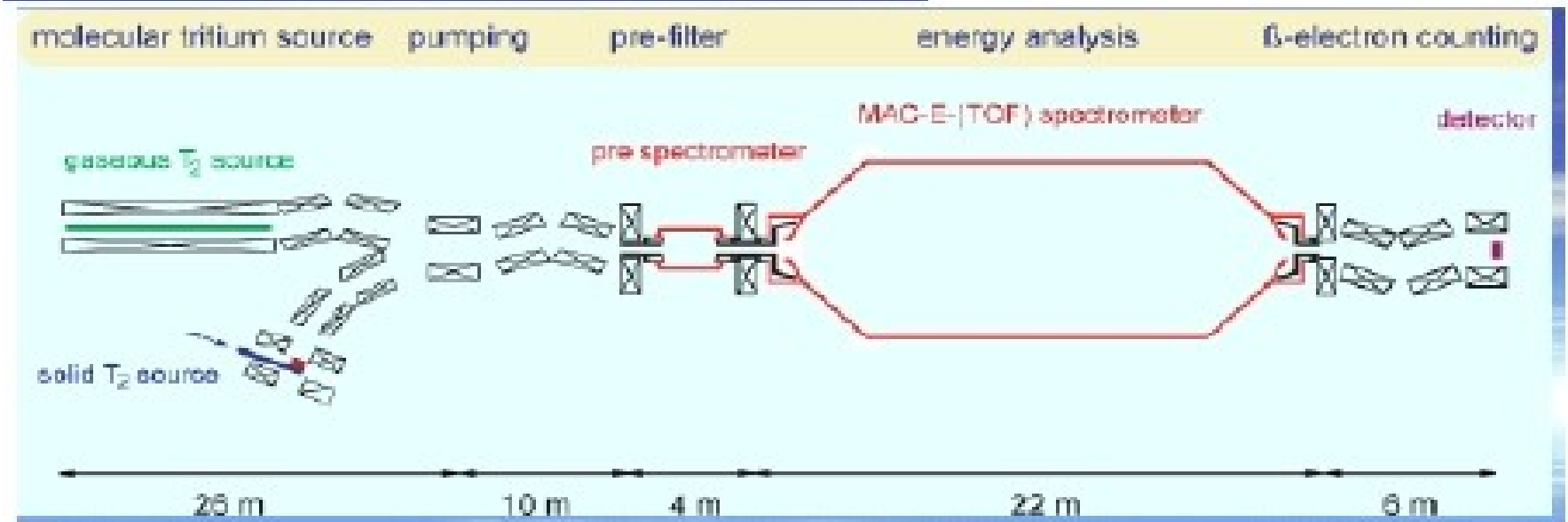
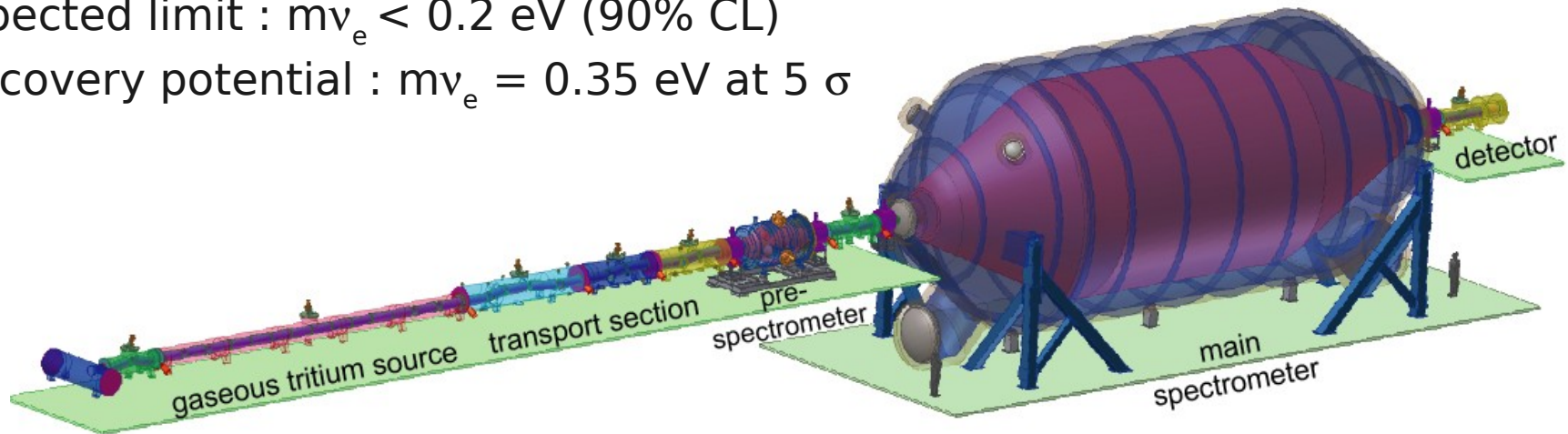
Both experiments have reached the intrinsic limit of their sensitivity.

# KATRIN

Due to start 2012

Expected limit :  $m\nu_e < 0.2$  eV (90% CL)

Discovery potential :  $m\nu_e = 0.35$  eV at  $5 \sigma$









# KATRIN on the move

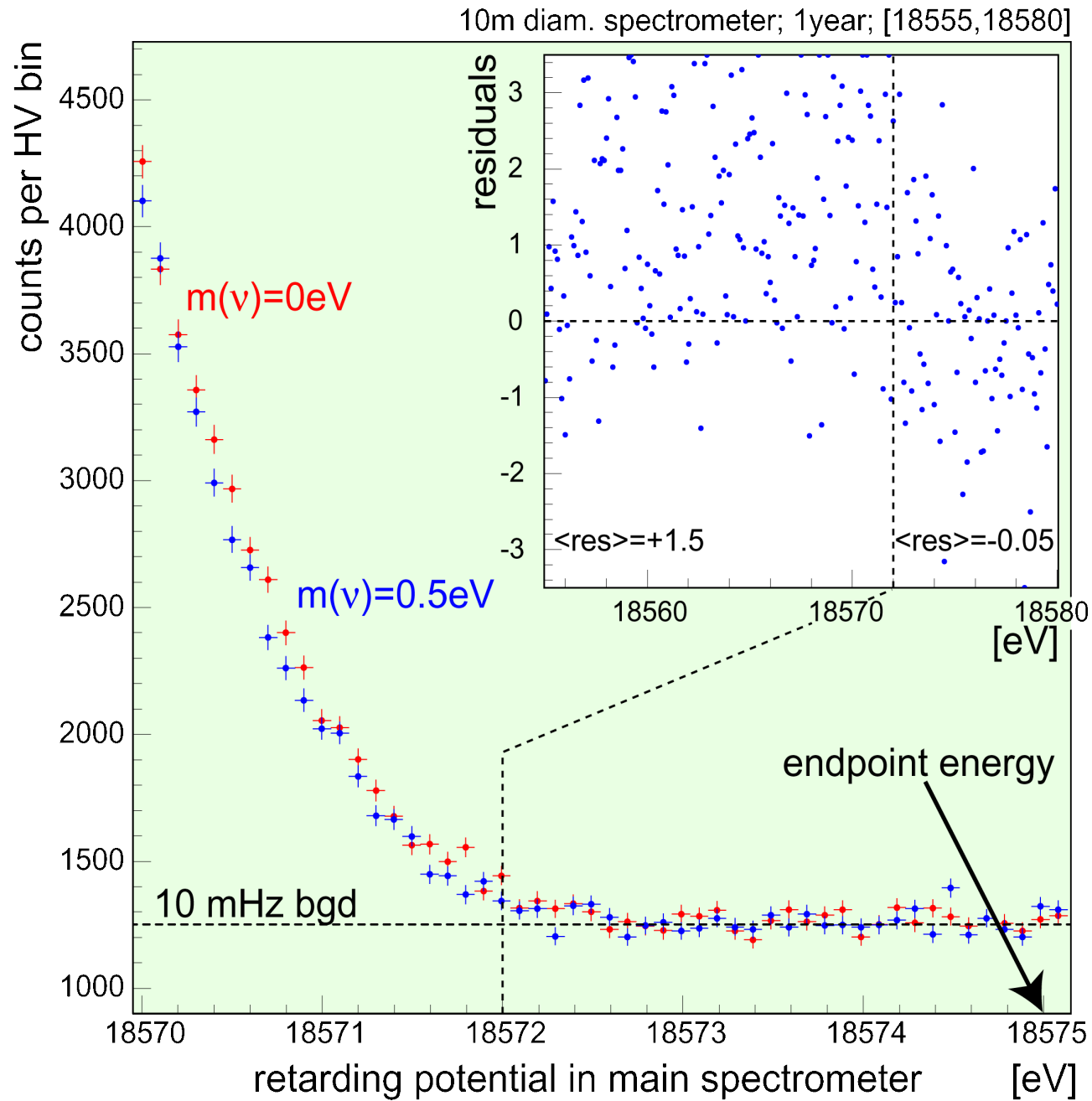




# Katrin on the move

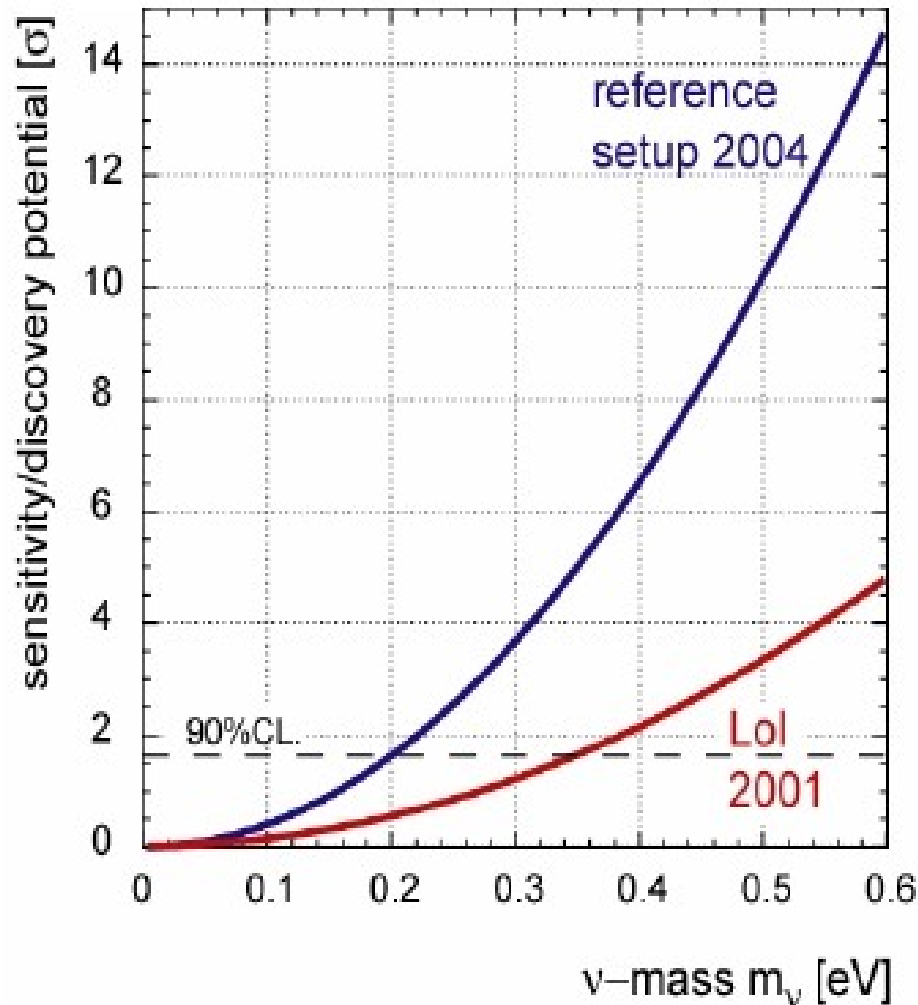


# Katrin data





# KATRIN Sensitivity

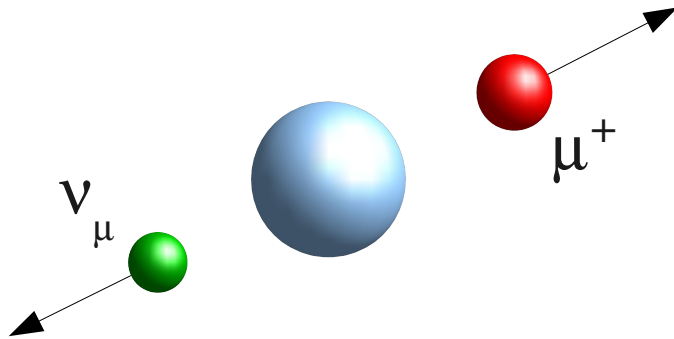


sensitivity (90% CL)  
 $m(\nu) < 0.2$  eV

discovery potential  
 $m(\nu) = 0.35$  eV ( $5\sigma$ )

# $\nu_\mu$ mass

Easiest way is to use pion decay at rest



$$m_{\nu_\mu}^2 = m_\pi^2 + m_\mu^2 - 2 m_\pi \sqrt{p_\mu^2 + m_\mu^2}$$

$$m_\pi = 139.56995 \pm 0.00035 \text{ MeV}$$

$$m_\mu = 105.658358 \pm 0.000005 \text{ MeV}$$

$$p_\mu = 29.792 \pm 0.00011 \text{ MeV}$$

$$m_{\nu}^2 = (-0.016 \pm 0.023) \text{ MeV}^2$$

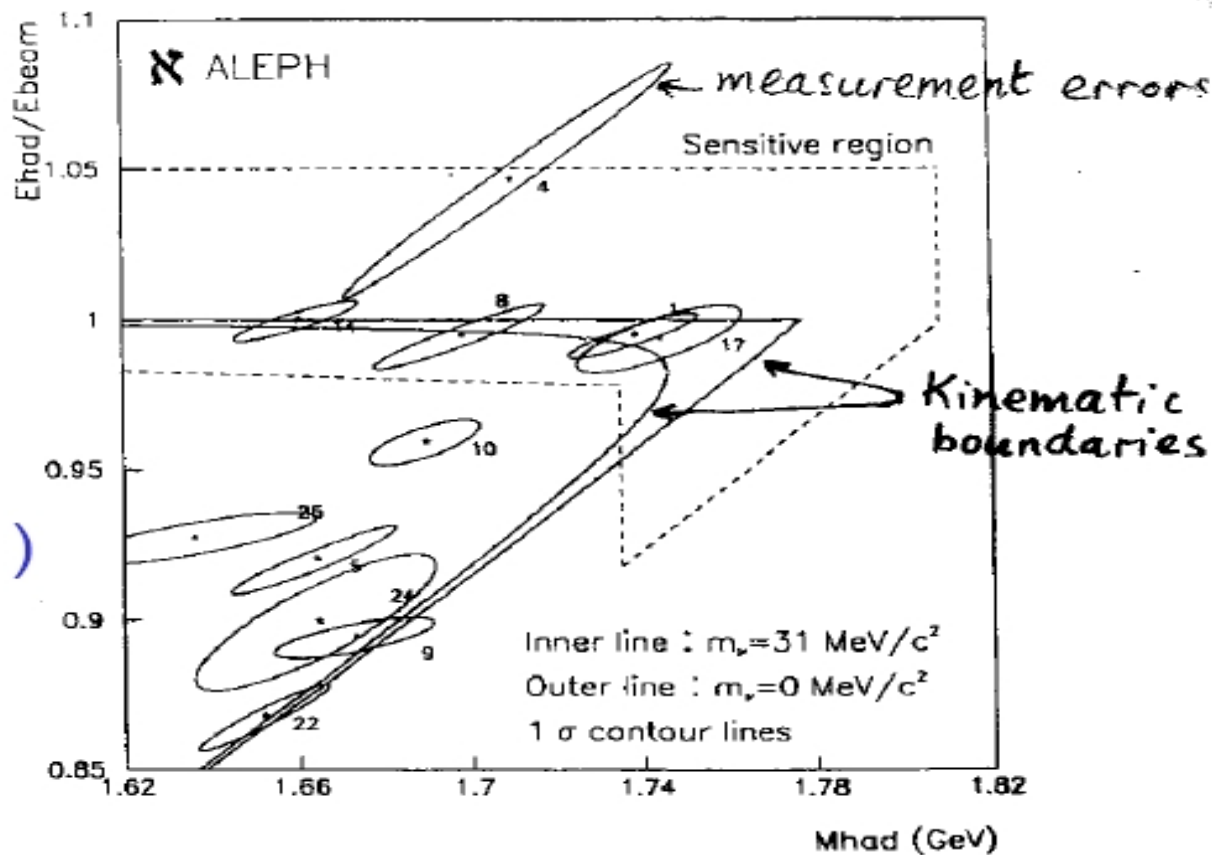
$$m_{\nu} < 170 \text{ keV} \text{ (90\% CL)}$$

# $\nu_\tau$ mass

$$e^+ e^- \rightarrow \tau^+ \tau^-$$

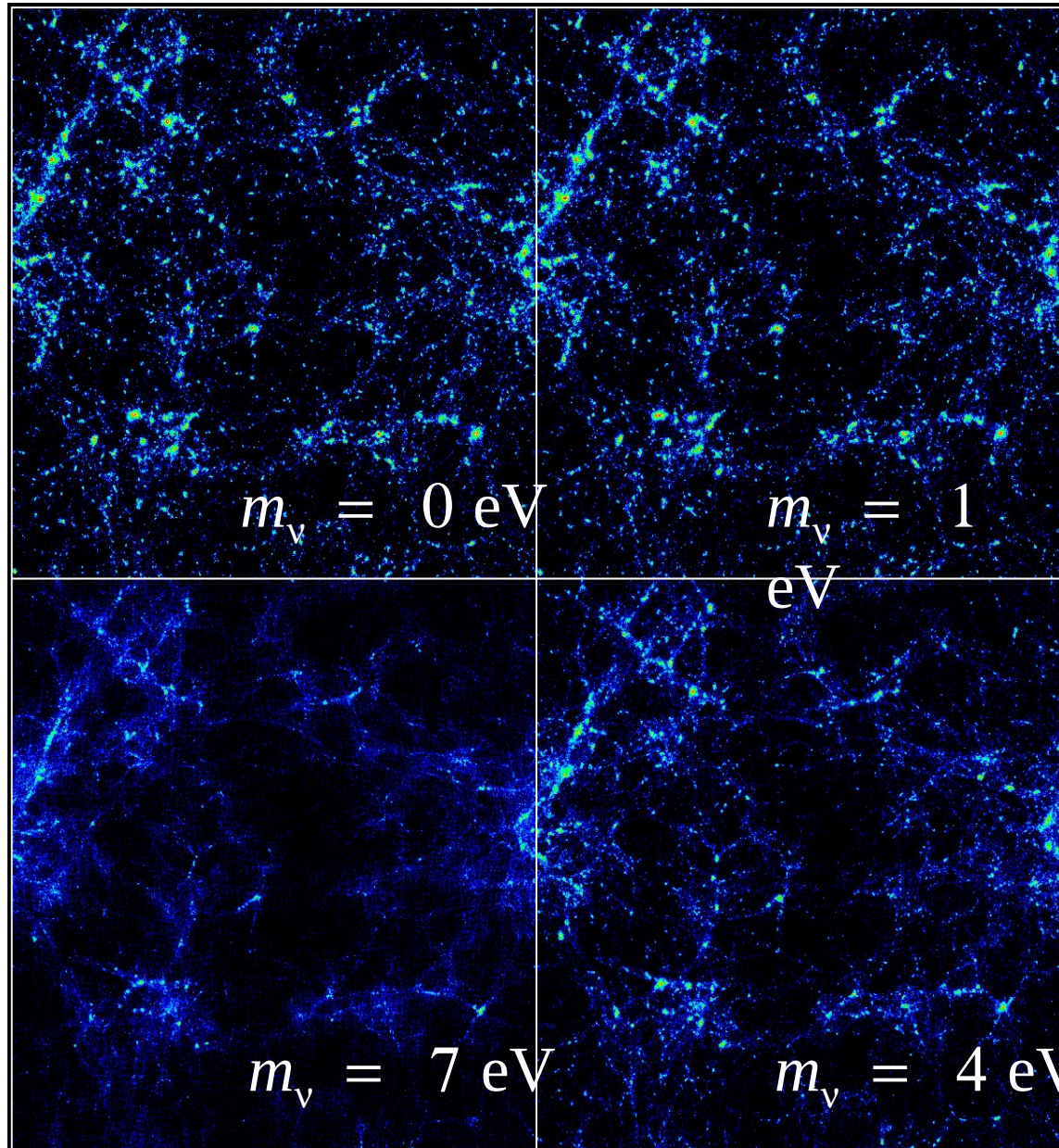
$$\hookrightarrow \tau \rightarrow \nu_\tau + 5\pi^+(\pi^0)$$

$$E_\tau = \frac{\sqrt{s}}{2}$$



$$m_\tau < 15.5 \text{ MeV} (95\% \text{ CL})$$

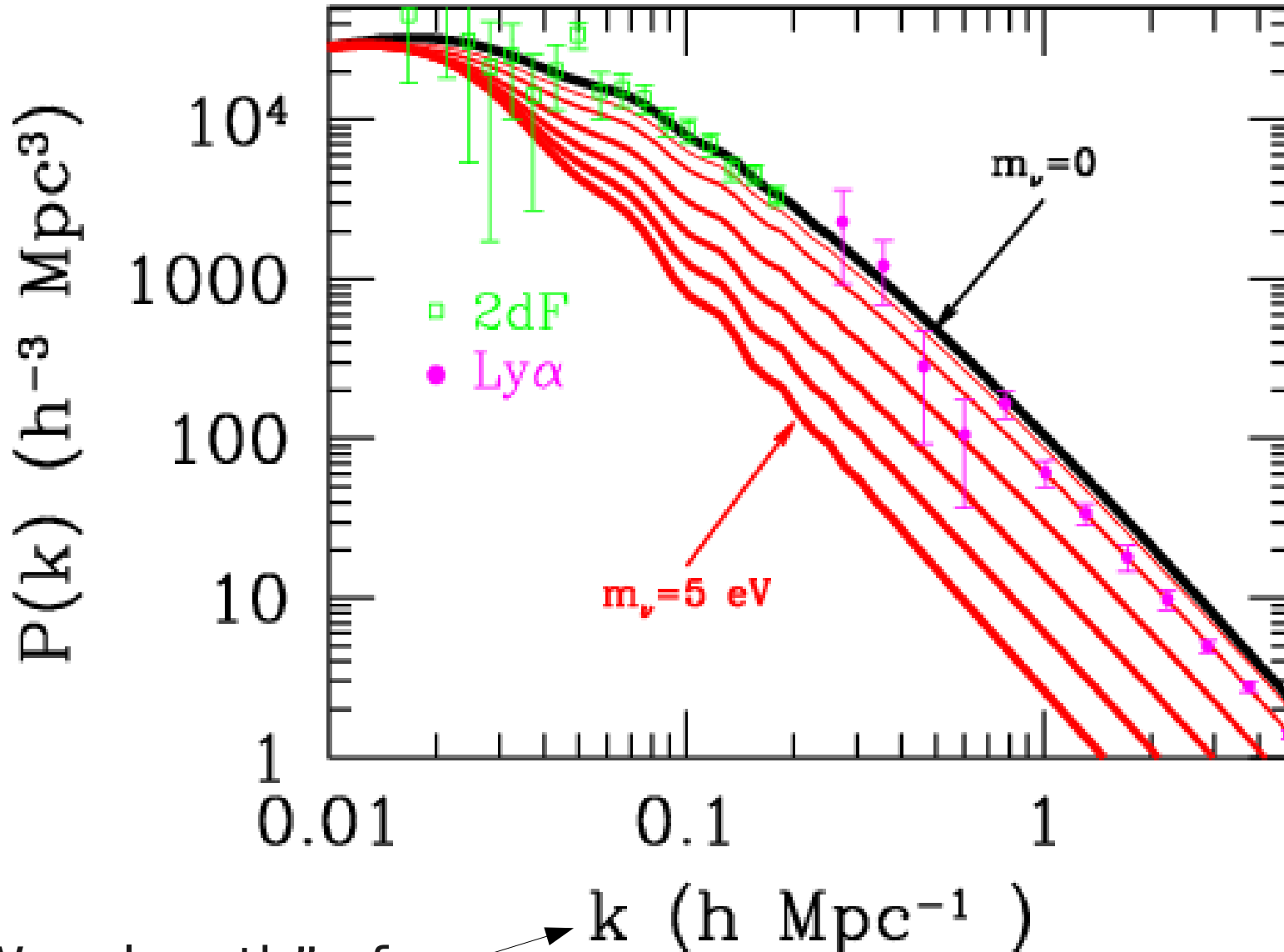
# Cosmology



- Density fluctuations are affected by neutrino mass in the early universe
- Highly model dependent
- WMAP, 2dF, ACBAR, CBI

$$\sum m_{\nu_i} < 0.7 \text{ eV}$$

# Power spectra

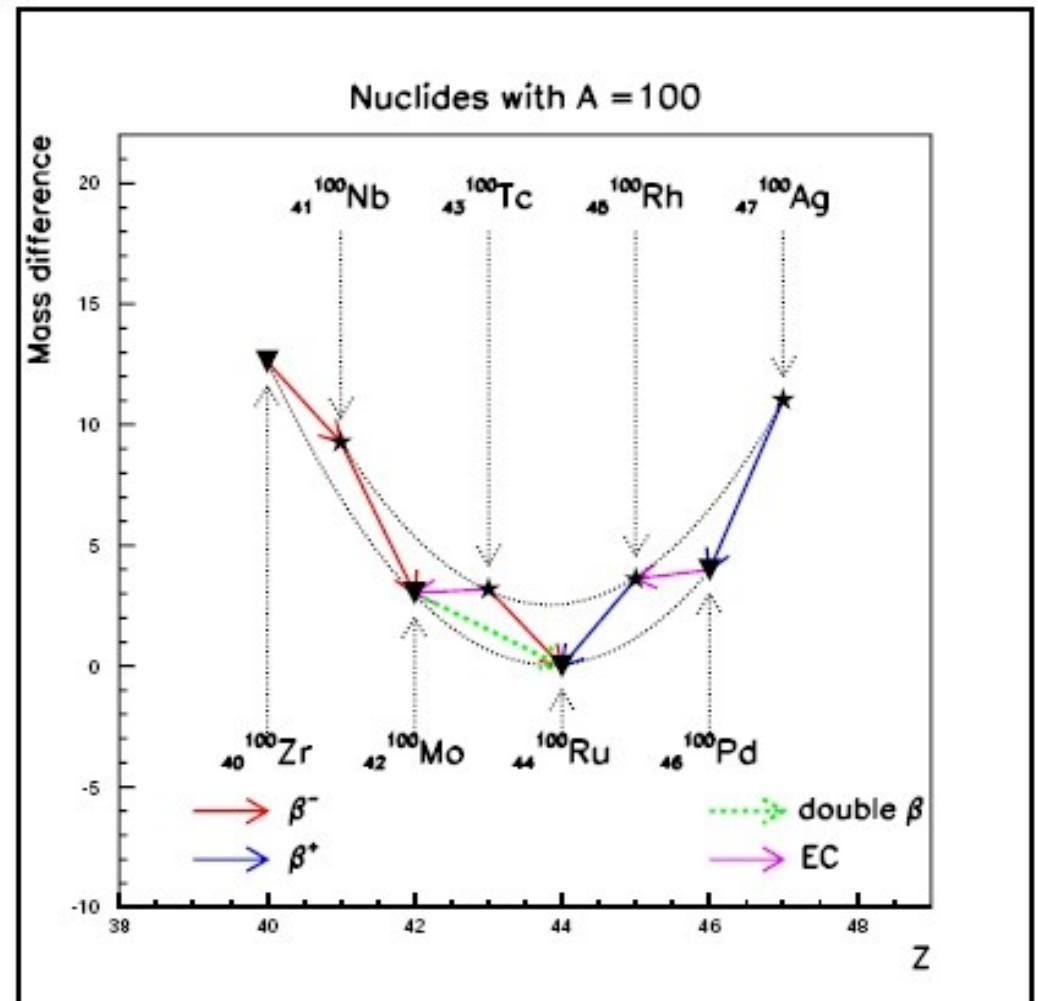
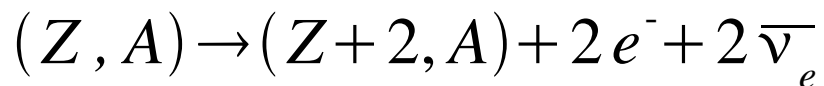


“1/Wavelength” of  
density fluctuation

# $2\nu\beta\beta$ Decay

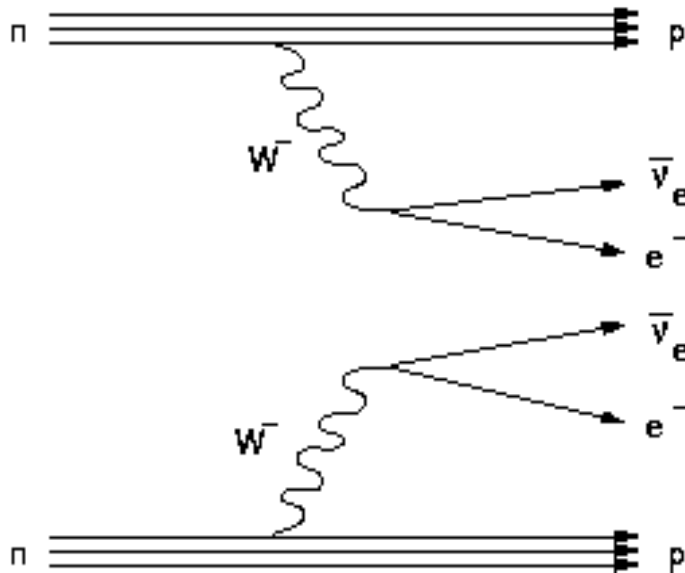
Neutrinoless double beta decay is considered a **golden** channel for the measurement of neutrino mass.

In some nuclei  $\beta$  decay is forbidden but double beta decay is not





# $2\nu\beta\beta$ Decay



$$\left[ T_{1/2}^{2\nu} \right]^{-1} = G^{2\nu}(Q, Z) |M^{2\nu}|^2$$

Calculable  
phase space

Nuclear  
matrix element

- Second order process in perturbation theory
- Severe test for nuclear matrix element calculation
- Nuclear structure effects cause variations in the nuclear matrix elements of factors of 10

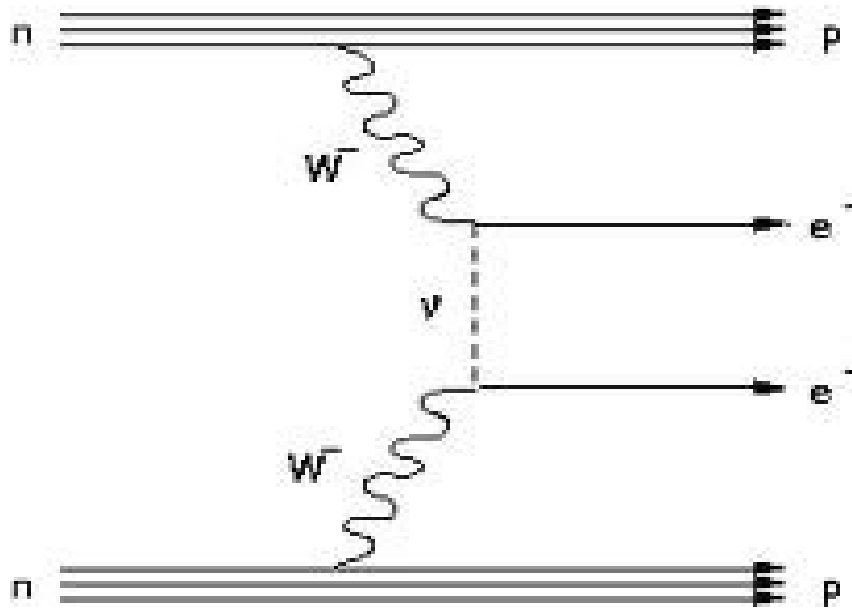
# 2νββ Decay

2νββ mode	Half life ( $\times 10^{24}$ years)
${}^{48}_{20}\text{Ca} \rightarrow {}^{48}_{22}\text{Ti}$	4.1
${}^{76}_{32}\text{Ge} \rightarrow {}^{76}_{34}\text{Se}$	40.9
${}^{82}_{34}\text{Se} \rightarrow {}^{82}_{36}\text{Kr}$	9.3
${}^{96}_{40}\text{Zr} \rightarrow {}^{96}_{42}\text{Mo}$	4.4
${}^{100}_{42}\text{Mo} \rightarrow {}^{100}_{44}\text{Ru}$	5.7
${}^{110}_{46}\text{Pd} \rightarrow {}^{110}_{48}\text{Cd}$	18.6
${}^{116}_{48}\text{Cd} \rightarrow {}^{116}_{50}\text{Sn}$	5.3
${}^{124}_{50}\text{Sn} \rightarrow {}^{124}_{52}\text{Te}$	9.5
${}^{130}_{52}\text{Te} \rightarrow {}^{130}_{54}\text{Xe}$	5.9
${}^{136}_{54}\text{Xe} \rightarrow {}^{136}_{56}\text{Ba}$	5.5
${}^{150}_{60}\text{Nd} \rightarrow {}^{150}_{62}\text{Sm}$	1.2

- Only occur in 36 known sources
- Rarest natural radioactive decay
- extremely long half-lives



# Neutrinoless $\beta\beta$ Decay



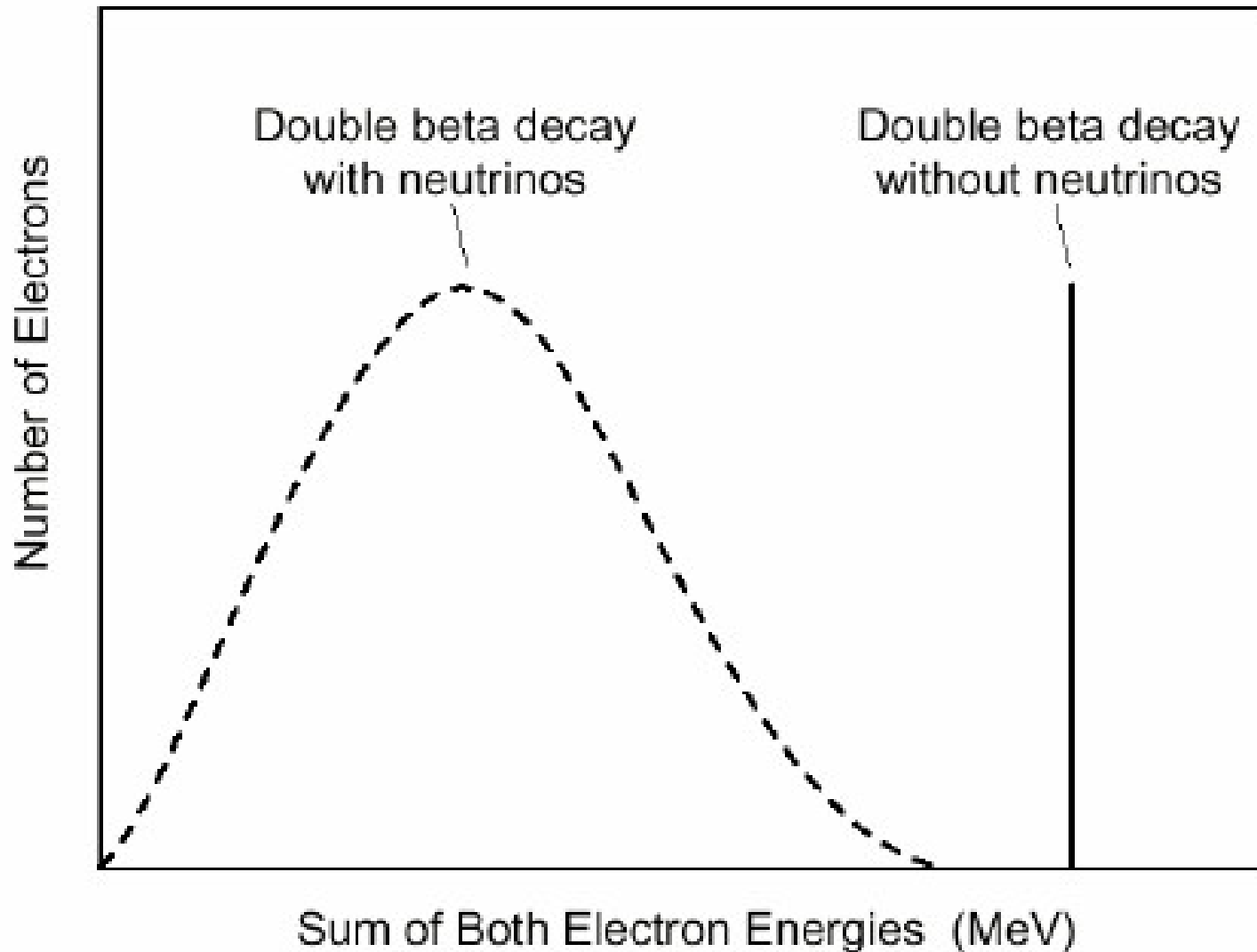
- Neutrino must have mass
- Neutrino is a *Majorana* particle
- Violation of lepton number conservation

$$\nu_L = \nu_{h=-1} + \frac{m}{E} \nu_{h=+1}$$

$\uparrow$                        $\uparrow$   
 helicity states

$$\Gamma_{0\nu} = G_{0\nu} |M_{0\nu}|^2 \left| \sum_i |U_{ei}|^2 m_i \right|^2 \Rightarrow T_{1/2} \sim 10^{27} \text{ years}$$

# $0\nu\beta\beta$ signal



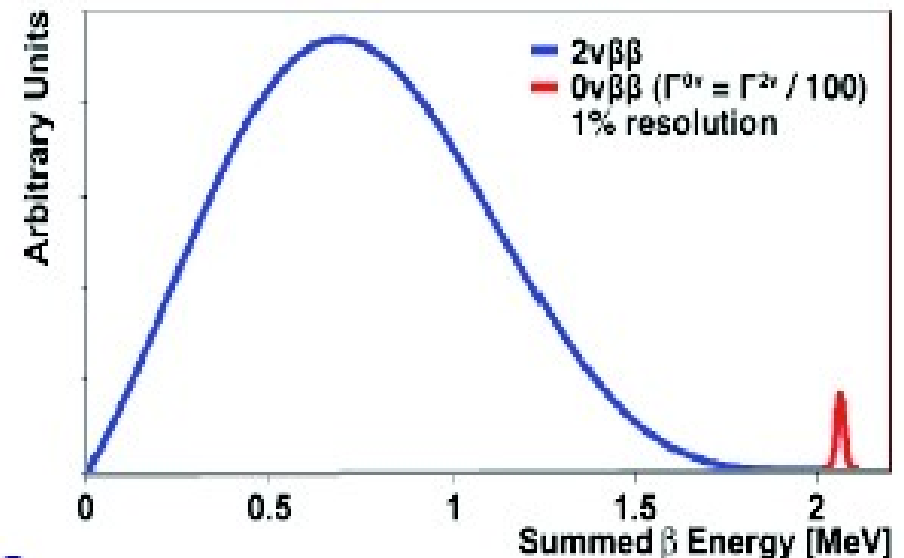
# Experimental Requirements

Extremely slow decay rates

( $0\nu\beta\beta T_{1/2} \sim 10^{26} - 10^{27}$  years)

Best case,  
0 background !

$\propto$  Source Mass  $\cdot$  time<sub>exp</sub>



Requires

Large, highly efficient source mass

- detector as source

Best possible energy resolution

- minimize  $0\nu\beta\beta$  peak ROI to maximize S/B

- separate from  $0\nu\beta\beta$  from irreducible  $2\nu\beta\beta$  ( $\sim T_{1/2} \sim 10^{19} - 10^{21}$  years)

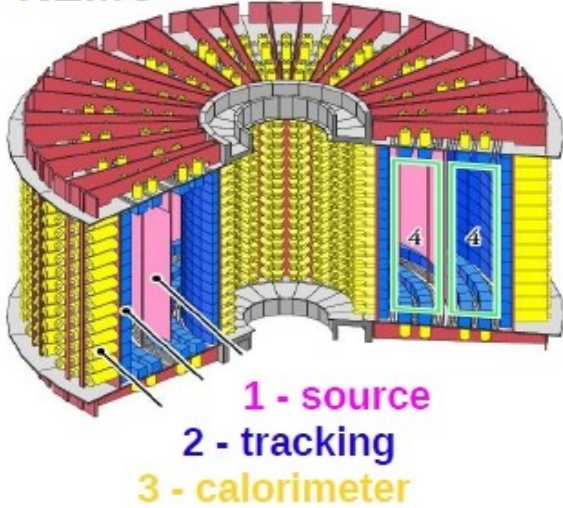
Extremely low (near-zero) backgrounds in the  $0\nu\beta\beta$  peak region

- requires ultra-clean radiopure materials

- the ability to discriminate signal from background

# Types of experiments

NEMO



## 1. the source is inserted as thin foil inside a tracking detector

- $2e^-$  are detected separately
  - different channels of  $0\nu\text{DBD}$  can be distinguished
- particle identification
  - background suppression
- poor energy resolution
  - important  $2\nu\text{DBD}$  background (limitation on isotope choice)



## 2. the detector is itself the source

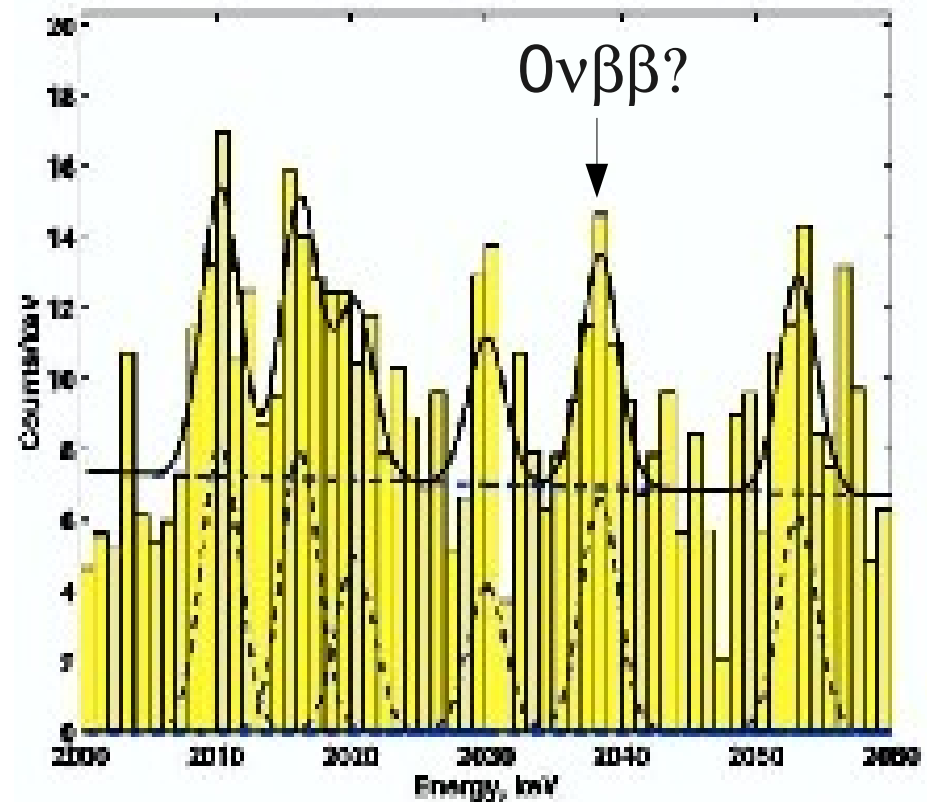
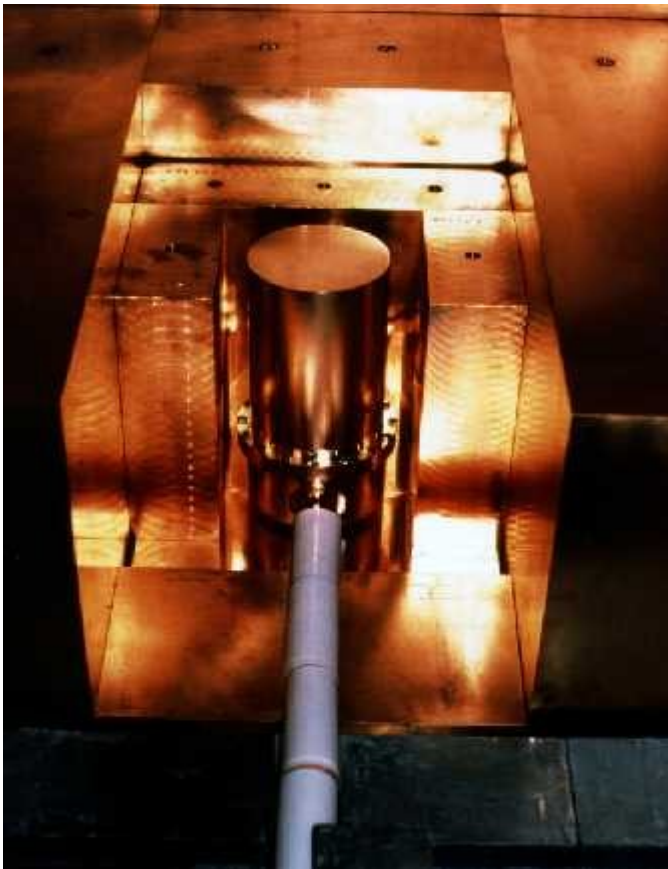
- solid state detectors
  - several candidates, high resolution  
no info on kinematic  
techniques for background suppression
- gaseous detectors for Xe

# Heidelberg-Moscow

11 kg of Ge enriched to 86% of  $^{76}\text{Ge}$  in the form of 5 Ge diodes surrounded by Cu,Pb,Bn shielding

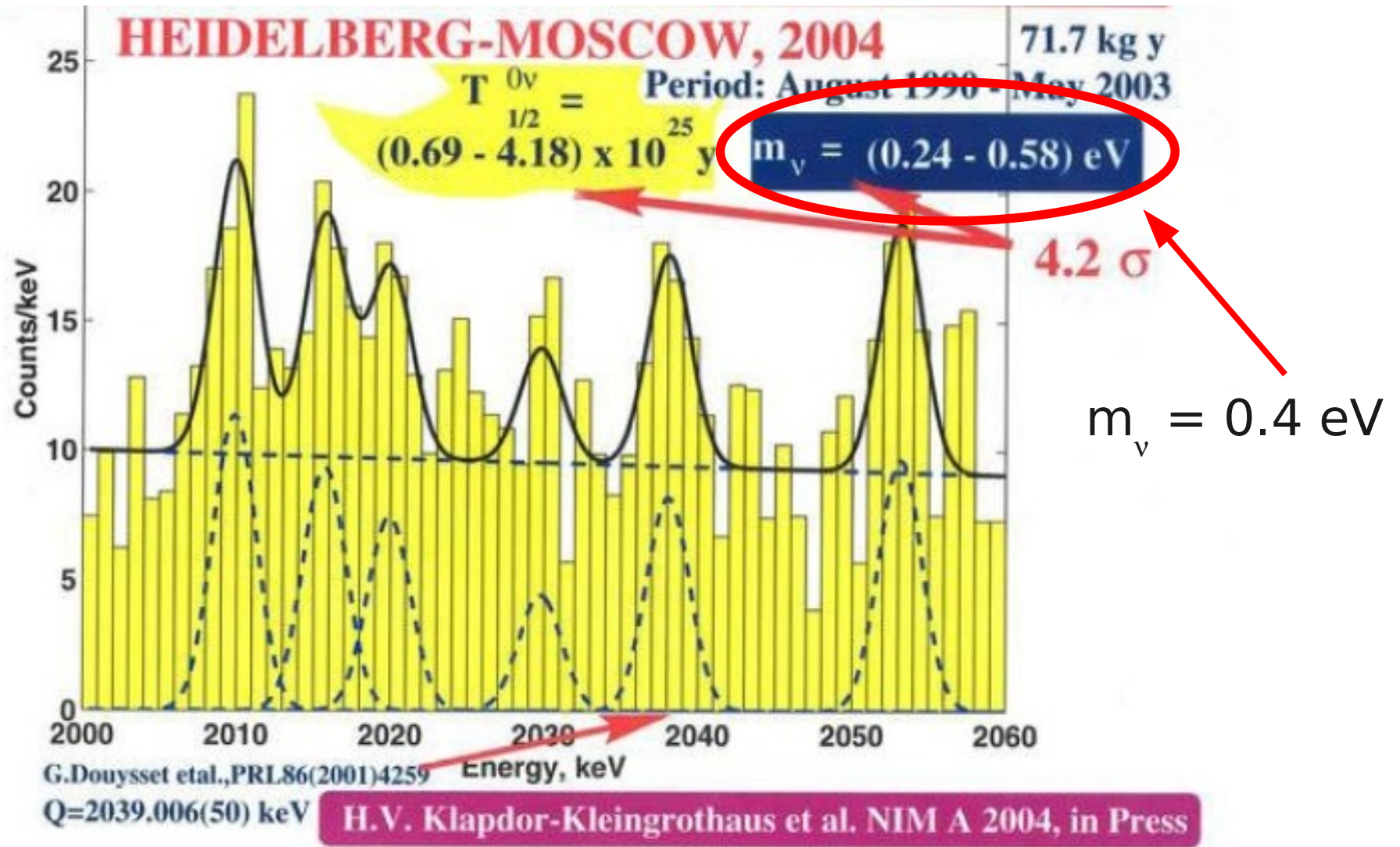
$0\nu\beta\beta$  electrons detected by Ge detectors themselves

Only sum of electron energy measured

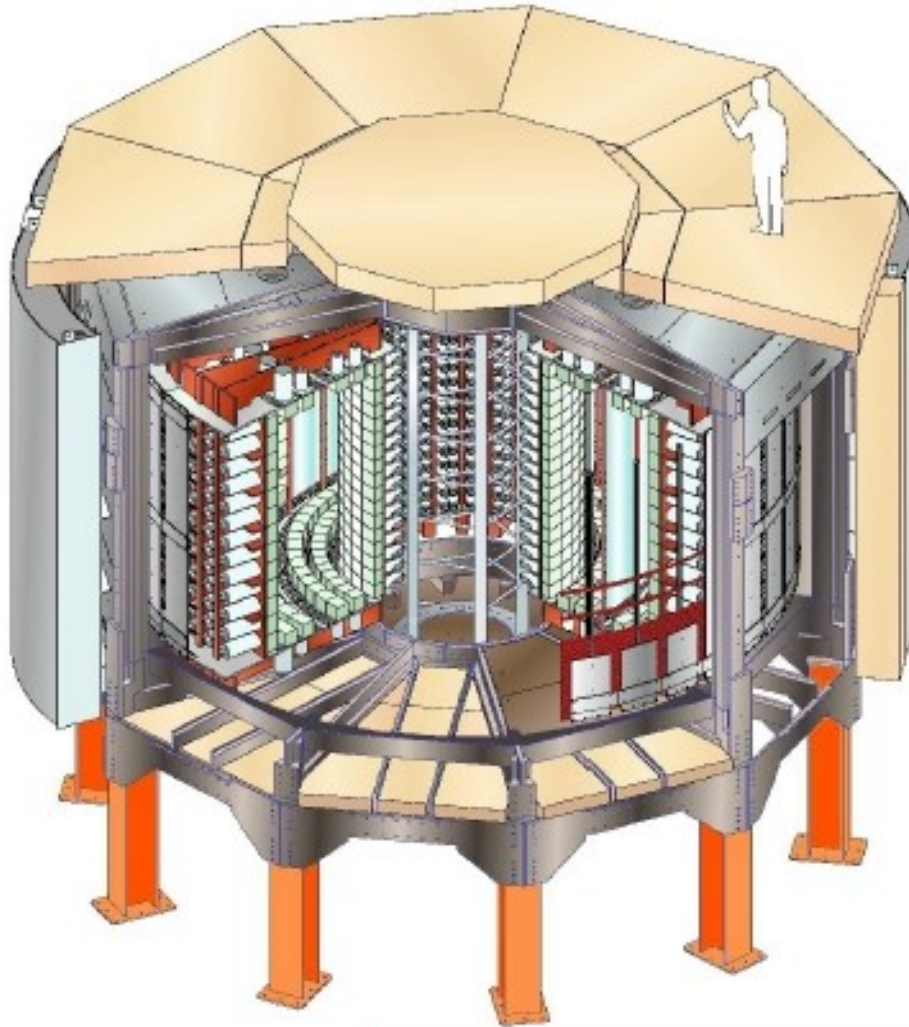




# Heidelberg-Moscow



# Passive Source - NEMO3



Source: 10 kg of  $\beta\beta$  isotopes  
cylindrical,  $S = 20 \text{ m}^2$ ,  $60 \text{ mg/cm}^2$

Tracking detector:

drift wire chamber operating  
in Geiger mode (6180 cells)

Gas: He + 4% ethyl alcohol + 1% Ar + 0.1% H<sub>2</sub>O

Calorimeter:

1940 plastic scintillators  
coupled to low radioactivity PMTs

Magnetic field: 25 Gauss

Gamma shield: Pure Iron (18 cm)

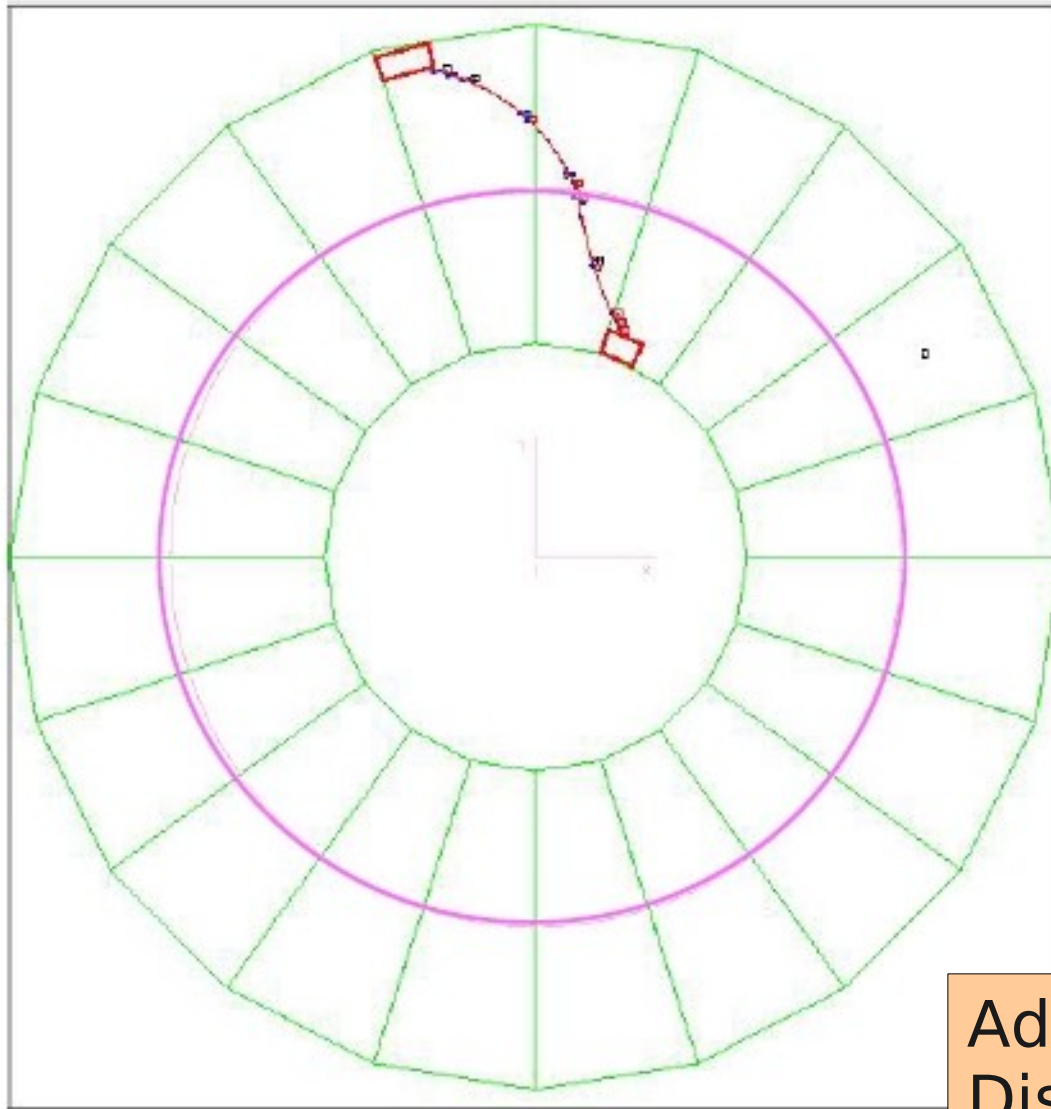
Neutron shield: borated water  
+ Wood

Background:  $n$  ( $^{214}\text{Pb}$  at 208 keV,  $^{214}\text{Bi}$  at 2.6 MeV)

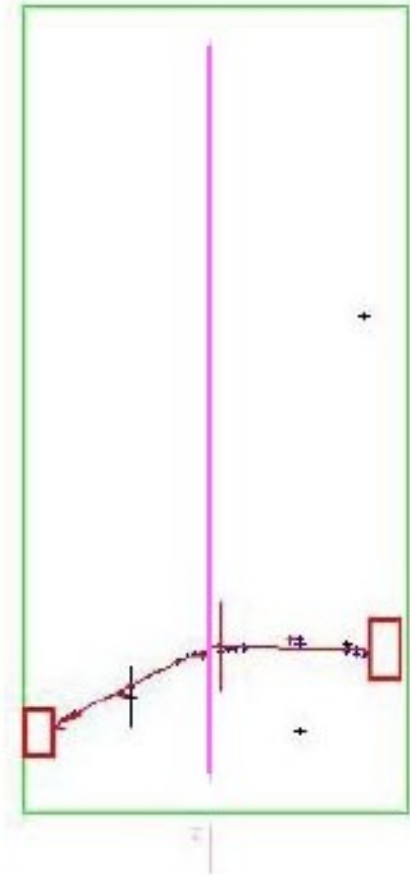


Able to identify  $e^-$ ,  $e^+$ ,  $\gamma$  and  $\alpha$

Typical  $\beta\beta 2\nu$  event observed from  $^{100}\text{Mo}$



Top view



Side view

Advantage : electron tracking  
Disadvantage : less source material and worse energy resolution



# Nemo to SuperNemo

**NEMO-3**

**SuperNEMO**

$^{100}\text{Mo}$

**isotope**

$^{82}\text{Se}$  **or other**

**7 kg**

**isotope mass M**

**100+ kg**

**18 %**

**efficiency  $\epsilon$**

**~ 30 %**

$^{208}\text{Tl}$ : ~ 100  $\mu\text{Bq/kg}$

$^{214}\text{Bi}$ : < 300  $\mu\text{Bq/kg}$

**Rn: 5 mBq/m<sup>3</sup>**

**internal contaminations**

$^{208}\text{Tl}$  and  $^{214}\text{Bi}$  in the  $\beta\beta$  foil

**Rn in the tracker**

$^{208}\text{Tl} \leq 2 \mu\text{Bq/kg}$

*if  $^{82}\text{Se}$ :  $^{214}\text{Bi} \leq 10 \mu\text{Bq/kg}$*

**Rn  $\leq 0.15 \text{ mBq/m}^3$**

**8% @ 3MeV**

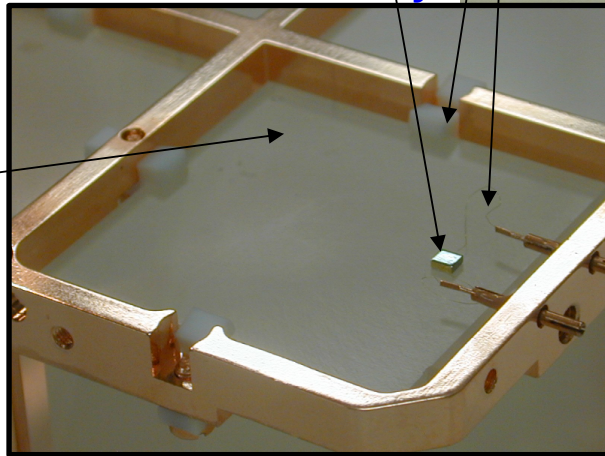
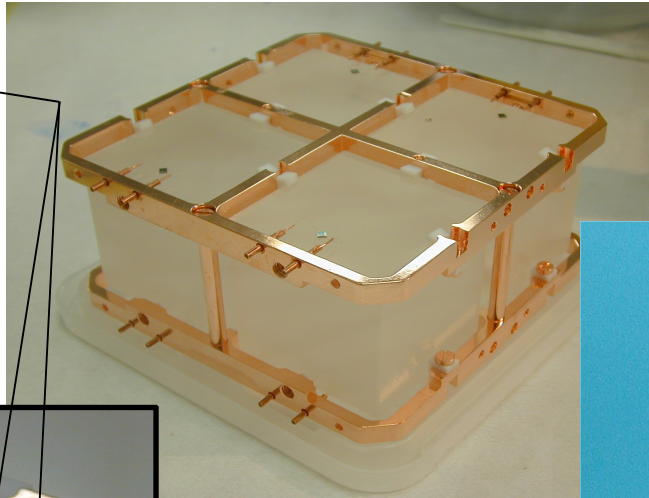
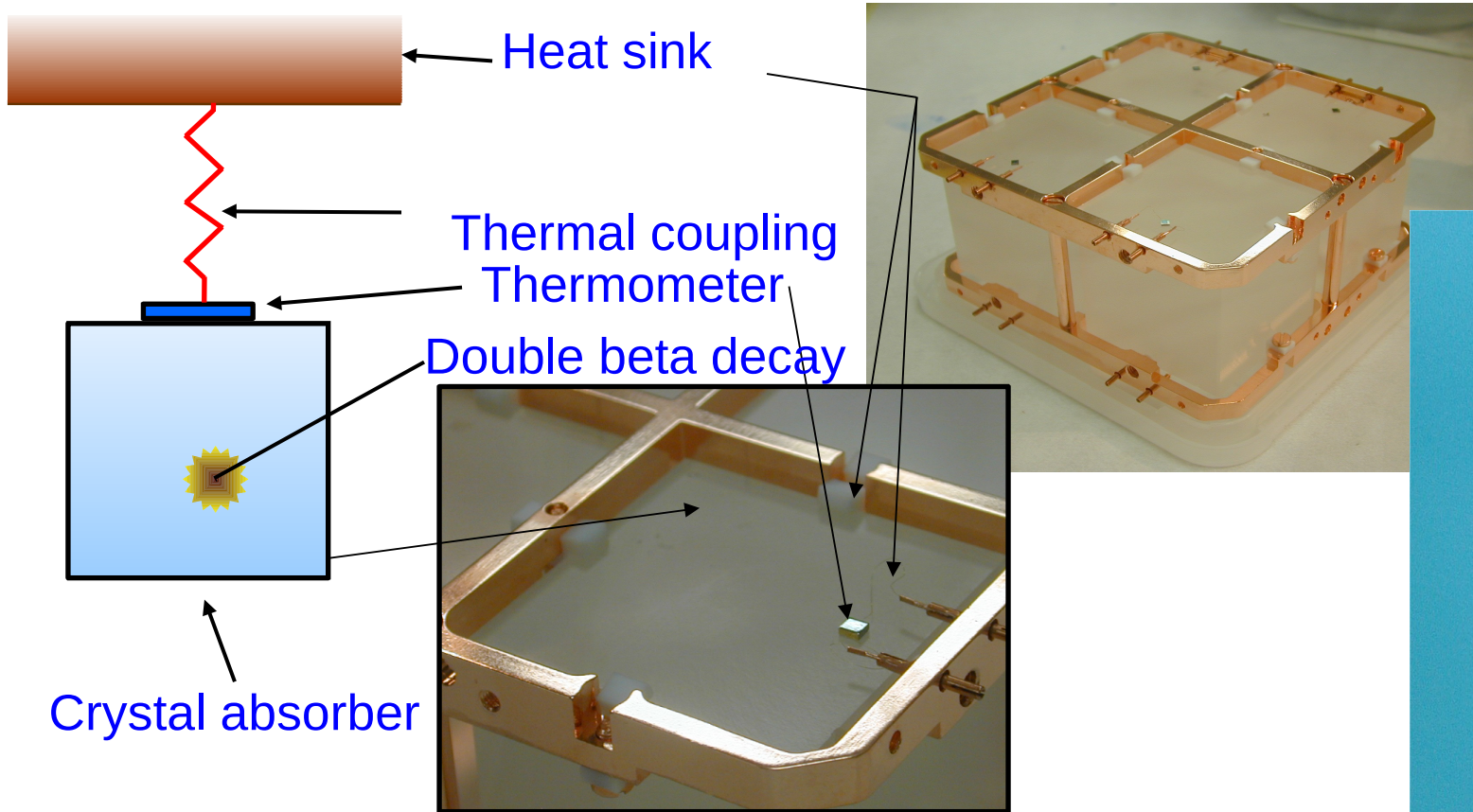
**energy resolution (FWHM)**

**4% @ 3 MeV**

$T_{1/2}(\beta\beta 0\nu) > 2 \times 10^{24} \text{ y}$   
 $\langle m_\nu \rangle < 0.3 - 0.9 \text{ eV}$

$T_{1/2}(\beta\beta 0\nu) > 1 \times 10^{26} \text{ y}$   
 $\langle m_\nu \rangle < 0.04 - 0.11 \text{ eV}$

# Cuoricino/Cuore



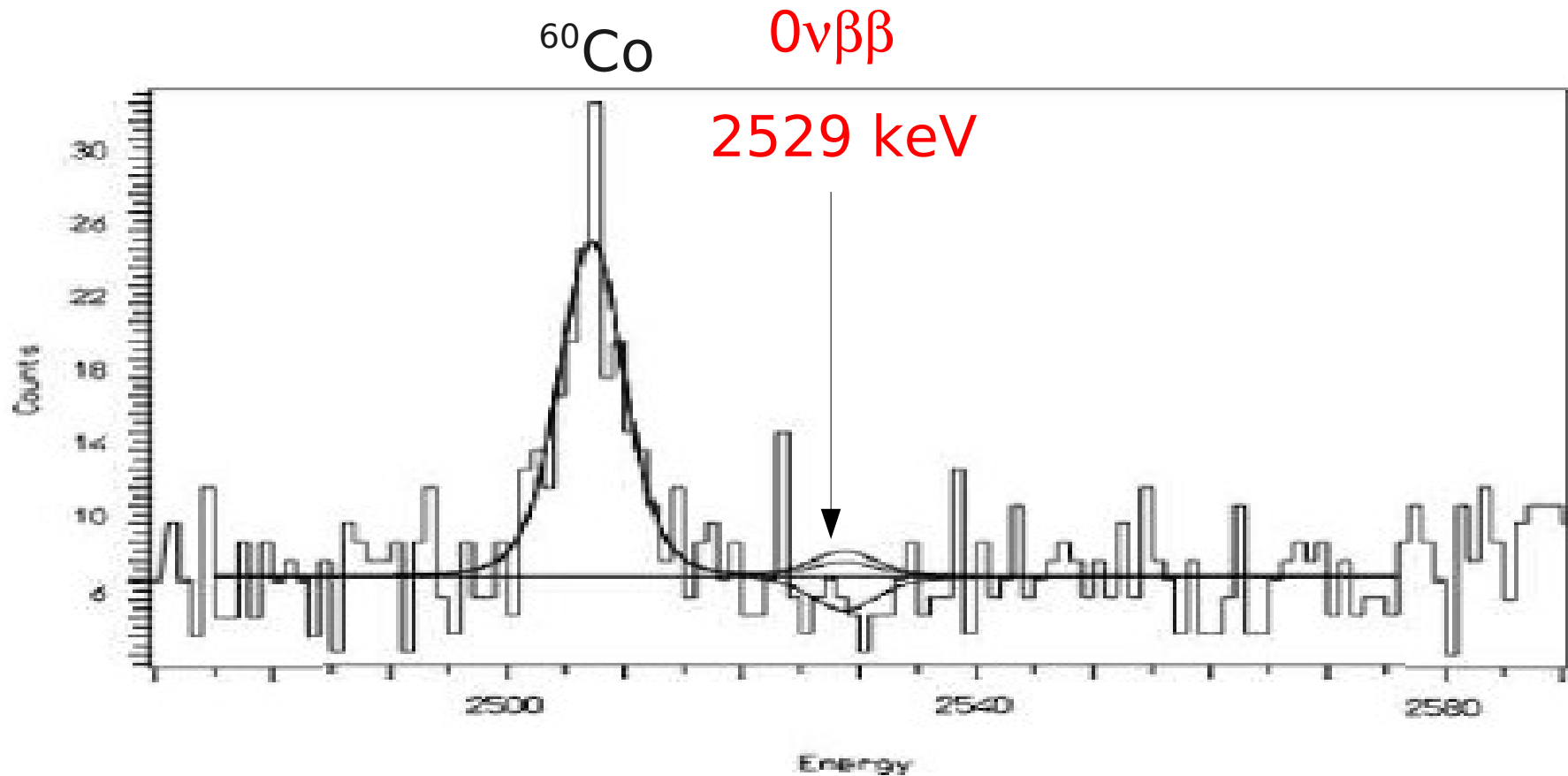
**example: 750 g of  $\text{TeO}_2$  @ 10 mK**

$$C \sim T^3 \text{ (Debye)} \Rightarrow C \sim 2 \times 10^{-9} \text{ J/K}$$

$$1 \text{ MeV } \gamma\text{-ray} \Rightarrow \Delta T \sim 80 \mu\text{K}$$

$$\Rightarrow \Delta U \sim 10 \text{ eV}$$

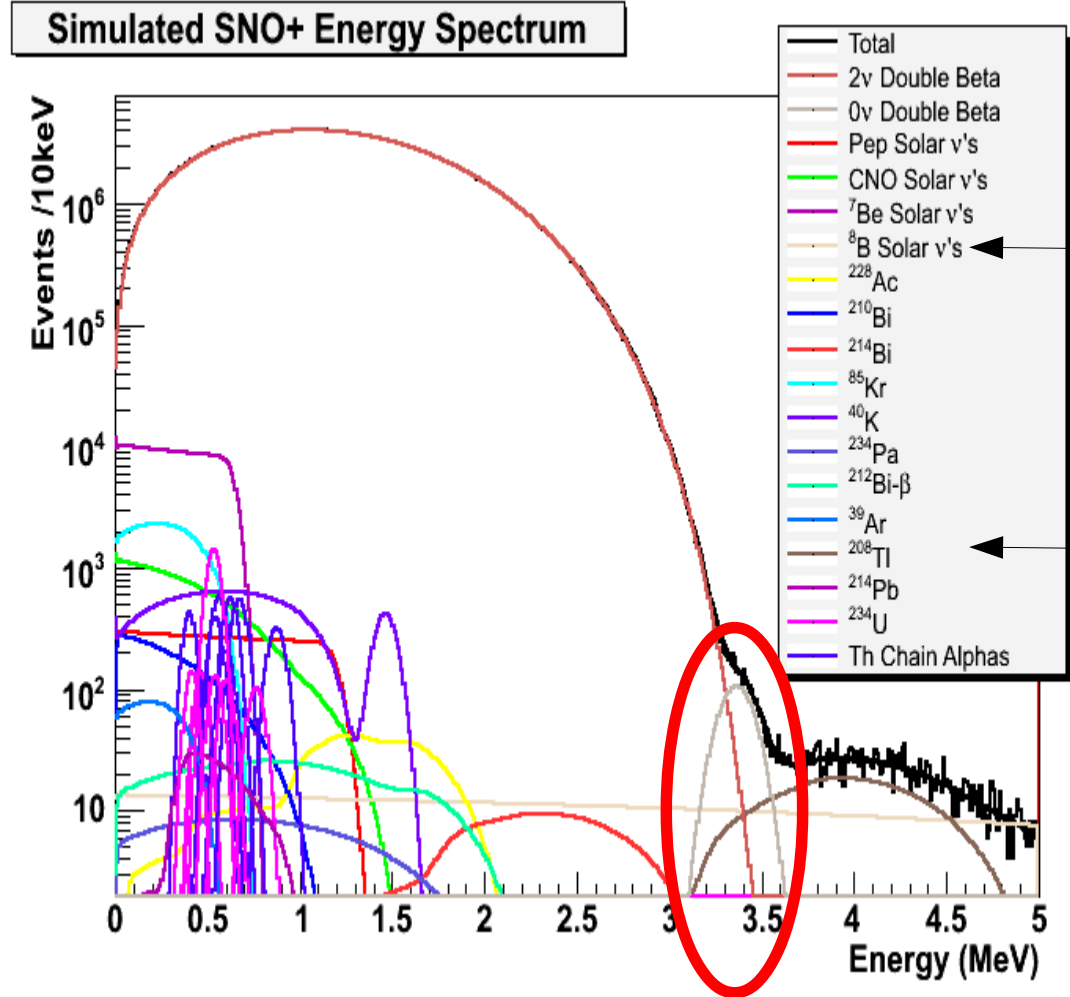
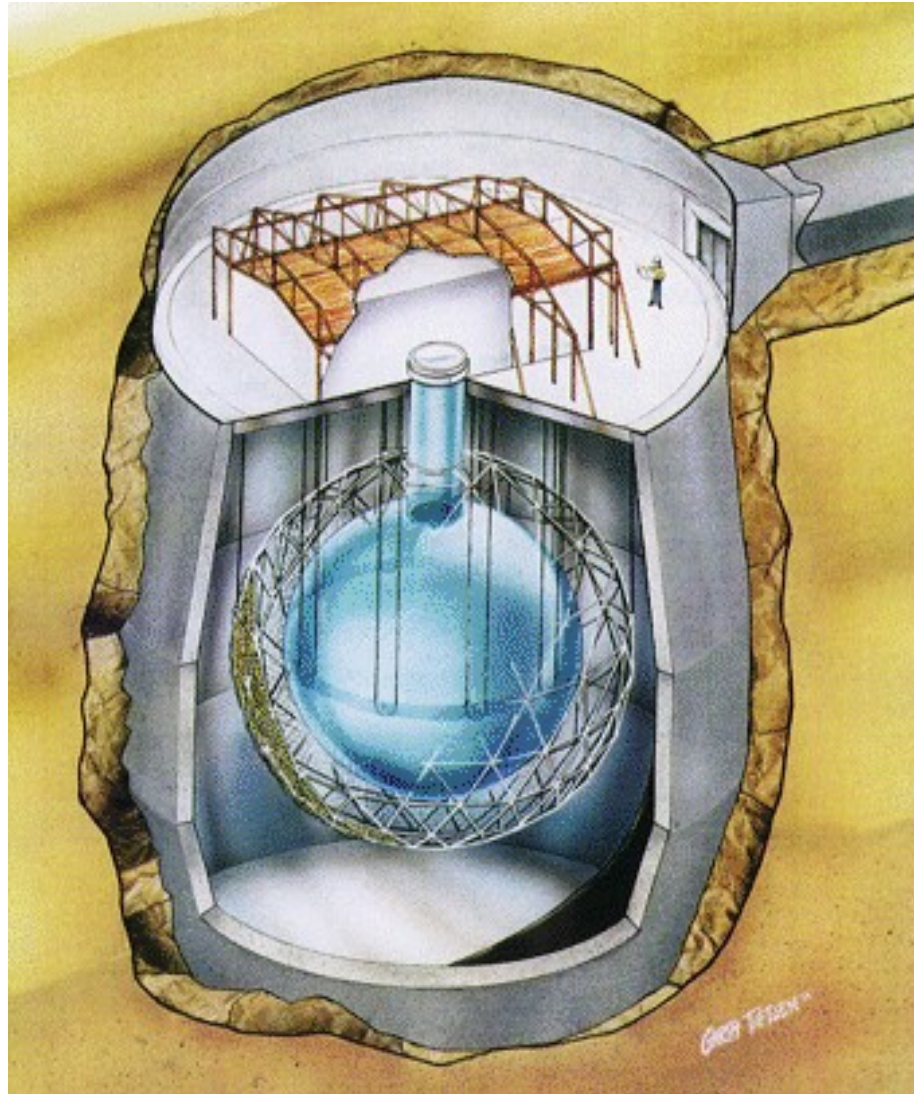
# Cuoricino Results



$$T_{1/2}^{0\nu} > 3.0 \times 10^{24} \text{ years} \Rightarrow \langle m_\nu \rangle < 0.68 \text{ eV}$$



# SNO+



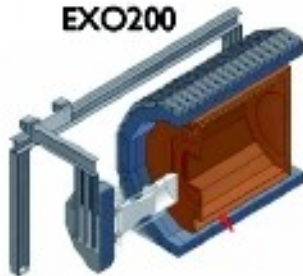
<sup>150</sup>Nd loaded -  $m_{\nu} < 80$  meV

# Future Program

CUORE



EXO200

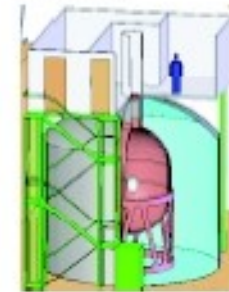


NEMO



Collaboration	Isotope	Technique	Mass	Status
CAMEO	Cd-116	CdWO <sub>4</sub> crystals	1 t	
CANDLES	Ca-48	60 CaF <sub>2</sub> crystals in liq. scint	6 kg	Construction
CARVEL	Ca-48	<sup>48</sup> CaWO <sub>4</sub> crystal scint.	100 kg	
COBRA	Cd-116, Te-130	CdZnTe detectors	10 kg	R&D
CUORE	Te-130	TeO <sub>3</sub> Bolometer	206 kg	Construction
DCBA	Nd-150	Nd foils & tracking chambers	20 kg	R&D
EXO200	Xe-136	Xe TPC	200 kg	Construction
EXO	Xe-136	Xe TPC	1-10t	R&D
GEM	Ge-76	Ge diodes in LN	1 t	
GERDA	Ge-76	Seg. and UnSeg. Ge in LAr	35-40 kg	Construction
GSO	Gd-160	Gd <sub>2</sub> SiO <sub>5</sub> :Ce crystal scint. in liquid scint	2t	
HPXeTPC	Xe-136	High Pressure TPC	1t	R&D
Majorana	Ge-76	Segmented Ge	60 kg	Proposed
NEMO3	Mo-100	Foils with tracking	6.9 kg	Operating
	Se-82		0.9 kg	
SuperNEMO	Se-82	Foils with tracking	100 kg	Proposed
MOON	Mo-100	Mo sheets	200 kg	R&D
SNO+ $\beta\beta$	Nd-150	0.1% suspended in Scint.	56 kg	R&D
	Xe	Xe in liq. Scint.	1.56 t	
XMASS $\beta\beta$	Xe-136	Liquid Xe	10 kg	Feasibility

GERDA



Majorana (R15.00002)



HPXeTPC



Operating    Construction    Proposed/R&D