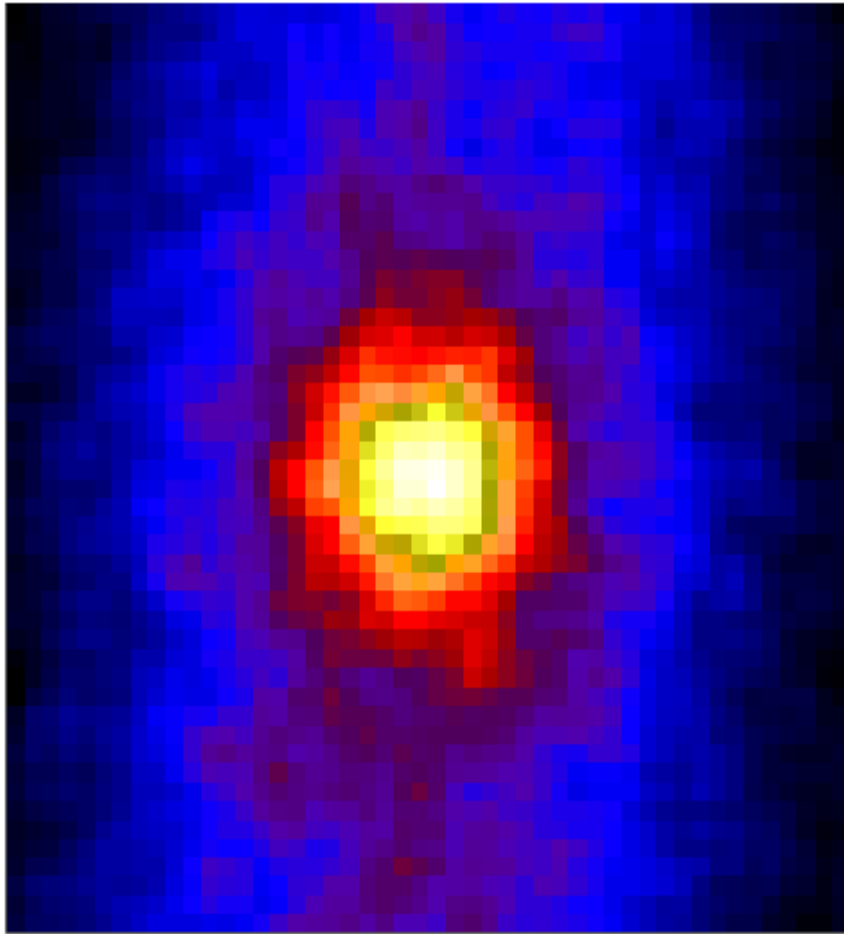


*The neutrino oscillation industry*

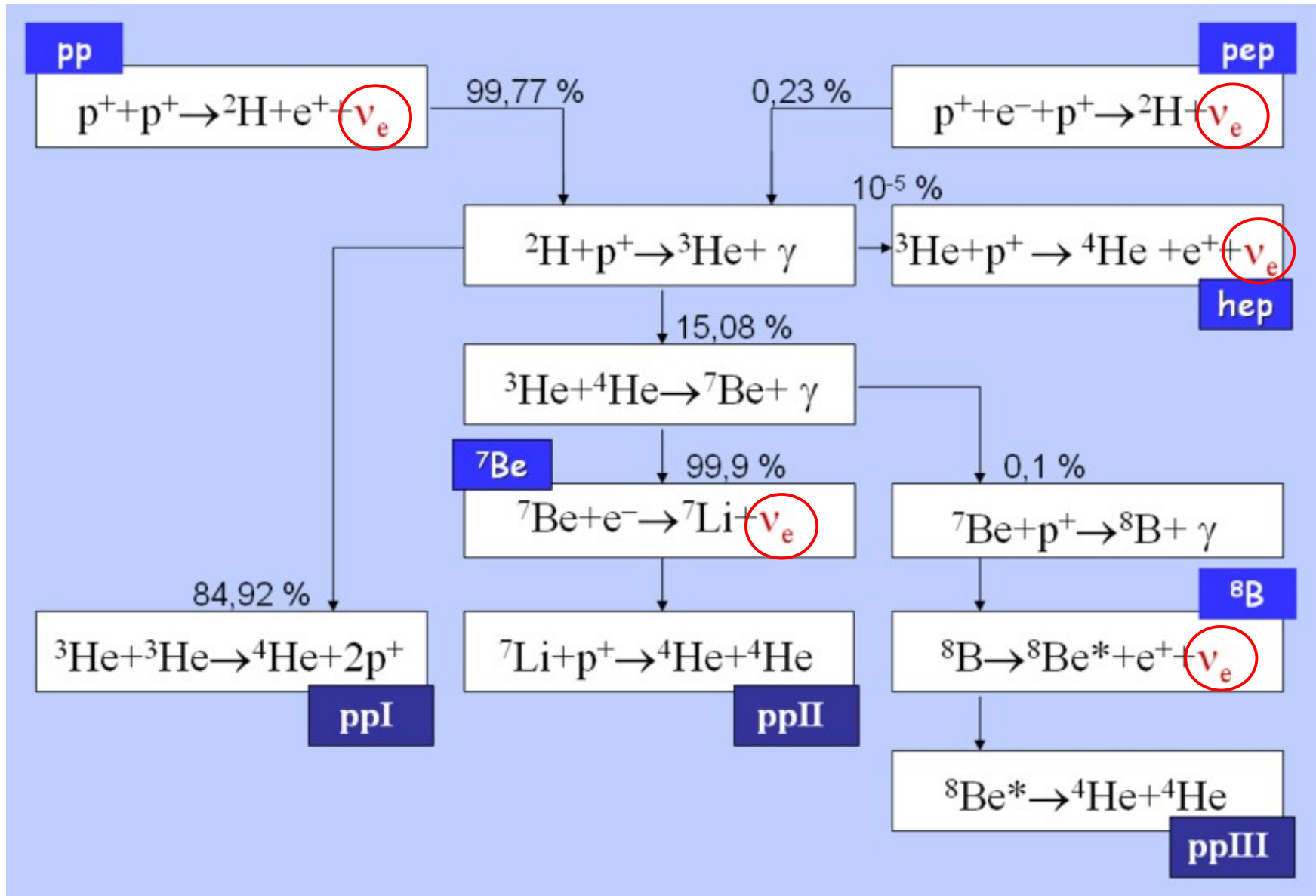
# Solar Neutrinos

SuperK : Solar neutrino-gram

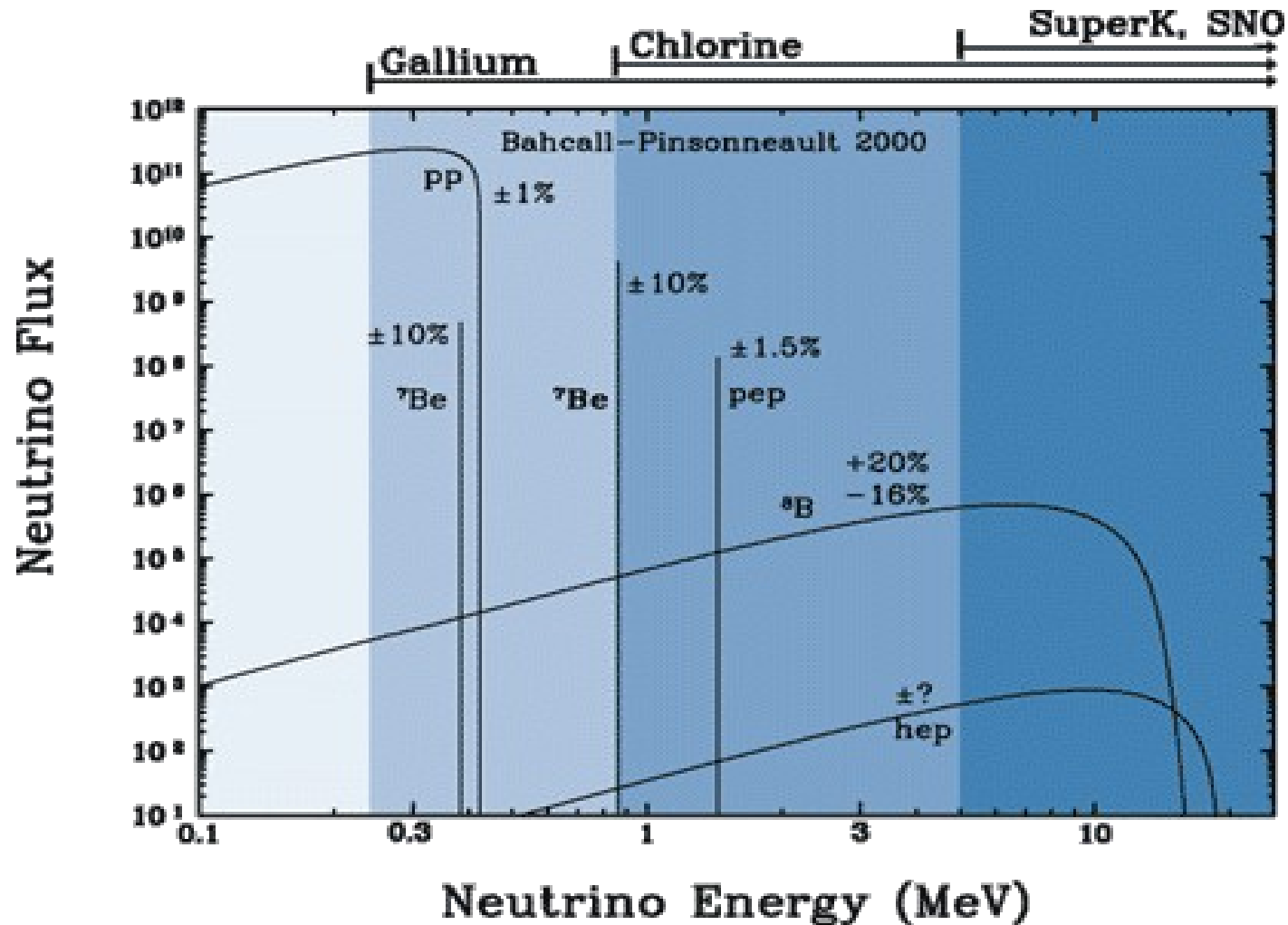


- Light from the solar core takes a million years to reach the surface
- Fusion processes generate electron neutrinos which take 2s to leave
- Solar neutrinos are a direct probe of the solar core
- Roughly  $4.0 \times 10^{10}$  solar  $\nu_e$  per  $\text{cm}^2$  per second on earth

# Solar neutrino generation

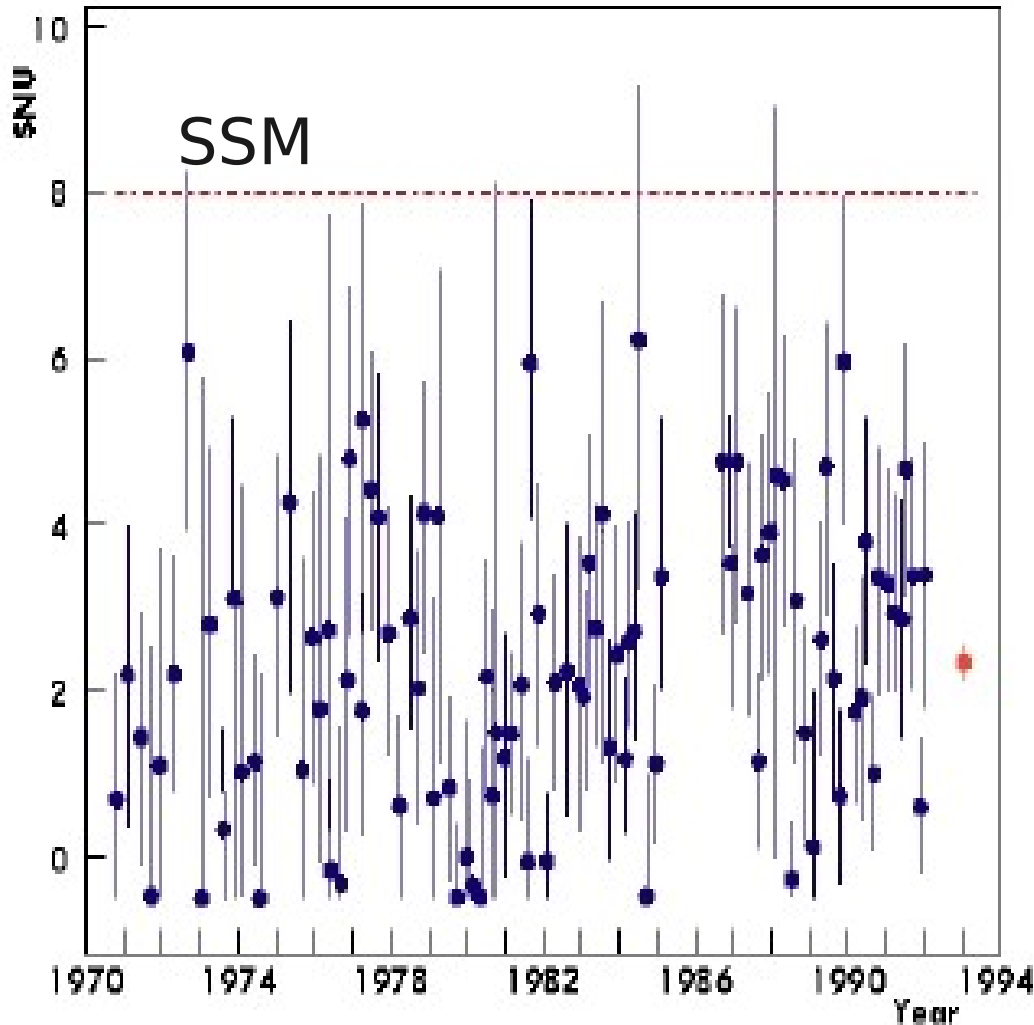


# Solar Neutrino Flux



As predicted by Bahcall's Solar model

# The Solar Neutrino Problem - Homestake



Homestake sensitive to  $^8\text{B}$  and  $^7\text{Be}$  *electron neutrinos*

$E_{\nu} > 800 \text{ keV}$

Observe 1/3 of the expected number of solar neutrinos

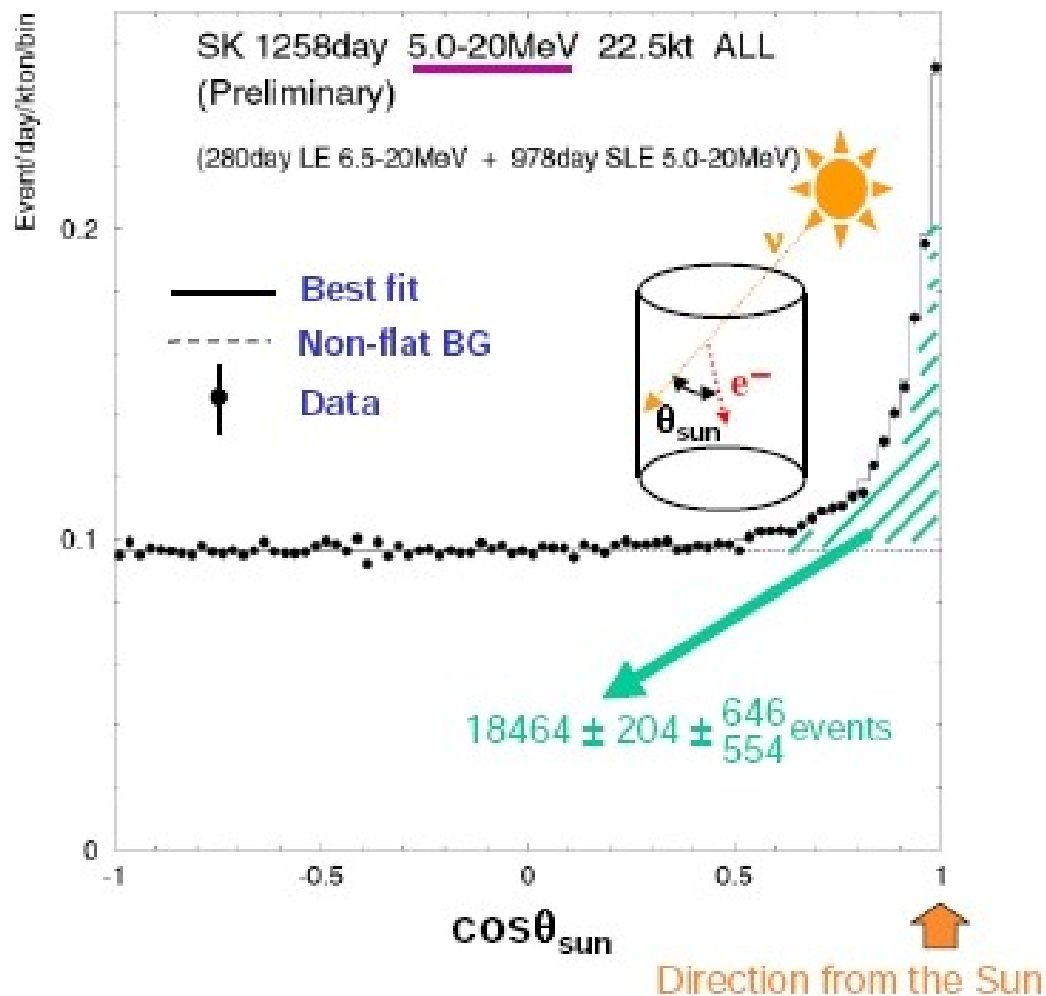
Something wrong with  
the experiment?  
the SSM?  
the neutrinos?

1 SNU = 1 interaction per  $10^{36}$  atoms per second

# (Super)Kamiokande

1987 – Kamiokande : 1000 phototubes, 5000 tons of water

1997 – SuperKamiokande : 11000 PMT, 50000 tons of water



SuperK can only observe the  ${}^8\text{B}$  flux ( $> 5$  MeV)

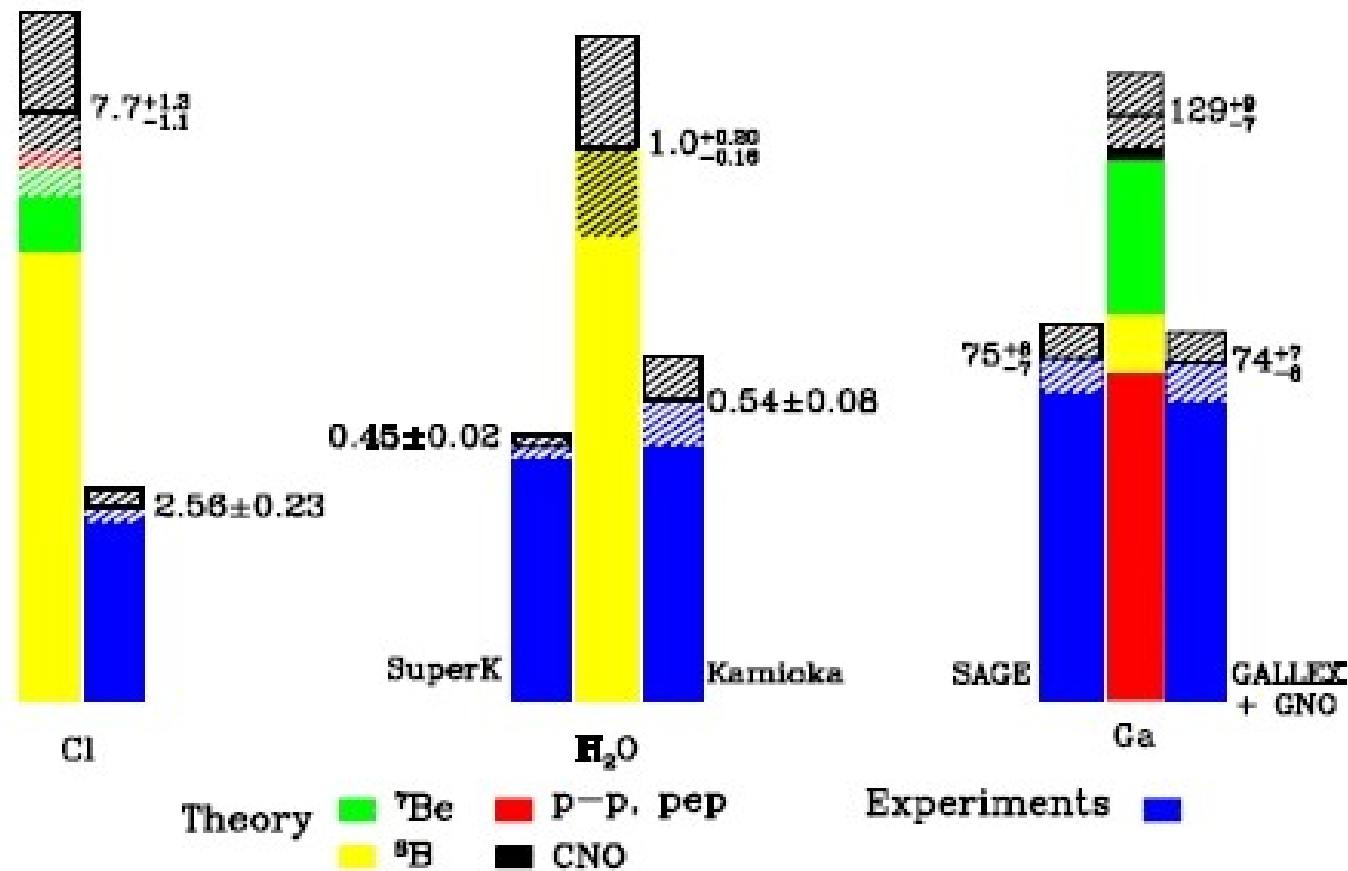
$$\frac{\text{Data}}{\text{SSM}} = 0.451 \pm 0.017$$

Confirmation that it wasn't just Homestake

SuperK only sensitive to  $\nu_e$

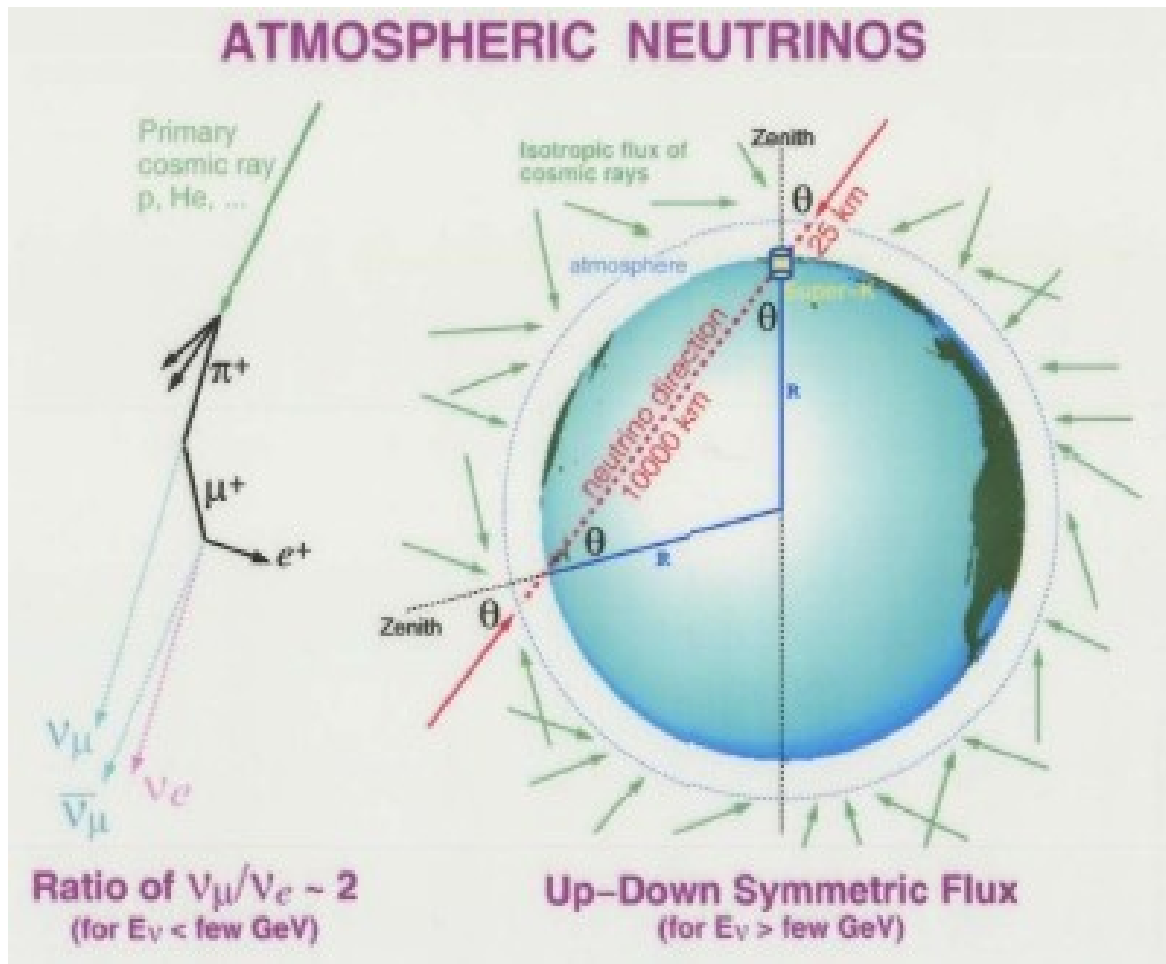
# Experimental summary

**Total Rates: Standard Model vs. Experiment**  
Bahcall–Pinsonneault 2000



# Atmospheric Neutrinos

Neutrinos produced from cosmic rays interactions in the atmosphere

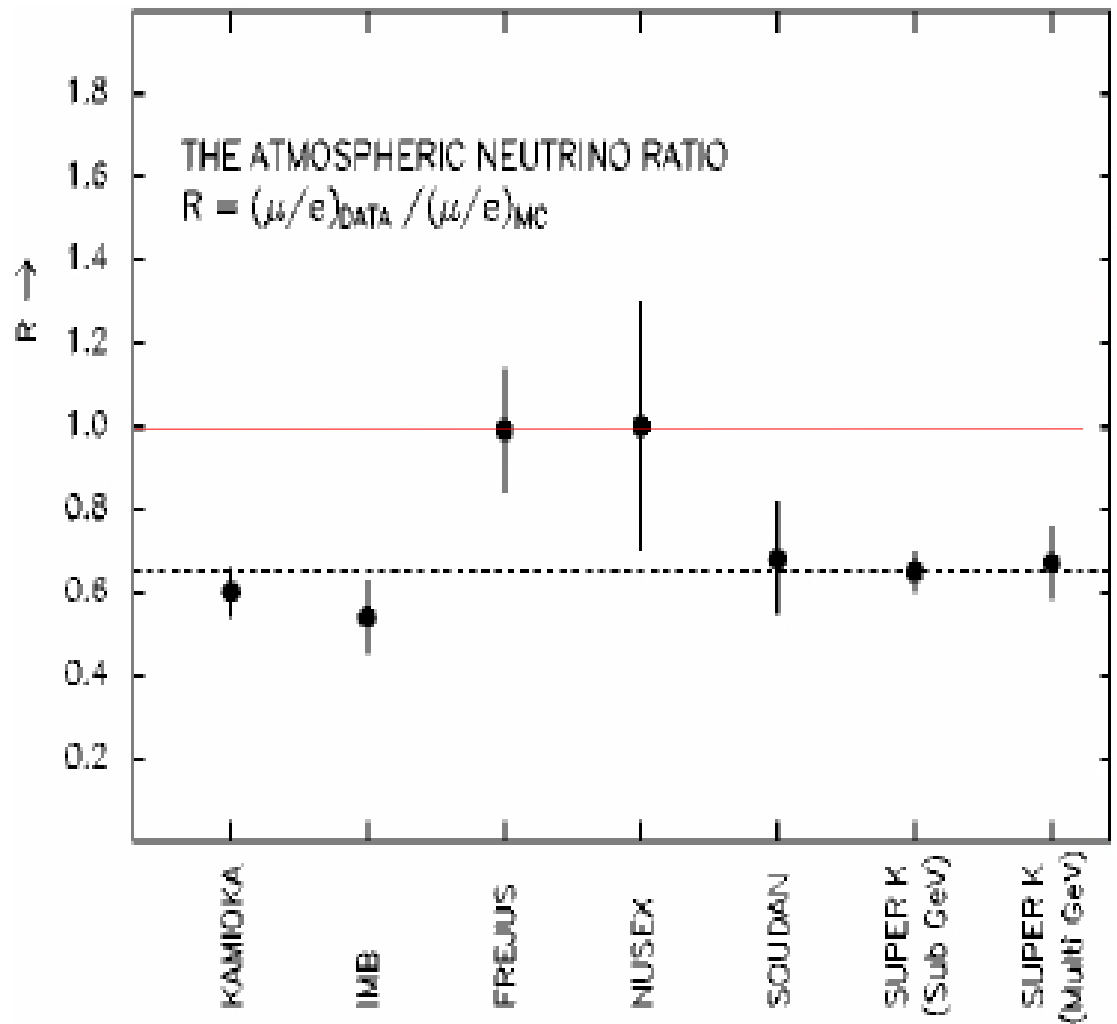


- Flux modelled using
  - Measured primary flux
  - Cross sections from accelerators
  - Includes geomagnetic effects
  - Absolute flux only known to 20-30%

$$\delta(R) = \delta \left( \frac{\nu_\mu + \bar{\nu}_\mu}{\nu_e + \bar{\nu}_e} \right) \sim 5\%$$



# Atmospheric Neutrino Problem



Study of atmospheric neutrinos started in the early 1980's

Background for proton decay experiments.

“Today's background is tomorrow's signal”

# Neutrino Flavour Oscillations

# Mixing

CKM Mechanism

$$\begin{pmatrix} u \\ d' \end{pmatrix}_L, \begin{pmatrix} c \\ s' \end{pmatrix}_L \quad \begin{aligned} d' &= d \cos \theta_c + s \sin \theta_c \\ s' &= -d \sin \theta_c + s \cos \theta_c \end{aligned}$$

In the quark sector, the flavour eigenstates (those states which couple to the W/Z) are not identical to the mass eigenstates (those states which are solutions of the Dirac equation)

**Weak states**  $\longrightarrow$   $\begin{pmatrix} d' \\ s' \\ b' \end{pmatrix} = \begin{pmatrix} 0.97 & 0.23 & 0.003 \\ 0.23 & 0.97 & 0.04 \\ 0.008 & 0.04 & 0.99 \end{pmatrix} \begin{pmatrix} d \\ s \\ b \end{pmatrix} \longleftarrow$  **Mass states**

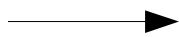
# Mixing

CKM  
Mechanism

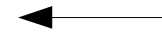
$$\begin{pmatrix} u \\ d' \end{pmatrix}_L, \begin{pmatrix} c \\ s' \end{pmatrix}_L \quad \begin{aligned} d' &= d \cos \theta_c + s \sin \theta_c \\ s' &= -d \sin \theta_c + s \cos \theta_c \end{aligned}$$

In the quark sector, the flavour eigenstates (those states which couple to the W/Z) are not identical to the mass eigenstates (those states which are solutions of the Dirac equation)

Weak  
states



$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = U \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

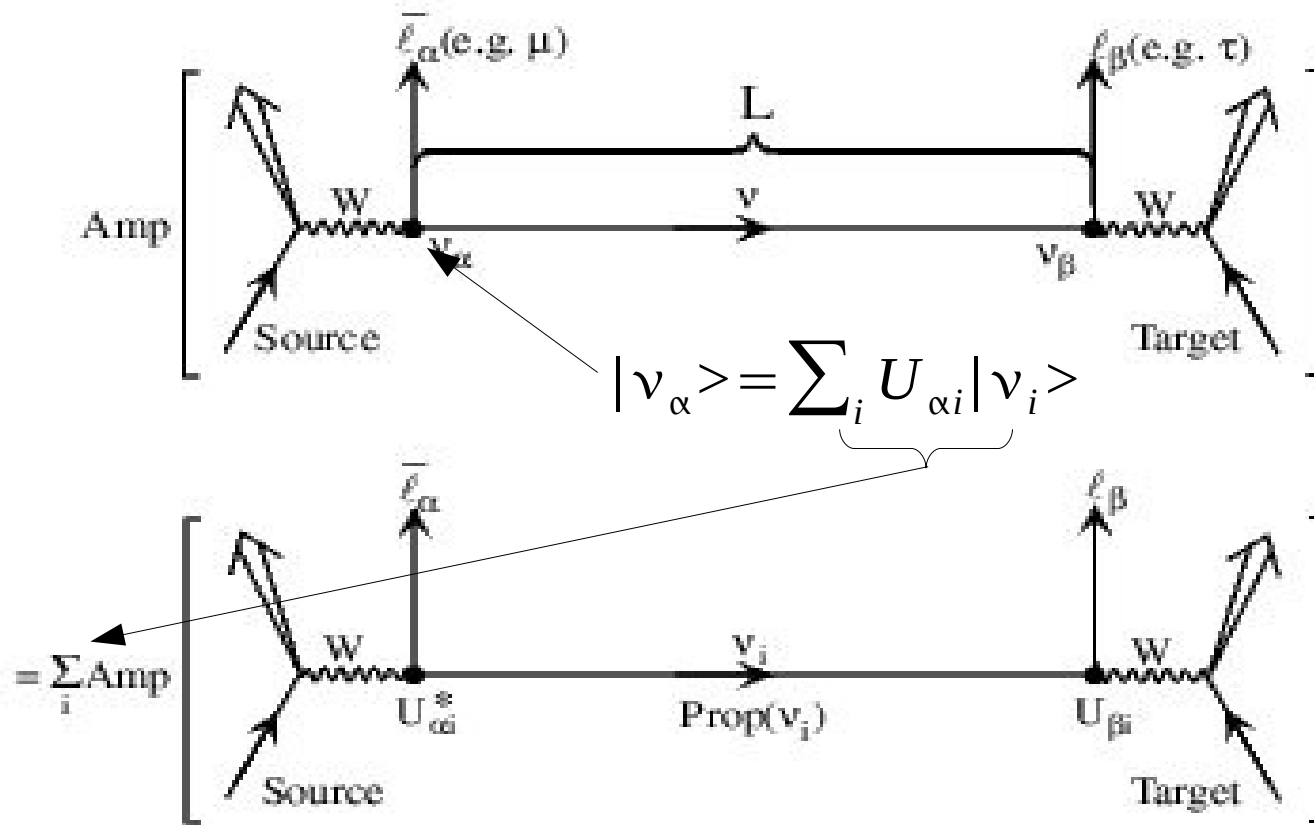


Mass  
states

Unitary mixing matrix



# Neutrino Oscillations



$$\text{Amp}(\nu_\alpha \rightarrow \nu_\beta) \propto \sum_i U_{\alpha i}^* \text{Prop}(\nu_i) U_{\beta i}$$

If we can't resolve the individual mass states then the amplitude involves a coherent superposition of  $\nu_i$  states

$$\begin{aligned}
 \text{Prob}(v_\alpha \rightarrow v_\beta) = & \delta_{\alpha\beta} - 4 \sum_{i>j} \Re(U_{\alpha i}^* U_{\beta i} U_{\alpha j} U_{\beta j}^*) \sin^2\left(\Delta m_{ij}^2 \frac{L}{4E}\right) \\
 & + 2 \sum_{i>j} \Im(U_{\alpha i}^* U_{\beta i} U_{\alpha j} U_{\beta j}^*) \sin\left(\Delta m_{ij}^2 \frac{L}{2E}\right)
 \end{aligned}$$

- If  $\Delta m_{ij}^2 = 0$  then neutrinos don't oscillate
- Oscillation depends on  $|\Delta m^2|$  - absolute masses, or mass patterns cannot be determined.
- If there is no mixing (If  $U_{\alpha i} = 0$ ) neutrinos don't oscillate
- One can detect flavour change in 2 ways : start with  $v_\alpha$  and look for  $v_\beta$  (appearance) or start with  $v_\alpha$  and see if any disappears (disappearance)
- Flavour change oscillates with  $L/E$ .  $L$  and  $E$  are chosen by the experimenter to maximise sensitivity to a given  $\Delta m^2$
- Flavour change doesn't alter total neutrino flux – it just redistributes it amongst different flavours (unitarity)

# Two flavour oscillations

$$\begin{pmatrix} \nu_\alpha \\ \nu_\beta \end{pmatrix} = U \begin{pmatrix} \nu_1 \\ \nu_2 \end{pmatrix} \Rightarrow U = \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix}$$

$$P(\nu_\alpha \rightarrow \nu_\beta) = \delta_{\alpha\beta} - 4 \sum_{i>j} U_{\alpha i} U_{\beta i} U_{\alpha j} U_{\beta j} \sin^2 \left( \Delta m_{ij}^2 \frac{L}{4E} \right)$$

$P(\nu_\alpha \rightarrow \nu_\beta)$  : Appearance Probability

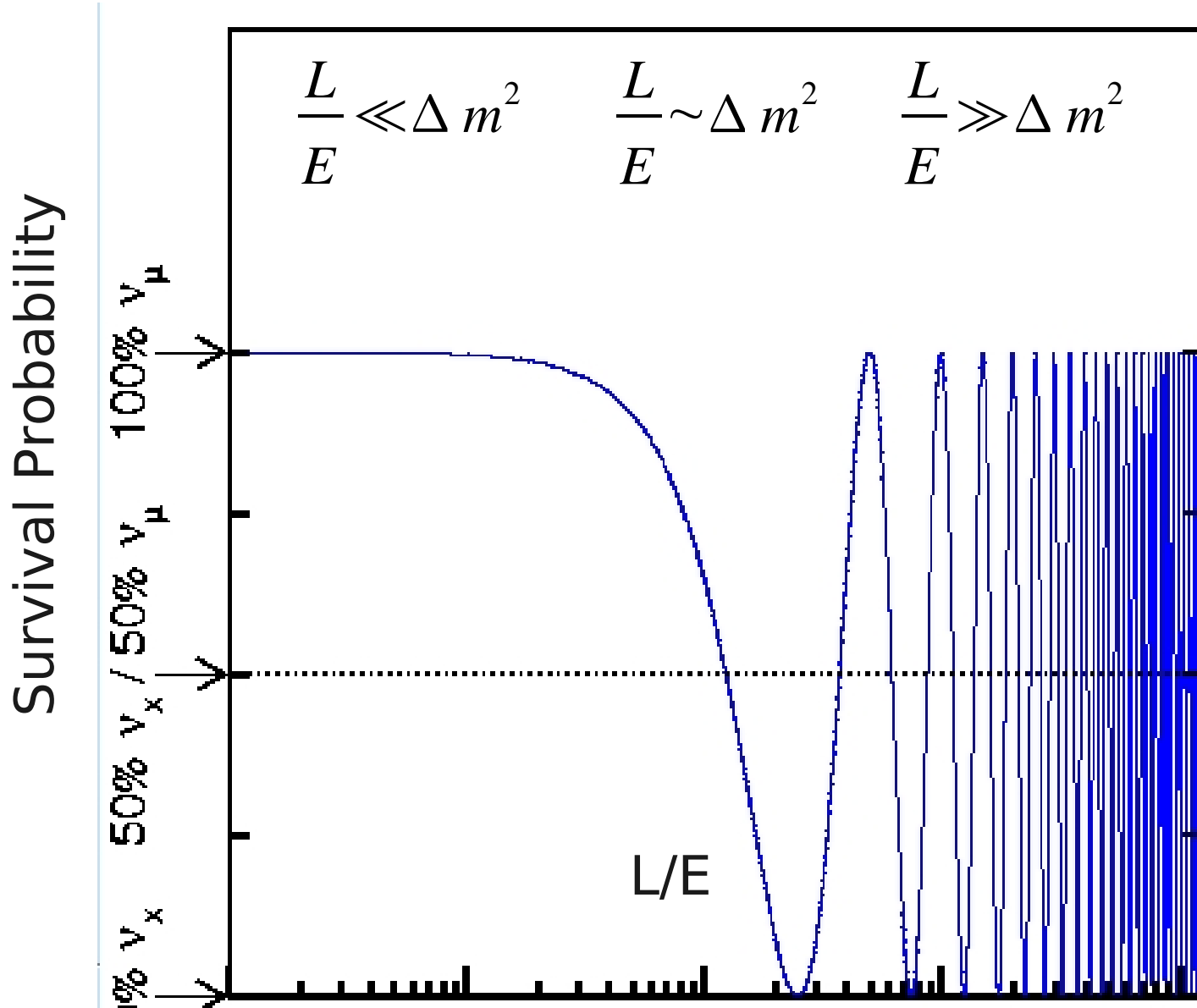
$P(\nu_\alpha \rightarrow \nu_\alpha)$  : Survival Probability

$$P(\nu_\alpha \rightarrow \nu_\beta) = -4 (U_{\alpha 1} U_{\beta 1} U_{\alpha 2} U_{\beta 2}) \sin^2 \left( \Delta m_{ij}^2 \frac{L}{4E} \right)$$

$$= \sin^2(2\theta) \sin^2 \left( 1.27 \Delta m^2 (\text{eV}^2) \frac{L(\text{km})}{E(\text{GeV})} \right)$$

(changing to useful units)

$$P(\nu_\alpha(0) \rightarrow \nu_\alpha(x)) = 1 - \sin^2(2\theta) \sin^2\left(1.27 \Delta m^2 \frac{(L/\text{km})}{(E/\text{GeV})}\right)$$



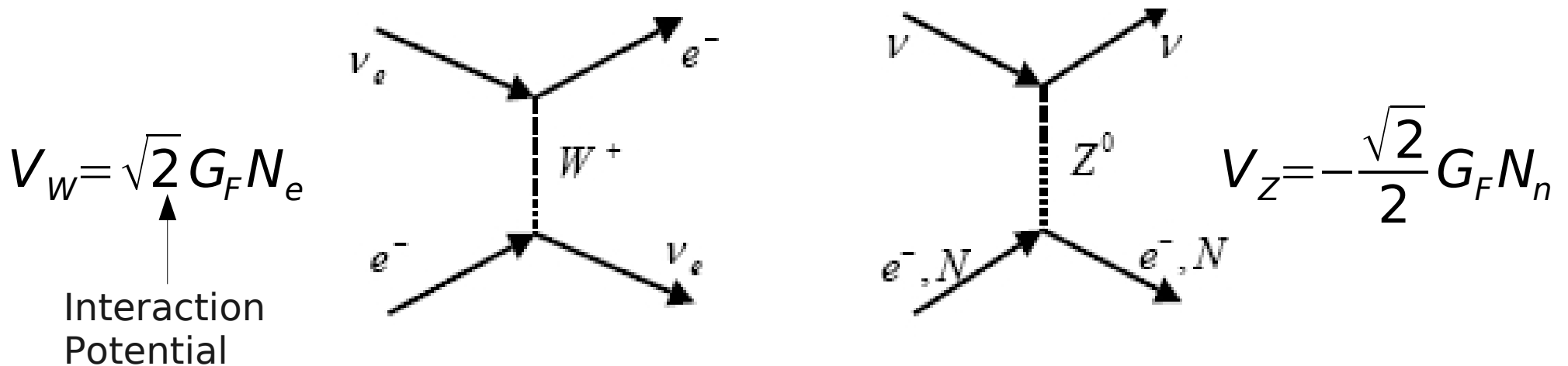


# Sensitivity

	$E_\nu$ (MeV)	L (m)	$\Delta m^2$ (eV <sup>2</sup> )
Supernovae	<100	>10 <sup>19</sup>	10 <sup>-19</sup> - 10 <sup>-20</sup>
Solar	<14	10 <sup>11</sup>	10 <sup>-10</sup>
Atmospheric	>100	10 <sup>4</sup> - 10 <sup>7</sup>	10 <sup>-4</sup>
Reactor	<10	<10 <sup>6</sup>	10 <sup>-5</sup>
Accelerator with short baseline	>100	10 <sup>3</sup>	10 <sup>-1</sup>
Accelerator with long baseline	>100	<10 <sup>6</sup>	10 <sup>-3</sup>

# Oscillations in Matter (MSW Effect)

Electrons exist in standard matter –  $\mu/\tau$  do not. Electron neutrinos travelling in matter can experience an extra charged current interaction that other flavours cannot.



Oscillation probabilities are now function of  $\theta_M, \Delta m_M^2$

$$\Delta m_M^2 = \Delta m_V^2 \sqrt{\sin^2(2\theta) + (\cos 2\theta - \zeta)^2}$$

$$\sin^2 2\theta_M = \frac{\sin^2 2\theta}{\sin^2 2\theta + (\cos 2\theta - \zeta)^2}$$

$$\zeta = \frac{2\sqrt{2} G_F N_e E}{\Delta m_V^2}$$

# Implications

$$\sin^2 2\theta_M = \frac{\sin^2 2\theta}{\sin^2 2\theta + (\cos 2\theta - \zeta)^2} \quad \zeta = \frac{2\sqrt{2}G_F N_e E}{\Delta m_{Vac}^2}$$

- If  $\Delta m_{Vac}^2 = 0$  or matter is very dense,  $\zeta = \infty$  and  $\theta_M = 0$
- Similarly, if  $\theta=0$ , then  $\theta_M = 0$
- If there is no matter, then  $\zeta = 0$  and we have vacuum mixing
- At a particular electron density, dependent on  $\Delta m^2$ ,

$$\zeta = \frac{2\sqrt{2}G_F N_e E}{\Delta m^2} = \cos 2\theta \Rightarrow \sin^2 2\theta_M = 1$$

Even if the vacuum mixing angle is tiny, there is a density for which the matter mixing is large

# Mass hierarchy

$$\sin^2 2\theta_M = \frac{\sin^2 2\theta}{\sin^2 2\theta + (\cos 2\theta - \zeta)^2} \quad \zeta = \frac{2\sqrt{2} G_F N_e E}{\Delta m_\nu^2}$$

If mass of  $\nu_1 <$  mass of  $\nu_2$ ,  $\Delta m^2 = m_1^2 - m_2^2 < 0$

$$\zeta = -\frac{2\sqrt{2} G_F N_e E}{|\Delta m^2|} \rightarrow \sin^2 2\theta_M = \frac{\sin^2 2\theta}{\sin^2 2\theta + (\cos 2\theta + \zeta)^2}$$

Positive definite – no resonance

If mass of  $\nu_1 >$  mass of  $\nu_2$ ,  $\Delta m^2 = m_1^2 - m_2^2 > 0$

$$\zeta = \frac{2\sqrt{2} G_F N_e E}{|\Delta m^2|} \rightarrow \sin^2 2\theta_M = \frac{\sin^2 2\theta}{\sin^2 2\theta + (\cos 2\theta - \zeta)^2}$$

# Three Flavour Oscillation

The three flavour case is more complicated, but no different

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = U \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix} \Leftrightarrow U = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} \end{pmatrix}$$

$$\begin{aligned} \text{Prob}(\nu_\alpha \rightarrow \nu_\beta) = & \delta_{\alpha\beta} - 4 \sum_{i>j} \Re(U_{\alpha i}^* U_{\beta i} U_{\alpha j} U_{\beta j}^*) \sin^2\left(\Delta m_{ij}^2 \frac{L}{4E}\right) \\ & + 2 \sum_{i>j} \Im(U_{\alpha i}^* U_{\beta i} U_{\alpha j} U_{\beta j}^*) \sin\left(\Delta m_{ij}^2 \frac{L}{2E}\right) \end{aligned}$$

# Oscillation parameters

$$U = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} \end{pmatrix} = \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13} e^{i\delta} \\ 0 & 1 & 0 \\ -s_{13} e^{i\delta} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix}$$

2 independent  $\Delta m^2$

$$\begin{aligned} \text{Prob}(v_\alpha \rightarrow v_\beta) = & \delta_{\alpha\beta} - 4 \sum_{i>j} \Re(U_{\alpha i}^* U_{\beta i} U_{\alpha j} U_{\beta j}^*) \sin^2\left(\Delta m_{ij}^2 \frac{L}{4E}\right) \\ & + 2 \sum_{i>j} \Im(U_{\alpha i}^* U_{\beta i} U_{\alpha j} U_{\beta j}^*) \sin\left(\Delta m_{ij}^2 \frac{L}{2E}\right) \end{aligned}$$

# Oscillation parameters

$$U = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} \end{pmatrix} = \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13} e^{i\delta} \\ 0 & 1 & 0 \\ -s_{13} e^{i\delta} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix}$$

Three angles

$$\begin{aligned} \text{Prob}(v_{\alpha} \rightarrow v_{\beta}) = & \delta_{\alpha\beta} - 4 \sum_{i>j} \Re(U_{\alpha i}^* U_{\beta i} U_{\alpha j} U_{\beta j}^*) \sin^2(\Delta m_{ij}^2 \frac{L}{4E}) \\ & + 2 \sum_{i>j} \Im(U_{\alpha i}^* U_{\beta i} U_{\alpha j} U_{\beta j}^*) \sin(\Delta m_{ij}^2 \frac{L}{2E}) \end{aligned}$$

# Oscillation parameters

$$U = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} \end{pmatrix} = \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13} e^{i\delta} \\ 0 & 1 & 0 \\ -s_{13} e^{i\delta} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix}$$

CP violating phase

$$\begin{aligned} \text{Prob}(v_\alpha \rightarrow v_\beta) = & \delta_{\alpha\beta} - 4 \sum_{i>j} \Re(U_{\alpha i}^* U_{\beta i} U_{\alpha j} U_{\beta j}^*) \sin^2\left(\Delta m_{ij}^2 \frac{L}{4E}\right) \\ & + 2 \sum_{i>j} \Im(U_{\alpha i}^* U_{\beta i} U_{\alpha j} U_{\beta j}^*) \sin\left(\Delta m_{ij}^2 \frac{L}{2E}\right) \end{aligned}$$



# Explaining the solar data

# Testing oscillation hypothesis

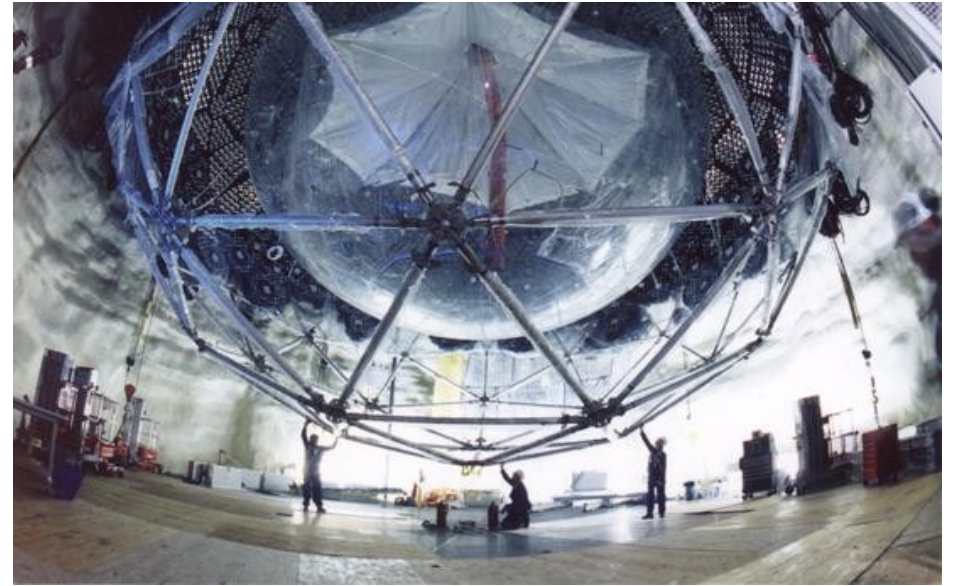
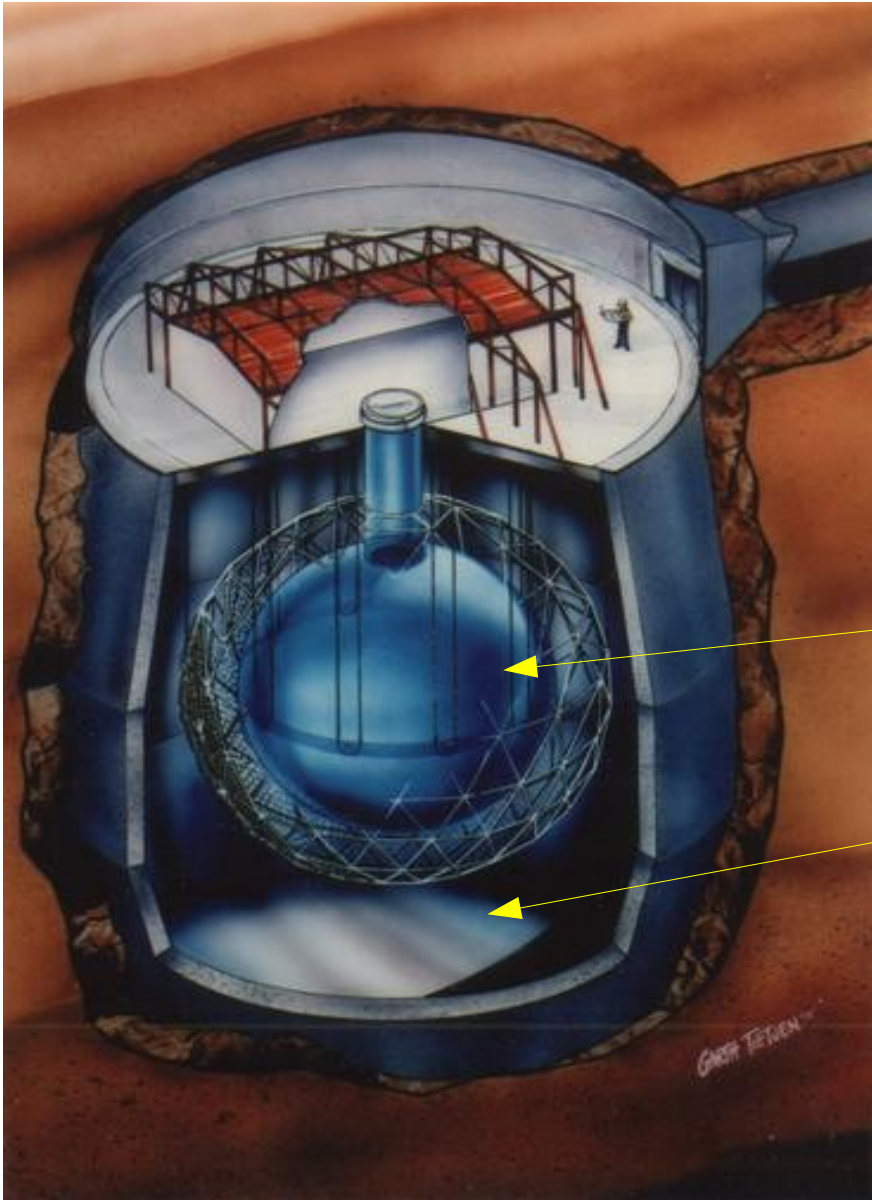
## Solar neutrino problem

$n_e$  from sun would change to  $n_\mu$  or  $n_\tau$ . However these have too little energy to interact via the charged current, and all the detectors are only sensitive to charge current interactions.

Non- $n_e$  component would effectively disappear, reducing the apparent  $n_e$  flux.

**Proof : Neutral current event rate shouldn't change.**

# Sudbury Neutrino Observatory



1000 tonnes of  $D_2O$

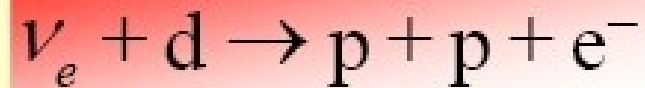
6500 tons of  $H_2O$

Viewed by 10,000 PMTS

In a salt mine 2km underground  
in Sudbury, Canada

# SNO

CC

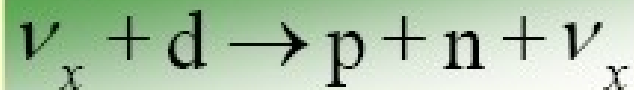


- $Q = 1.445 \text{ MeV}$
- good measurement of  $\nu_e$  energy spectrum
- some directional info  $\propto (1 - 1/3 \cos\theta)$
- $\nu_e$  only

Produces Cherenkov  
Light Cone in  $D_2O$

$\nu_e$

NC



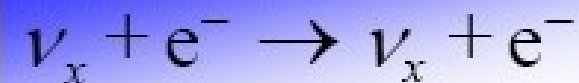
- $Q = 2.22 \text{ MeV}$
- measures total  $^8B$   $\nu$  flux from the Sun
- equal cross section for all  $\nu$  types

n captures on deuteron  
 $^2H(n, \gamma)^3H$

Observe  $6.25 \text{ MeV } \gamma$

$\nu_e + \nu_\mu + \nu_\tau$

ES

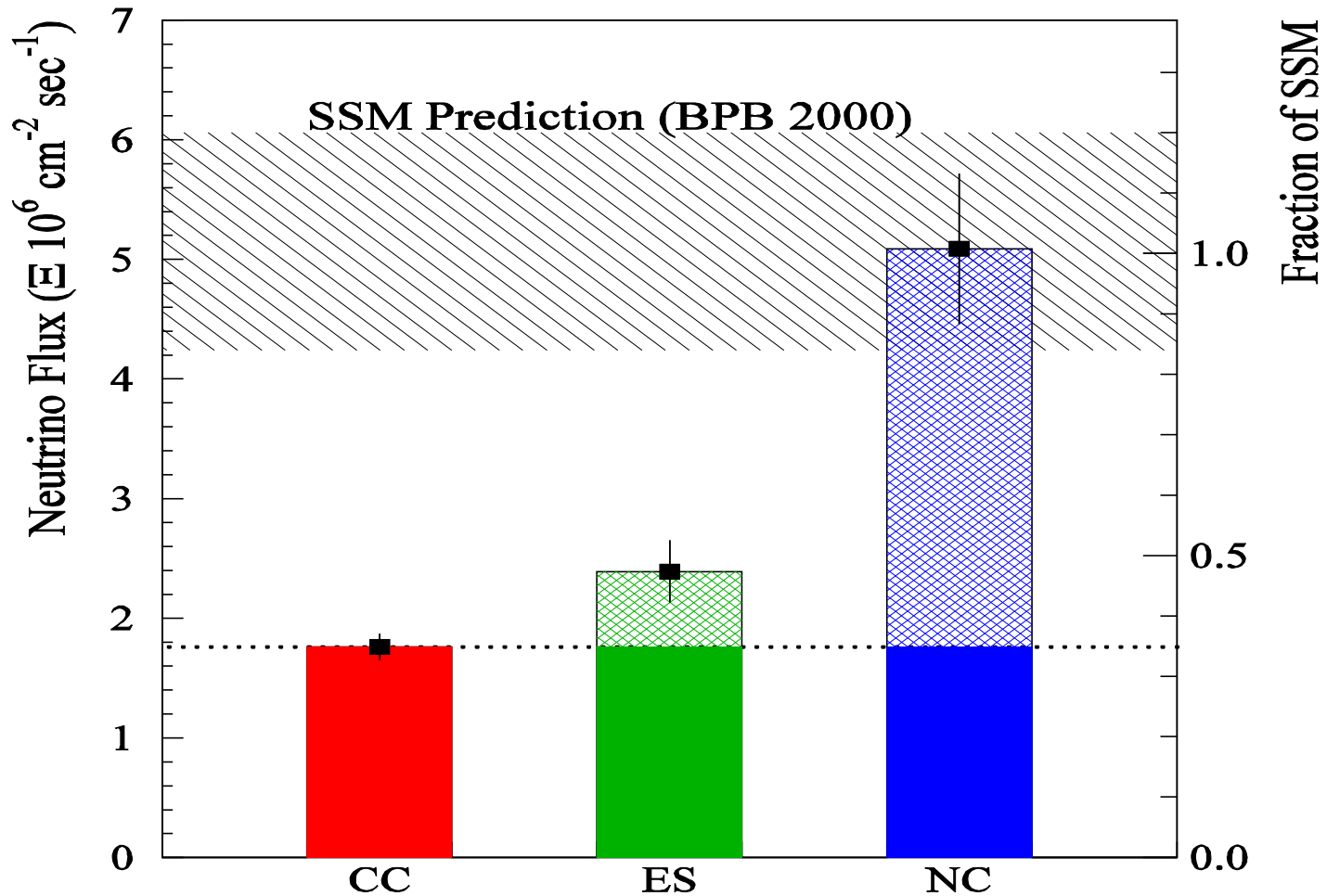


- low statistics
- mainly sensitive to  $\nu_e$ , some  $\nu_\mu$  and  $\nu_\tau$
- strong directional sensitivity

Produces Cherenkov  
Light Cone in  $D_2O$

$\nu_e + 0.15 * (\nu_\mu + \nu_\tau)$

# SNO Results

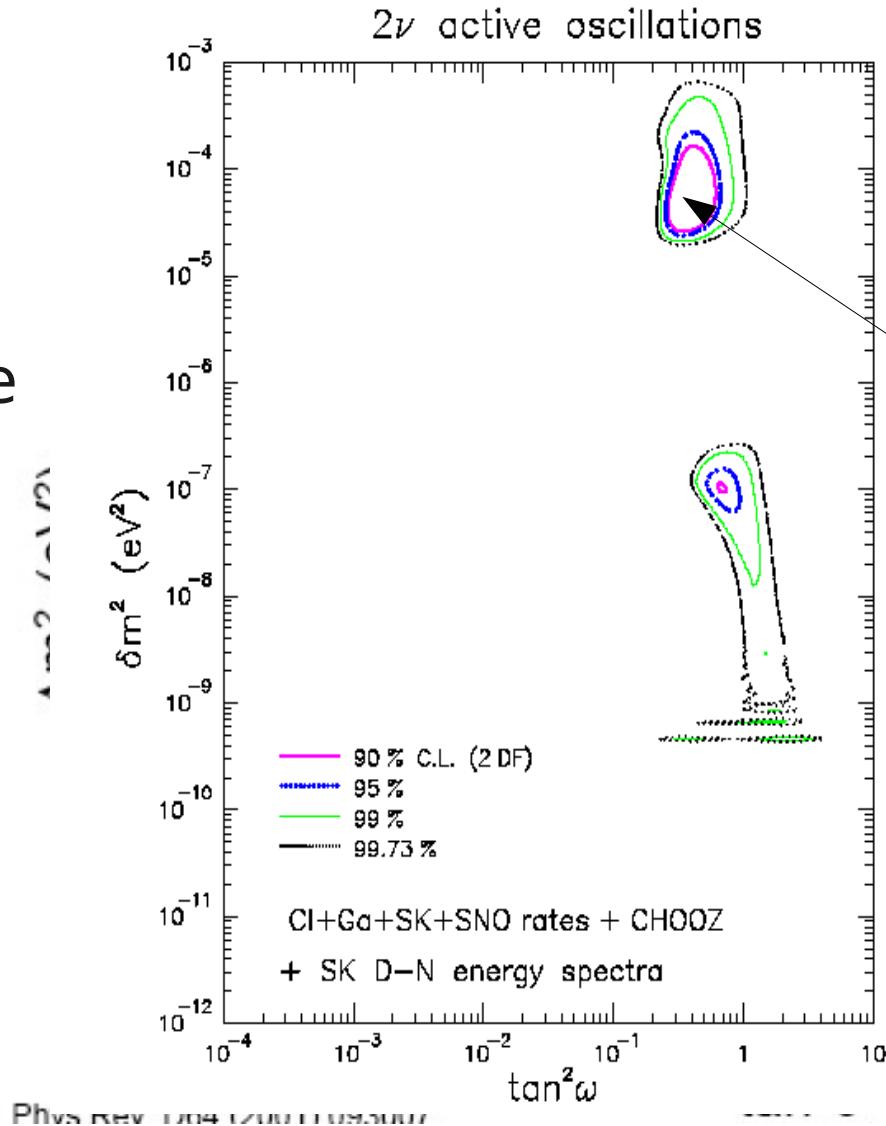


5.3  $\sigma$  appearance of  $\nu_{\mu\tau}$  in a  $\nu_e$  beam  
Roughly 70% of  $\nu_e$  oscillates away

# Adding SNO to the mix

The data shows that the solar oscillations come mostly from the MSW effect.

The neutrinos have oscillated before they get to the solar surface.



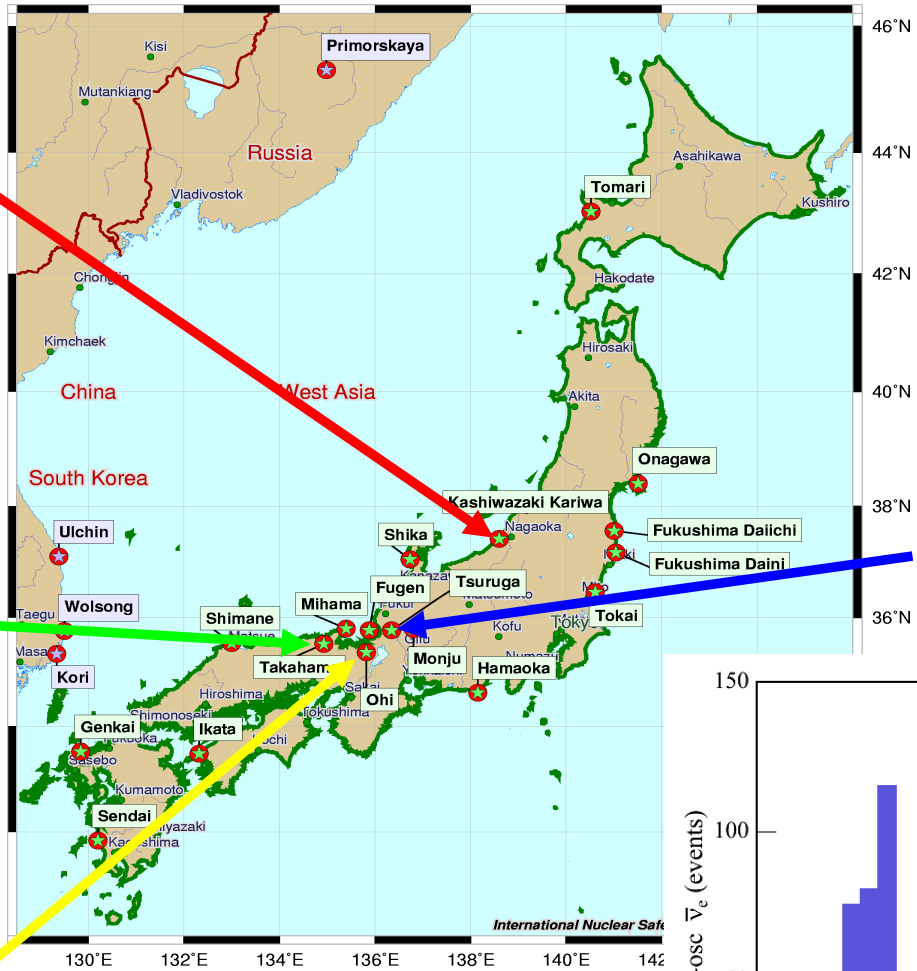
$$\theta_{e\mu} = 32.5^\circ \pm 2.4^\circ$$

$$\Delta m_{12}^2 = \oplus 7.1 \times 10^{-5} eV^2$$

Transition mostly

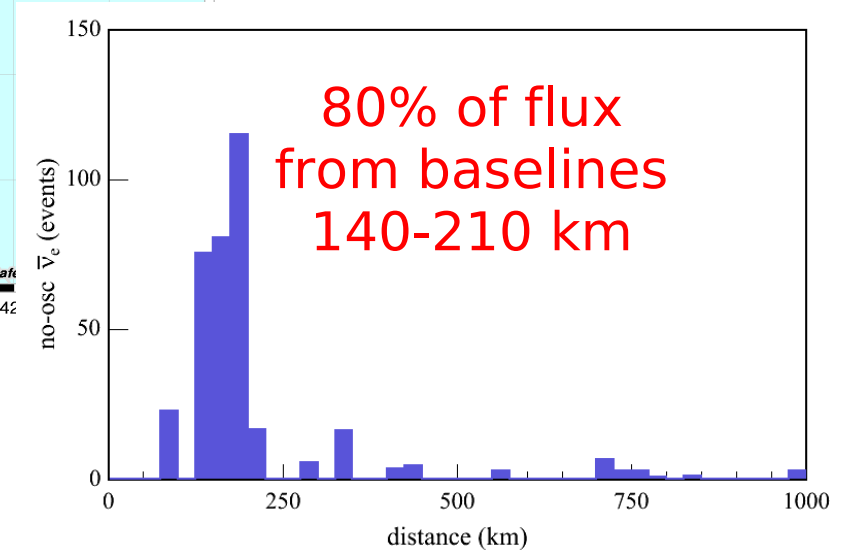
$$\nu_e \rightarrow \nu_\mu$$

# KamLAND

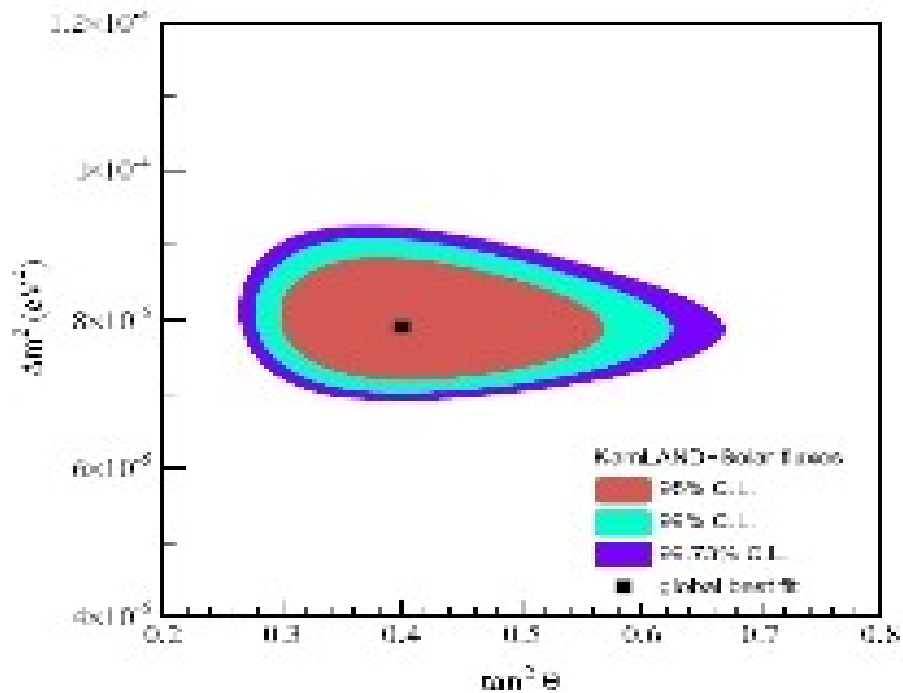
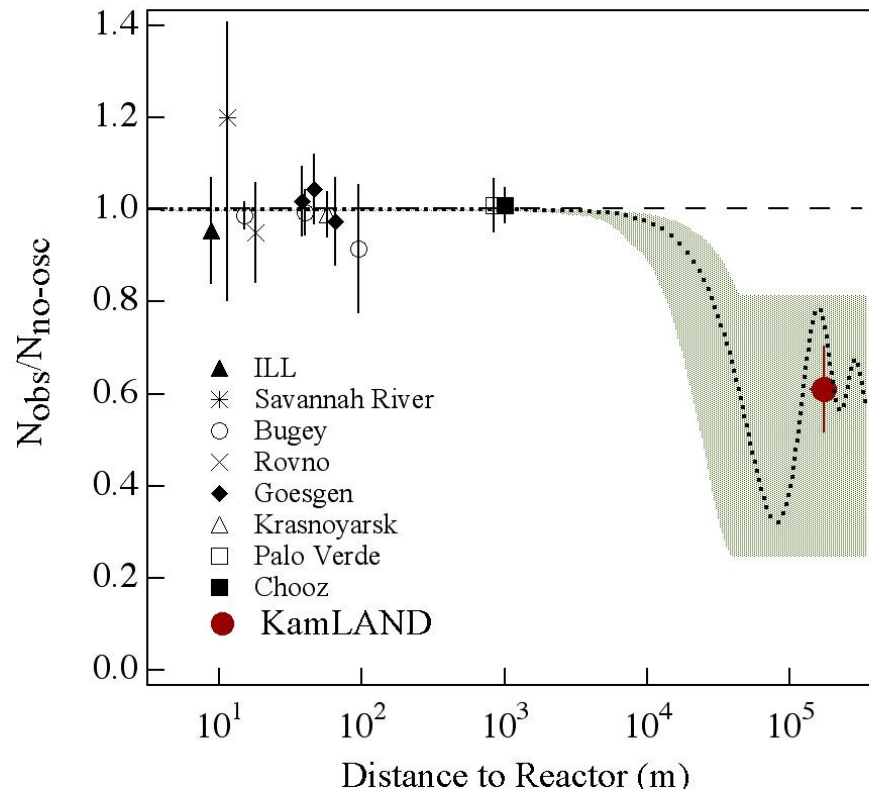
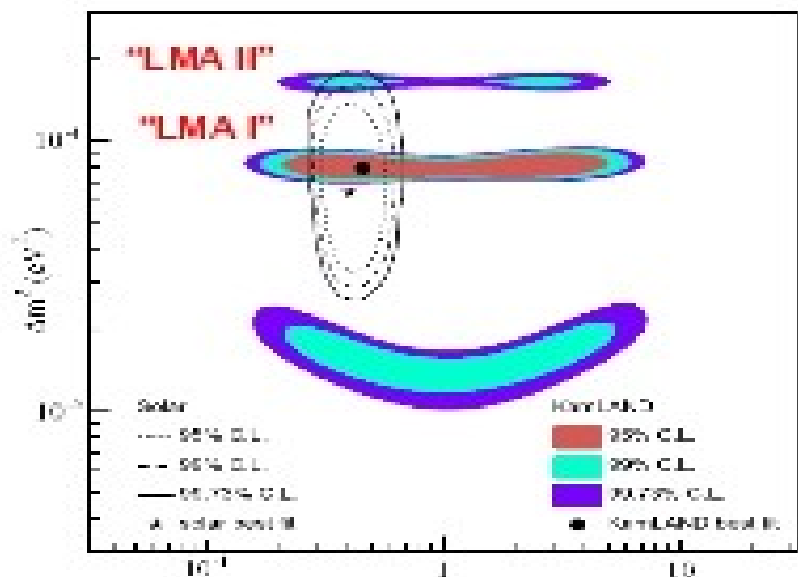


KamLAND uses the entire Japanese nuclear power industry as a long-baseline source

**KamLAND  
@ Kamioka**



# KamLAND

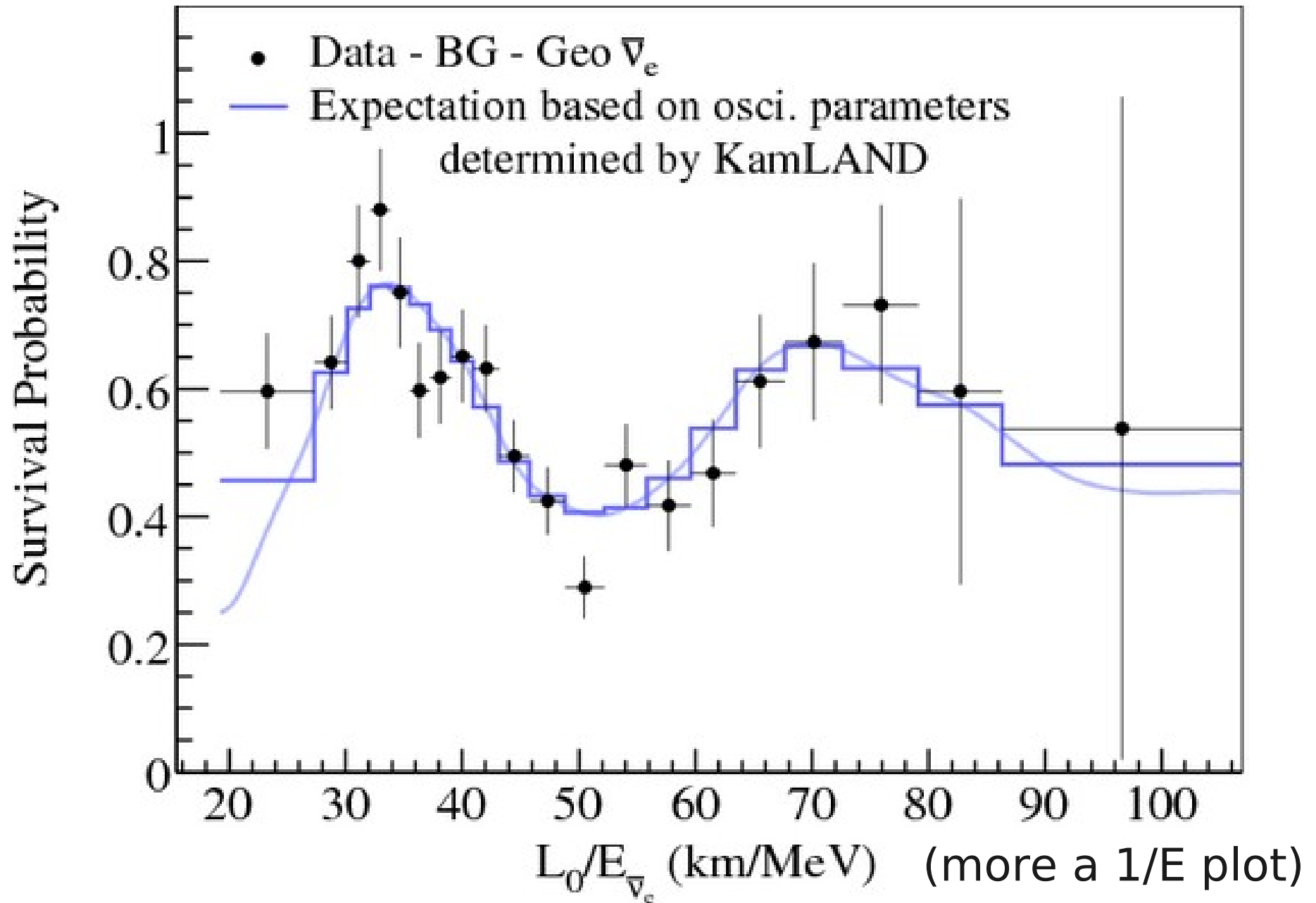


$$\Delta m_{12}^2 = +7.9 \pm 0.5 \times 10^{-5} \text{ eV}^2$$

$$\tan^2(\theta) = 0.4 \pm 0.09$$



# An oscillation!



# Mixing matrix

$$U = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} \end{pmatrix} = \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13} e^{i\delta} \\ 0 & 1 & 0 \\ -s_{13} e^{i\delta} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix}$$

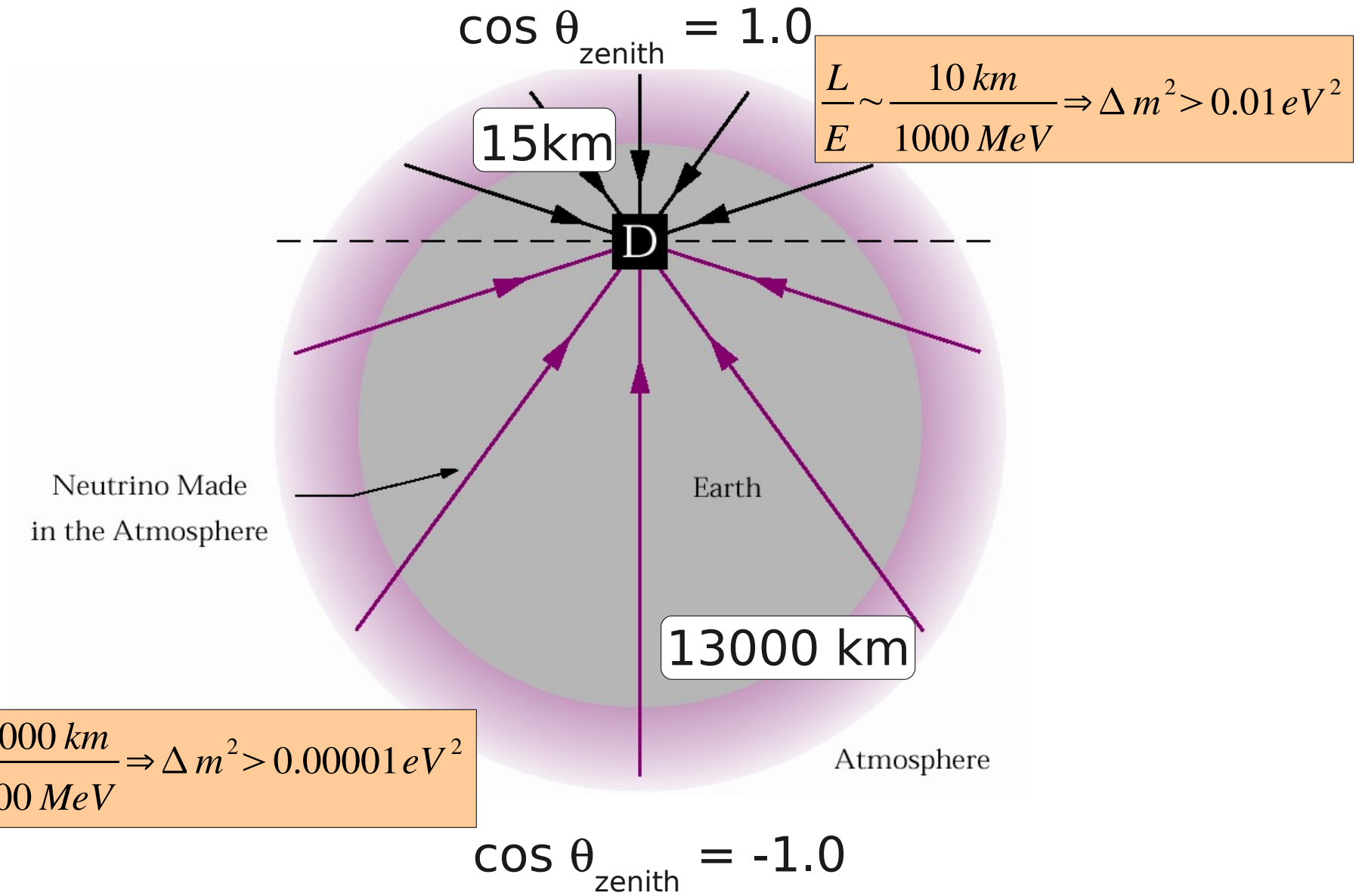
Solar sector

$$\theta_{e\mu} = 32.5^\circ \pm 2.4^\circ$$

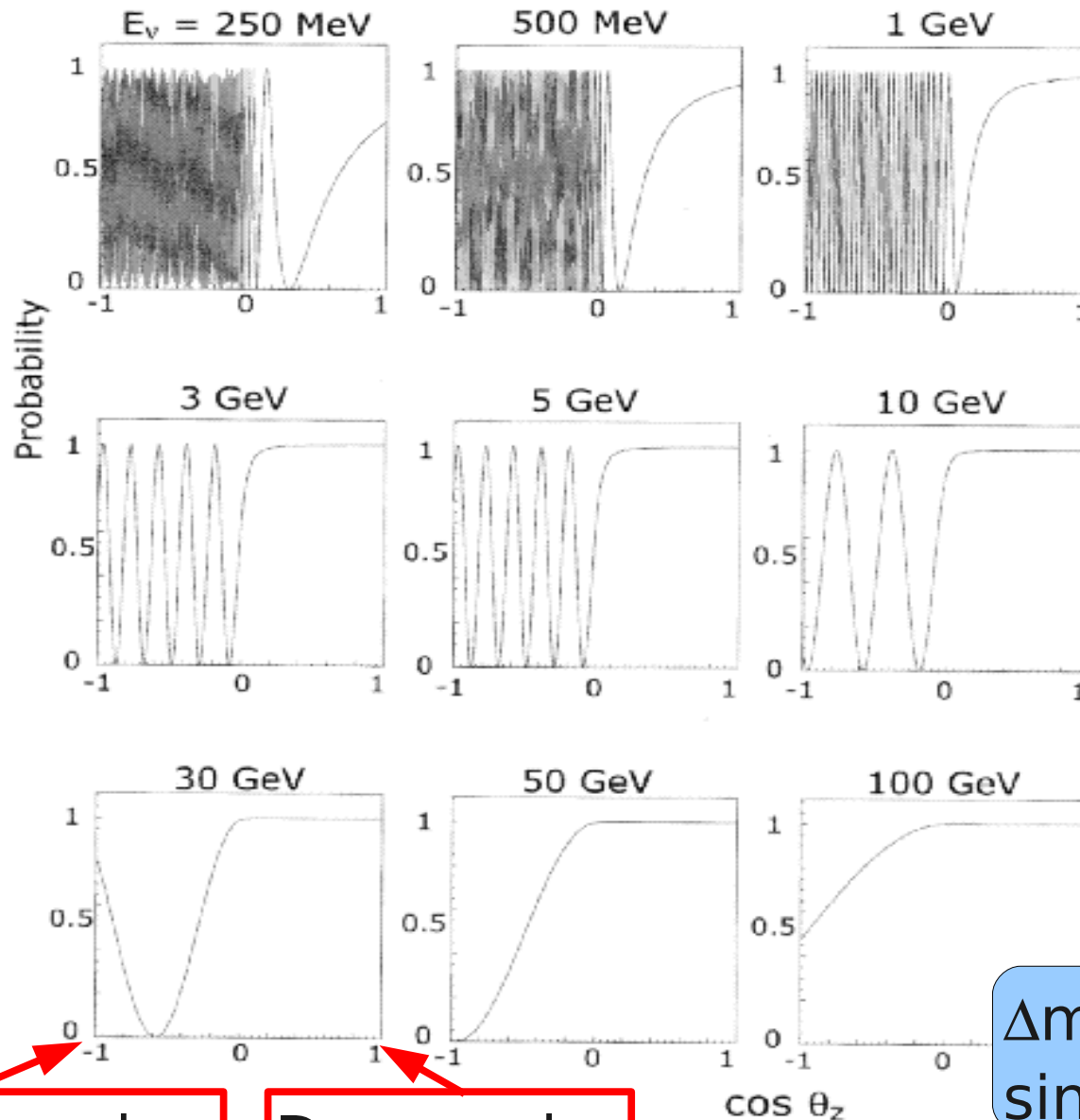
$$\Delta m_{12}^2 = +7.9 \times 10^{-5} eV^2$$

# Explaining the atmospheric data

# Cosmic Labs



# Survival Probability



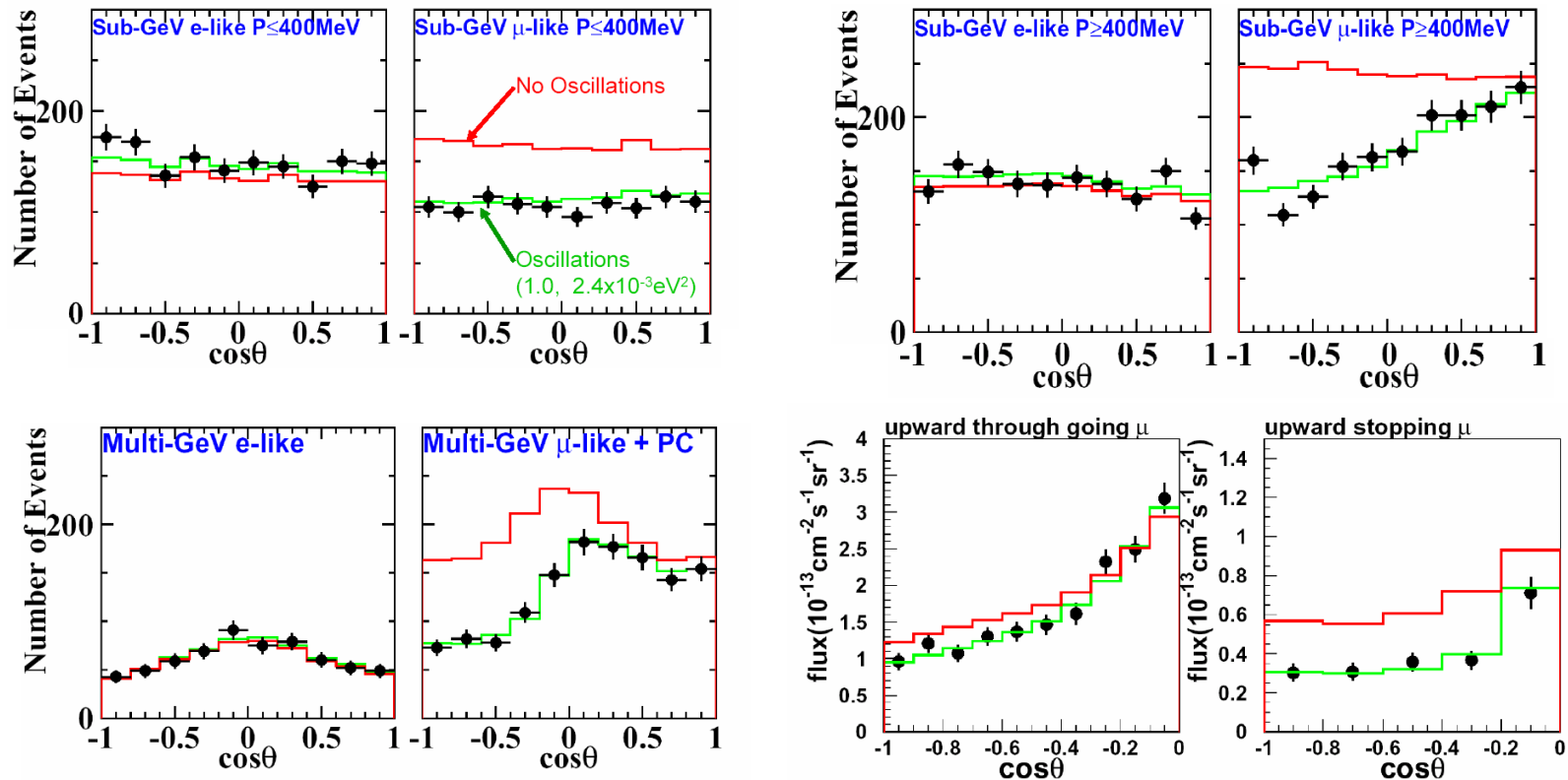
Up-coming

Down going

$$\Delta m^2 = 5 \times 10^{-3} \text{ eV}^2$$
$$\sin^2 2\theta = 1.0$$

# Super-Kamiokande

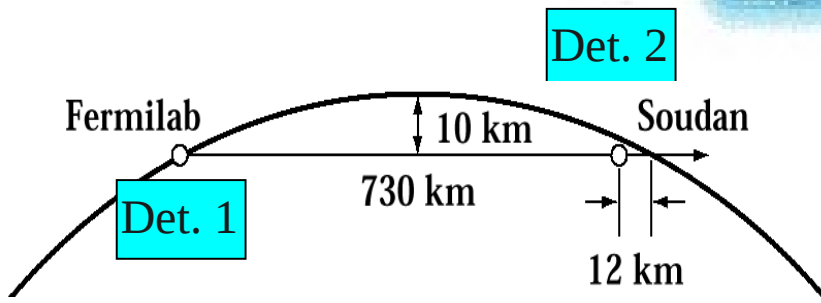
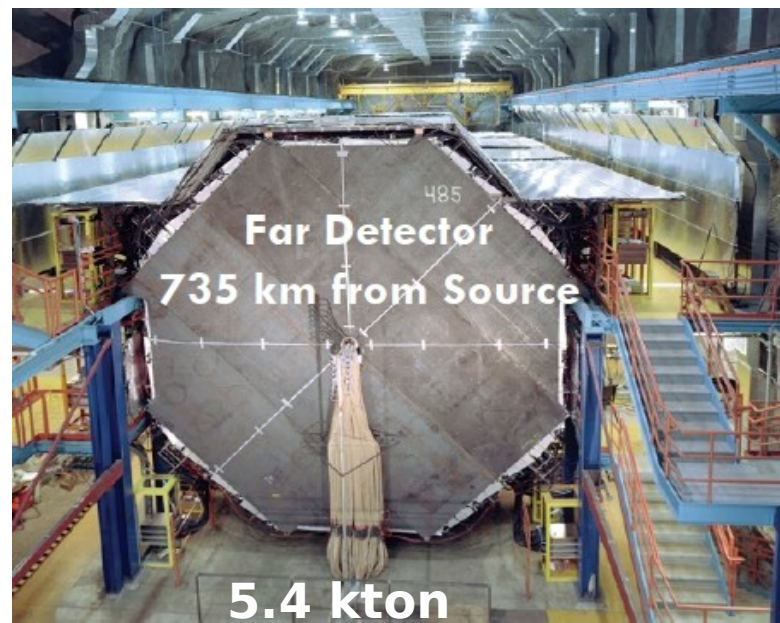
SuperK has both energy and direction information



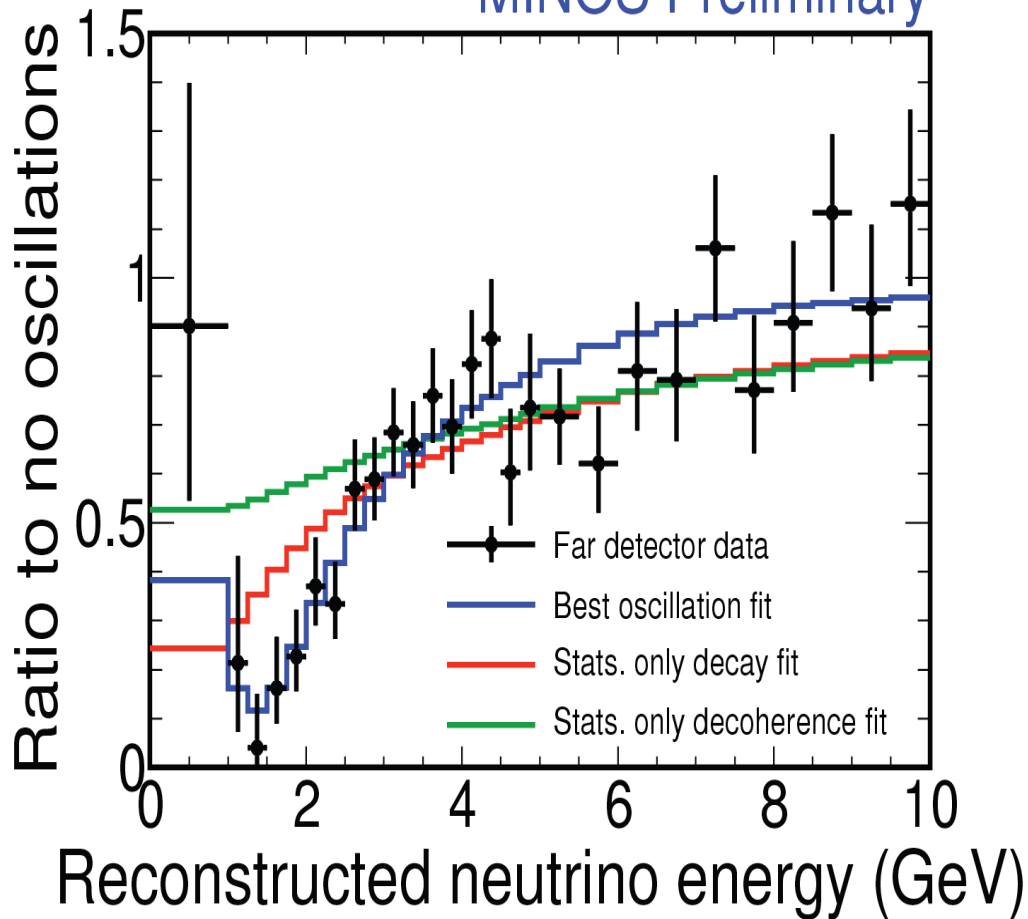
Sees disappearance of  $\nu_\mu$  but NOT into  $\nu_e$  – almost total

$\nu_\mu \rightarrow \nu_\tau$  oscillations?

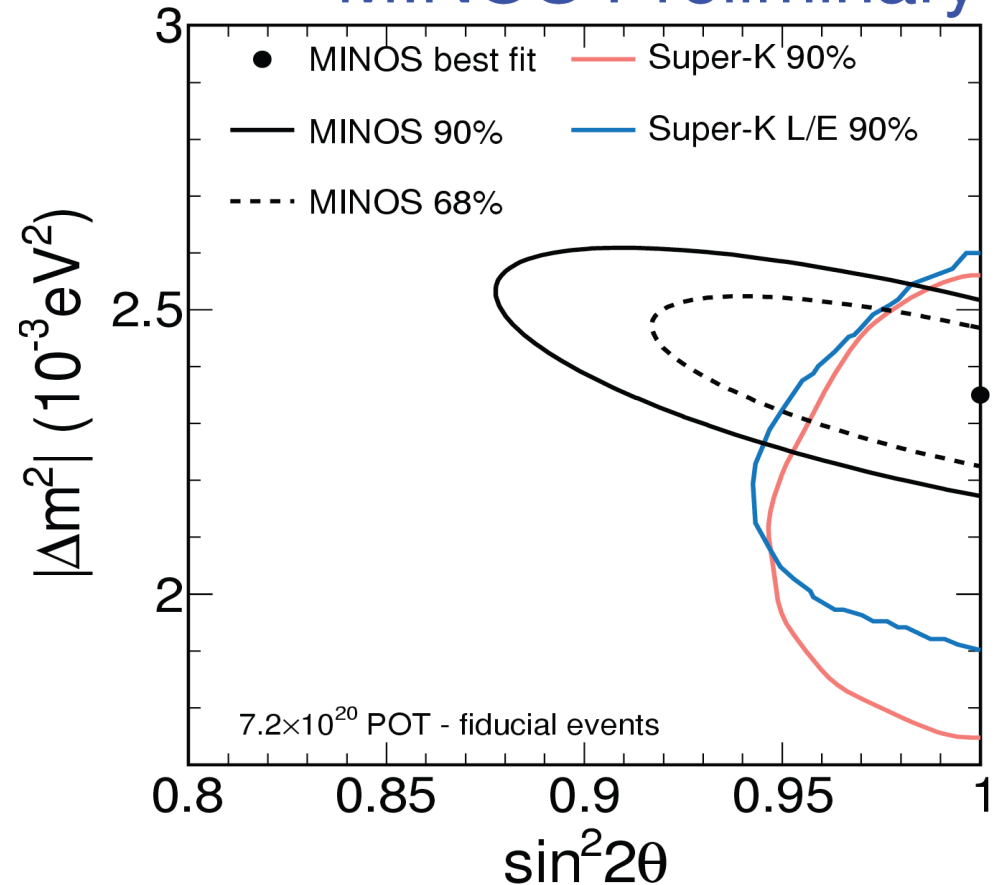
# MINOS verification



MINOS Preliminary



MINOS Preliminary



$$\frac{\# \text{ events observed}}{\# \text{ events expected}} = P(\nu_\mu \rightarrow \nu_\mu) = 1 - \sin^2(2\theta) \sin^2(\Delta m^2 L / 4E)$$

$$\Delta m^2 = 2.35_{-0.08}^{+0.11} \times 10^{-3} eV^2$$

$$\sin^2(2\theta) > 0.91 (@ 90 CL)$$



# Mixing matrix

$$U = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} \end{pmatrix} = \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix}$$

Solar sector :  $n_{\mu} \rightarrow n_e$

$$\theta_{e\mu} = 32.5^\circ \pm 2.4^\circ$$

$$\Delta m_{12}^2 = +7.9 \times 10^{-5} eV^2$$

Atmospheric sector

$$n_{\mu} \rightarrow n_{\tau}$$

$$\theta_{\mu\tau} = 45.0^\circ \pm 2.4^\circ$$

$$\Delta m_{23}^2 = |2.35 \times 10^{-3}| eV^2$$

# Mixing matrix

$$U = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} \end{pmatrix} = \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13} e^{i\delta} \\ 0 & 1 & 0 \\ -s_{13} e^{i\delta} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix}$$

And this sector?

# Probabilities

For Atmospheric L/E and  $\delta = 0$

$$P(\nu_{\mu} \rightarrow \nu_{\tau}) = \cos^4 \theta_{13} \sin^2 2\theta_{23} \sin^2 \left( 1.27 \Delta m_{23}^2 \frac{L}{E} \right)$$

$$P(\nu_{\mu} \rightarrow \nu_e) = \sin^2 2\theta_{13} \sin^2 \theta_{23} \sin^2 \left( 1.27 \Delta m_{23}^2 \frac{L}{E} \right)$$

$$P(\nu_e \rightarrow \nu_{\tau}) = \sin^2 2\theta_{13} \cos^2 \theta_{23} \sin^2 \left( 1.27 \Delta m_{23}^2 \frac{L}{E} \right)$$

For Solar L/E and  $\delta = 0$

$$P(\nu_e \rightarrow \nu_{\mu,\tau}) = \cos^2 \theta_{13} \sin^2 2\theta_{12} \sin^2 \left( 1.27 \Delta m_{12}^2 \frac{L}{E} \right) + 0.5 \sin^2 \theta_{13}$$

$$\text{If } \theta_{13} = 0$$

For Atmospheric L/E and  $\delta = 0$

$$P(\nu_{\mu} \rightarrow \nu_{\tau}) = \sin^2 2\theta_{23} \sin^2 \left( 1.27 \Delta m_{23}^2 \frac{L}{E} \right)$$

$$P(\nu_{\mu} \rightarrow \nu_e) = P(\nu_e \rightarrow \nu_{\tau}) = 0$$

For Solar L/E and  $\delta = 0$

$$P(\nu_e \rightarrow \nu_{\mu,\tau}) = \sin^2 2\theta_{12} \sin^2 \left( 1.27 \Delta m_{12}^2 \frac{L}{E} \right)$$

$\theta_{13}$  couples the atmospheric and solar sectors. So what is it?

# How do we get to $\theta_{13}$ ?

$\nu_{\mu} \rightarrow \nu_e$  oscillations with atmospheric L/E

$$P(\nu_{\mu} \rightarrow \nu_e) = \sin^2 2\theta_{13} \sin^2 \theta_{23} \sin^2\left(1.27 \Delta m_{23}^2 \frac{L}{E}\right)$$

$\nu_e \rightarrow \nu_x$  disappearance oscillations with atmospheric L/E

$$P(\nu_e \rightarrow \nu_x) = \sin^2(2\theta_{13}) \sin^2\left(1.27 \Delta m_{23}^2 \frac{L}{E}\right)$$

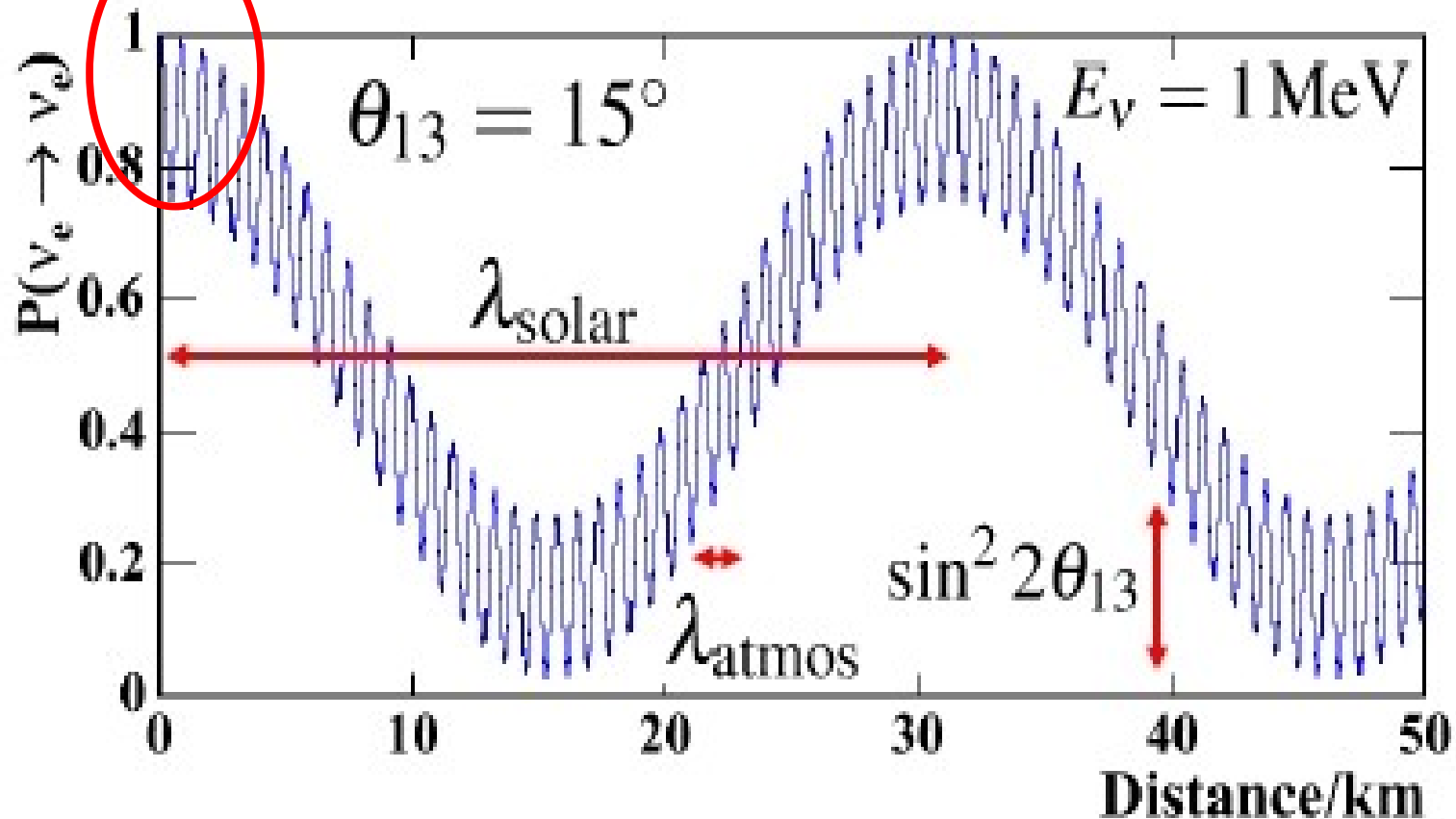
$\overline{\nu}_e \rightarrow \overline{\nu}_x$  disappearance oscillations with atmospheric L/E

$$P(\overline{\nu}_e \rightarrow \overline{\nu}_x) \stackrel{\hat{C}\hat{P}\hat{T}}{=} P(\nu_e \rightarrow \nu_x)$$

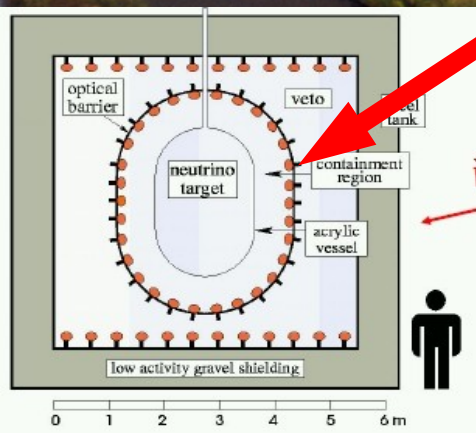
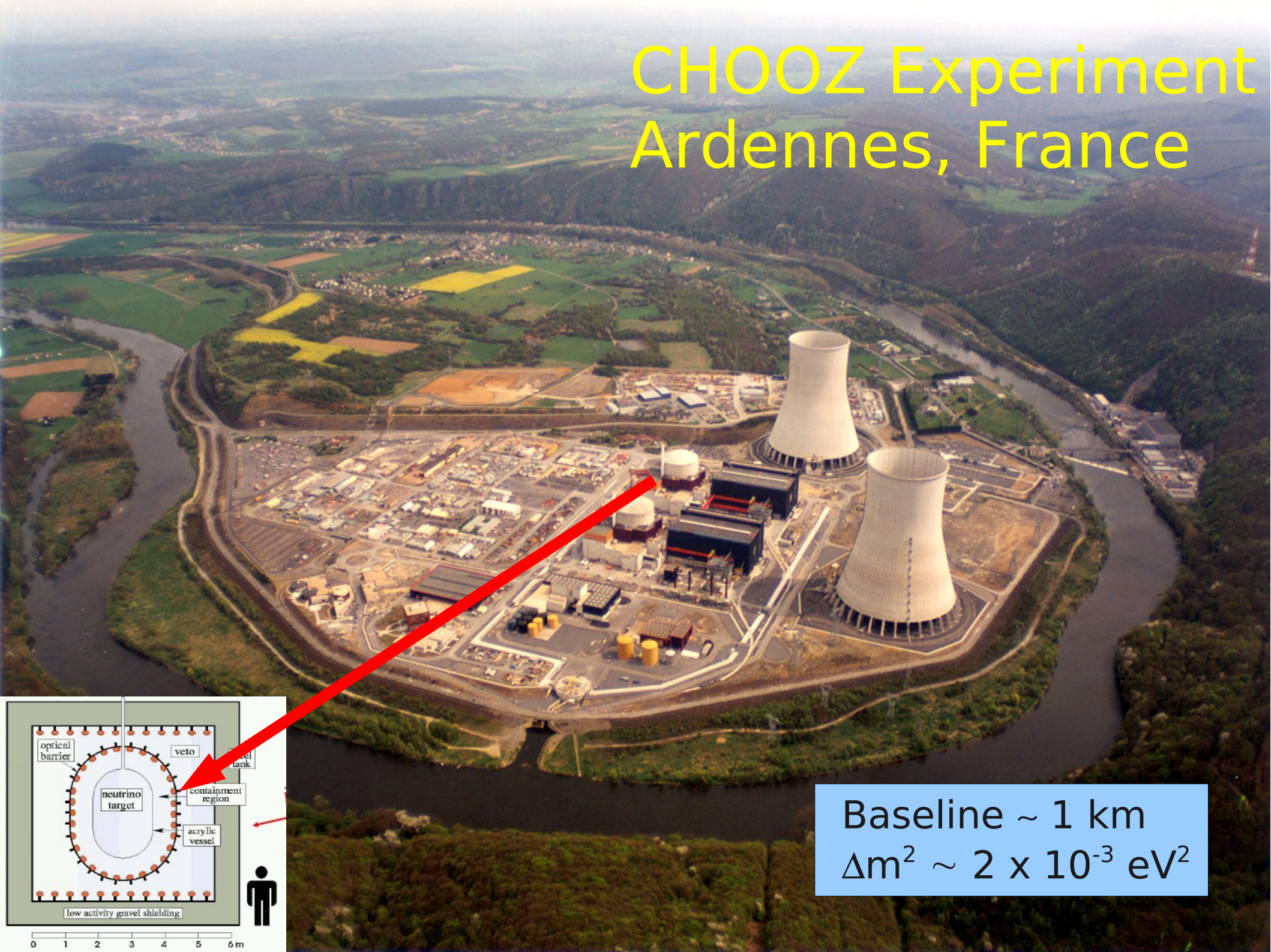
# Reactor Experiments

$$P(\nu_e \rightarrow \nu_e) = 1 - \cos^4(\theta_{13}) \sin^2(2\theta_{12}) \sin^2\left(1.27 \Delta m_{12}^2 \frac{L}{E}\right)$$

$$- \sin^2(2\theta_{13}) \sin^2\left(1.27 \Delta m_{23}^2 \frac{L}{E}\right)$$

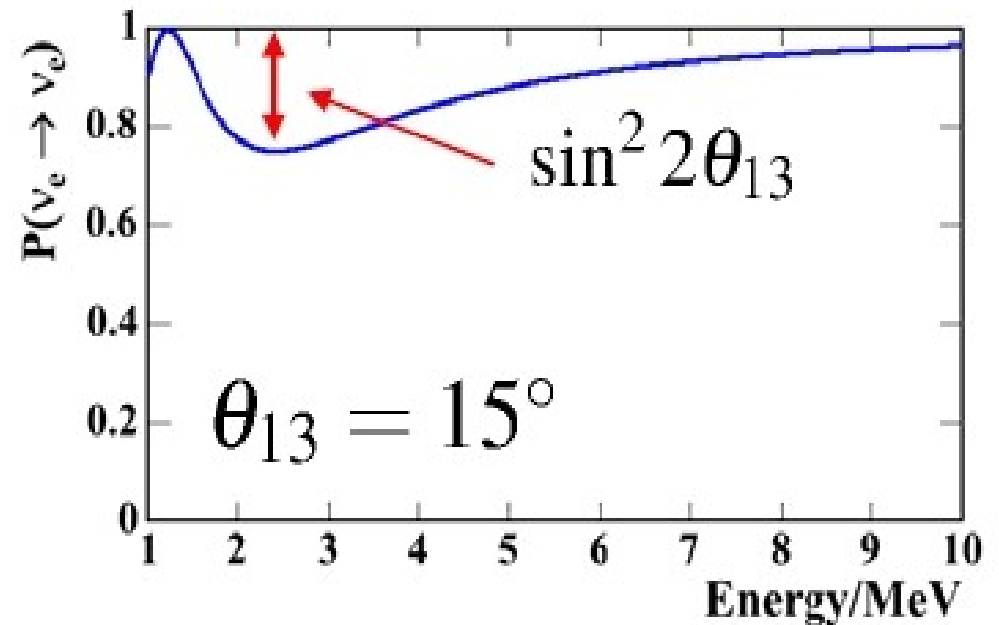
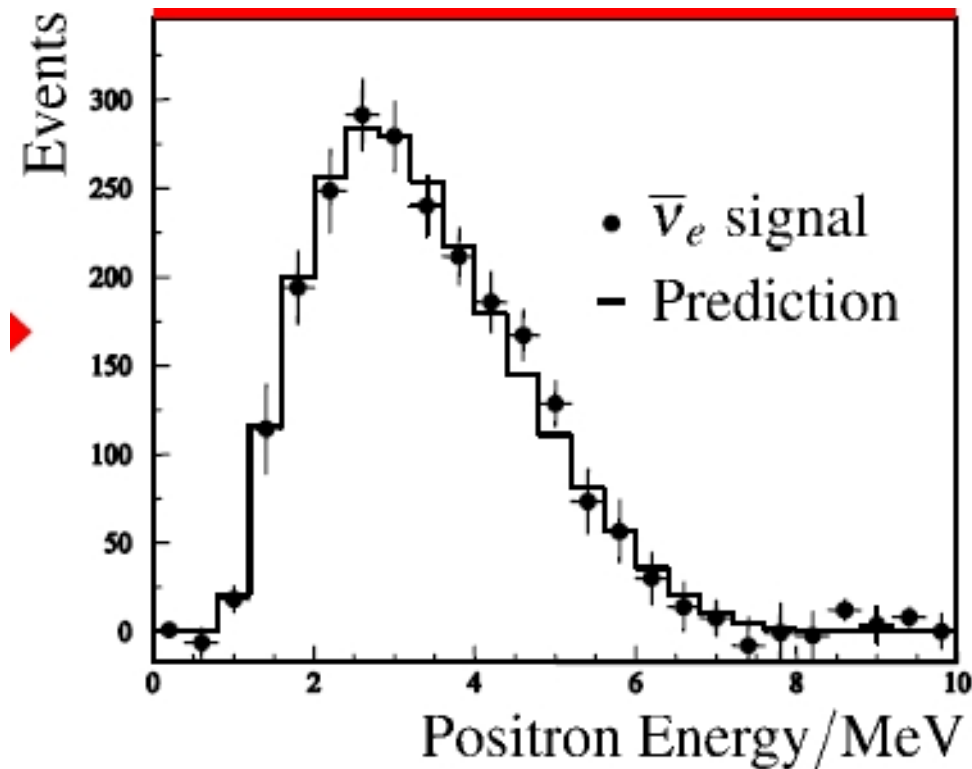


# CHOOZ Experiment Ardennes, France



Baseline  $\sim 1$  km  
 $\Delta m^2 \sim 2 \times 10^{-3} \text{ eV}^2$

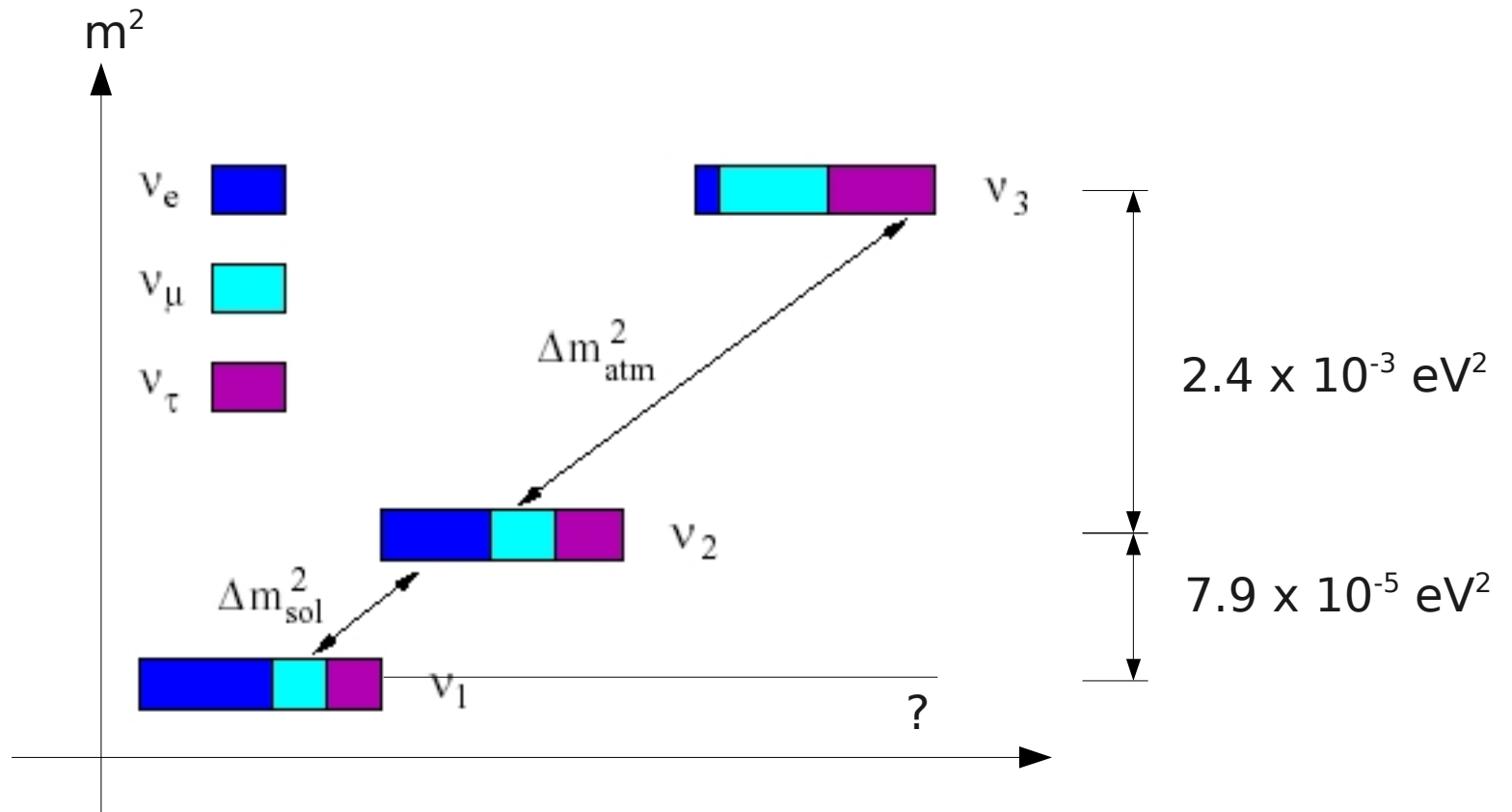
$$R = \frac{N_{observed}}{N_{expected}} = 1.01 \pm 2.8 \% (stat) \pm 2.7 \% (sys)$$



$$\sin^2(2\theta_{13}) < 0.12 - 0.2 \Rightarrow \theta_{13} < 10 \text{ deg}$$



# This is what we know...



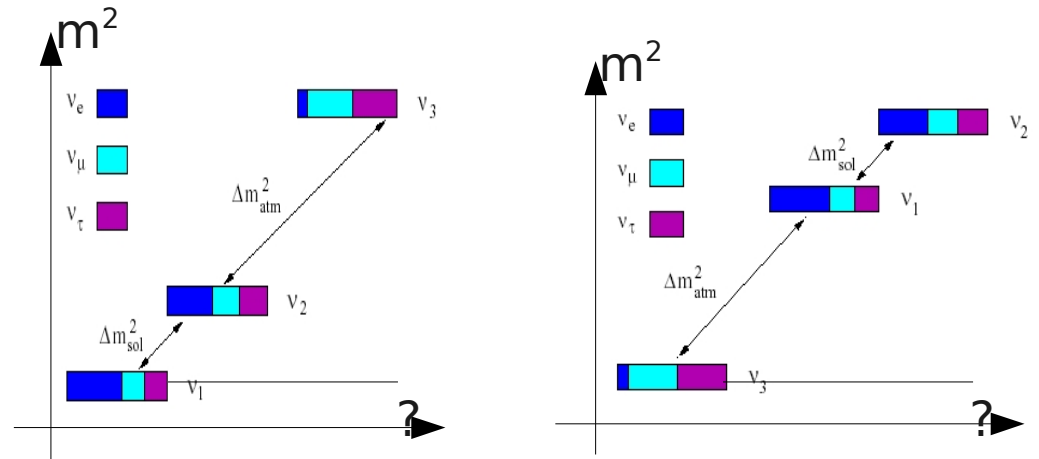
$$U_{MNSP} = \begin{pmatrix} 0.8 & 0.5 & \epsilon \\ 0.4 & 0.6 & 0.7 \\ 0.4 & 0.6 & 0.7 \end{pmatrix}$$

Some elements only known to 30-50%

# This is what we want to know...

$$\begin{pmatrix}
 c_{13} & 0 & s_{13} e^{i\delta} \\
 0 & 1 & 0 \\
 -s_{13} e^{i\delta} & 0 & c_{13}
 \end{pmatrix}$$

Value of  $\theta_{13}$       Value of  $\delta$



Normal or inverted?

$$U_{MNSP} = \begin{pmatrix} 0.8 & 0.5 & \epsilon \\ 0.4 & 0.6 & 0.7 \\ 0.4 & 0.6 & 0.7 \end{pmatrix} \Leftrightarrow U_{CKM} = \begin{pmatrix} 0.975 & 0.222 & 0.004 \\ 0.221 & 0.97 & 0.04 \\ 0.01 & 0.04 & 0.999 \end{pmatrix}$$

Majorana?

Better estimates of the oscillation parameters using accelerators

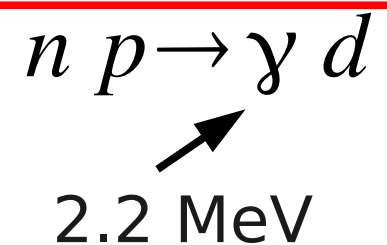
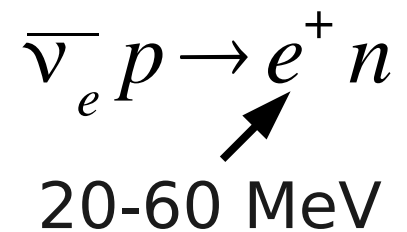
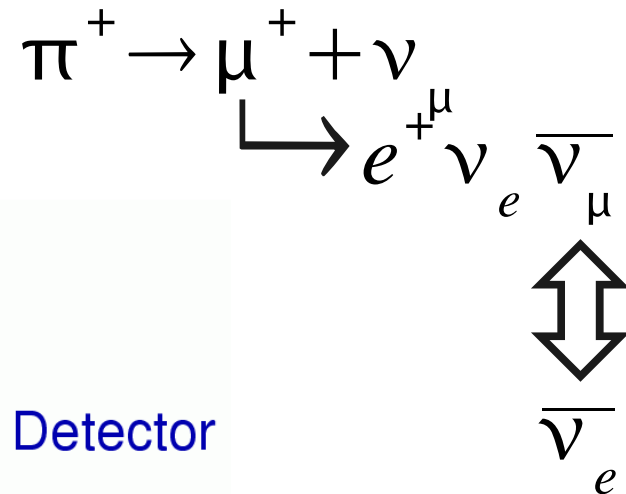
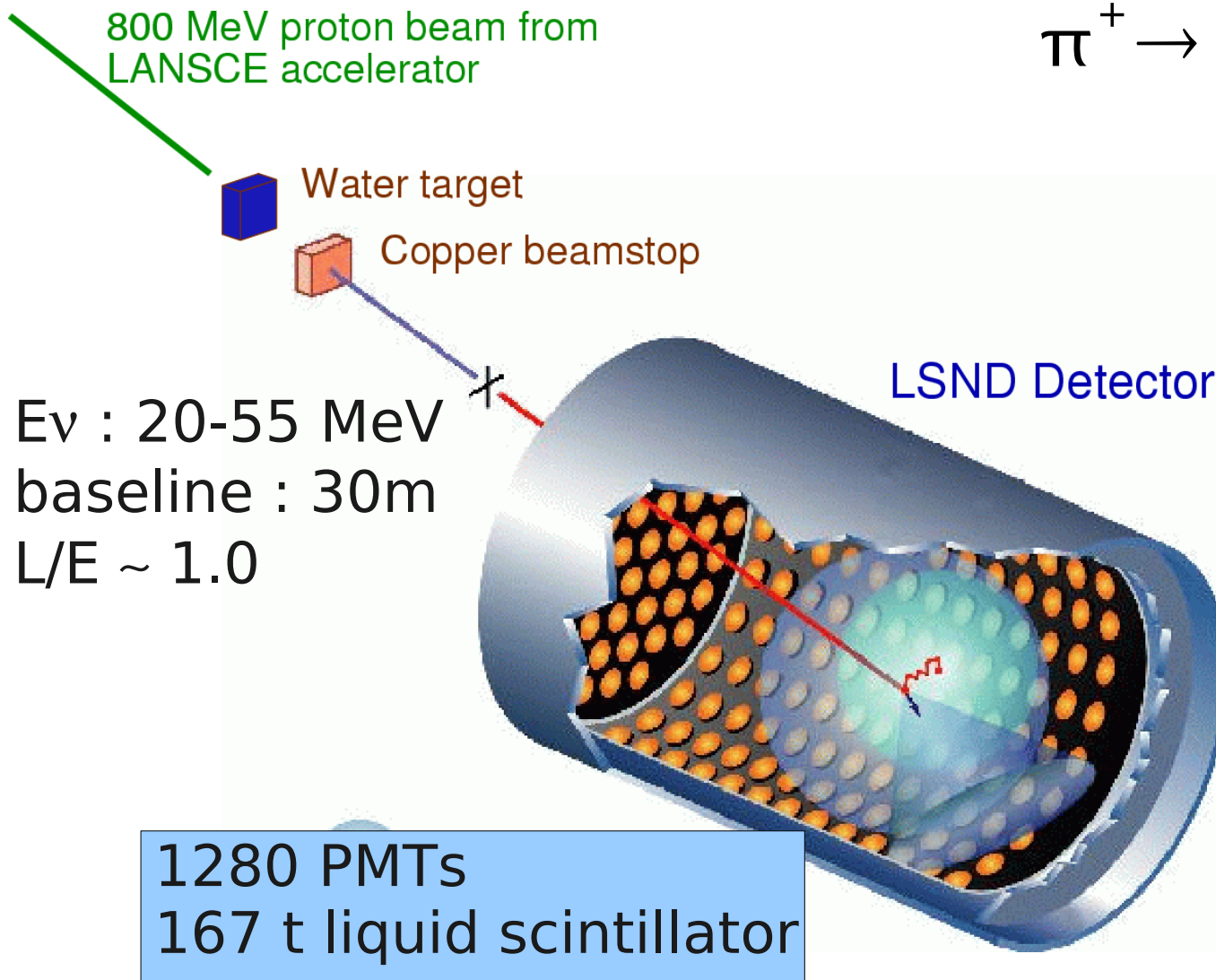
Is the atmospheric mixing angle maximal?

# The next 20 years

Measurement	Method	Experiments	Why?	When
$ \Delta m_{23}^2 $	$\nu_\mu$ Disapp.	MINOS	More precise Estimates	Now
$\theta_{23}$	$\nu_\mu$ Disapp.	T2K, NovA	Is it maximal?	2012
$\theta_{13}$	$\nu_e$ Appear.	T2K, NovA	Equal to 0? Can't measure $\delta_{CP}$ if it is	2012
	Anti- $\nu_e$ Disapp.	Reactor		2012
$\text{Sgn}(\Delta m_{23}^2)$	$\nu_e / \text{anti-}\nu_e$	T2KK, neutrino Factory, ???	Unification, GUT Lepton asymmetry	2025?
$\delta_{CP}$				

# A spanner in the works

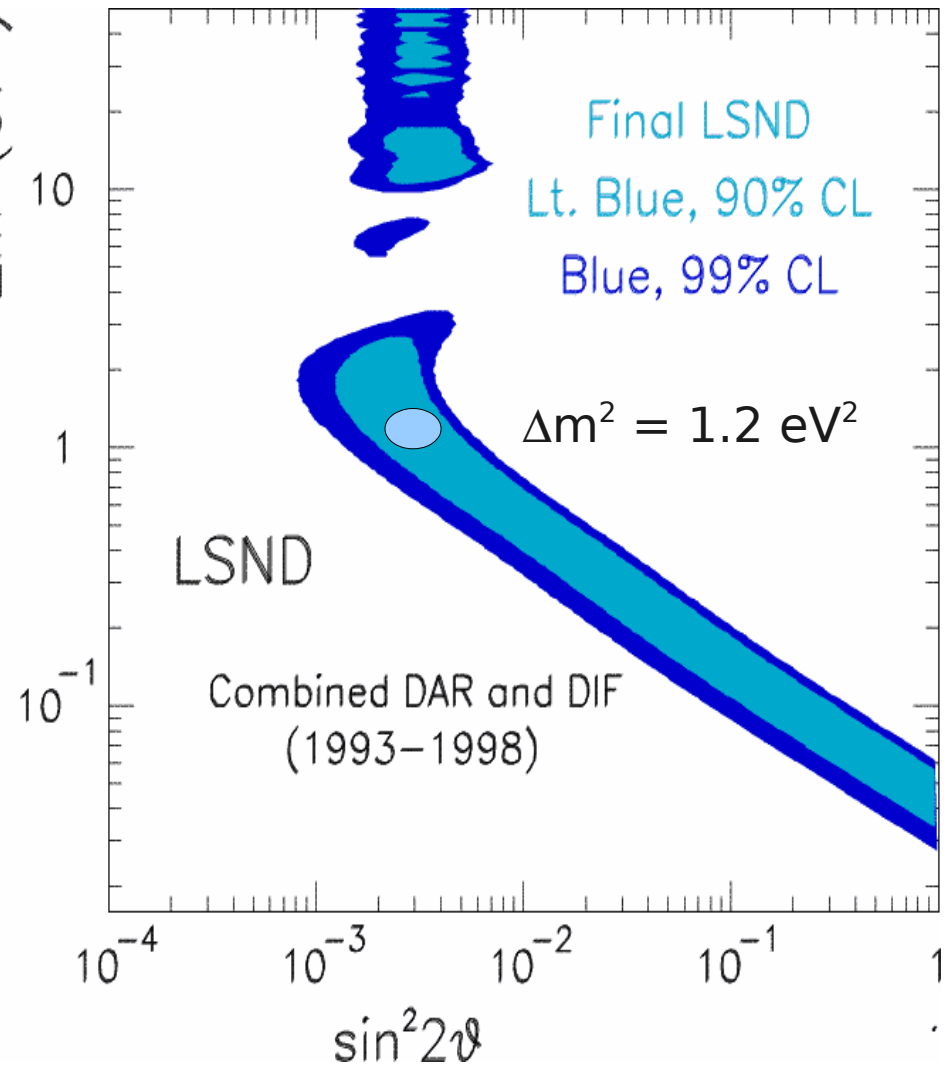
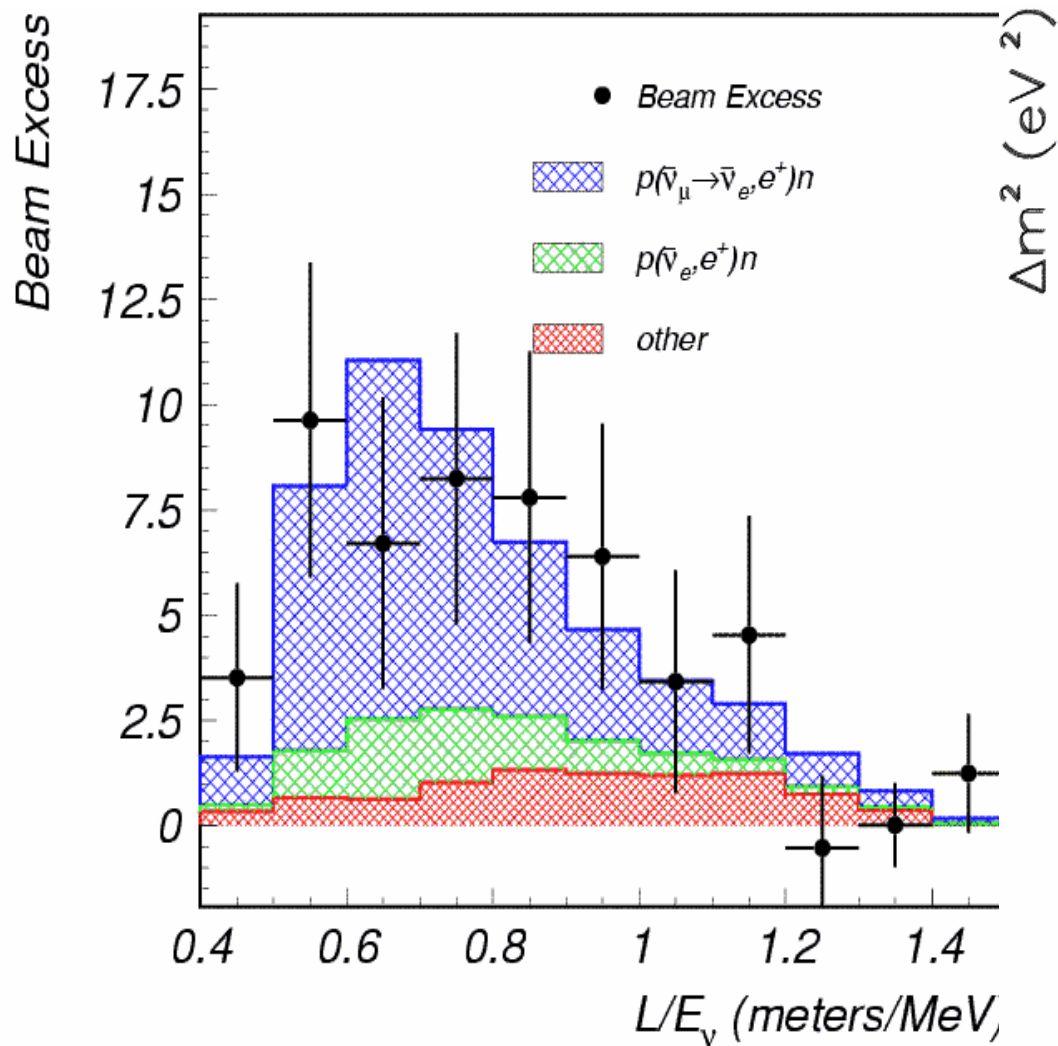
The LSND experiment was the first accelerator experiment to report a positive appearance signal



# LSND Result (1997)

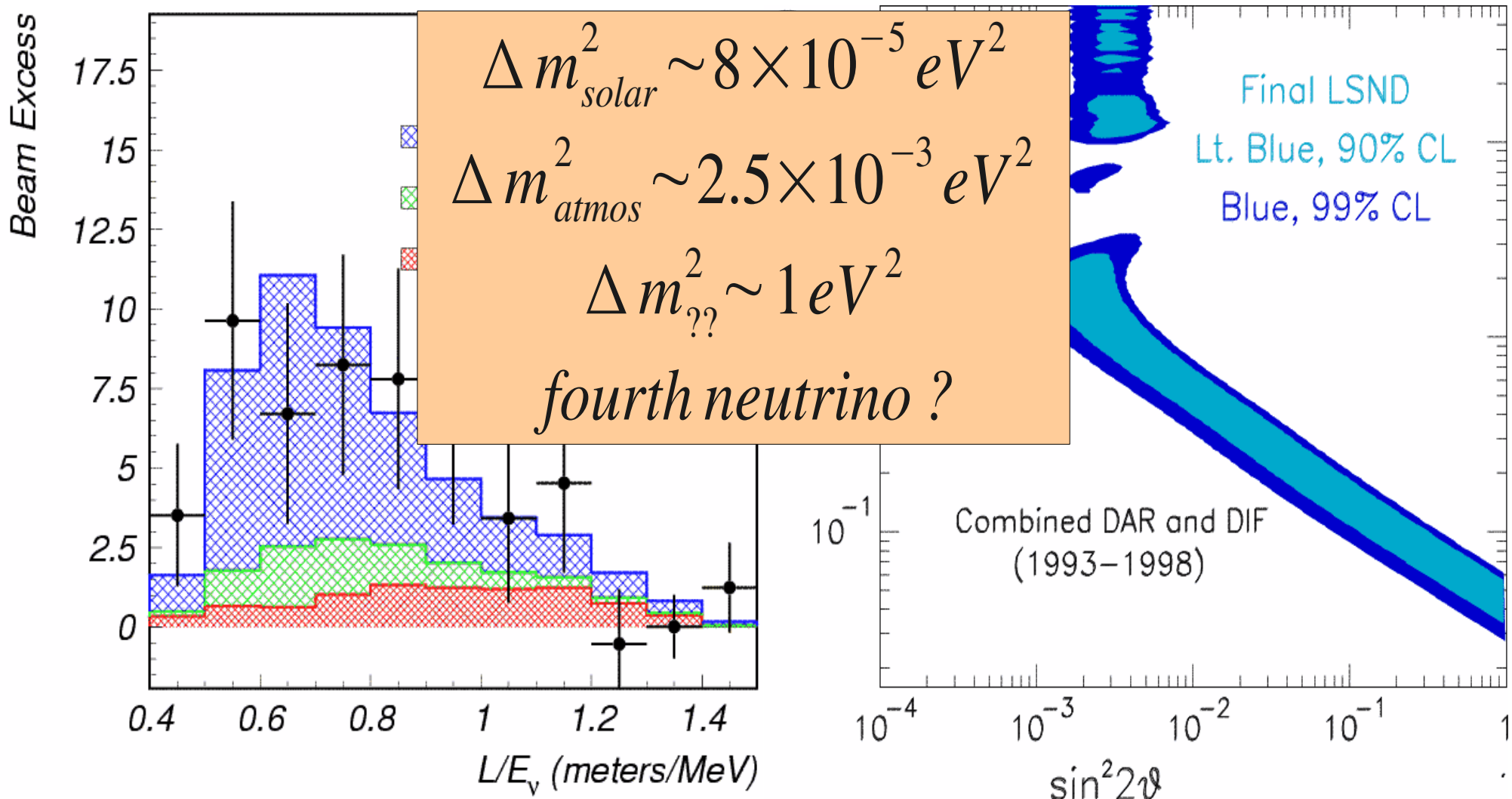
$87.9 \pm 22.4 \pm 6$  excess events  
from  $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$

$3.3 \sigma$  evidence for  
oscillations



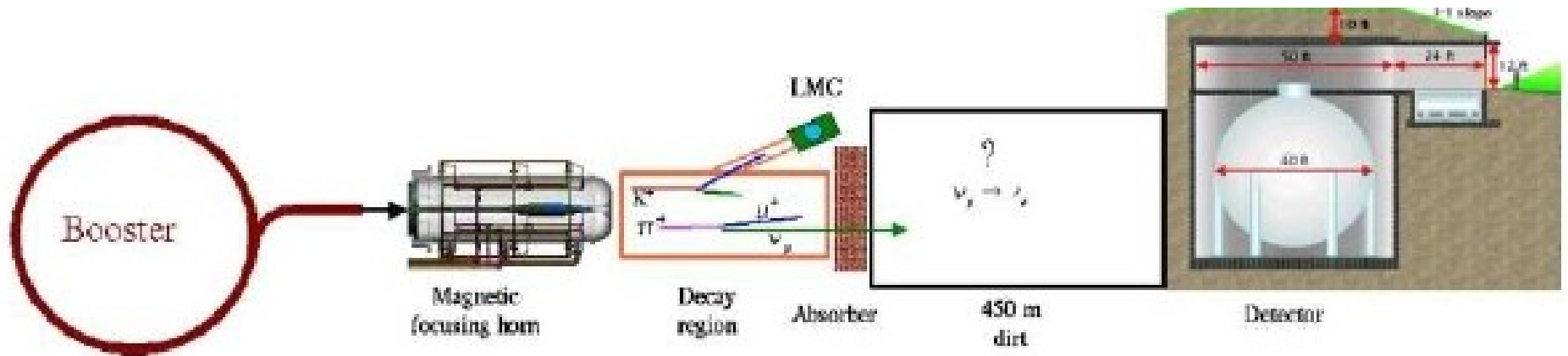
# LSND Result

$87.9 \pm 22.4 \pm 6$  excess events



# MiniBooNE

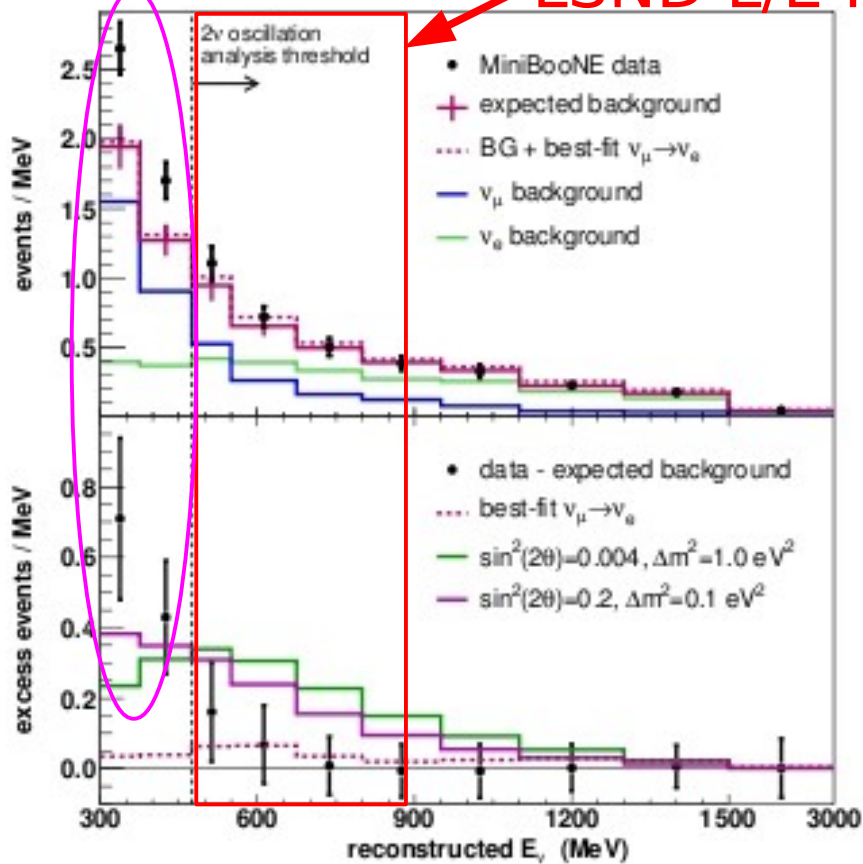
Currently running since 2002 at Fermilab



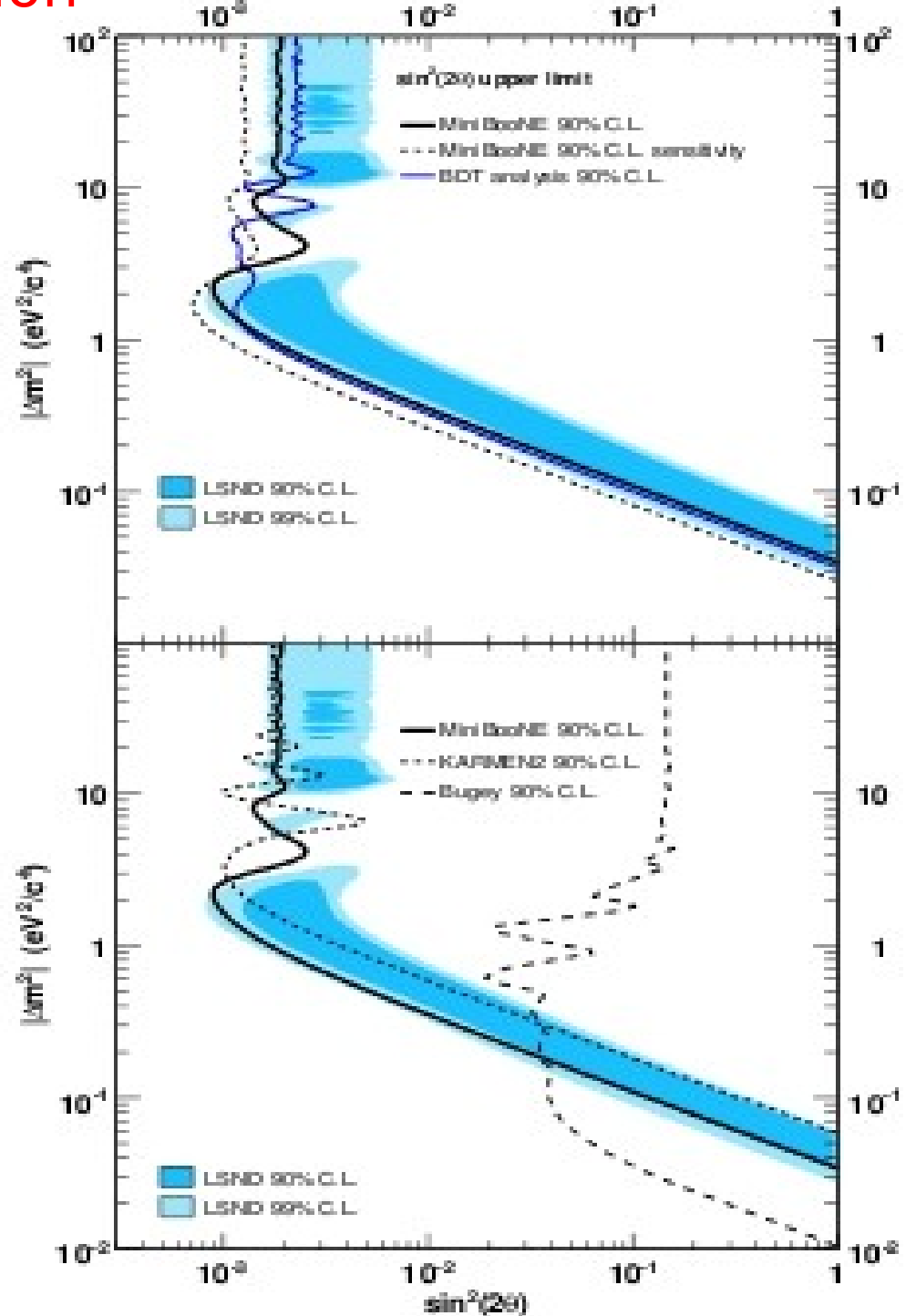
- Average neutrino energy  $\approx 1$  GeV
- L/E the same as LSND
- Same technology as LSND
- Different energy = different event types = different systematics
- Looks for  $\nu_{\mu} \rightarrow \nu_e$  oscillations

$\leftarrow = \bar{\nu}_e \rightarrow \bar{\nu}_{\mu}$  if CPT symmetry holds

# LSND L/E Region



- 2009 analysis
- No excess of  $\nu_e$  events in signal region ( $E > 450 \text{ MeV}$ )
- Unknown excess of events at low energy

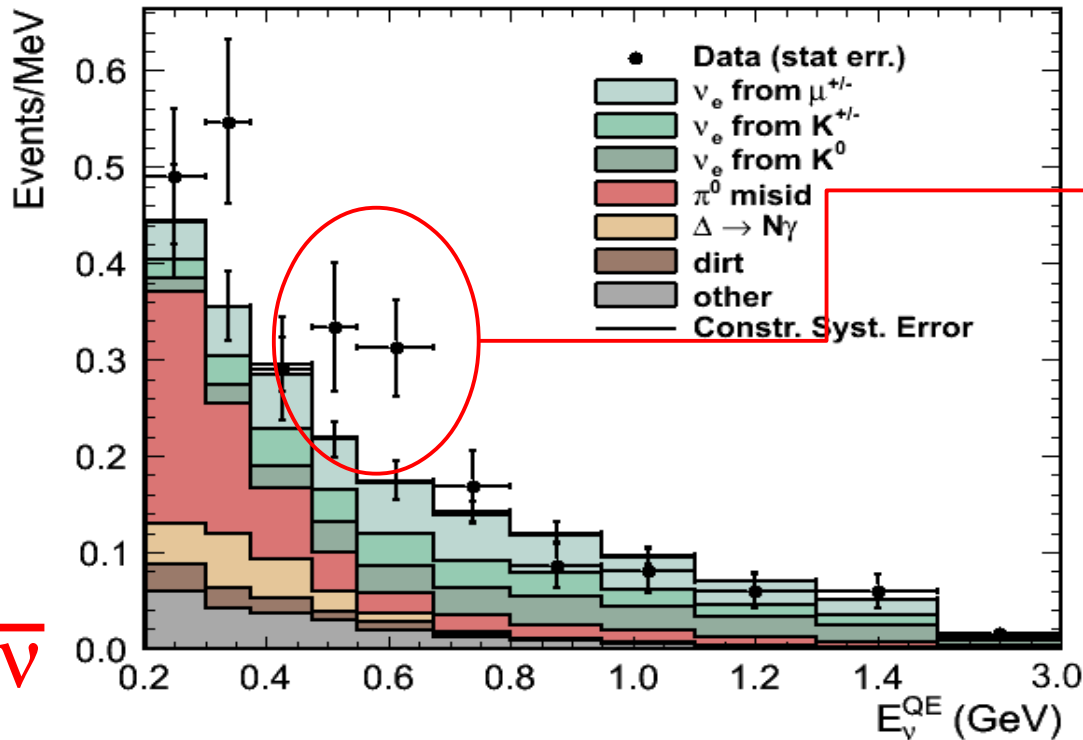
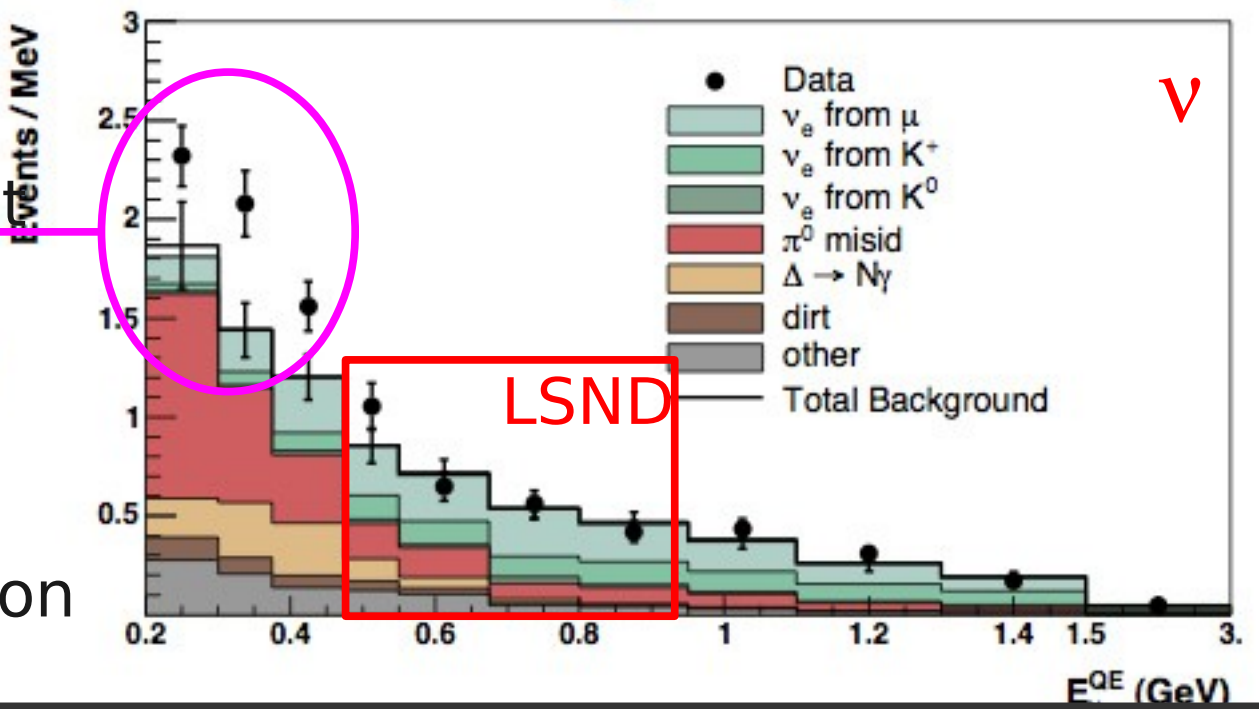




New analysis in 2010, with better background treatment

excess still there for neutrinos at  $3\sigma$

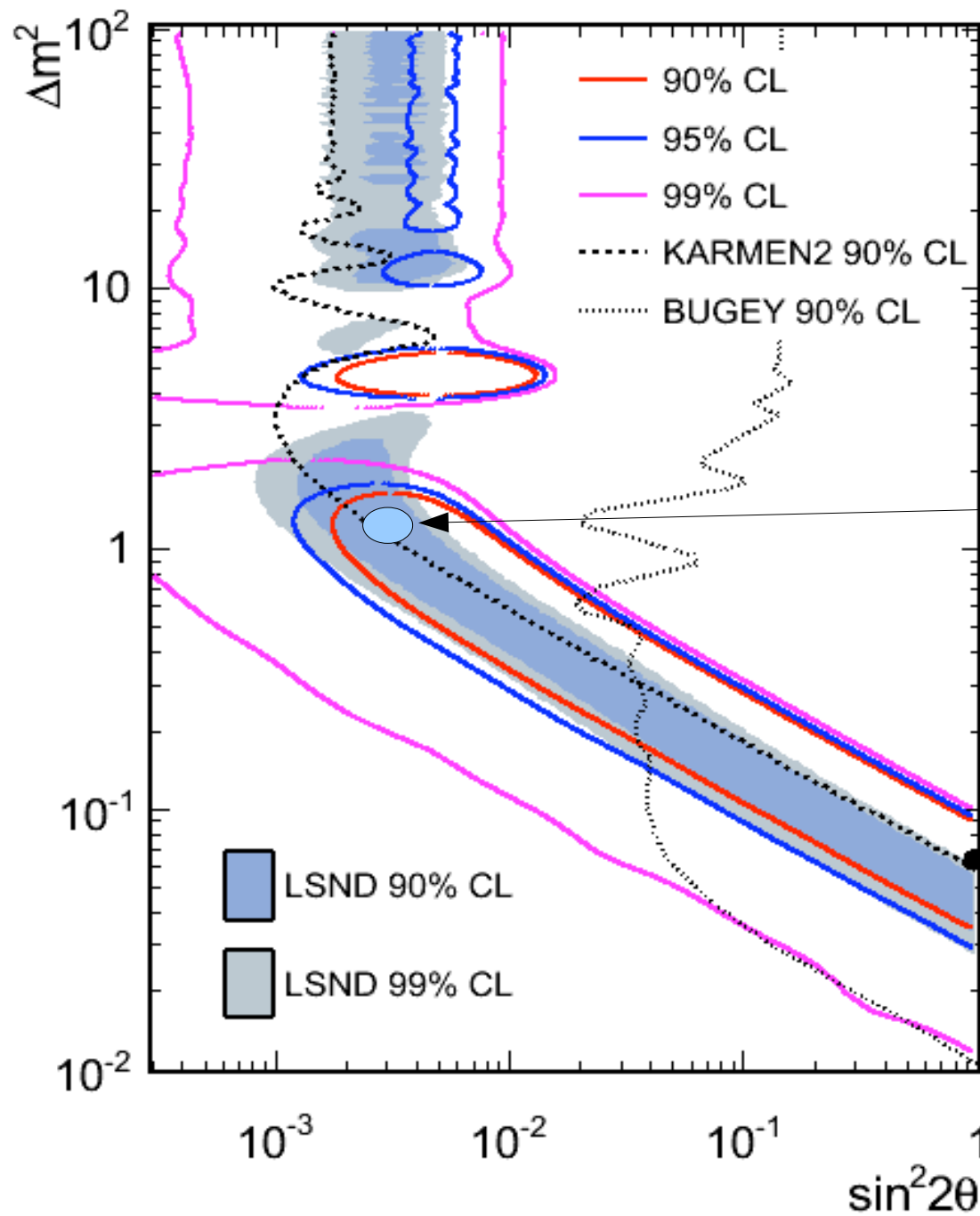
But not LSND oscillation



But there is a possible signal for antineutrino running

But only about  $1.3\sigma$  significance

Very small excess below 475 MeV



No oscillation excluded  
at 99% confidence

LSND

(0.064 eV<sup>2</sup>, 0.96)

# Summary

- LSND reports a  $3 \sigma$  excess of antineutrino events probing a  $\Delta m^2 \approx 1.0 \text{ eV}^2$
- miniBooNE reports a  $1.5 \sigma$  excess of antineutrino events probing a  $\Delta m^2 \approx 1.0 \text{ eV}^2$
- miniBooNE reports a  $3 \sigma$  excess of neutrino events probing a  $\Delta m^2 \approx 0.3 \text{ eV}^2$
- Antineutrino result suggests the existence of at least one sterile (remember the  $Z^0$  result - we know that there are 3 active light neutrinos) neutrino taking part in the oscillation process
- Neutrino result can only be modeled (badly) by an extra two sterile neutrinos plus significant CP violation

*Decaying sterile  
neutrinos?*

*CPT Violation?*



*Lorentz violation?*

*Extra dimensions?*

No bleedin' idea

Wait for more data

# 3-Neutrino Mixing

$$U_{PMNS} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13} e^{i\delta} \\ 0 & 1 & 0 \\ -s_{13} e^{i\delta} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

Atmospheric sector

$$\nu_{\mu} \rightarrow \nu_{\tau}$$

$$\theta_{e\mu} = 45.0^{\circ} \pm 2.4^{\circ}$$

$$\Delta m_{23}^2 = |2.8 \times 10^{-3}| eV^2$$

13 Sector  
Link between  
solar and atmos.  
Sector

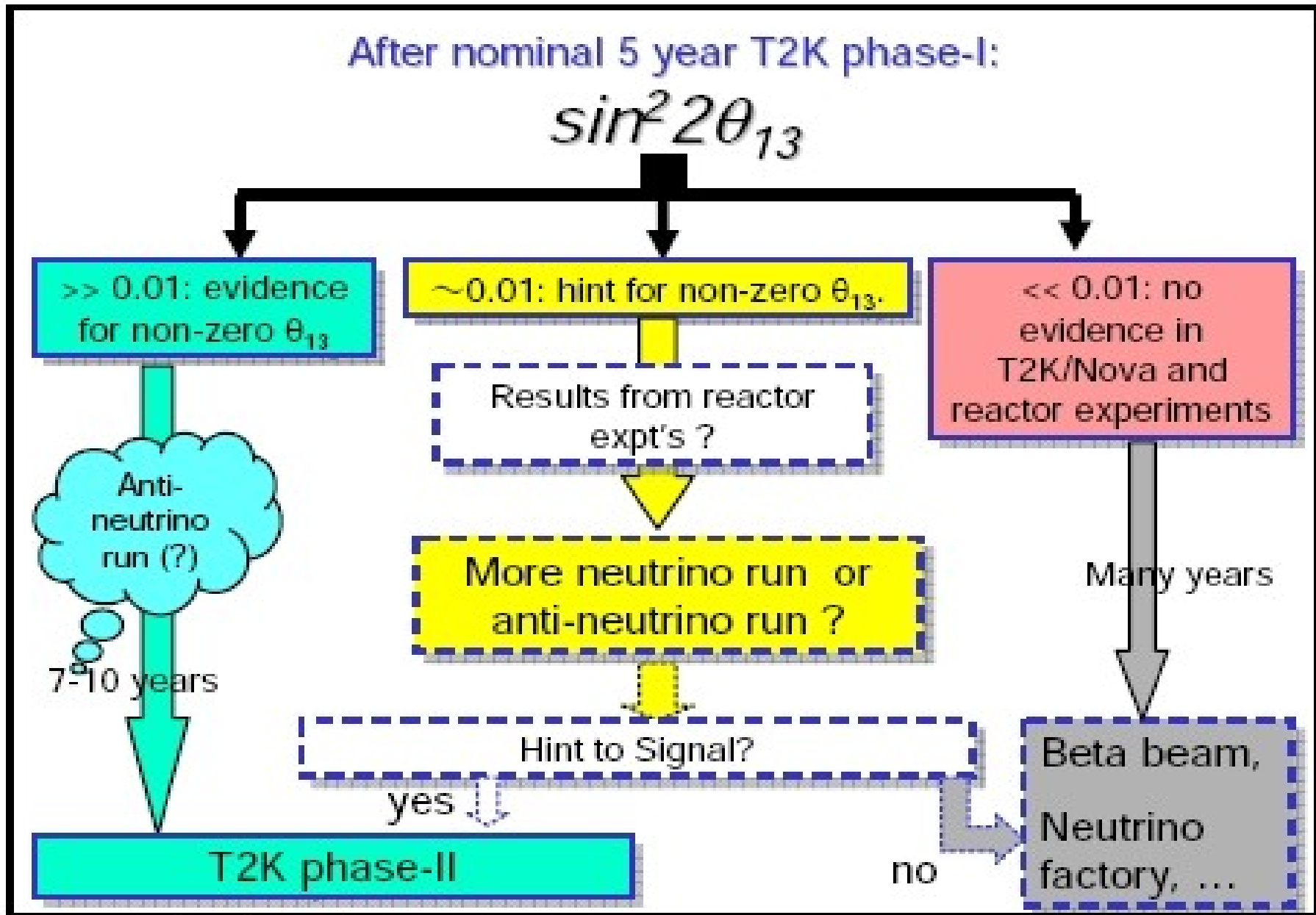
$$\theta_{13} < 10^{\circ}$$

Solar sector

$$\nu_e \rightarrow \nu_{\mu}$$

$$\theta_{e\mu} = 32.5^{\circ} \pm 2.4^{\circ}$$

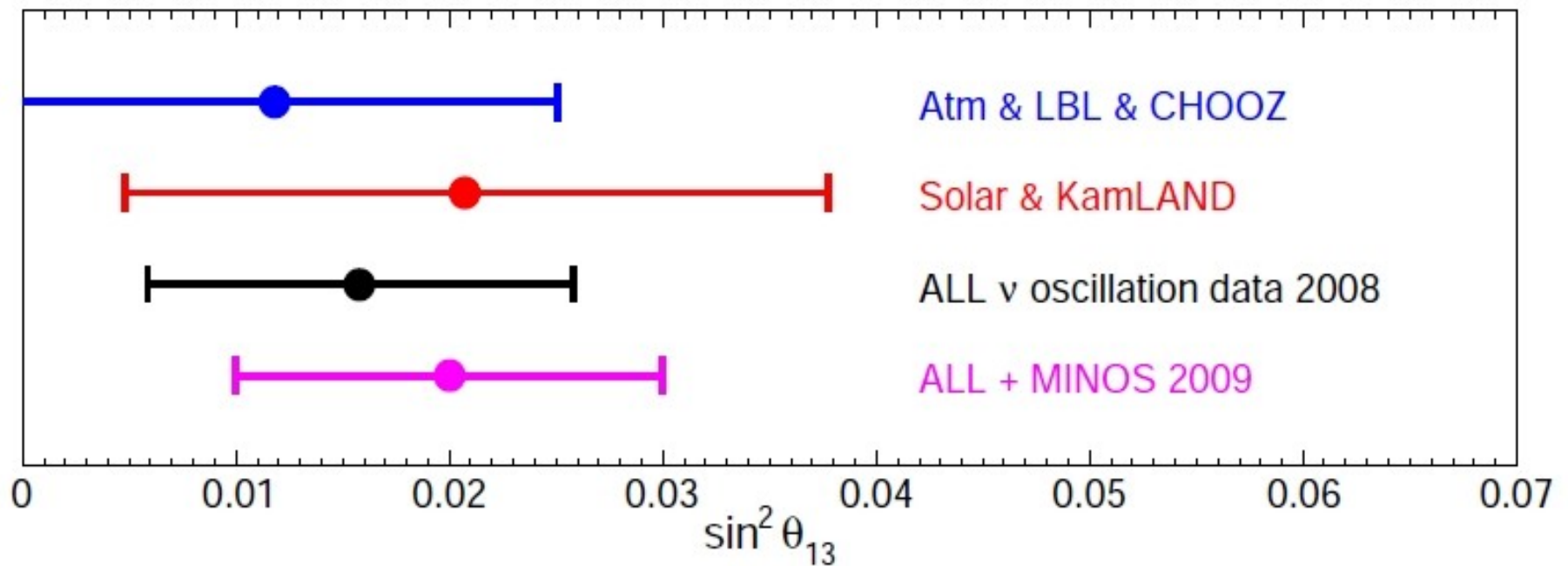
$$\Delta m_{12}^2 = +7.1 \times 10^{-5} eV^2$$



$\theta_{13}$  determines the next 15-30 years or so of the field

# How large is it?

Fogli et al -  
arXiv:0905.3549



$$\sin^2(\theta_{13}) = 0.02 \pm 0.01 \Rightarrow \theta_{13} = 8^\circ \pm 3^\circ \quad \text{CHOOZ limit : } \theta_{13} < 10^\circ$$

Real or accidental? Need more data...

# How do we get to $\theta_{13}$ ?

$\nu_{\mu} \rightarrow \nu_e$  oscillations with atmospheric L/E

$$P(\nu_{\mu} \rightarrow \nu_e) = \sin^2 2\theta_{13} \sin^2 \theta_{23} \sin^2 \left( 1.27 \Delta m_{23}^2 \frac{L}{E} \right)$$

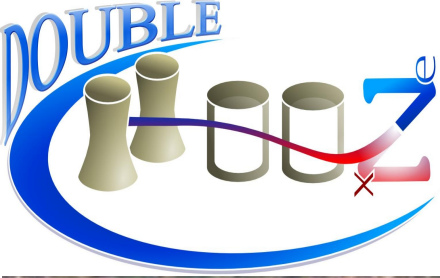
$\nu_e \rightarrow \nu_x$  disappearance oscillations with atmospheric L/E

$$P(\nu_e \rightarrow \nu_x) = \sin^2(2\theta_{13}) \sin^2 \left( 1.27 \Delta m_{23}^2 \frac{L}{E} \right)$$

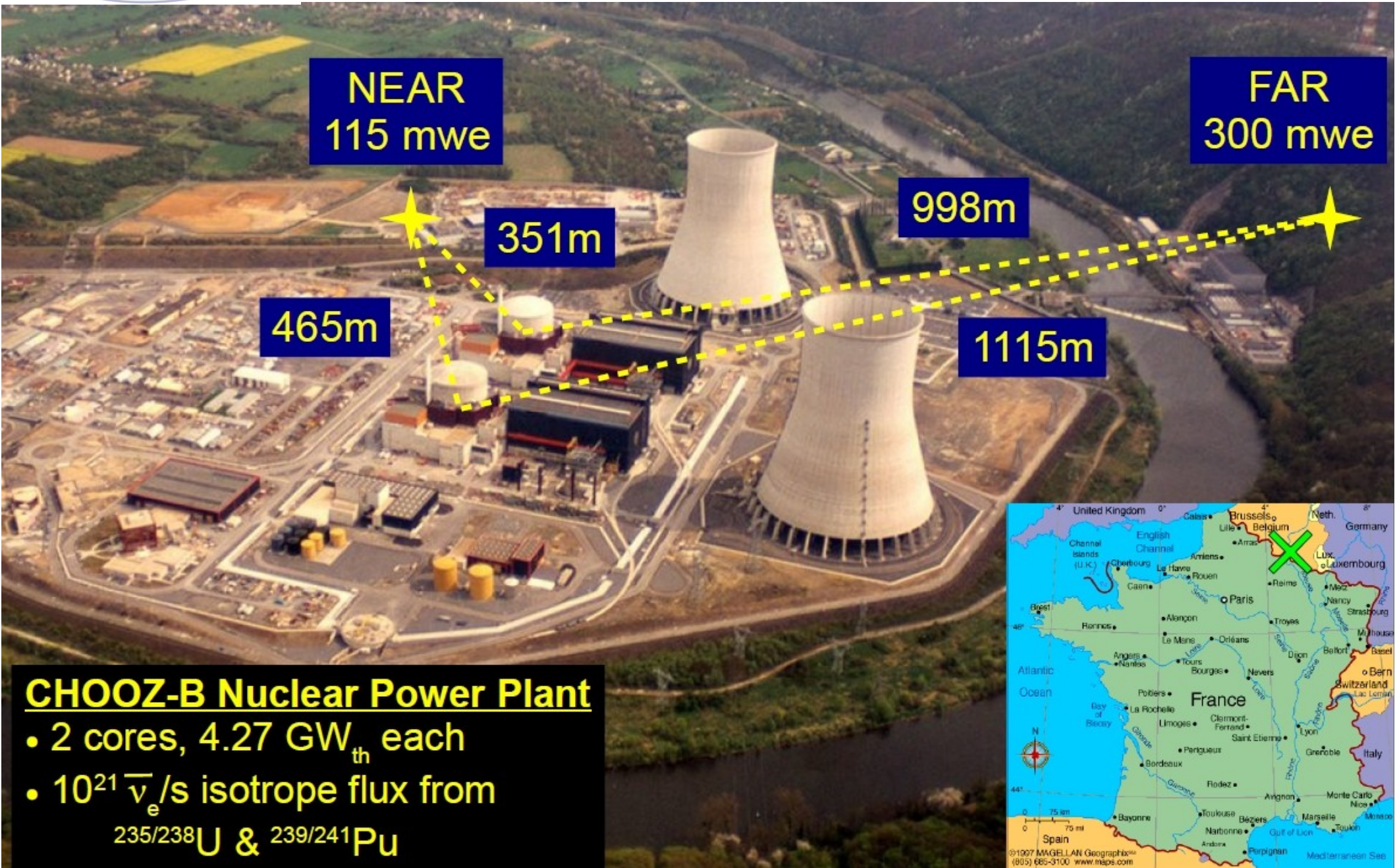
$\overline{\nu_e} \rightarrow \overline{\nu_x}$  disappearance oscillations with atmospheric L/E

$$P(\overline{\nu_e} \rightarrow \overline{\nu_x}) \stackrel{\hat{C}\hat{P}\hat{T}}{=} P(\nu_e \rightarrow \nu_x)$$





# The experiment

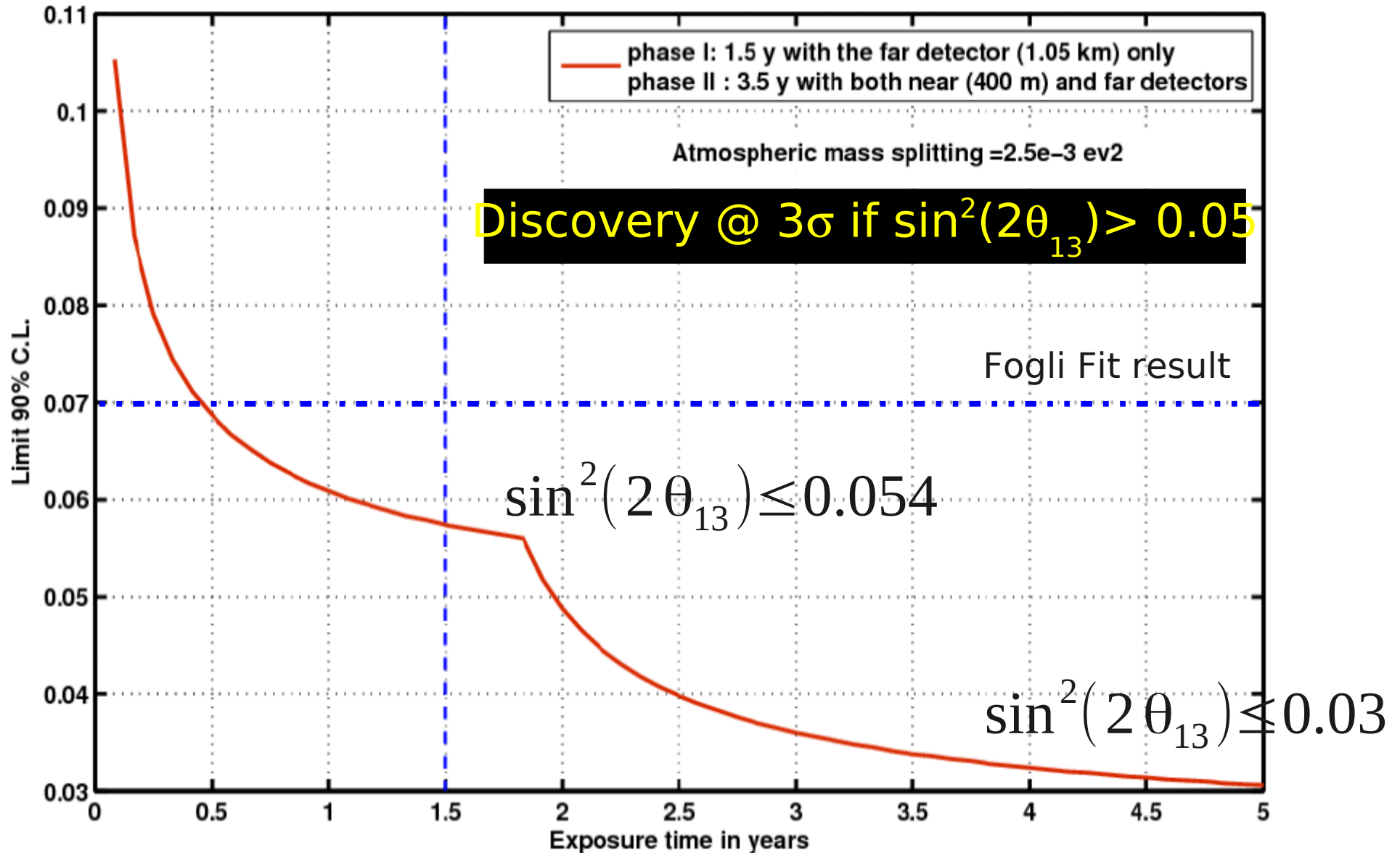


**CHOOZ-B Nuclear Power Plant**

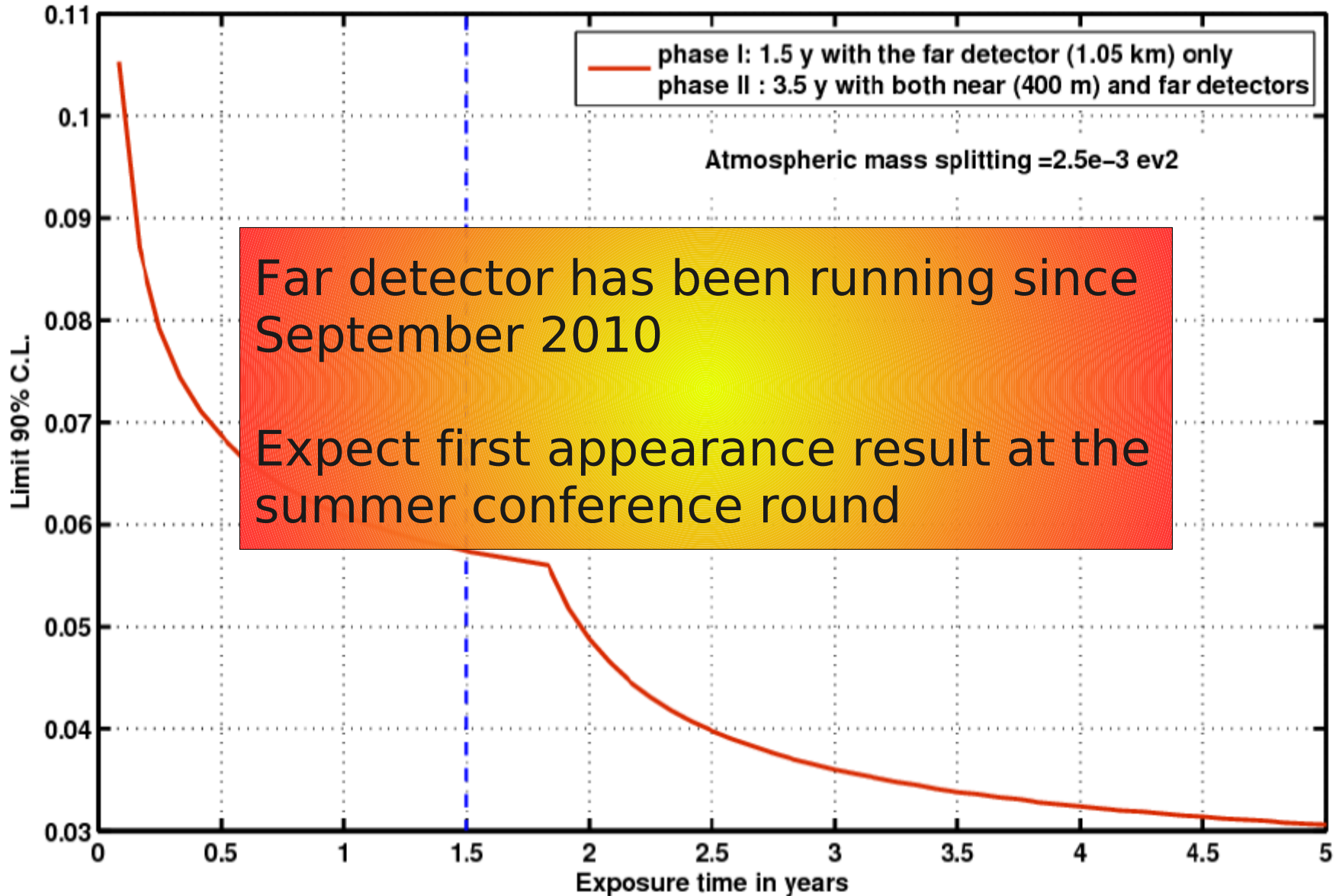
- 2 cores, 4.27 GW<sub>th</sub> each
- $10^{21} \bar{\nu}_e$ /s isotrope flux from  $^{235/238}\text{U}$  &  $^{239/241}\text{Pu}$



# Sensitivity



# Sensitivity



# How do we get to $\theta_{13}$ ?

$\nu_{\mu} \rightarrow \nu_e$  oscillations with atmospheric L/E

$$P(\nu_{\mu} \rightarrow \nu_e) = \sin^2 2\theta_{13} \sin^2 \theta_{23} \sin^2 \left( 1.27 \Delta m_{23}^2 \frac{L}{E} \right)$$

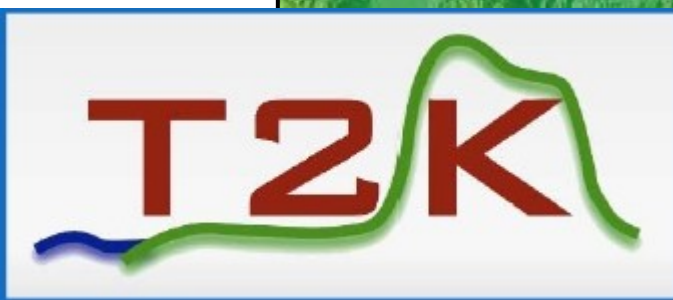
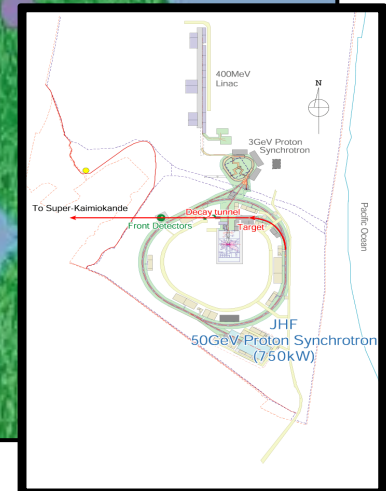
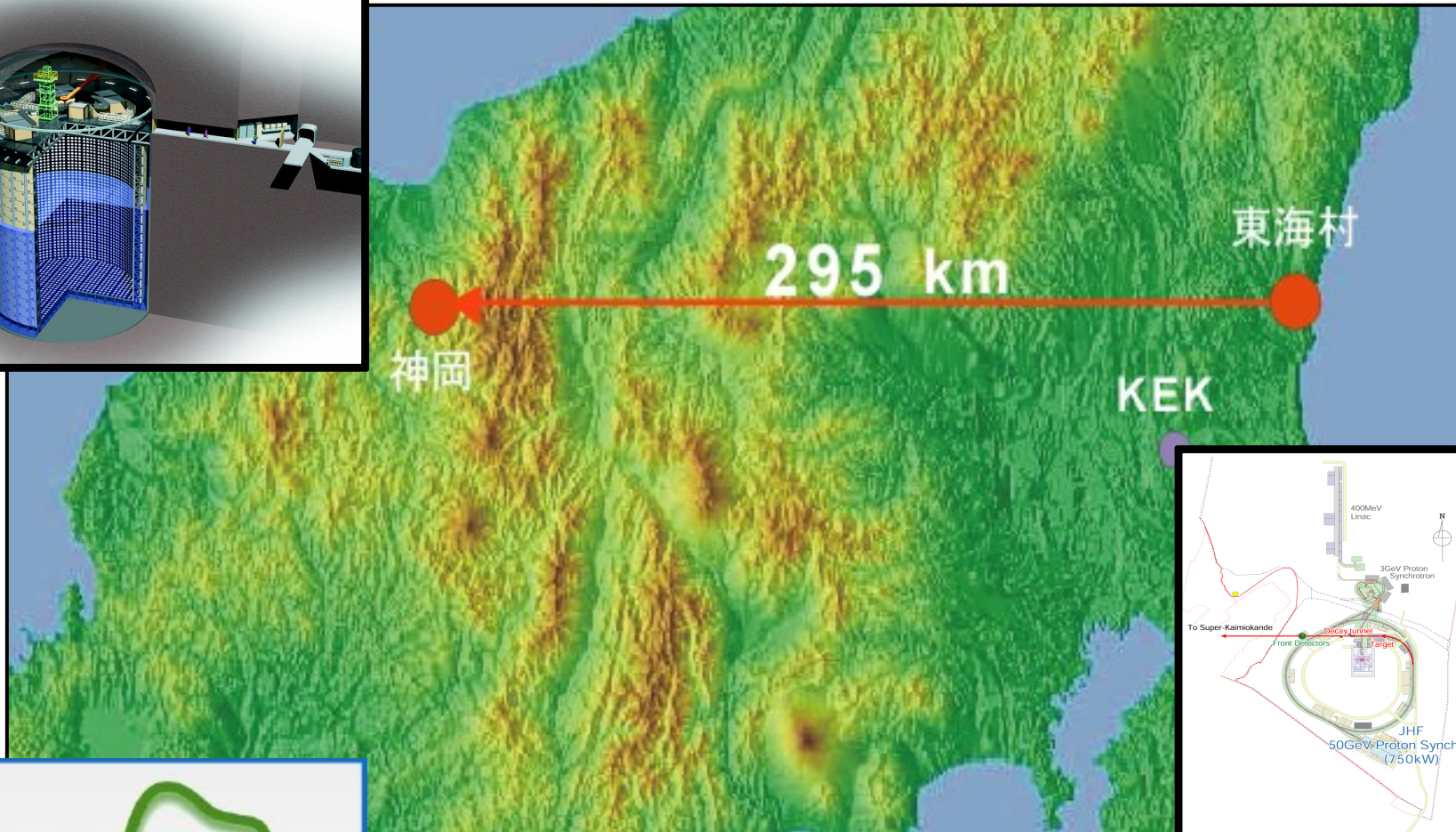
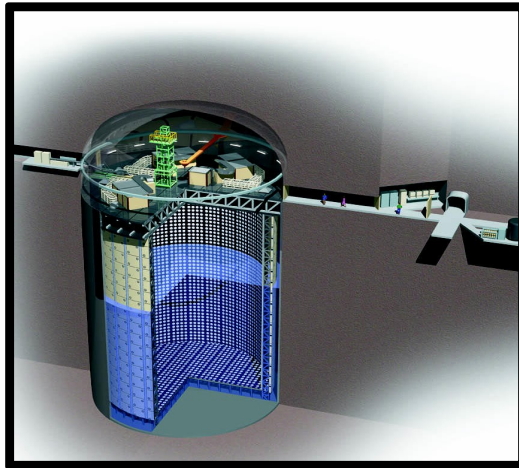
$\nu_e \rightarrow \nu_x$  disappearance oscillations with atmospheric L/E

$$P(\nu_e \rightarrow \nu_x) = \sin^2(2\theta_{13}) \sin^2 \left( 1.27 \Delta m_{23}^2 \frac{L}{E} \right)$$

$\overline{\nu}_e \rightarrow \overline{\nu}_x$  disappearance oscillations with atmospheric L/E

$$P(\nu_e \rightarrow \nu_x) \stackrel{\hat{C}\hat{P}\hat{T}}{=} P(\overline{\nu}_e \rightarrow \overline{\nu}_x)$$

# The T2K (Tokai-2-Kamioka) Experiment





~500 members, 61 Institutes, 12 countries

**Canada**

TRIUMF  
 U. Alberta  
 U. B. Columbia  
 U. Regina  
 U. Toronto  
 U. Victoria  
 York U.

**France**

CEA Saclay  
 IPN Lyon  
 LLR E. Poly.  
 LPNHE Paris

**Germany**

U. Aachen

**Italy**

INFN, U. Roma  
 INFN, U. Napoli  
 INFN, U. Padova  
 INFN, U. Bari

**Japan**

ICRR Kamioka  
 ICRR RCCN  
 KEK  
 Kobe U.  
 Kyoto U.  
 Miyagi U. Edu.  
 Osaka City U.  
 U. Tokyo

**Poland**

A. Soltan, Warsaw  
 H.Niewodniczanski, Cracow  
 T. U. Warsaw  
 U. Silesia, Katowice  
 U. Warsaw  
 U. Wroclaw

**Russia**

INR

**S. Korea**

N. U. Chonnam  
 U. Dongshin  
 U. Sejong  
 N. U. Seoul  
 U. Sungkyunkwan

**Spain**

IFIC, Valencia  
 U. A. Barcelona

**Switzerland**

U. Bern  
 U. Geneva  
 ETH Zurich

**United Kingdom**

Imperial C. London  
 Queen Mary U. L.  
 Lancaster U.  
 Liverpool U.  
 Oxford U.  
 Sheffield U.  
 Warwick U.

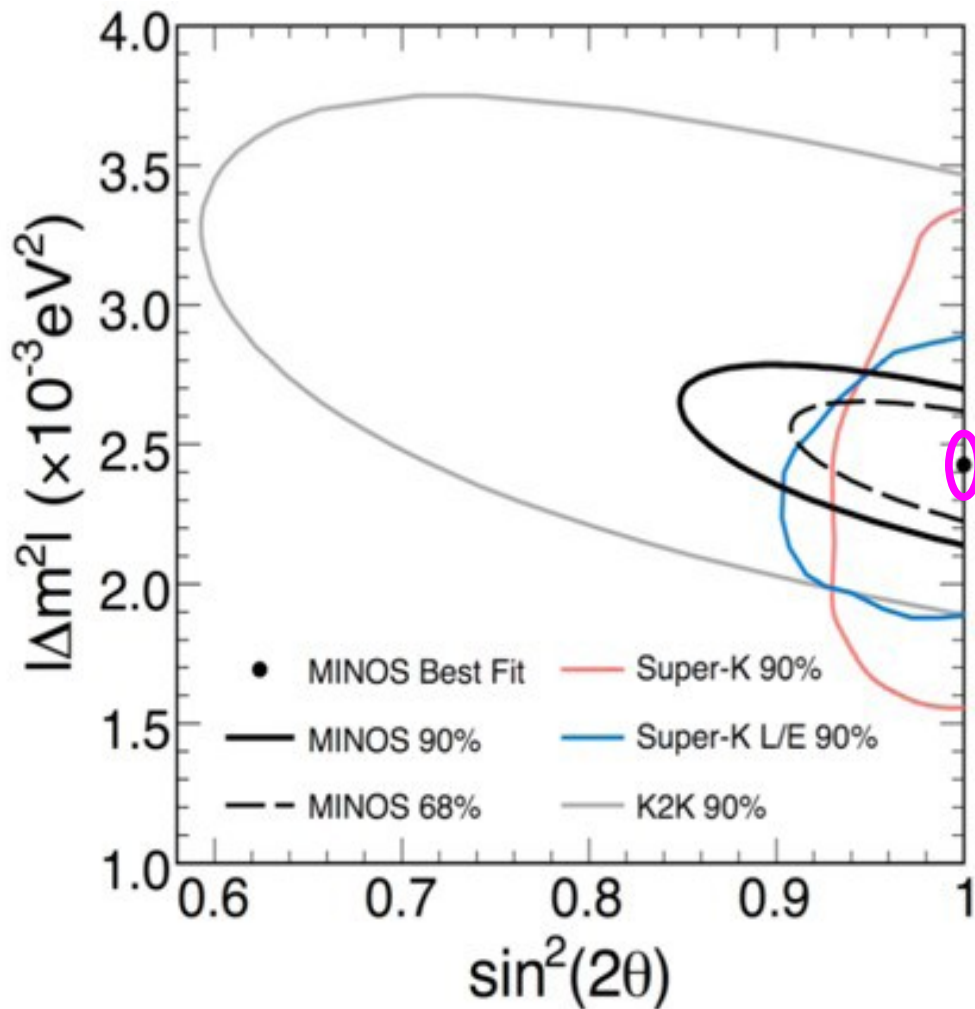
STFC/RAL

STFC/Daresbury

**USA**

Boston U.  
 B.N.L.  
 Colorado S. U.  
 Duke U.  
 Louisiana S. U.  
 Stony Brook U.  
 U. C. Irvine  
 U. Colorado  
 U. Pittsburgh  
 U. Rochester  
 U. Washington

# What can T2K do?

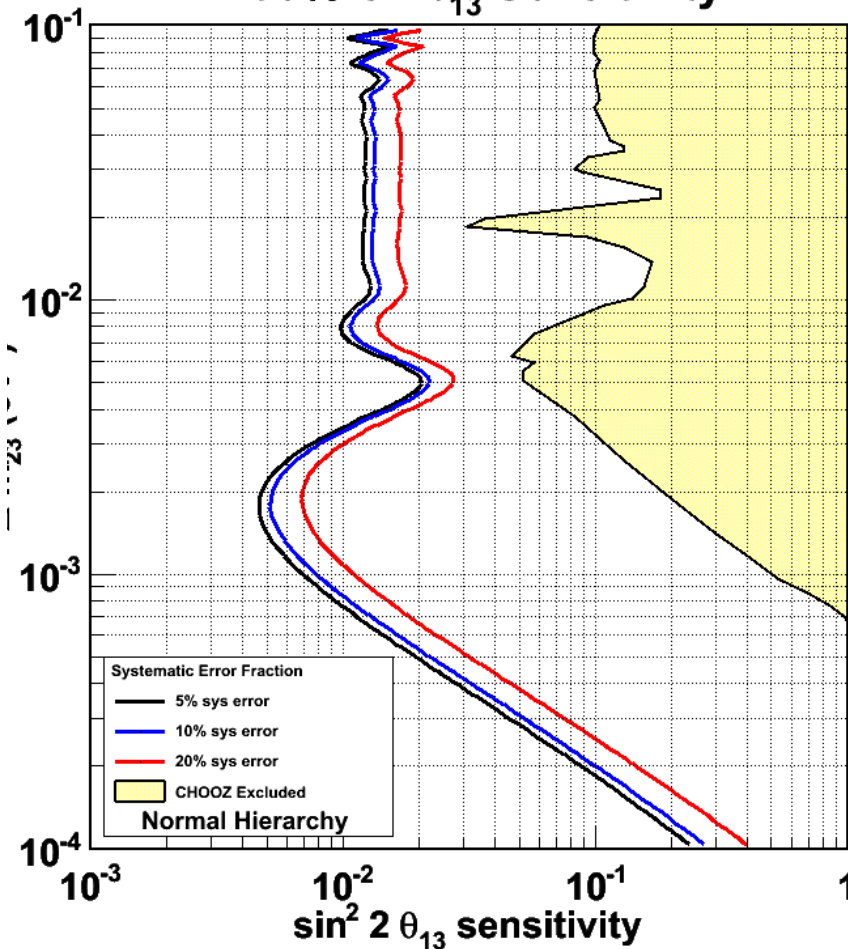


$$\delta(\sin^2(2\theta_{23})) \sim 0.01$$

$$\delta(\Delta m_{23}^2) < 1 \times 10^{-4} \text{ eV}^2$$

$\nu_e$  disappearance

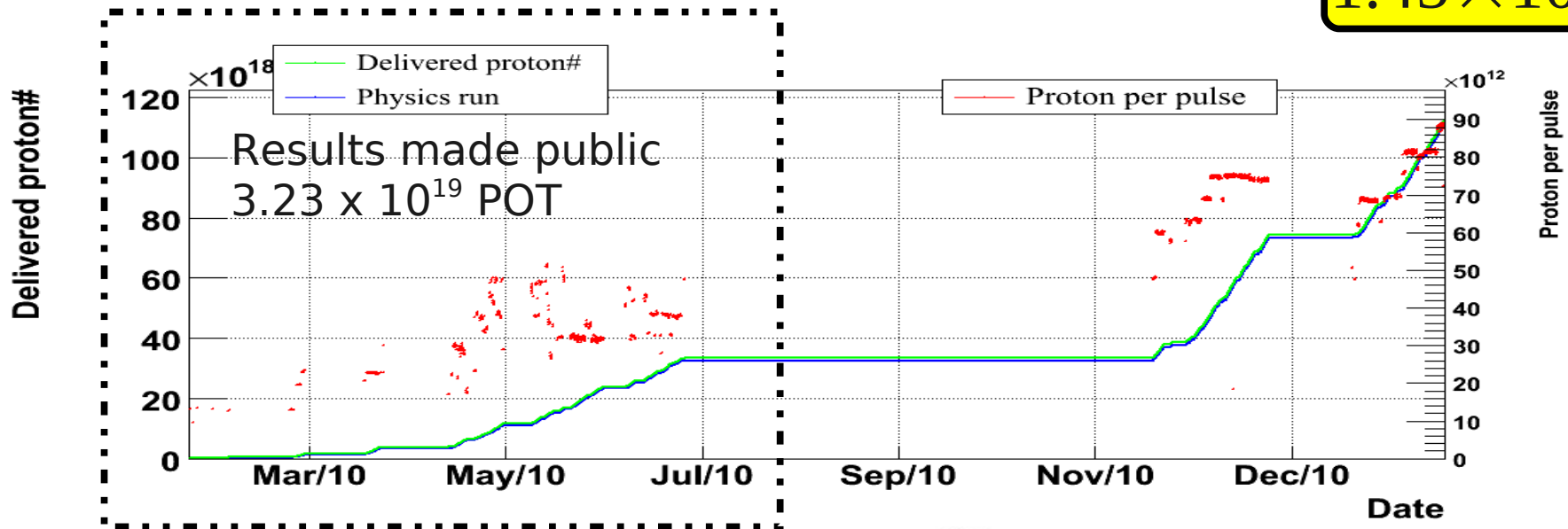
90% CL  $\theta_{13}$  Sensitivity



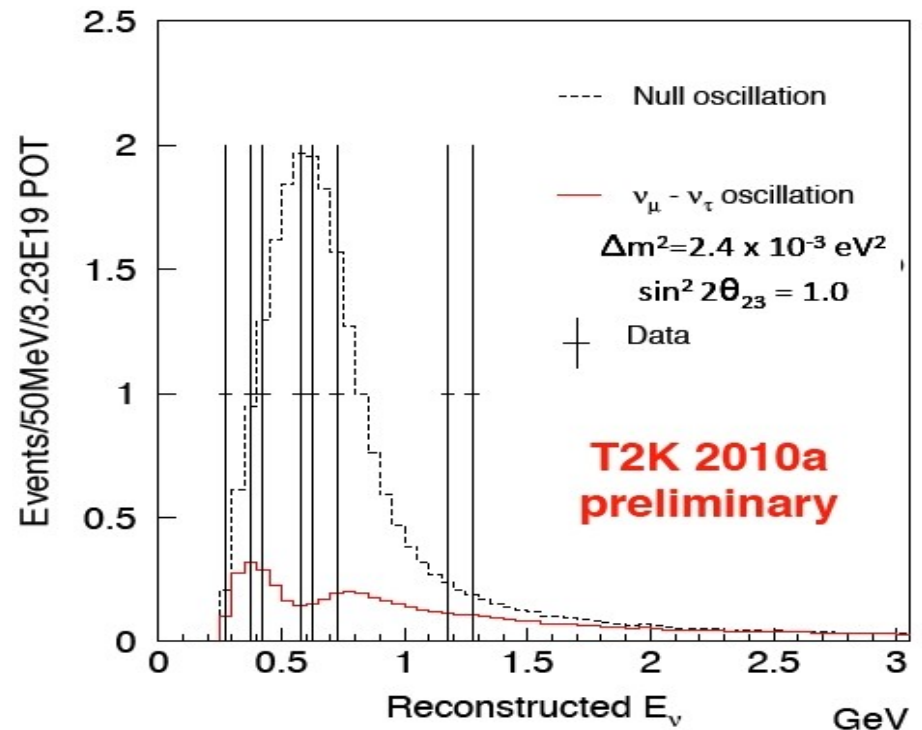
$$\sin^2(2\theta_{13}) \sim 0.02$$

# Results to date

$1.45 \times 10^{20}$  POT

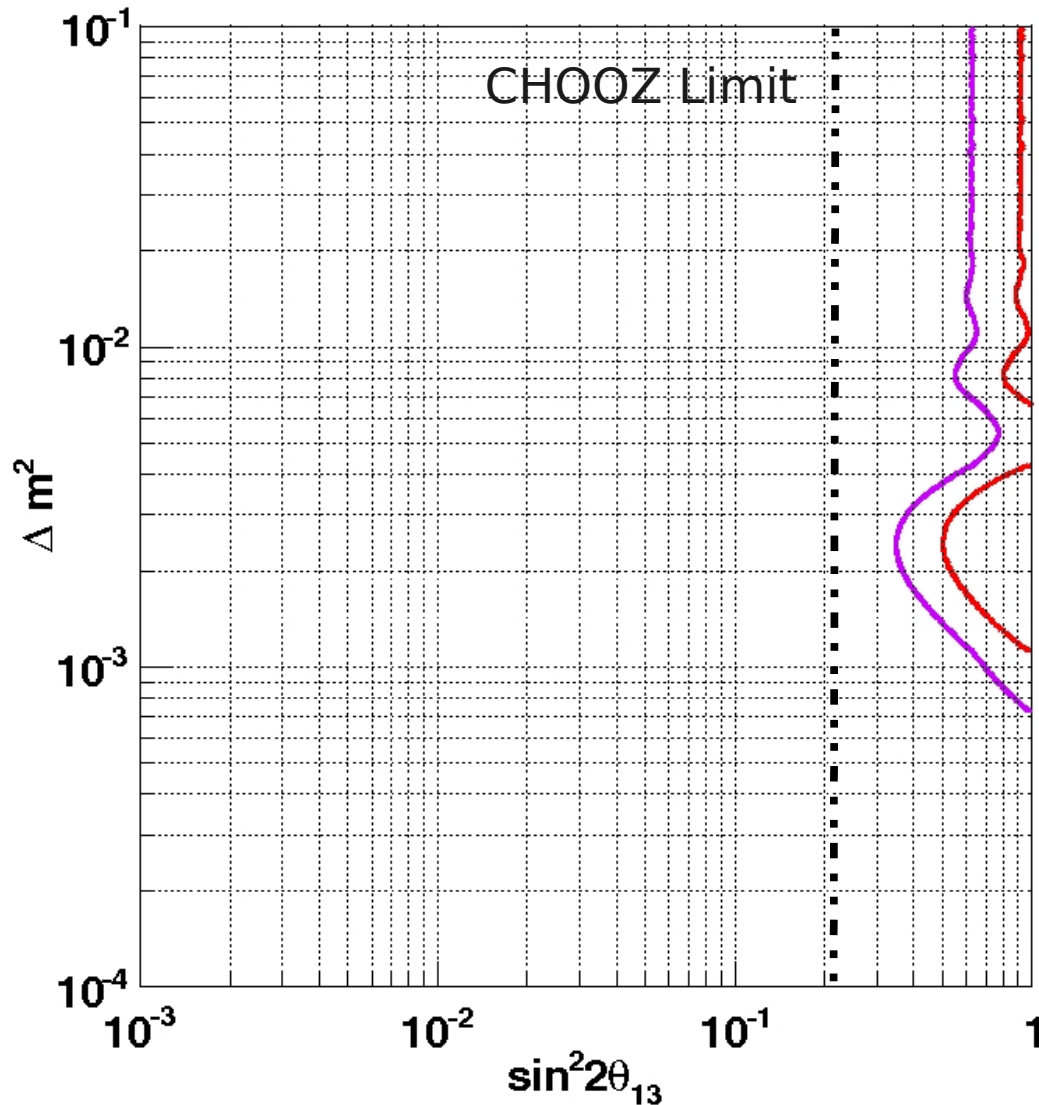


- 8  $n_{\mu}$  events observed in SK
- $22.8 \pm 1.3$  events expected in the absence of oscillations
- $6.3 \pm 1.0$  events expected if
  - $\Delta m^2 = 2.4 \times 10^{-3} \text{ eV}^2$
  - $\sin^2 2\theta_{23} = 1.0$





# $n_e$ Appearance



T2K-SK events	Data	MC		Acc. BG (12 $\mu$ s window)
		No oscillation	With oscillation and $\theta_{13}=0$	
Fully-Contained	33	54.5	24.6	0.0094
Fiducial Volume, $E_{\text{vis}} > 30\text{MeV}$	23	36.8	16.7	0.0011
Single-ring e-like $P_e > 100\text{MeV}/c$	2	$1.5 \pm 0.7$	$1.3 \pm 0.6$ ↑	-

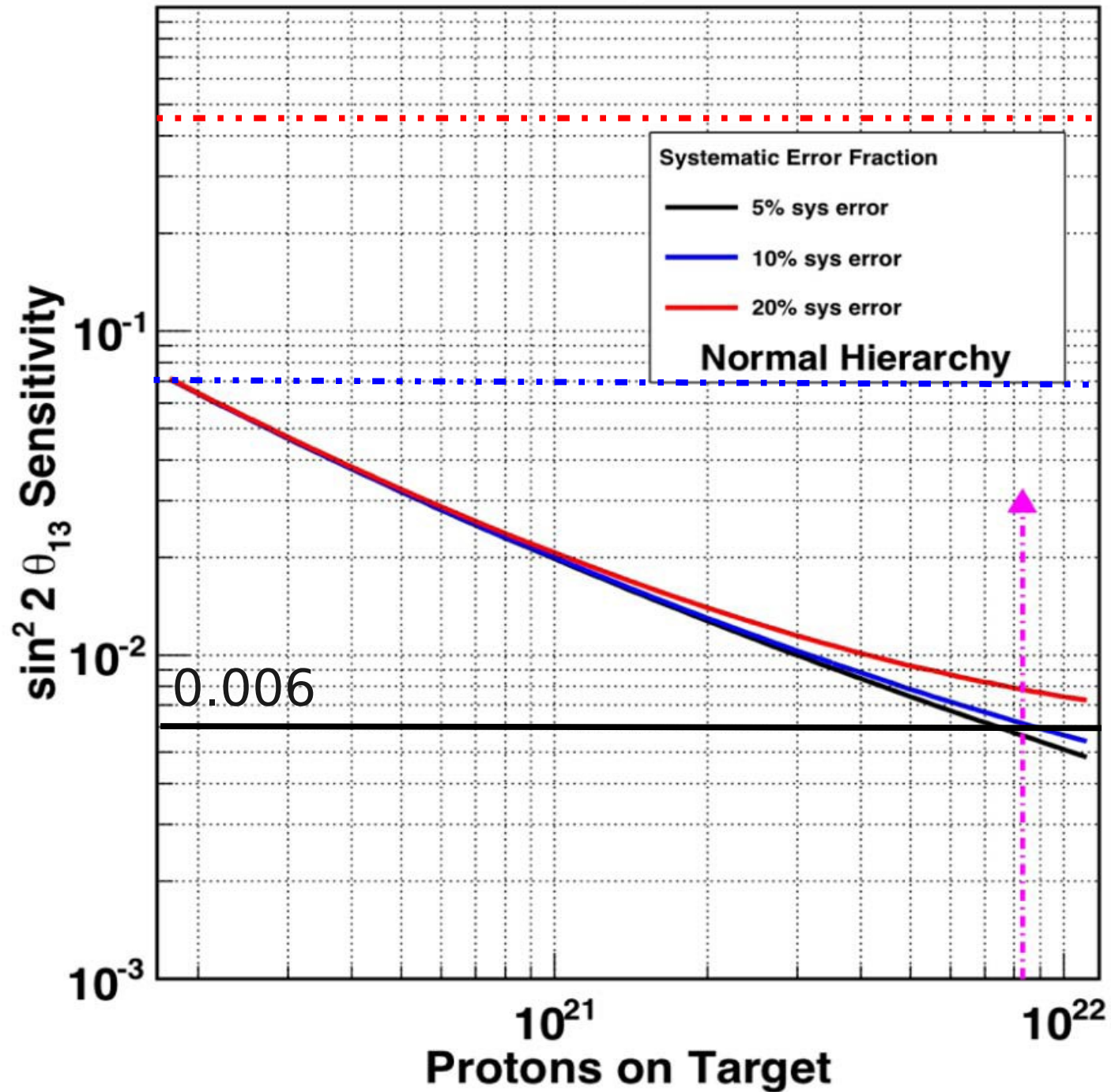
$$\sin^2(2\theta_{13}) < 0.5 @ 90 \text{ CL}$$

$$\Delta m_{23}^2 = 2.4 \times 10^{-3} \text{ eV}^2$$

We have 4 times the amount of data released in the can which should push the limit down to about 0.1.

Expect release of this data by summer.

# 90% CL $\theta_{13}$ Sensitivity



Limit in current analysed data

Fogli Fit result

5 years nominal running period

# Earthquake



- Subsidence at the LINAC building
- But the near detector seems to be superficially OK
- The accelerator magnets may need realignment but the ring seems to be also OK
- Japanese build for earthquakes



**NOvA**

Ash River

Fermilab

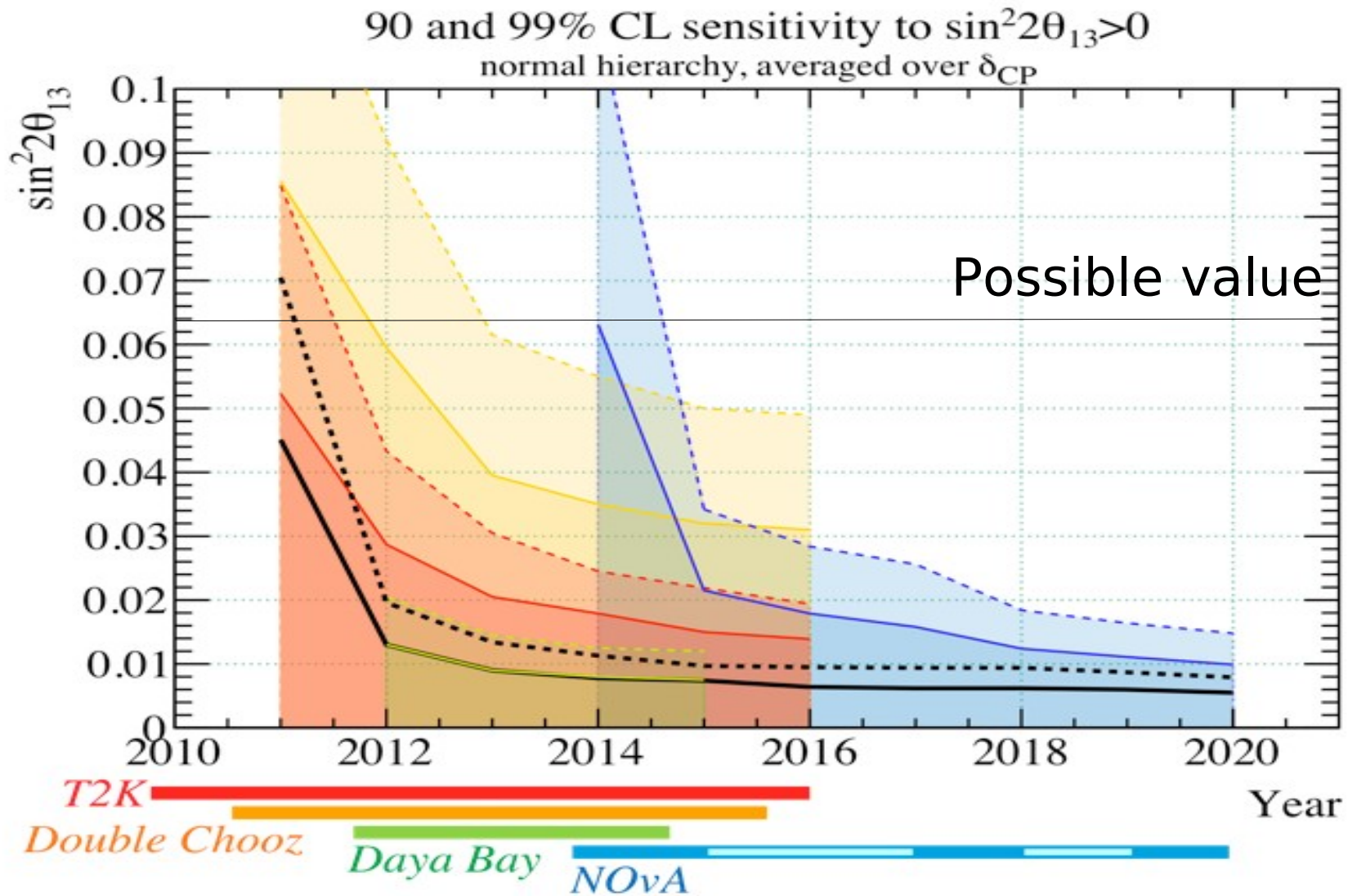
United States

© 2011 Google  
© 2011 Europa Technologies  
Image USDA Farm Service Agency  
© 2011 INEGI



45°38'45.26" N 91°08'38.26" W elev 982 ft

Eye alt 1238.99 mi



Only NOvA can measure matter effect to get the mass hierarchy.

Probably need a combination of NOvA, T2K and the reactors to fully disentangle the parameter space.

# Summary - Near Future

- If  $\theta_{13}$  is large ( $> 6^\circ$ ) we should have an indication and possibly a measurement by the end of this year
- If  $\theta_{13}$  is  $> 2^\circ$  we should know in 3 years
- If  $\theta_{13}$  is less than  $2^\circ$  we will have to think about what to do

# Summary - Near Future

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# Eu possible Strategies for Future Accelerator $\nu$ Physics



NEu2012 Network

Neutrinos for Europe in2012

EUROnu Design Study (beams)

LAGUNA Design Study (sites)

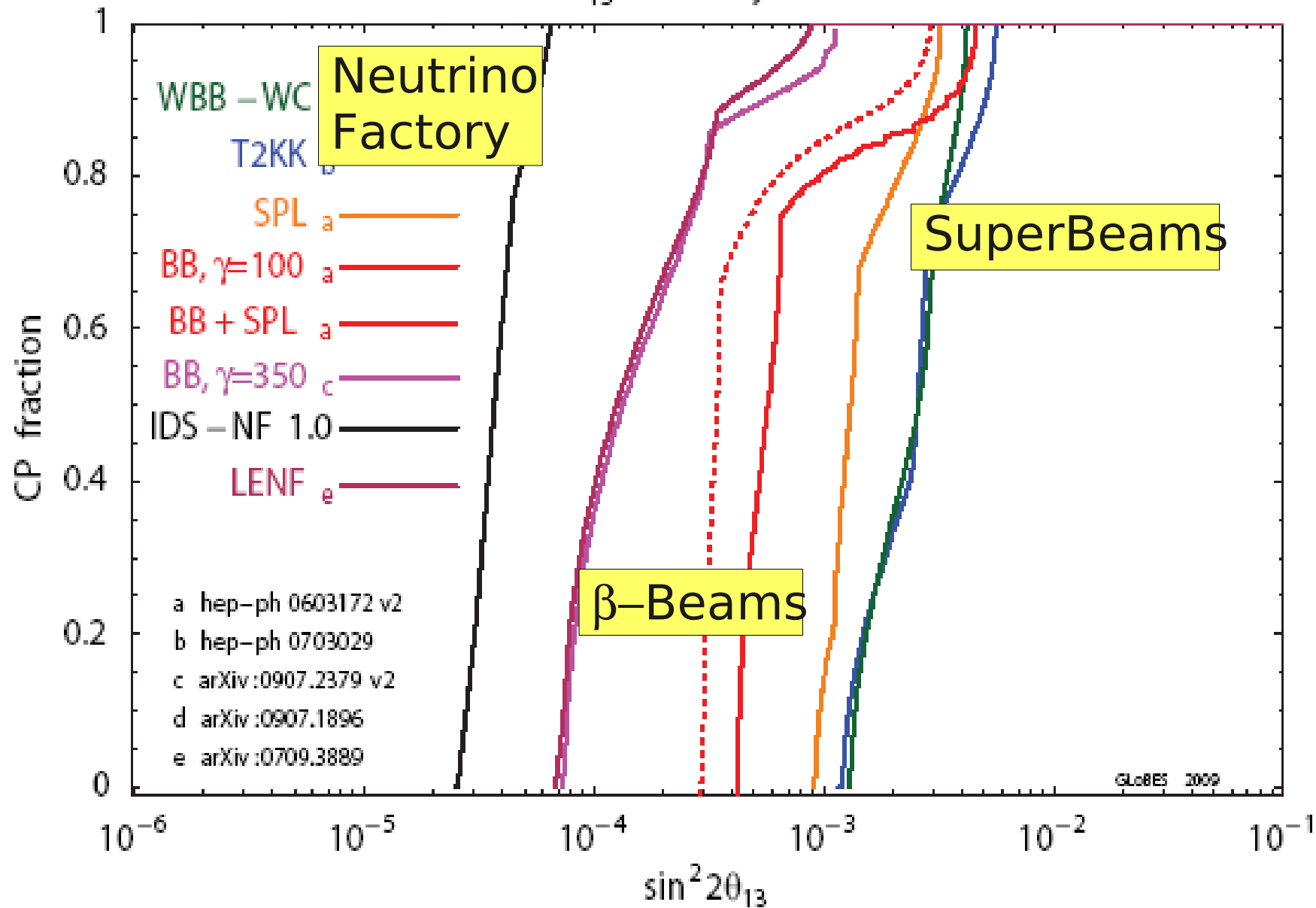
CERN Council Strategy Document  
dixit, July 2006

..... *be in position to define the optimal neutrino program*  
..... *in around 2012*

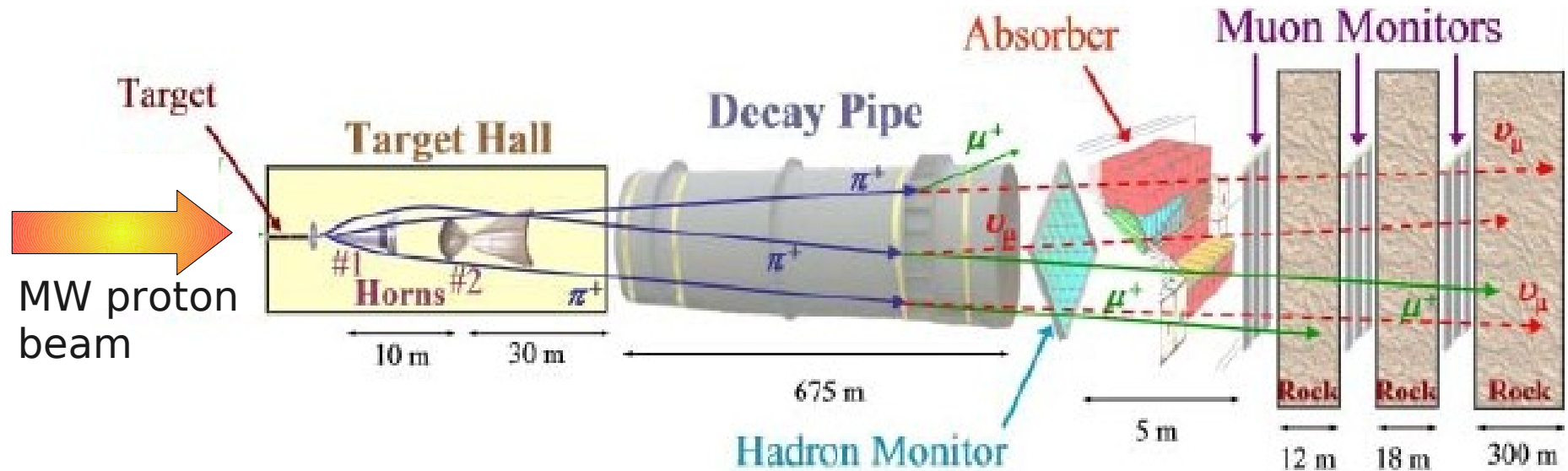
Including Neutrino Factory International Design Study



$\sin^2 2\theta_{13}$  discovery at  $3\sigma$  CL



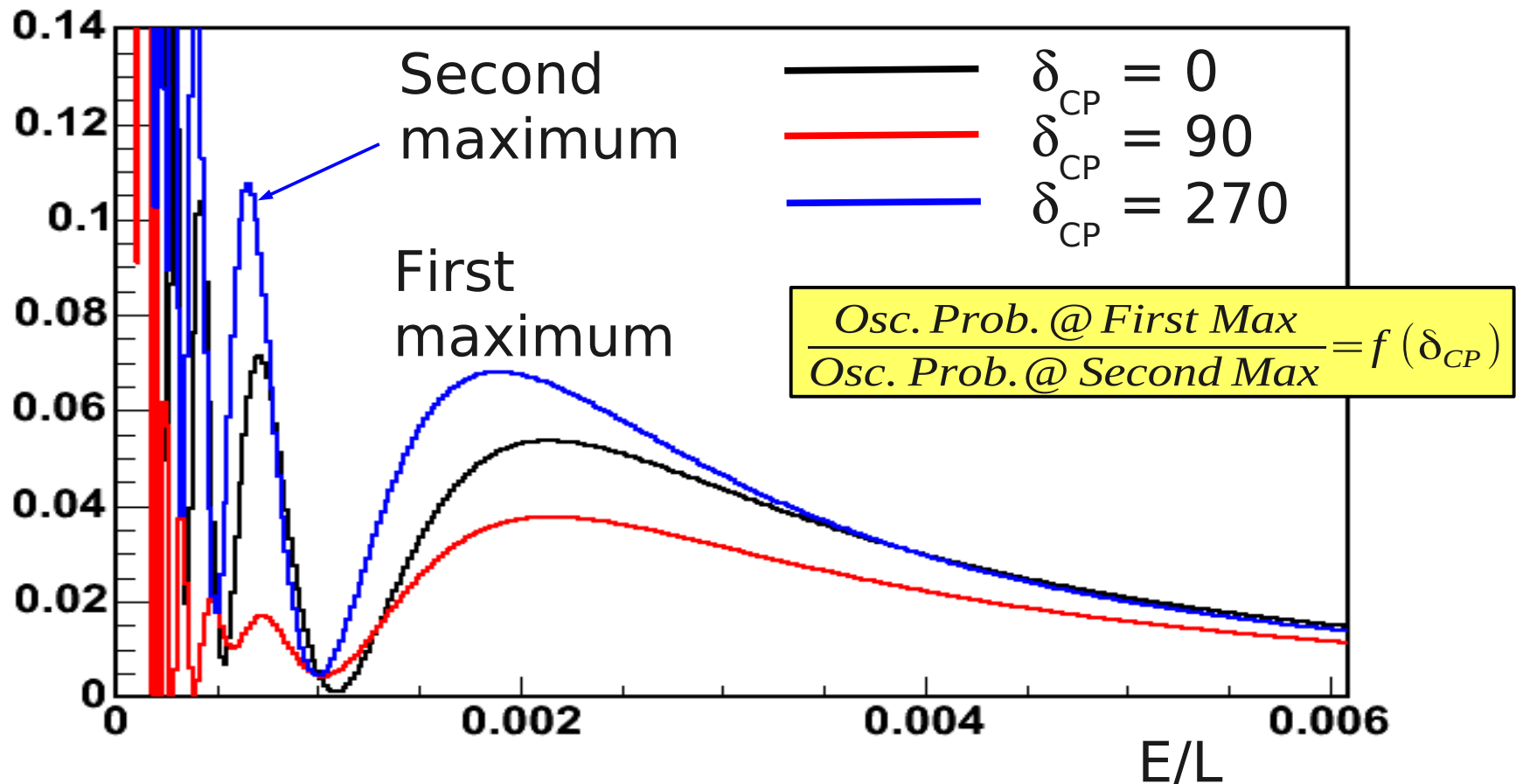
# SuperBeams



- Conventional neutrino beam with a MW proton beam (T2K has the most intense beam - 750 kW)
- Challenge to proton source (so-called proton driver)
- Challenge to the targetry - MW pulse would vaporise the target

# CP Violation redux

$\nu_{\mu} \rightarrow \nu_e$  oscillation probability



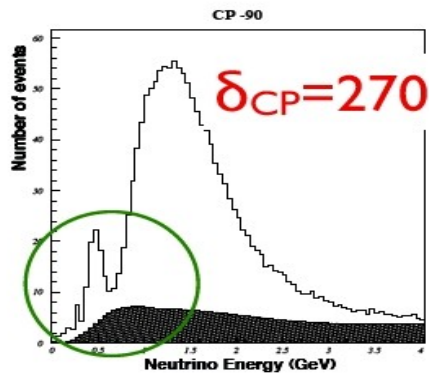
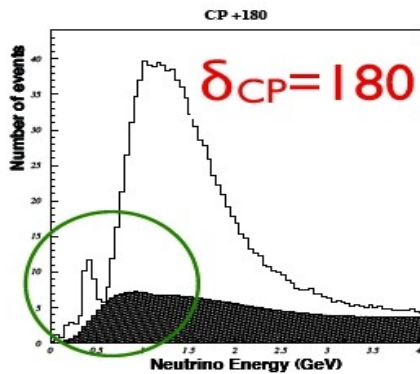
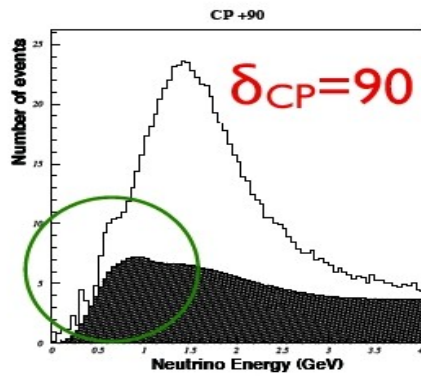
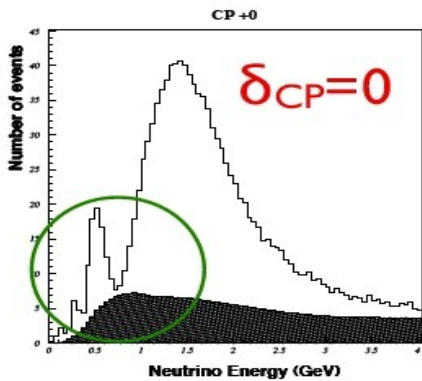
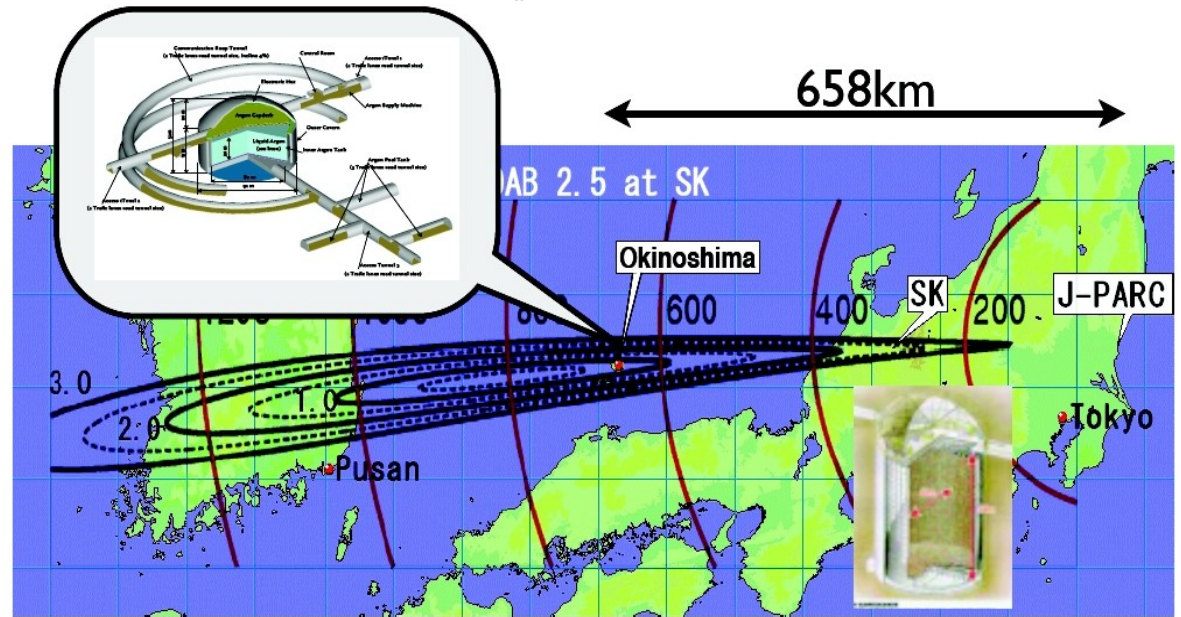
Could study CPV using a superbeam and an experiment sensitive to both maxima using only a neutrino beam.

# Future VLBL Experiments

High power beam and very large detectors at the second maxi

	<i>current</i>	<i>plan</i>	<i>under discussion</i>
J-PARC/KEK	~0.05MW T2K ( $\theta_{13}$ ) 22.5kton W.C. (SK)	0.75 MW NOvA ( $\theta_{13}$ , hierarchy) 14kton Liquid Scint.	<b>4MW</b> JPARC-to-somewhere (CPV, hierarchy, $\theta_{13}$ ) 540kton W.C. or 100kton LArTPC
FNAL	~0.3MW NuMI/MINOS ( $\nu_{\mu}$ disapp.)	0.7 MW NOvA ( $\theta_{13}$ , hierarchy) 14kton Liquid Scint.	~2MW (Project-X) FNAL-to-DUSEL (CPV, hierarchy, $\theta_{13}$ ) ~300kton W.C. and/or ~50kton LArTPC
CERN	~0.3MW CNGS/OPERA ( $\nu_{\tau}$ app.)	0.4MW	2MW(HP-PS2) ~ 4MW(HP-SPL) 130~2300km site (CPV, hierarchy, $\theta_{13}$ ) ~500kton W.C. or ~100kton LArTPC or ~50kton LiquidScint.

OA Beam  
 L = 660 km  
 500 MeV @ 2<sup>nd</sup> Max



- $\nu$  only run
- Can detect CP Violation at 3 sigma significance if  $\sin^2(2\theta_{13}) > 0.02$

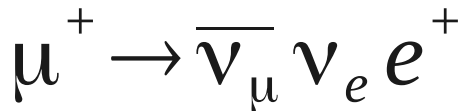
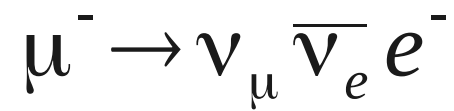
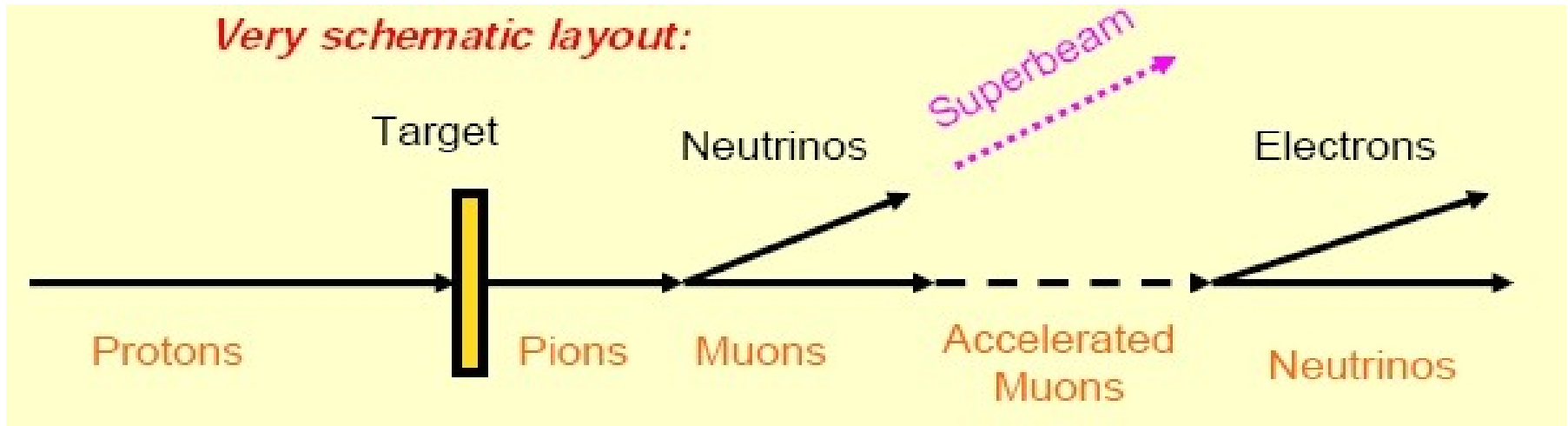
$\sin^2 2\theta_{13} = 0.03$  & varying CP phase

# SuperBeam Summary

- Future Superbeam facilities will look for CPV and mass hierarchy measurements using Very Long Baseline experiments
- Could be built now - upgrade of the existing beams (J-PARC, NUMI) and new main detector
- Competitive CPV discovery potential down to  $\theta_{13} > 2$  degrees
- a lot of R&D already done. Detector is on the cutting edge, but could be build soon with more work.
- Cost on the order of £4 million.
- What we'll go for if  $\theta_{13}$  is large.

# Neutrino Factories

In a conventional beam the neutrinos from pion decay  
In a neutrino factory the neutrinos come from muon decay



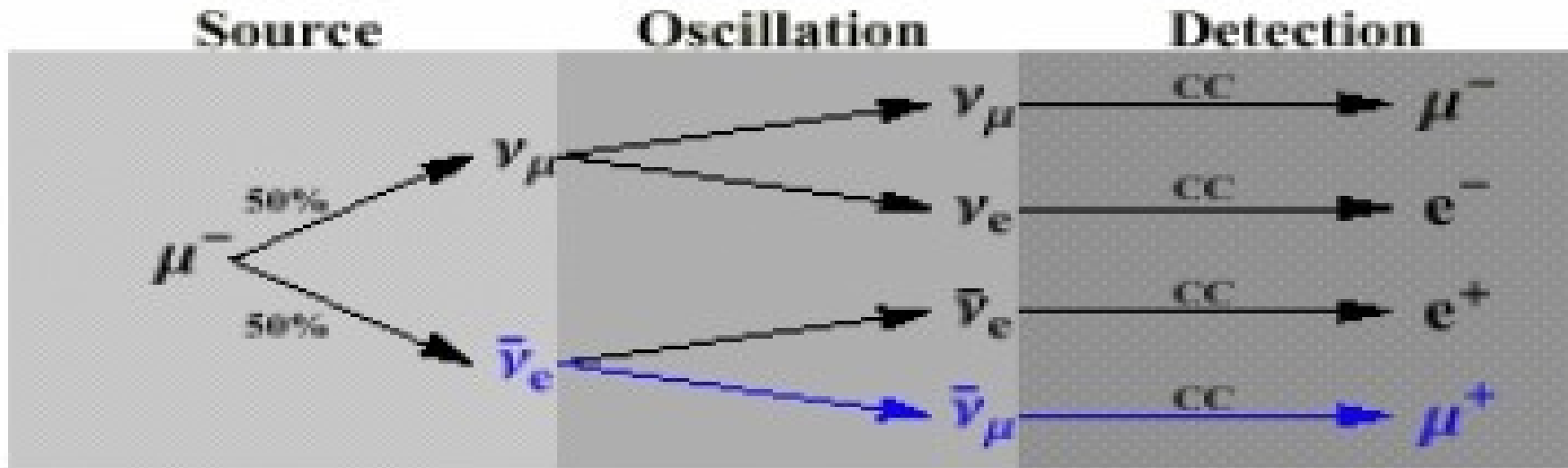
Beam is very clean

50%  $n_{\mu}, \bar{n}_{e}$

Extremely high flux

Precise and predictable energy spectrum

# Neutrino Factory Oscillation

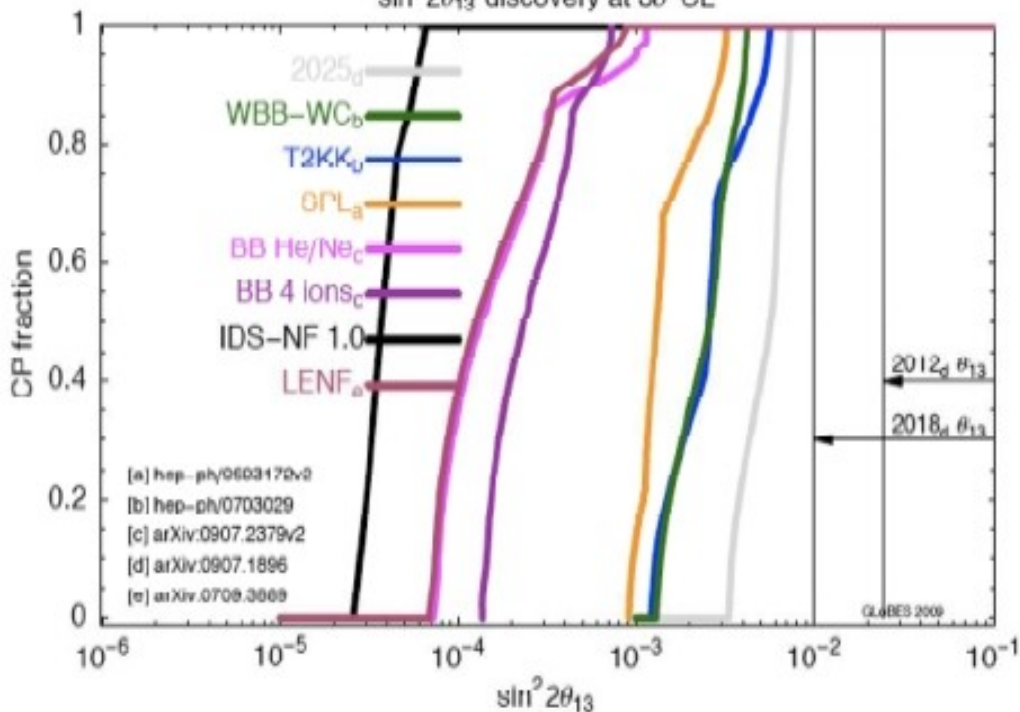


*Golden channel*

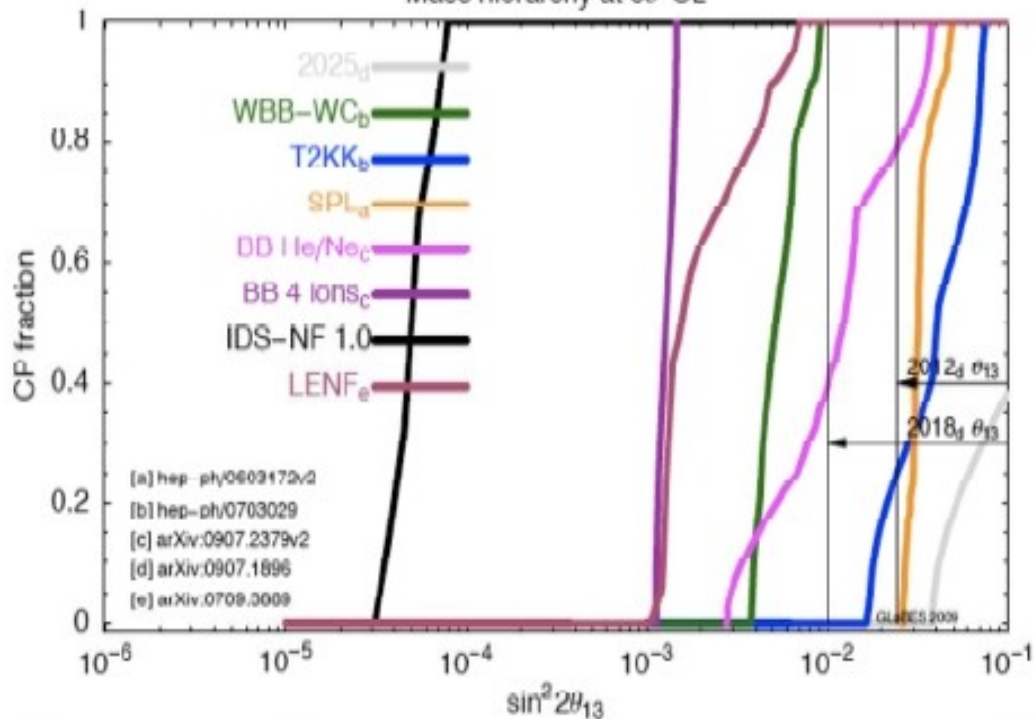
- No background from other neutrino flavours
- But this requires the charge of the final state lepton to be known
- Need to magnetise the far detector



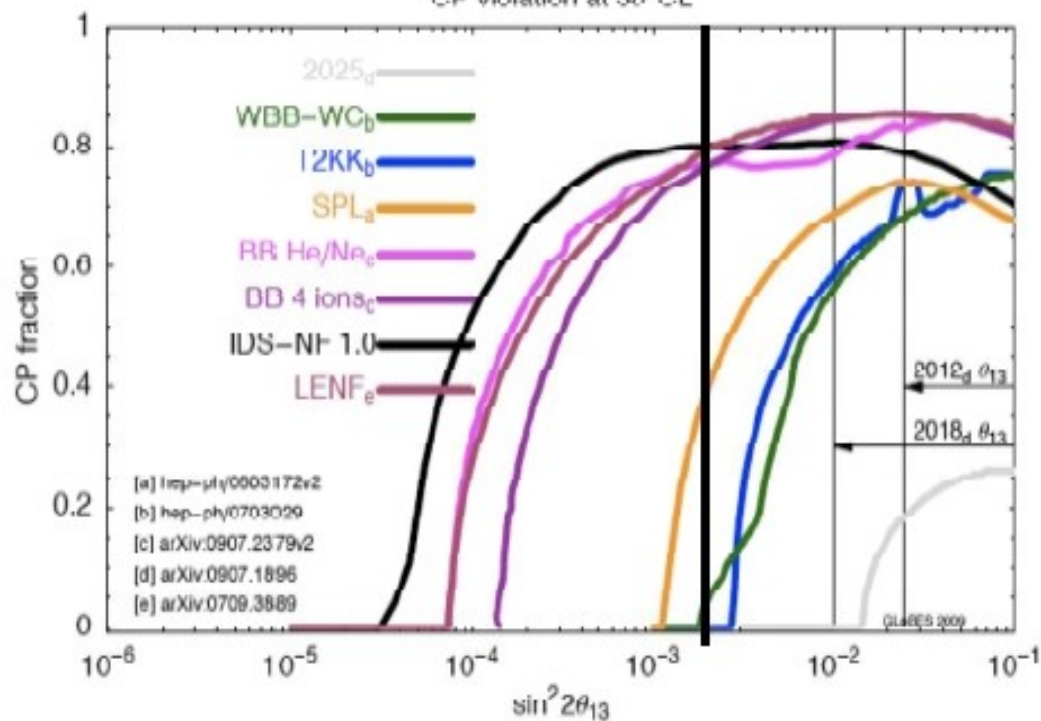
$\sin^2 2\theta_{13}$  discovery at  $3\sigma$  CL



Mass hierarchy at  $3\sigma$  CL



CP violation at  $3\sigma$  CL



- **Neutrino Factory outperforms other options:**

- **Larger discovery reach**

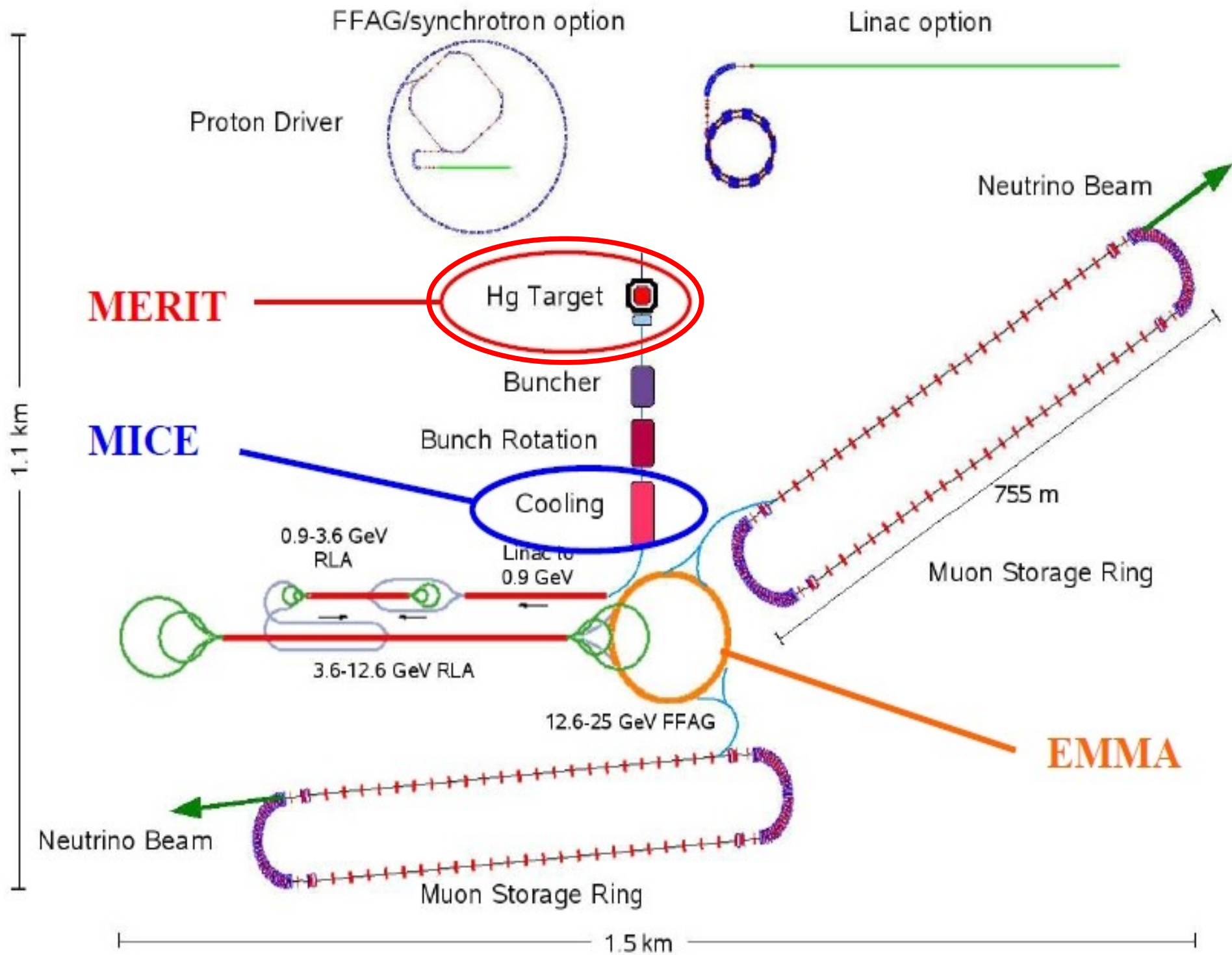
- **Competitors (large  $\theta_{13}$ ):**

- **Beta beam:**

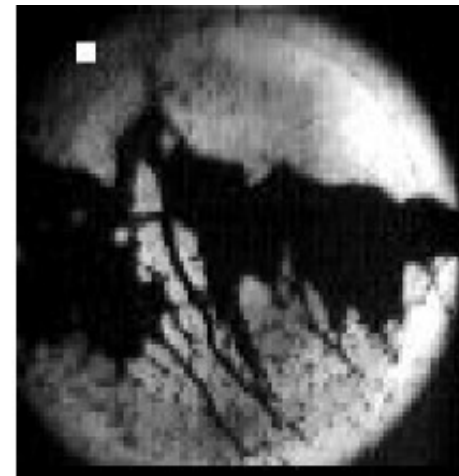
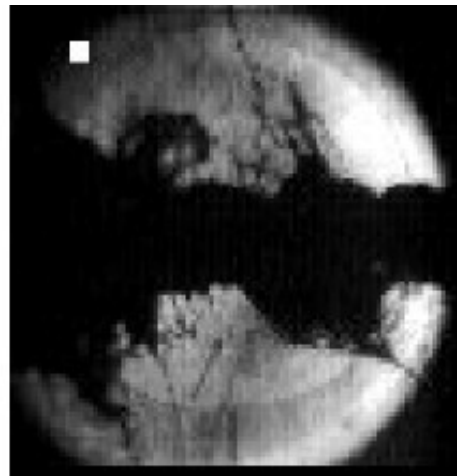
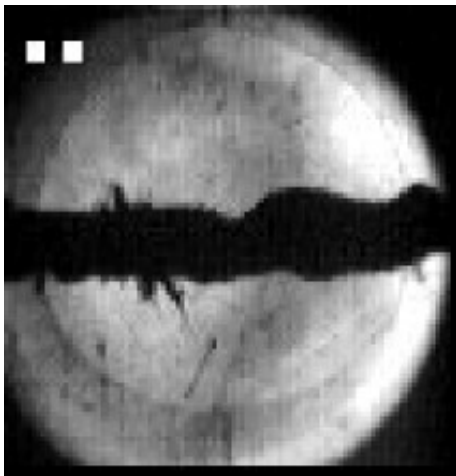
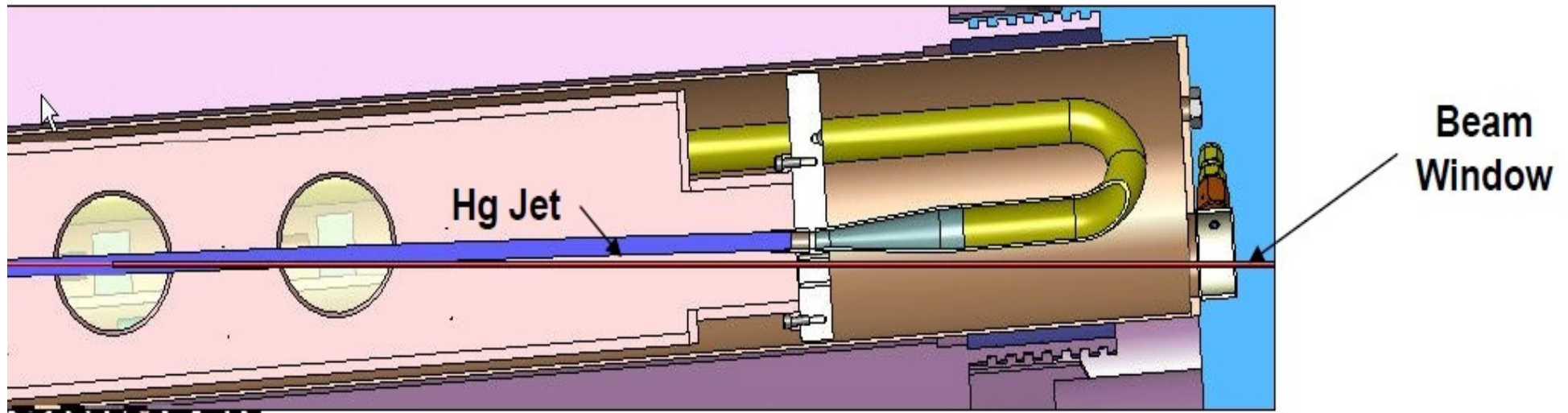
- **But requires large Ne flux, high- $\gamma$ , and/or 4-ions**

- **Low energy Neutrino Factory:**

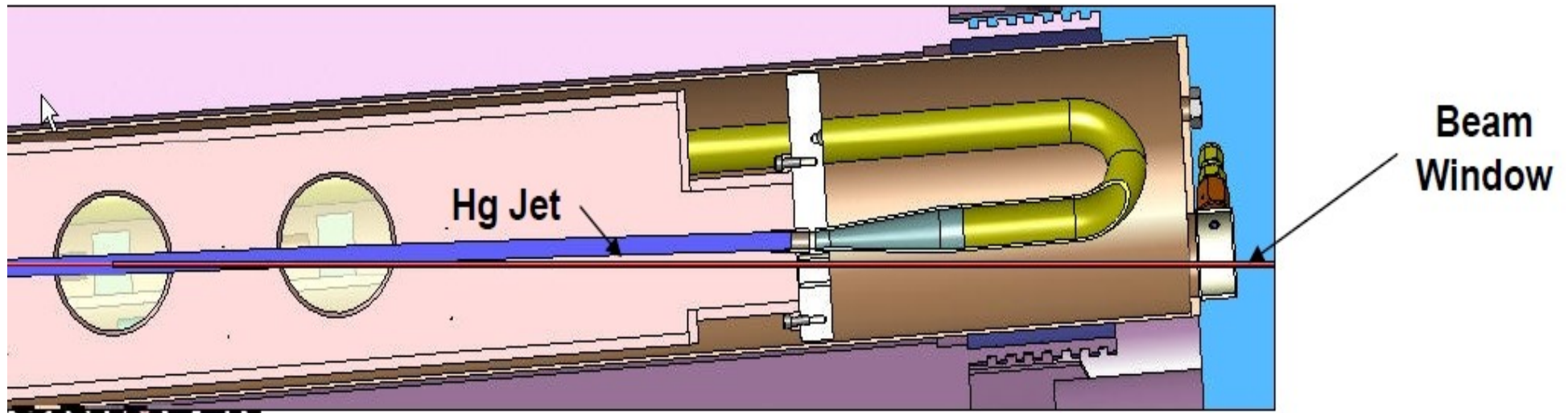
- **See later, but, reduced redundancy/flexibility**



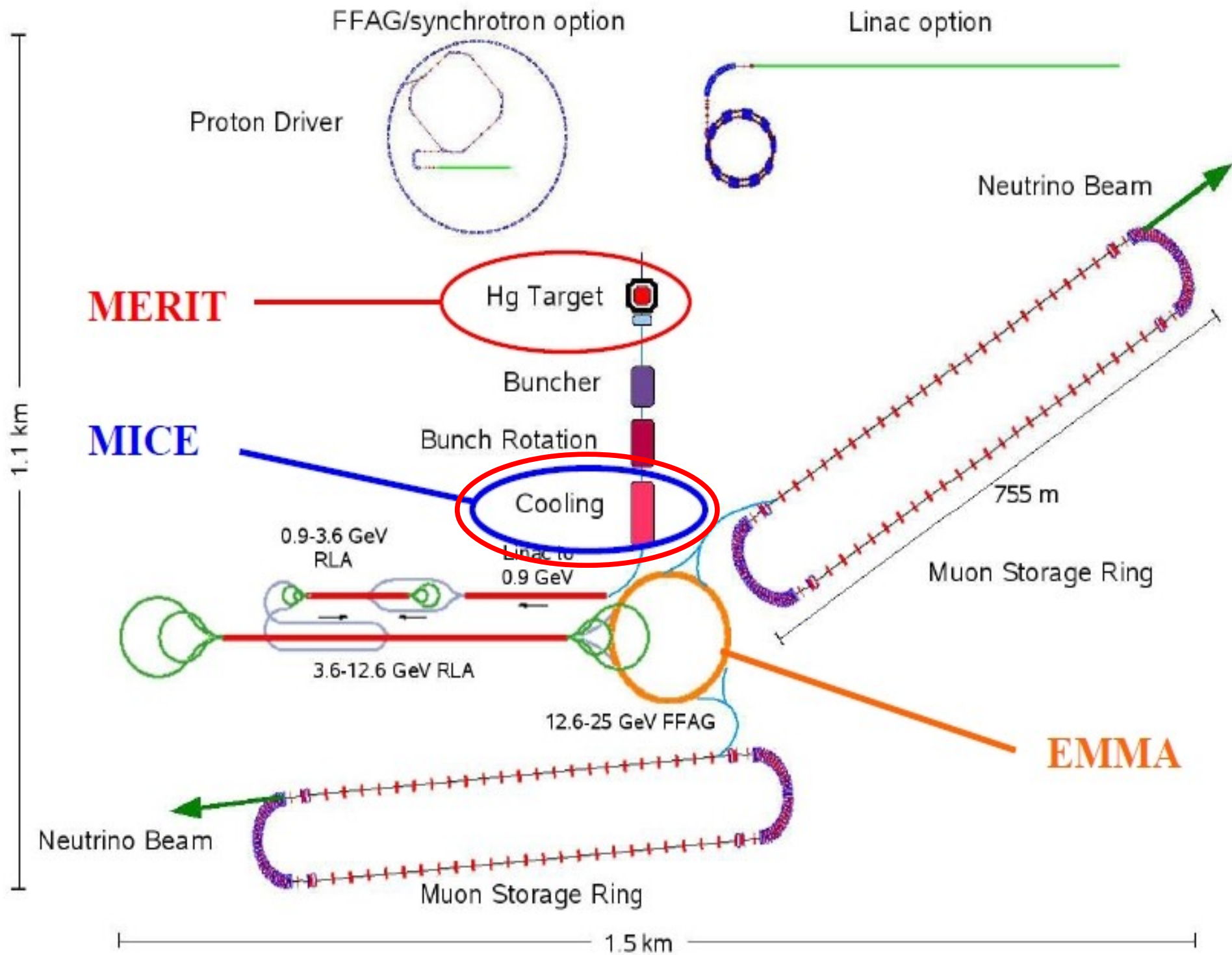
# Targetry – MERIT Experiment



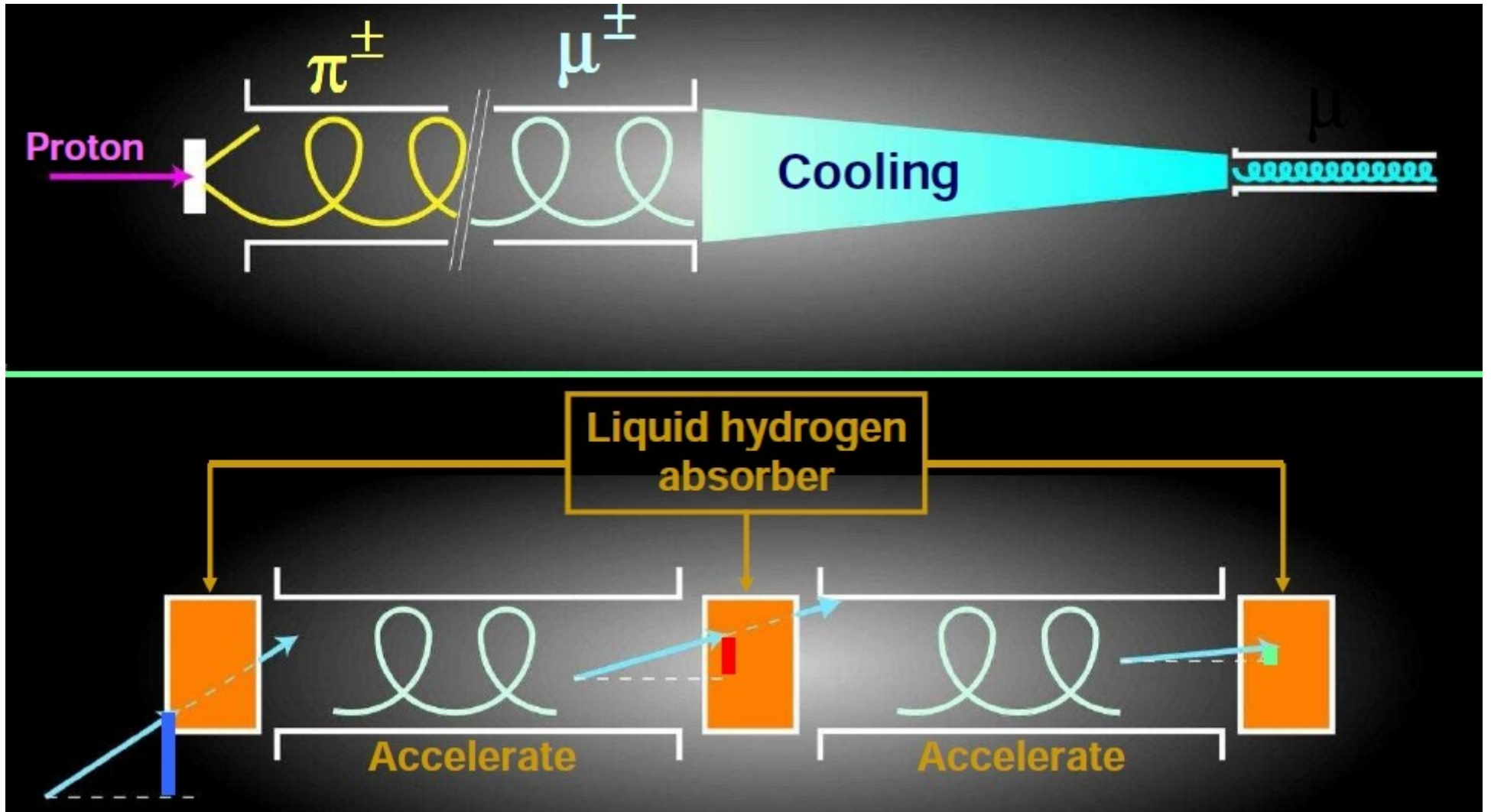
# Targetry – MERIT Experiment



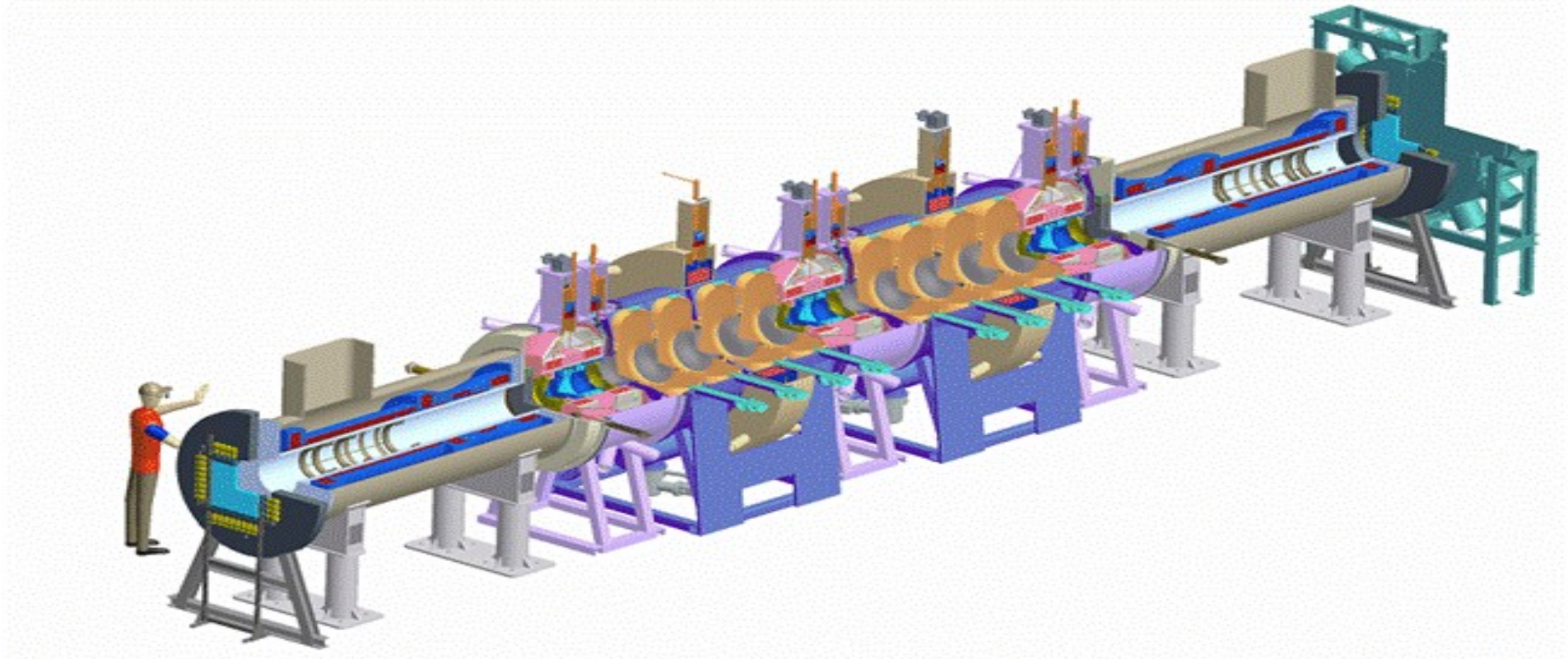
Other ideas out there : supercooled tungsten ring  
tungsten powder jet



# Muon Cooling



# MICE



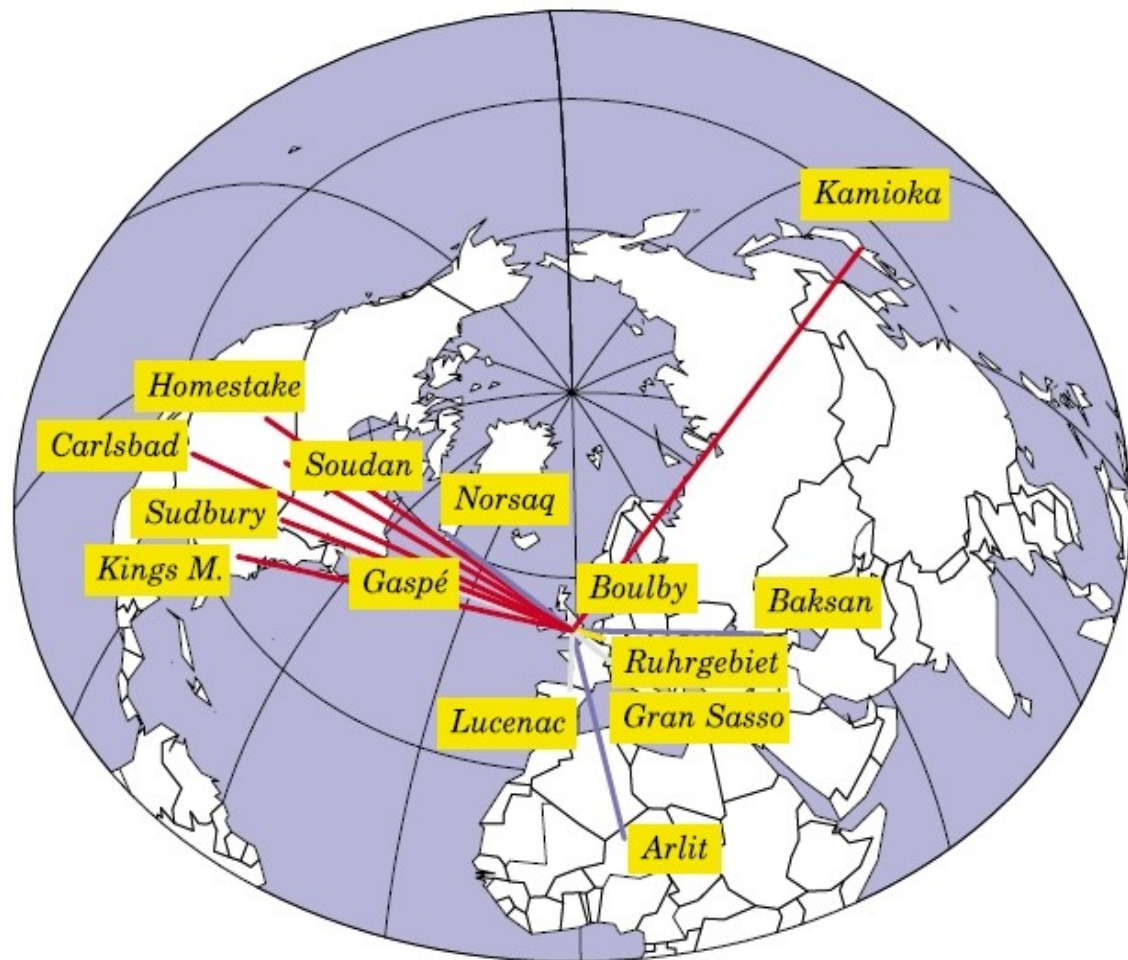
Muon Ionisation Cooling Experiment @ Rutherford Labs in Ox

# Detectors

Physics sensitivity prefers two 50 kton (mass of the Titanic) detectors around 4000 km from the beam, and around 7500 km from the beam



■ Arlit:	3636
■ Baksan:	3366
■ Boulby:	229
■ Carlsbad:	7293
■ Essen:	565
■ Gaspé:	4264
■ GranSasso:	1514
■ Homestake:	6655
■ Kamioka:	8621
■ KingsMountain:	6095
■ Lucenac:	1002
■ Norsaq:	2788
■ Soudan:	5925
■ Sudbury:	5548





# Neutrino Factory Summary

- Best discovery potential and sensitivity from all options
- Couldn't be built now. If we decided to build one it, and it's detectors, wouldn't be ready until 2025 or so.
- Only choice if  $\theta_{13} < 1^\circ$
- Design study underway and the problems are being addressed by demonstrator experiments
- Cost on the order of £3 billion (LHC cost £3 billion; 2008 bank bailout was £ 50 billion, although that wasn't cash in hand)
- Can we do this now? No.
- Wait for next  $\theta_{13}$  measurement

# Concluding Remarks

We have gone through a lot but I can easily fill another 15 hours of lectures.

The neutrino is : light, neutral, left-handed (chiral) and almost left-handed (helicity). It is generated purely in weak interactions (which is why it is chiral). It is generated by many sources : the Big Bang, astrophysical events, supernova, the sun, cosmic rays, radioactive decays, and countless other sources. We can generate them in reactors and accelerators. Their cross sections are tiny and we need big detectors to look at them. They mix and oscillate.

They may be the reason that we are here at all.

But...what is their mass? Why is it so small? Why are the mixing parameters so odd? Still lots of questions remain. We have a 20 year plan for trying to deal with them.

The first thing we need to do is determine the size of  $\theta_{13}$ .

# In words

Because  $\nu_e$  can suffer an extra interaction it picks up an effective mass that is slightly different from its vacuum mass. From another point of view, the extra interaction gives the  $\nu_e$  an apparent inertia with respect to the other neutrinos.

Think of this in much the same way as phonons in crystals which have “effective” masses arising from interactions with the crystal lattice

Matter presents an effective refractive index for  $\nu_e$

This inertia is felt by some linear combination of the mass eigenstates, and hence passed to the other flavours. Oscillations still happen, but now with a different effective mass splitting